

## Quiz 1: Entropy Calculation and Symbol Codes

Instructor: Swastik Kopparty

TA: Ziyang Jin

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## 1.1 Questions (10 Points)

Let  $X$  be a discrete random variable with alphabet  $\Sigma = \{a, b, c\}$ , where:

$$X = \begin{cases} a & \text{w.prob. } \frac{2}{3}, \\ b & \text{w.prob. } \frac{1}{6}, \\ c & \text{w.prob. } \frac{1}{6}. \end{cases}$$

1. (2 points) What is the entropy  $H(X)$ ? (Keep the log's in your answer; do not convert to numerical value).

**Solution.**

$$H(X) = \frac{2}{3} \log \frac{3}{2} + 2 \times \frac{1}{6} \log 6 = \frac{2}{3} \log \frac{3}{2} + \frac{1}{3} \log 6.$$

If you try to simplify but did it wrong, you lose 0.5 points. A correct simplification would be  $(\log 3) - \frac{1}{3}$ , but why bother?

2. (3 points) Give a prefix-free symbol code  $E : \Sigma \rightarrow \{0, 1\}^*$  for  $X$  which has expected value of  $|E(X)|$  as small as you can make it. You do not need to prove that it is the smallest.

**Solution.**  $E(a) = 0$ ,  $E(b) = 10$ ,  $E(c) = 11$  (or you switch  $E(b)$  and  $E(c)$ ).

The other solution is  $E(a) = 1$ ,  $E(b) = 00$ ,  $E(c) = 01$  (or you switch  $E(b)$  and  $E(c)$ ).

We accept all correct solutions.

3. (2 points) Suppose we had  $n$  independently sampled copies of  $X$ , denoted by  $X_1 \dots X_n$ . What can you say about the (a) typical, (b) worst-case length of the concatenated string  $E(X_1) \dots E(X_n)$ ?

**Solution.** Typical length is

$$n \cdot \left( \frac{2}{3} \times 1 + \frac{1}{6} \times 2 + \frac{1}{6} \times 2 \right) = \frac{4}{3}n.$$

Some people write  $n \cdot H(X)$  here, which is incorrect (-1 point). Because we ask the length of the symbol code. This one does not match the entropy.

Worst-case length is  $2n$  (like *bbbbbb...* or *cccccc...*, etc.)

4. (3 points) Give a uniquely decodable symbol code  $C$  for  $X$  that is not prefix-free. Note that it need not minimize expected value of  $|C(X)|$ .

**Solution.**

There are many solutions. For example,  $C(a) = 0$ ,  $C(b) = 01$ ,  $C(c) = 11$ . Observe this is not prefix-free because  $C(a) = 0$  is a prefix of  $C(b) = 01$ . However, this code is suffix-free; no codeword is the suffix of another codeword.

We accept all alternative solutions. For example,  $C(a) = 1$ ,  $C(b) = 100$ ,  $C(c) = 101$ .