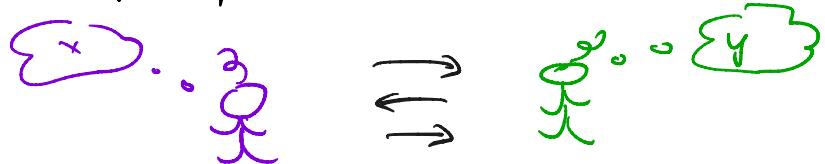


## Last Class

(Class Webpage: [www.cs.toronto.edu/~toni](http://www.cs.toronto.edu/~toni)  
 go to teaching, 1<sup>st</sup> link)

1. 2-party basic model (deterministic)



$$P^{CC}(f) = \min_{TII \text{ for } f} \max_{\substack{(x,y) \\ |x|=|y|=n}} \# \text{ bits sent on input } (x,y)$$

2. Randomized CC : Public vs Private coin model

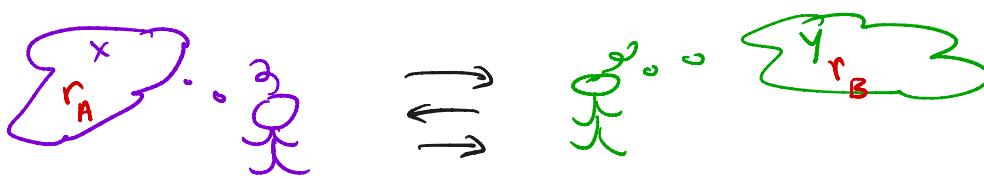
BPP<sup>CC</sup> : two-sided error

RP<sup>CC</sup> : one-sided error

ZPP<sup>CC</sup> : zero sided error.

$P^{CC}$  =  
 class of all  
 functions  
 $f: \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1\}$   
 s.t.  $P^{CC}(f) = (\log n)^{O(1)}$

Randomized CC  
(Private coin Model)



BPP<sup>cc</sup>

$\Pi$  computes  $f$  with error  $\epsilon$  if:  $\forall (x, y) \quad |x| = |y| = n$

$$\Pr_{r_A, r_B} [\Pi(x, r_A; y, r_B) = f(x, y)] \geq 1 - \epsilon$$

$$BPP_{\epsilon}^{cc}(f) = \min_{\Pi \text{ for } f} \max_{(x, y)} \left[ \# \text{ bits sent on } (x, y) \right]$$

RPC<sup>cc</sup>

$\Pi$  computes  $f$  with 1-sided error  $\epsilon$  if  $\forall (x, y)$

$$f(x, y) = 0 \Rightarrow \Pr_{r_A, r_B} [\Pi(x, r_A; y, r_B) = f(x, y)] = 1$$

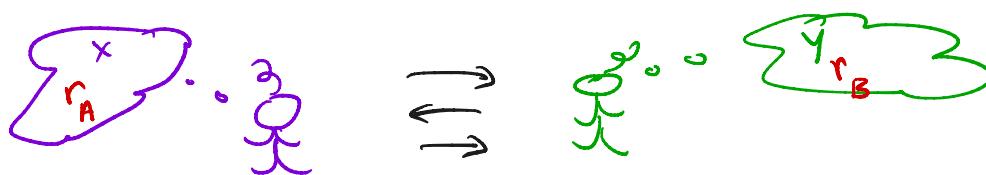
$$f(x, y) = 1 \Rightarrow \Pr_{r_A, r_B} [\Pi(x, r_A; y, r_B) = f(x, y)] \geq 1 - \epsilon$$

ZPP<sup>cc</sup>

error  $\epsilon = 0$ .

$$ZPP^{cc}(f) = \min_{\Pi \text{ for } f} \max_{(x, y)} \mathbb{E}_{r_A, r_B} \left[ \# \text{ bits sent on } (x, y) \right]$$

Randomized CC  
(Private coin Model)



BPP<sup>cc</sup>

$\Pi$  computes  $f$  with error  $\epsilon$  if:  $\forall (x, y) \quad |x|=|y|=n$

$$\Pr_{r_A, r_B} [\Pi(x, r_A; y, r_B) = f(x, y)] \geq 1 - \epsilon$$

Default  
 $\epsilon = \frac{1}{3}$

$$BPP_{\epsilon}^{cc}(f) = \min_{\Pi \text{ for } f} \max_{(x, y)} \left[ \# \text{ bits sent on } (x, y) \right]$$

RPC<sup>cc</sup>

$\Pi$  computes  $f$  with 1-sided error  $\epsilon$  if  $\forall (x, y)$

$$f(x, y) = 1 \Rightarrow \Pr_{r_A, r_B} [\Pi(x, r_A; y, r_B) = f(x, y)] = 1$$

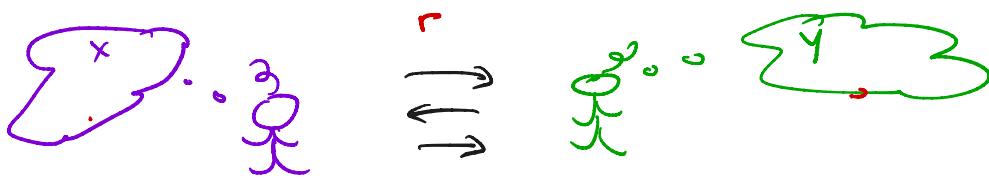
$$f(x, y) = 0 \Rightarrow \Pr_{r_A, r_B} [\Pi(x, r_A; y, r_B) = f(x, y)] \geq 1 - \epsilon$$

ZPP<sup>cc</sup>

error  $\epsilon = 0$ .

$$ZPP_{\epsilon}^{cc}(f) = \min_{\Pi \text{ for } f} \max_{(x, y)} \mathbb{E}_{r_A, r_B} \left[ \# \text{ bits sent on } (x, y) \right]$$

Randomized CC  
(Public coin Model)



BPP<sup>cc</sup>

$\Pi$  computes  $f$  with error  $\epsilon$  if:  $\forall (x, y) \quad |x|=|y|=n$

$$\Pr_{r_A, r_B} [\Pi(x, y, r) = f(x, y)] \geq 1 - \epsilon$$

Default  
 $\epsilon = \frac{1}{3}$

$$BPP_{\epsilon}^{cc}(f) = \min_{\Pi \text{ for } f} \max_{(x, y)} [\# \text{ bits sent on } (x, y)]$$

RPC<sup>cc</sup>

$\Pi$  computes  $f$  with 1-sided error  $\epsilon$  if  $\forall (x, y)$

$$f(x, y) = 1 \Rightarrow \Pr_r [\Pi(x, y, r) = f(x, y)] = 1$$

$$f(x, y) = 0 \Rightarrow \Pr_r [\Pi(x, y, r) = f(x, y)] \geq 1 - \epsilon$$

ZPP<sup>cc</sup>

error  $\epsilon = 0$ .

$$ZPP_{\epsilon}^{cc}(f) = \min_{\Pi \text{ for } f} \max_{(x, y)} \mathbb{E}_r [\# \text{ bits sent on } (x, y)]$$

Recall)  $\text{EQ}(x, y) = 1$  iff  $x = y$

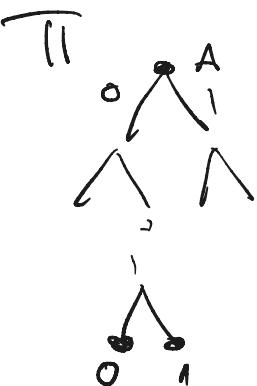
$$M_{\text{EQ}} = \begin{bmatrix} 1 & & & \\ & \ddots & & \\ & & 1 & \\ & & & \ddots & \ddots & \ddots \\ & & & & \ddots & & \ddots \\ & & & & & \ddots & & 0 \\ & & & & & & \ddots & \\ & & & & & & & 0 \end{bmatrix} = 2^n \times 2^n \text{ Identity matrix}$$

For any deterministic protocol  $\Pi$  for  $\text{EQ}$ ,

- No  $2^k$  inputs can end up at the same leaf of protocol tree.

therefore # leaves  $\geq 2^n$

$\therefore \text{cost} \geq \Omega(n)$



So EQ is maximally hard for det. protocols

But easy for randomized RP<sup>cc</sup> protocols (public coin)

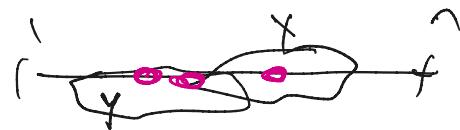
$$|r|=n$$

Alice

x

Bob

y



view r as a subset of [n].

Alice computes  $\sum_{i=1}^n r_i x_i \bmod 2 = b_A$  send to bob.

Bob "  $\sum_{i=1}^n r_i y_i \bmod 2 = b_B$

Bob ~~not~~ announces answer  $b_A \oplus_2 b_B$

## Last Class

1. 2-party basic model (deterministic)



$$P^{cc}(f) = \min_{\Pi \text{ for } f} \max_{\substack{(x,y) \\ |x|=|y|=n}} \# \text{ bits sent on input } (x,y)$$

2. Randomized CC : Public vs Private coin model

BPP<sup>cc</sup> : two-sided error

RP<sup>cc</sup> : one-sided error

ZPP<sup>cc</sup> : zero sided error.

3. Nondet CC / nondet CC

Nondeterministic CC      shared random string  $r$

$\Pi$  computes  $f$  on  $"^n(x,y)$ ,  $|x|=|y|=n$ , nondeterministically if

$$f(x,y)=1 \Rightarrow \exists r \quad \Pi(x,y,r) = 1$$

$$f(x,y)=0 \Rightarrow \forall r \quad \Pi(x,y,r) = 0$$

Comm complexity of  $\Pi$ :  $\max_{(x,y),r} [\# \text{bits sent on } (x,y) + |r|]$

$$Np^{cc}(f) = \min_{\substack{\text{$\Pi$ nondet} \\ \text{protocol for $f$}}} \max_{(x,y),r} [\# \text{bits sent on } (x,y) + |r|]$$

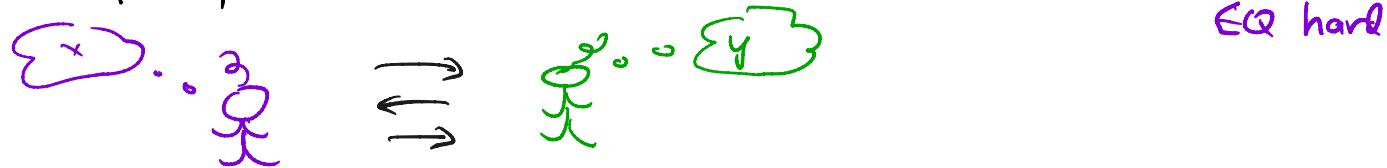
Important few easy for  $Np^{cc}$ , hard  $P^{cc}$  for  $BPP^{cc}$

$$\text{is } \text{DisJ}(x,y) = 1 \text{ iff } \exists i \quad x_i = y_i = 1$$

view  $r$  as word  $i \in \{n\}$        $|r| = \log n$

## Last Class

1. 2-party basic model (deterministic)



$$P^{cc}(f) = \min_{\Pi \text{ for } f} \max_{\substack{(x,y) \\ |x|=|y|=n}} \# \text{ bits sent on input } (x,y)$$

2. Randomized CC : Public vs Private coin model

EQ easy

DISJ hard

BPP<sup>cc</sup> : two-sided error

RP<sup>cc</sup> : one-sided error

ZPP<sup>cc</sup> : zero sided error.

3. Nondet cc / conondet cc

$$\rightarrow IP(x,y) \triangleq \sum_i x_i y_i \bmod 2$$

DISJ easy nondet

IP hard

Clique/Co-clique easy

## Clique/Coclique function

Fixed graph  $G$  on  $n$  vertices

Alice: given  $x \subseteq [n]$  s.t.  $G$  has a clique on  $x$

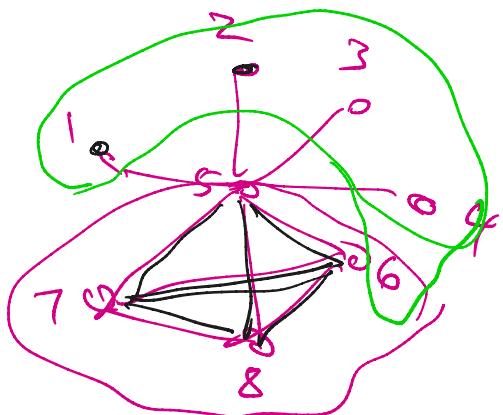
Bob: give  $y \subseteq [n]$  s.t.  $G$  has indep set on  $y$

ex  $x = 0000111$

$y = 11110100$

Output 1 iff  $x \cap y$

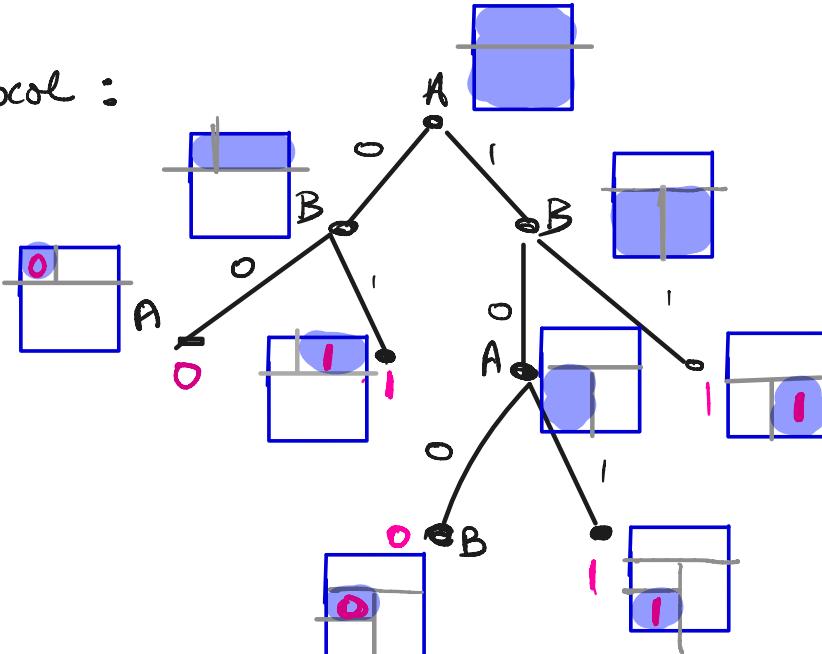
$G_x$  is a clique  
 $n=8$



## Last Class cont'd

$f$ ,  $M_f$  = cc matrix for  $f$

$P^{CC}$  protocol :



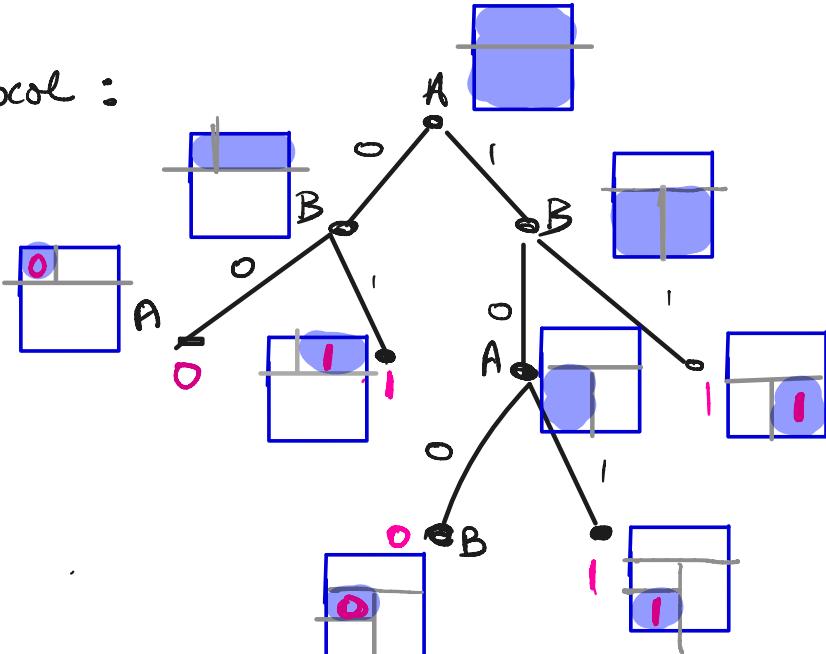
## Last Class cont'd

$f$ ,  $M_f$  = cc matrix for  $f$

$M_f$

0	0	1	0	1
0	0	1	0	0
0	0	0	1	1
1	1	1	1	1
1	1	1	1	1

P<sup>cc</sup> protocol :



## TODAY

✓ ① Protocols can be balanced

✓ ② Error  $\epsilon$  can be amplified with little cost

✓ ③ Can assume  $\text{irl} \in O(\log n)$  for randomized protocols ] Newman's Thm

∴ Public coin & private coin randomized comm.  
nearly the same

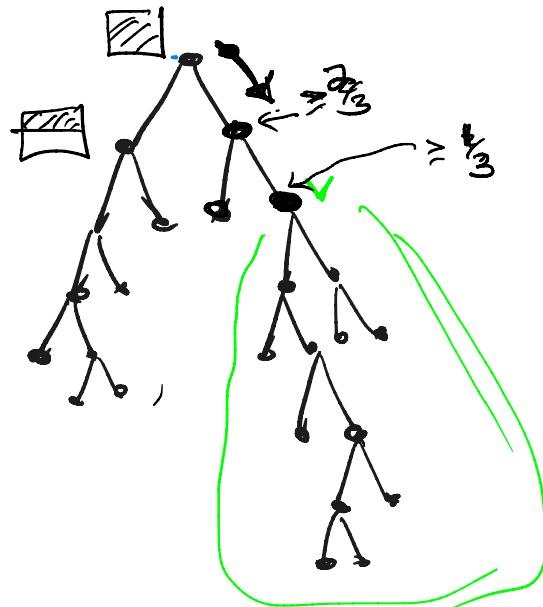
④  $P^{cc}(f) = NP^{cc}(f) \cdot \text{comp}^{cc}(f)$  [Yannakakis] postpone

⑤ Log Rank conjecture (time permitting) ]

## BALANCING PROTOCOLS

**Theorem** If  $f$  has a deterministic protocol  $\Pi$  with  $l$  leaves, then  $f$  has a det protocol of height/cost  $\alpha(\log l)$

**$\frac{1}{3}-\frac{2}{3}$  Lemma** Any binary tree  $T$  with  $l \geq 1$  leaves contains a vertex  $v$  st  $T_v$  has between  $\frac{l}{3}$  and  $\frac{2l}{3}$  leaves



## BALANCING PROTOCOLS

**Theorem** If  $f$  has a deterministic protocol  $\Pi$  with  $l$  leaves, then  $f$  has a det protocol of height/cost  $O(\log l)$

**$\frac{1}{3}-\frac{2}{3}$  Lemma** Any binary tree  $T$  with  $l \geq 1$  leaves contains a vertex  $v$  s.t.  $T_v$  has between  $\frac{l}{3}$  and  $\frac{2l}{3}$  leaves

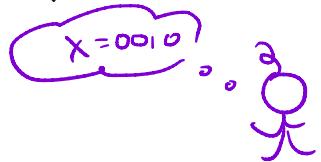
given  $\Pi$ , with  $l$  leaves:

1. Players (no communication) find  $\frac{1}{3}-\frac{2}{3}$  vertex  $v$
2. Alice sends one bit - 1 iff  $x \in R_v$   
Bob " " " - 1 iff  $y \in R_v$
3. If Alice + Bob both send 1 then recurse on  $T_v$   
Now delete  $T_v$  from  $T$  + recurse on  $T - T_v$

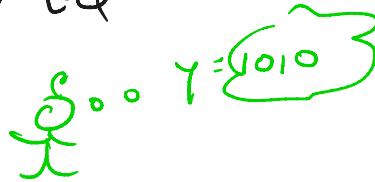
at each round #leaves in current tree shrinks by at least  $\frac{2}{3}$  factor  
so #bits  $\leq 2 \cdot \log_{\frac{2}{3}} l = O(\log l)$

## Newman's theorem & Application to Public/Private Randomness

Warmup: Public coin protocol for EQ



$r = 0111$



Alice: computes  $a = \sum_{i=1}^n x_i r_i \bmod 2$  + sends  $a$  to Bob

Bob: computes  $b = \sum_{i=1}^n y_i r_i \bmod 2$

Output 1 iff  $a = b \bmod 2$

Claim ① If  $x = y$  protocol always outputs correct answer

② If  $x \neq y$  with prob  $\frac{1}{2}$   $T(x, y, r) = 1$  (is incorrect)

repeat  $c$  times: error on 0-inputs is  $\frac{1}{2^c}$

error on 1-inputs is 0

## Lemma (Newman)

Let  $\Pi$  be a ~~public~~ coin protocol for  $f$  with error  $\epsilon$ .

$\forall \delta > 0$  there is another protocol  $\Pi'$  such that:

- ①  $CC(\Pi) = CC(\Pi')$
- ② error of  $\Pi'$  is  $\leq \epsilon + \delta$
- ③  $\Pi'$  uses  $O(\log n + \log \frac{1}{\delta})$  random bits

Given the above Lemma, we can convert a public coin protocol  $\Pi$  for  $f$  (error  $\epsilon$ ) to a private coin protocol for  $f$  (error  $\epsilon + \delta$ ), with cost =  $CC(\Pi) + O(\log n + \log \frac{1}{\delta})$ .



To simulate public coin protocol by private coin one

Alice sends ~~R\_A~~ to 1<sup>st</sup>  $K$  bits of  $R_A$  to Bob. Then they both use this as public random string

Proof of Lemma ( $|x|=|y|=n$ )

Idea:  $\text{V}(x, y)$  only an  $\epsilon$  fraction of r's are bad

so there exists a small number of r's  
s.t.  $\text{V}(x, y)$   $\Pi$  makes  $< \epsilon + s$  mistakes  
on these r's

$$\text{Let } Z(x, y, r) = \begin{cases} 1 & \text{if } \Pi(x, y, r) \neq f(x, y) \\ 0 & \text{ow} \end{cases}$$

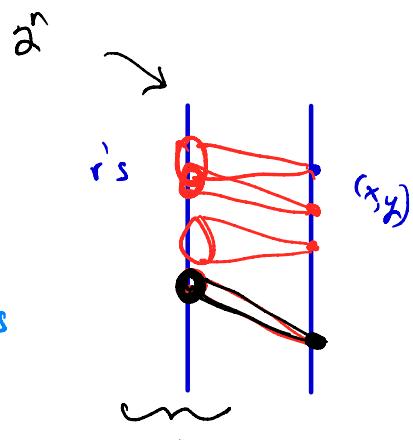
$\forall x, y \quad \mathbb{E}_r [Z(x, y, r)] \leq \epsilon$  since  $\Pi$  has error  $\epsilon$

Let  $r_1, \dots, r_t$  be random strings,  $t = O(\frac{n}{\epsilon^2})$

Define  $\Pi_{r_1, \dots, r_t}(x, y)$ : Alice & Bob choose  $i \in [t]$  at random  
and run  $\Pi(x, y, r_i)$

Claim  $\exists r_1, \dots, r_t$  s.t.  $\mathbb{E}_i [Z(x, y, r_i)] \leq \epsilon + s \quad \forall x, y$

For this choice of  $r_1, \dots, r_t$ ,  $\Pi_{r_1, \dots, r_t}(x, y)$  will be  $\underline{\Pi'}$



say  $R = \{r_1, \dots, r_t\}$  of  
good r's

$\Pi'$ :  ~~$\Pi(r)$~~   $= \log t$

pick random  $r_i \in R$   
run  $\Pi(x, y, r_i)$

## Proof of Lemma, cont'd

Chernoff Bound:  $X_1, \dots, X_N$  iid rv's in  $\{0,1\}$ ,  $\mathbb{E}[X_i] = \varepsilon$ ,  $s > 0$

Then  $\Pr\left[\frac{1}{N} \sum_{i=1}^N X_i > \varepsilon + s\right] \leq 2 \cdot e^{-2s^2 N}$

Fix  $(x, y)$ . Pick  $r_1, \dots, r_t$  at random

$$\Pr_{r_1, \dots, r_t} \left[ \mathbb{E}_i[Z(x, y, r_i)] > \varepsilon + s \right] = \Pr_{r_1, \dots, r_t} \left[ \frac{1}{t} \sum_{i=1}^t Z(x, y, r_i) > \varepsilon + s \right]$$

- By Chernoff:  $\Pr_{r_1, \dots, r_t} \left[ \frac{1}{t} \sum_{i=1}^t Z(x, y, r_i) > \varepsilon + s \right] \leq 2e^{-2s^2 t} < 2^{-2n}$  (for  $t = O(n^c)$ )
- By union Bd,  $\exists r_1, \dots, r_t$  s.t.  $\forall (x, y)$  the error of  $\Pi_{r_1, \dots, r_t}(x, y)$  is  $\leq \varepsilon + s$

## Proof of Lemma, cont'd

Idea:  $\forall (x, y)$  an  $\epsilon$ -fraction of  $r$ 's are bad

Fix  $r_1, \dots, r_t, x, y$

- $E_i [Z(x, y, r_i)] = \frac{1}{t} \sum_{i=1}^t Z(x, y, r_i)$

So  $\Pr [E_i (z, y, r_i) > \epsilon + \delta]$  equals the prob. that

$$\frac{1}{t} \sum_{i=1}^t Z(x, y, r_i) > \epsilon + \delta$$

- By Chernoff:  $\Pr_{r_1, \dots, r_t} \left[ \frac{1}{t} \sum_{i=1}^t Z(x, y, r_i) - \epsilon > \delta \right] \leq 2e^{-2\delta^2 t} < 2^{-2n}$  (for  $t = O(\frac{n}{\delta^2})$ )

- By union Bd,  $\exists r_1, \dots, r_t$  s.t.  $\forall (x, y)$  the error of  $\Pi_{r_1, \dots, r_t}(x, y)$  is  $\leq \epsilon + \delta$

# bits used by  $\Pi^1$ :  $\log t \approx \log (\frac{n}{\delta^2}) = O(\log n + \log \frac{1}{\delta})$



## Log Rank Lower Bounds Deterministic CC

Recall from last time we said  $P^{CC}(EQ) = n$ ,

since  $M_{EQ} = \begin{bmatrix} 1 & & \\ & \ddots & 0 \\ 0 & & 1 \end{bmatrix}$

rank is  $2^n$

So no  $\geq 1$  inputs can end up at same leaf of protocol tree

$$\therefore \# \text{leaves} \geq 2^n \text{ so } CC \geq \Omega(n)$$

## Log Rank Lower Bounds Det. CC

Lemma  $\nabla f$   $P^{CC}(f) \geq \log_2 \text{rank}(\cap M_f)$  rank is over Reals

Pf Let  $L_1$  be the leaves of  $\Pi$  that output 1. ( $L = \text{all leaves}$ )

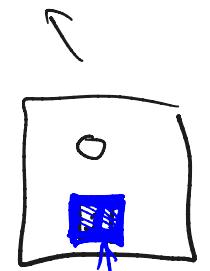
For each  $l \in L_1$ , we have associated 1-mono subrectangle  $M_l$

$$M_f = \sum_{l \in L_1} M_l$$

since Rank is subadditive

$$\text{Rank}(M_f) = \sum_{l \in L_1} \text{Rank}(M_l) = |L_1| \leq |L|$$

$$\therefore \text{log rank}(M_f) \leq P^{CC}(f)$$



say this  
1-mono subrect  
cursor with  
leaf  $l \in L_1$

## LOG RANK CONJECTURE

States that the converse holds

$$\text{LRC : } \forall f \quad \underbrace{P^{\text{cc}}(f)}_{=1} = (\log^{O(1)})^{\text{rank}(M_f)}$$

Best known :

$$P^{\text{cc}}(f) \leq \underbrace{\sqrt{\text{rank}(f)}}_{\approx \sqrt{n}} \underbrace{\log \text{rk}(f)}_{\approx \log n}$$

Lovett

$$\exists f \quad P^{\text{cc}}(f) \geq \log^{\frac{3}{2}} \text{rk}(f)$$