# Partition-Based Logical Reasoning for First-Order and Propositional Theories

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#### Abstract

In this paper we provide algorithms for reasoning with partitions of related logical axioms in propositional and first-order logic (FOL). We also provide a greedy algorithm that automatically decomposes a set of logical axioms into partitions. Our motivation is two-fold. First, we are concerned with how to reason effectively with multiple knowledge bases that have overlap in content. Second, we are concerned with improving the efficiency of reasoning over a set of logical axioms by partitioning the set with respect to some detectable structure, and reasoning over individual partitions.

Many of the reasoning procedures we present are based on the idea of passing messages between partitions. We present algorithms for reasoning using forward message-passing and using backward message-passing with partitions of logical axioms. Associated with each partition is a reasoning procedure. We characterize a class of reasoning procedures that ensures completeness and soundness of our message-passing algorithms. We also provide a specialized algorithm for propositional satisfiability checking with partitions. Craig's interpolation theorem serves as a key to proving soundness and completeness of these algorithms. An analysis of these algorithms emphasizes parameters of partitionings that influence the efficiency of computation. These parameters are the number of symbols shared by a pair of partitions, the size of each partition, and the topology of the partitioning. We provide a greedy algorithm that automatically decomposes a given theory into partitions, exploiting the parameters that influence the efficiency of computation.

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#### 1 Introduction

There is growing interest in building large knowledge bases (KBs) of everyday knowledge about the world, teamed with theorem provers or other reasoners to perform inference. Three such systems are Cycorp's Cyc, and the High Performance Knowledge Base (HPKB) systems developed by Stanford's Knowledge Systems Lab (KSL) (e.g., [Fikes and Farquhar, 1999]) and by SRI (e.g., [Cohen et al., 1998]). These KBs comprise tens/hundreds of thousands of logical axioms. One approach to dealing with the size and complexity of these KBs is to structure the content in some way, such as into multiple domain- or task-specific KBs, or into microtheories. In this paper, we investigate how to reason effectively with partitioned sets of logical axioms that have overlap in content, and that may even have different reasoning engines. More generally, we investigate the problem of how to exploit structure inherent in a set of logical axioms to induce a partitioning of the axioms that will improve the efficiency of reasoning.

To this end, we propose partition-based logical reasoning algorithms, for reasoning with logical theories that are decomposed into related partitions of axioms. We provide forward and backward message-passing algorithms, specialize them for resolution, and provide an algorithm for partition-based propositional satisfiability. Our message-passing algorithms are designed so that, without loss of generality, reasoning within a partition can be realized by an arbitrary consequence-finding engine [Lee, 1967]. We characterize a class of reasoning procedures that ensures completeness and soundness of our algorithms. We use Craig's interpolation theorem [Craig, 1957a] to prove the soundness and completeness of our algorithms for this class of procedures. It is also used to prove the soundness and completeness of our propositional satisfiability algorithm. We investigate the impact of these algorithms on resolution-based inference, and analyze the computational complexity for our partition-based SAT.

A critical aspect of partition-based logical reasoning is the selection of a good partitioning of the theory. The computational analysis of our partition-based reasoning algorithms suggests parameters of partitionings that influence the computation of our algorithms: the bandwidth of communication between partitions, the size of each partition, and the topology of the partitions graph. These parameters guide us to propose a greedy algorithm for decomposing logical theories into partitions, trying to optimize these parameters.

Surprisingly, there has been little work on the specific problem of exploiting structure in theorem proving in the manner we propose. This can largely

<sup>&</sup>lt;sup>3</sup> In this paper, every set of axioms is a *theory* (and vice versa). Also, unless stated otherwise, theories, axioms and KBs are in FOL.

be attributed to the fact that theorem proving has traditionally examined mathematics domains, that do not necessarily have structure that supports decomposition. Nevertheless, there are many areas of related work, which we discuss at the end of this paper.

The rest of the paper is organized as follows. Section 2 describes our message-passing algorithms and sufficient conditions for their soundness and completeness. In Section 3 we specialize these algorithms to theorem proving using resolution and discuss the efficiency of message-passing. Section 4 offers an algorithm for propositional satisfiability and analyzes its computational complexity. Section 5 presents an algorithm for decomposing a logical theory. Finally, Section 6 discusses some related work. Some of the results in this paper appeared previously in [Amir and McIlraith, 2000, McIlraith and Amir, 2001].

# 2 Partition-Based Theorem Proving

In this section we address the problem of how to reason with an already partitioned propositional or FOL theory using theorem proving. In particular, we propose forward and backwards message-passing algorithms, in the spirit of Pearl [Pearl, 1988]. We further identify conditions underwhich partition-specific theorem proving results in sound and complete partition-based logical reasoning.

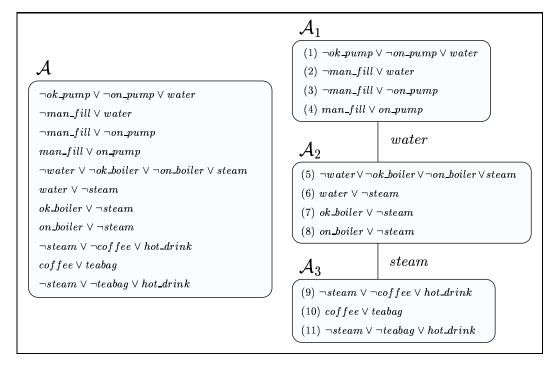


Fig. 1. A partitioning of  $\mathcal{A}$  and its intersection graph.

We define the following.  $\{A_i\}_{i\leq n}$  is a partitioning of a logical theory  $\mathcal{A}$  if  $\mathcal{A} = \bigcup_i \mathcal{A}_i$ . Each individual  $\mathcal{A}_i$  is called a partition,  $L(\mathcal{A}_i)$  is its signature (the set of non-logical symbols), and  $\mathcal{L}(\mathcal{A}_i)$  is its language (the set of formulae built with  $L(\mathcal{A}_i)$ ). Each partitioning defines a labeled graph G = (V, E, l), which we call the intersection graph. In the intersection graph, each node i represents an individual partition  $\mathcal{A}_i$ ,  $(V = \{1, ..., n\})$ , two nodes i, j are linked by an edge if  $L(\mathcal{A}_i)$  and  $L(\mathcal{A}_j)$  have a symbol in common  $(E = \{(i, j) \mid L(\mathcal{A}_i) \cap L(\mathcal{A}_j) \neq \emptyset\})$ , and the edges are labeled with the set of symbols that the associated partitions share  $(l(i, j) = L(\mathcal{A}_i) \cap L(\mathcal{A}_j))$ . We refer to l(i, j) as the communication language between partitions  $\mathcal{A}_i$  and  $\mathcal{A}_j$ . We ensure that the intersection graph is connected by adding a minimal number of edges to E with empty labels,  $l(i, j) = \emptyset$ .

We illustrate the notion of a partitioning in terms of the simple propositional theory  $\mathcal{A}$ , depicted on the left of Figure 1 (this is the clausal form of the theory presented with material implication in Figure 2). These axioms capture the functioning of aspects of an espresso machine. The first four axioms denote that if the machine pump is OK and the pump is on, then the machine has a water supply. Alternately, the machine can be filled manually, but it is never the case that the machine is filled manually while the pump is on. The next four axioms denote that there is steam if and only if the boiler is OK and is on, and there is a supply of water. The final three axioms denote that there is always either coffee or tea, and that steam and coffee (or tea) result in a hot drink.

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ok\_pump \land on\_pump \Rightarrow water man\_fill \Rightarrow water man\_fill \Rightarrow \neg on\_pump \neg man\_fill \Rightarrow on\_pump water \land ok\_boiler \land on\_boiler \Rightarrow steam \neg ox\_boiler \Rightarrow \neg steam \neg ox\_boiler \Rightarrow \neg steam steam \land coffee \Rightarrow hot\_drink coffee \lor teabag steam \land teabag \Rightarrow hot\_drink
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Fig. 2. Axiomatization of a simplified espresso machine.

The right-hand side of Figure 1 depicts a decomposition of  $\mathcal{A}$  into three partitions  $\mathcal{A}_1$ ,  $\mathcal{A}_2$ ,  $\mathcal{A}_3$  and its intersection graph. The labels for the edges (1,2) and (2,3) are  $\{water\}$  and  $\{steam\}$ , respectively.

#### 2.1 Forward Message Passing

In this section, we propose a forward message-passing algorithm for reasoning with partitions of logical axioms. Figure 3 describes our forward message-passing algorithm, FORWARD-M-P (MP), for finding the truth value of query

formula  $Q \in \mathcal{L}(\mathcal{A}_k)$ ,  $k \leq n$ , given partitioned theory  $\mathcal{A}$  and graph G = (V, E, l). G may be the intersection graph of  $\mathcal{A}$ , but is not always so.

To determine the direction in which messages should be sent in the graph G, step (1) in MP computes a strict partial order over nodes in the graph using the partitioning together with a query, Q.

**Definition 2.1** ( $\prec$ ) Given partitioned theory  $\mathcal{A} = \bigcup_{i \leq n} \mathcal{A}_i$ , associated graph G = (V, E, l) and query  $Q \in \mathcal{L}(\mathcal{A}_k)$ , let dist(i, j)  $(i, j \in V)$  be the length of the shortest path between nodes i, j in G. Then  $i \prec j$  iff dist(i, k) < dist(j, k).

# PROCEDURE FORWARD-M-P (MP)( $\{A_i\}_{i \le n}, G, Q$ )

 $\{A_i\}_{i\leq n}$  a partitioning of the theory A, G=(V,E,l) a graph describing the connections between the partitions, Q a query in  $\mathcal{L}(A_k)$   $(k\leq n)$ .

- (1) Determine  $\prec$  as in Definition 2.1.
- (2) Concurrently,
  - (a) Perform consequence finding in each of the partitions  $A_i$ ,  $i \leq n$ .
  - (b) For every  $(i,j) \in E$  such that  $i \prec j$ , for every consequence  $\varphi$  of  $\mathcal{A}_j$  found (or  $\varphi$  in  $\mathcal{A}_j$ ), if  $\varphi \in \mathcal{L}(l(i,j))$ , then add  $\varphi$  to the set of axioms of  $\mathcal{A}_i$ .
  - (c) If Q is proven in  $A_k$  (we derive a subsuming formula or initially add  $\neg Q$  to  $A_k$  and derive inconsistency), return YES.

Fig. 3. A forward message-passing algorithm.

This algorithm exploits consequence finding (step (2a)) to perform reasoning in the individual partitions. Consequence finding was defined by Lee [Lee, 1967] to be the problem of finding all the logical consequences of a theory or sentences that subsume them. Recall, in clausal FOL,  $\varphi$  subsumes  $\psi$  if there is a substitution  $\theta$  such that  $\varphi\theta \subset \psi$ .

Theorem 2.4 proves the soundness and completeness of our MP algorithm. It requires each of the reasoners in step (2) to be sound and complete.

**Definition 2.2 (Completeness for Consequence Finding)** Given a set of formulae  $\mathcal{A}$  and a reasoning procedure  $\mathfrak{R}$ ,  $\mathfrak{R}$  is complete for consequence finding iff for every clause  $\varphi$ , that is a non-tautologous logical consequence of  $\mathcal{A}$ ,  $\mathfrak{R}$  derives a clause  $\psi$  from  $\mathcal{A}$  such that  $\psi$  subsumes  $\varphi$ .

Furthermore, we say that  $\mathfrak{R}$  is complete for consequence finding in FOL (as opposed to clausal FOL) iff for every non-tautologous logical consequence  $\varphi$  of  $\mathcal{A}$ ,  $\mathfrak{R}$  derives a logical consequence  $\psi$  of  $\mathcal{A}$  such that  $\psi \models \varphi$  and  $\psi \in \mathcal{L}(\varphi)$ .

In Section 3.1 we show that every reasoning procedure that is complete for consequence finding in clausal FOL can be converted to a reasoning procedure

that is complete for consequence finding in FOL. In propositional logic the two conditions are identical.

Consequently, we can use any sound and complete consequence-finding algorithm for reasoning within an individual partition in MP. The resolution rule is complete for clausal consequence finding (e.g., [Lee, 1967,Slagle, 1970]) and the same is true for several linear resolution variants such as those described in [Minicozzi and Reiter, 1972,Inoue, 1992]. A weaker version of completeness for consequence finding is also true for semantic resolution [Slagle et al., 1969] and set-of-support resolution.

We discuss the case of using resolution further in Section 3. In addition, there are reasoning methods that focus on a given sub-language as discussed in [Finger and Genesereth, 1985,Bossu and Siegel, 1985,Inoue, 1992], and more recently in [Dechter and Rish, 1994,del Val, 1999,Lin, 2000,Kohlas et al., 1999], and in [Marquis, 2000]. An example of such restricted consequence finders is a prime implicate generator over a sublanguage. (Recall, a clause,  $\varphi$ , is a prime implicate of a theory T if  $T \models \varphi$  and no formula that subsumes  $\varphi$  is entailed from T.) Such consequence finders are commonly used for prime implicate generation in applications such as diagnosis and abduction [McIlraith, 1998]. Consequence finders that focus on a sublanguage can be directly used in MP for reasoning within partitions. Alternately, they can be used in a batch mode to generate select consequences in the sublanguage and that are sent as messages in batch. Figure 4 illustrates an execution of MP using resolution.

Using FORWARD-M-P to prove hot_drink			
Partition	Resolve	Generating	
$\mathcal{A}_1$	(2) , $(4)$	$on\_pump \lor water$	(m1)
${\cal A}_1$	(m1),(1)	$\neg ok\_pump \lor water$	(m2)
${\cal A}_1$	(m2),(12)	water	(m3)
	$\Longrightarrow$	clause $water$ passed from $A_1$ to $A_2$	
$\mathcal{A}_2$	$(\mathrm{m}3),(5)$	$ok\_boiler \land on\_boiler \supset steam$	(m4)
$\mathcal{A}_2$	$(\mathrm{m4}),(13)$	$\neg on\_boiler \lor steam$	(m5)
$\mathcal{A}_2$	(m5), (14)	steam	(m6)
	$\Longrightarrow$	clause $steam$ passed from $A_2$ to $A_3$	
$\mathcal{A}_3$	(9) , $(10)$	$\neg steam \lor teabag \lor hot\_drink$	(m7)
$\mathcal{A}_3$	(m7), (11)	$\neg steam \lor hot\_drink$	(m8)
$\mathcal{A}_3$	$(\mathrm{m}8),(m6)$	$hot\_drink$	(m9)

Fig. 4. A proof of  $hot\_drink$  from  $\mathcal{A}$  in Figure 1 after asserting  $ok\_pump$  (12) in  $\mathcal{A}_1$  and  $ok\_boiler$  (13),  $on\_boiler$  (14) in  $\mathcal{A}_2$ .

Given a partitioning whose intersection graph forms an *undirected tree*, our MP algorithm is a sound and complete proof procedure. The completeness relies on Craig's Interpolation Theorem.

**Theorem 2.3 (Craig's Interpolation Theorem [Craig, 1957a])** If  $\alpha \vdash \beta$ , then there is a formula  $\gamma$  involving only symbols common to both  $\alpha$  and  $\beta$ , such that  $\alpha \vdash \gamma$  and  $\gamma \vdash \beta$ .

Craig's interpolation theorem is true even if we take  $\alpha$ ,  $\beta$  to be infinite sets of sentences [Slagle, 1970] and use resolution theorem proving [Slagle, 1970], [Huang, 1995] with or without equality [Craig, 1957a, Craig, 1957b] (all after proper reformulation of the theorem).

**Theorem 2.4 (Soundness and Completeness)** Let  $A = \bigcup_{i \leq n} A_i$  be a partitioned theory with the intersection graph G being a tree (i.e., no cycles). Let  $k \leq n$  and  $\varphi$  a sentence in  $\mathcal{L}(A_k)$ . If the reasoning procedure in each partition is sound and complete for consequence finding (as defined in Definition 2.2), then  $A \models \varphi$  iff MP outputs YES.

# PROOF See Appendix A.1.

Note that Theorem 2.4 requires the intersection graph of  $\mathcal{A}$  to be a tree. If the intersection graph of  $\mathcal{A}$  is not a tree, and MP uses it as input, then MP may fail to be a complete proof procedure. Figure 5 illustrates the problem. The left-hand side of Figure 5 illustrates the intersection graph of partitioning  $\mathcal{A}_1, \mathcal{A}_2, \mathcal{A}_3, \mathcal{A}_4$  of a theory  $\mathcal{A}$ . If we try to prove s (which follows from  $\mathcal{A}$ ) from this partitioning and graph using MP, nothing will be transmitted between the partitions. For example, we cannot send  $p \Rightarrow s$  from  $\mathcal{A}_2$  to  $\mathcal{A}_4$  because the graph only allows transmission of sentences containing s.

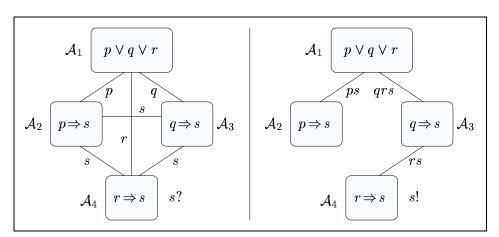


Fig. 5. An intersection graph before (left) and after (right) applying BREAK-CYCLES.

Thus, using MP with the left-hand side graph will fail to prove s. In such a case, we can first syntactically transform the intersection graph into a tree with enlarged labels, (i.e., an enlarged communication language) and apply MP to the resultant tree. In particular, we would like the resultant tree to have a proper labeling for the given partitioning.

**Definition 2.5 (Proper Labeling)** For a partitioning  $\mathcal{A} = \bigcup_{i \leq n} \mathcal{A}_i$ , we say that a tree G = (V, E, l) has a proper labeling, if for all  $(i, j) \in E$  and  $\mathcal{B}_1, \mathcal{B}_2$ , the two subtheories of  $\mathcal{A}$  on the two sides of the edge (i, j) in G, it is true that  $l(i, j) \supseteq L(\mathcal{B}_1) \cap L(\mathcal{B}_2)$ .

This property is similar to the running intersection property required of join trees for inference in Bayes networks [Darwiche, 1996,Becker and Geiger, 1996], [Shoikhet and Geiger, 1997] and constraint satisfaction problems (CSPs) such as [Dechter and Pearl, 1989,Gottlob et al., 1999]. The following lemma provides the main argument behind most of the completeness proofs in this paper.

**Lemma 2.6** Let  $A = \bigcup_{i \leq n} A_i$  be a partitioned theory and assume that the graph G is a tree that has a proper labeling for the partitioning  $\{A_i\}_{i \leq n}$ . Also assume that each of the reasoning procedures used in MP is complete for consequence finding (as defined in Definition 2.2). Let  $k \leq n$  and let  $Q \in \mathcal{L}(A_k \cup \bigcup_{(k,i) \in E} l(k,i))$  be a sentence. If  $A \models Q$ , then MP outputs YES.

Proof See Appendix A.1.

Algorithm BREAK-CYCLES, shown in Figure 6, performs a transformation that produces a tree with a proper labeling from any labeled graph. (|X| denotes the cardinality of a set X.)

#### PROCEDURE BREAK-CYCLES(G = (V, E, l))

- (1) Find a minimal-length cycle of nodes  $v_1, ..., v_c$  ( $v_1 = v_c$ ) in G. If there are no cycles, return G.
- (2) Select index a s.t. a < c and  $\sum_{a \neq j < c} |l(v_j, v_{j+1}) \cup l(v_a, v_{a+1})|$  is minimal (the label of  $(v_a, v_{a+1})$  adds a minimal number of symbols to the rest of the cycle).
- (3) For all  $j < c, j \neq a$ , set  $l(v_j, v_{j+1}) \leftarrow l(v_j, v_{j+1}) \cup l(v_a, v_{a+1})$ .
- (4) Set  $E \leftarrow E \setminus \{(v_a, v_{a+1})\}, \ l(v_a, v_{a+1}) \leftarrow \emptyset$  and go to (1).

Fig. 6. An algorithm to transform an intersection graph G into a tree.

Using BREAK-CYCLES, we can transform the graph depicted on the left-hand side of Figure 5, into the tree on its right. First, we identify the minimal cycle  $\langle (1,3), (3,4), (4,1) \rangle$ , remove (4,1) from E and add r to the labels of (1,3), (3,4). Then, we find the minimal cycle  $\langle (2,3), (3,4), (4,2) \rangle$  and remove (2,3) from E (s already appears in the labels of (4,2), (3,4)). Finally, we identify the minimal cycle  $\langle (1,3), (3,4), (4,2), (2,1) \rangle$ , remove (4,2) and add s to the rest of the cycle. The proof of s by MP now follows by sending  $p \Rightarrow s$  from  $A_2$  to  $A_1$ , sending  $q \lor r \lor s$  from  $A_1$  to  $A_3$ , sending  $r \lor s$  from  $A_3$  to  $A_4$  and concluding s in  $A_4$ .

Notice that when executing BREAK-CYCLES, we may remove an edge that participates in more than one minimal cycle (as is the case when removing the edge (4,1)), but its removal influences the labels of only one cycle.

**Theorem 2.7 (Soundness and Completeness)** Let  $A = \bigcup_{i \leq n} A_i$  be a partitioned theory with intersection graph G. Let  $k \leq n$  and  $\varphi$  a sentence in  $\mathcal{L}(A_k)$ . If the reasoning procedure in each partition is sound and complete for consequence finding (as defined in Definition 2.2), then  $A \models \varphi$  iff applying BREAK-CYCLES and then MP outputs YES.

Proof See Appendix A.2.

BREAK-CYCLES is a greedy algorithm that has a worst-case complexity of  $O(|E|^2 * m)$  (where m is the number of symbols in L(A)). The rationale is roughly as follows: There are at most |E| cycles that can be *broken*, step (1) takes O(|E|) time, step (3) takes O(|E| \* m) time, and step (2) can be implemented to take O(|E| \* m) time using dynamic programming.

Other algorithms that we may use in this context are variants on the *cutset* method for reasoning with graphs [Becker and Geiger, 1994,Becker et al., 2000]. Darwiche [Darwiche, 1996] used an algorithm that is similar to BREAK-CYCLES for the problem of creating a join tree. Our algorithm differs from Darwiche's in treating an already formed partition and creating the tree in a greedy way (Darwiche's method randomly selects a tree).

### 2.2 Backward Message Passing

Our MP algorithm uses the query Q to induce an ordering on the partitions, which in turn may guide selective consequence finding for reasoning forward. Many theorem proving strategies exploit the query more aggressively by reasoning backwards from the query. Such strategies have proven effective for a variety of reasoning problems, such as planning. Indeed, many theorem provers (e.g., PTTP [Stickel, 1992]) are built as backward reasoners and must have a query or goal in order to run.

One way to use MP for an analogous backward message-passing scheme is to assert  $\neg Q$  in  $\mathcal{A}_k$ , choose a partition  $\mathcal{A}_j$  that is most distant from  $\mathcal{A}_k$  in G (where the distance between 2 nodes in graph G is the number of nodes comprising the shortest path between the two nodes), and try to prove  $\{\}$  in  $\mathcal{A}_j$  using MP. If we wish to follow the spirit of backward-reasoning more closely, we can transform G into a chain in a similar way to our transformation of G into a tree using BREAK-CYCLES. The resultant chain graph may then be used for query-driven backward message passing, from  $\mathcal{A}_k$ . We present such an algorithm, called BACKWARD-M-P (BMP), in Figure 7. BMP takes as

input a partitioned theory A, a graph  $G_0$ , and a query, Q, and returns YES if it can prove Q.

# PROCEDURE BACKWARD-M-P(BMP)( $\{A_i\}_{i < n}, G_0, Q$ )

 $\{A_i\}_{i\leq n}$  a partitioned theory,  $G_0=(V,E,l)$  a graph, Q a query in  $\mathcal{L}(A_k)$   $(k\leq n)$ .

- (1)  $G \leftarrow \text{CHAINIFY}(G_0, k)$ . Let  $i \prec j$  iff dist(i, k) < dist(j, k) (dist(i, k) is the number of nodes in G separating nodes i, k).
- (2) For all  $i \leq n$ ,  $i \neq k$  set  $goal_i \leftarrow FALSE$  (the goal of  $A_i$  is proving FALSE). Set  $goal_k \leftarrow Q$ .
- (3) Concurrently,
  - (a) For each partition  $A_i$ ,  $i \leq n$ , attempt to prove  $goal_i$ .
  - (b) For every  $(i, j) \in E$  such that  $i \prec j$ , if we generate a subgoal  $\varphi$  in  $\mathcal{A}_j$  and  $\varphi \in \mathcal{L}(l(i, j))$ , then set  $goal_i \leftarrow goal_i \vee \varphi$ .
  - (c) If  $goal_i$  is proved in any  $A_i$ , return YES.

Fig. 7. A backward message-passing algorithm.

Procedure CHAINIFY is outlined in Figure 8. It accepts a labeled graph and returns a transformation of the graph into a chain (changing the labels appropriately). Alternately, we can create a chain directly from the partitions and a total order over them. CHAINIFY ensures that the resulting graph has a proper labeling. BMP is sound and complete if the reasoning procedure used in every partition is complete for consequence finding.

Theorem 2.8 (Soundness and Completeness) Let  $A = \bigcup_{i \leq n} A_i$  be a partitioned theory. Let  $k \leq n$  and  $\varphi \in \mathcal{L}(A_k)$  a sentence. If the reasoning procedure used in each partition is sound and complete for consequence finding, then  $A \models \varphi$  iff applying BMP outputs YES.

Proof See Appendix A.3.

Algorithm BMP is presented for the case of *subgoal-disjunctive* systems, i.e., a proof of any subgoal yields a proof of the entire query. This is the case with resolution and its variants. The intuition behind the algorithm is that when a partition is supplied a subgoal sentence  $\varphi$  from another partition,  $\varphi$  is added to (OR-ed with) to the partition's goal.

We make G a chain because otherwise subgoals may have to split between partitions. Splitting subgoals requires accounting for different preconditions (as

a In resolution every generated clause can be considered the negation of a subgoal.

 $<sup>\</sup>bar{\mathbf{b}}$  In resolution refutation the goal is negated, so this step essentially adds  $\neg \varphi$  to the axioms of  $\mathcal{A}_i$ .

#### PROCEDURE CHAINIFY (G, k)

G = (V, E, l) a graph describing connections between partitions,  $k \leq |V|$ .

- (1) Let dist(i,j)  $(i,j \in V)$  be the length of the shortest path between i,j in G. Let  $i \prec_0 j$  iff dist(i, k) < dist(j, k) ( $\prec_0$  is a strict partial order).
- (2) Impose a total order  $\prec$  on V that agree with  $\prec_0$  (i.e.,  $i \prec_0 j \Rightarrow i \prec j$ ).
- (3) Let  $\{v_a\}_{a \le n} = V$  such that  $v_1 = k, \forall a \le n \ v_a \prec v_{a+1}$ .
- (4) Let  $E' = \{(v_a, v_{a+1})\}_{i < n}$ . (5) Set  $l'(i, j) \leftarrow \emptyset$  for all  $i, j \in V$ .
- (6) For all  $(i,j) \in E$ , for all a < n, if  $i \leq v_a \prec j$  (i.e.,  $v_a$  is between i and j), then set  $l'(v_a, v_{a+1}) \leftarrow l'(v_a, v_{a+1}) \cup l(i, j)$ .
- (7) Return G' = (V, E', l').

Fig. 8. A procedure that transforms a graph G into a chain G'.

in natural deduction), which we wish to avoid here, for simplicity of inference.

#### 2.3 Queries Drawn from Multiple Partitions

MP and its variants require that query Q be in the language of a single partition,  $\mathcal{L}(\mathcal{A}_k)$ , for some  $k \leq n$ . One way to answer a query Q that comprises symbols drawn from multiple partitions is to add a new partition  $\mathcal{A}_Q$ , with language  $\mathcal{L}(\mathcal{A}_Q) = \mathcal{L}(Q)$ , the language of the query.  $\mathcal{A}_Q$  may contain  $\neg Q$  or no axioms. Following addition of this new partition, BREAK-CYCLES must be run on the new intersection graph to ensure a proper labeling of G for the partitioned theory (as discussed in Section 2.1). To prove Q in  $\mathcal{A}_Q$ , we run MP on the resulting graph.

Alternately, we can decompose the query into the appropriate partitions, following the methods of [Nelson and Oppen, 1979] or [Shostak, 1984]. Since the issue of decomposing a query is not simple, we describe only the simple case of a propositional query and leave the first-order case (with literals that contain symbols from multiple partitions) for future work.

Given a propositional query Q, we transform it into the form  $(Q_1^1 \vee ... \vee Q_{r_1}^1) \wedge ... \vee Q_{r_1}^1$ ... $\wedge (Q_1^l \vee ... Q_{r_i}^l)$ , where each  $Q_i^i$  is a formula in the language of a single partition  $\mathcal{L}(\mathcal{A}_{k_{ii}})$  ( $k_{ij}$  is the index of a partition that includes the vocabulary of  $Q_i^i$ ). For example, if Q is in CNF, it is already in this form. We check a disjunct  $Q_1^i \vee ... \vee Q_{r_i}^i$  by asserting  $\neg Q_j^i$  in  $\mathcal{A}_{k_{ij}}$  for all  $j \leq r_i$ , and proving FALSE in one of the partitions. To prove Q we check each of the disjunct in its transformed form. It is a valid consequence of  $\mathcal{A}$  iff all the disjuncts are valid consequences of  $\mathcal{A}$ . We discuss this special topic no further here, and assume Q is drawn from  $\mathcal{L}(\mathcal{A}_k)$ , for some  $k \leq n$ .

### 3 Resolution and Message-Passing

The previous section presented message-passing algorithms with an arbitrary sound and complete consequence finder. In this section, we specialize our message-passing algorithms with consequence finders that specifically employ resolution. We focus on the first-order case of resolution. We also analyze the effect message passing has on the computational efficiency of resolution-based inference.

The presentation in this section makes explicit reference to the forward message-passing algorithm, MP, but we wish to stress that the results in this section are equally applicable to other message-passing algorithms introduced in the previous section. For background material on resolution, the reader is referred to [Genesereth and Nilsson, 1987, Eisinger and Ohlbach, 1993] as well as to [Chang and Lee, 1973, Loveland, 1978].

#### 3.1 Resolution Message-Passing

Resolution [Robinson, 1965] is one of the most widely used reasoning methods for automated deduction, and more specifically for consequence finding. As noted in Section 2, the resolution rule is complete for clausal consequence finding. It requires the input formula to be in clausal form, i.e., a conjunction of disjunctions of unquantified literals. For general first-order formulae, a transformation to clausal form (e.g., [Lloyd and Topor, 1985]) includes Skolemization, which eliminates quantifiers and possibly introduces new constant symbols and new function symbols.

We present algorithm RESOLUTION-M-P (RES-MP), which uses resolution (or resolution strategies), in Figure 9. The rest of this section is devoted to explaining four different implementations for subroutine RES-SEND( $\varphi$ , j, i), used by this procedure to send appropriate messages across partitions: the first implementation is for clausal propositional theories; the second is for clausal FOL theories, with associated graph G, which is a properly labeled tree and whose labels include all the function and constant symbols of the language; the third is also for clausal FOL theories, but it uses unskolemization and subsequent Skolemization to generate the messages to be passed across partitions; the fourth is a refinement of the third for the same class of theories

that avoids unskolemization.

# PROCEDURE RESOLUTION-M-P(RES-MP)( $\{A_i\}_{i < n}, G, Q$ )

 $\{A_i\}_{i\leq n}$  a partitioned theory, G=(V,E,l) a graph, Q a query formula in the language of  $\mathcal{L}(A_k)$   $(k\leq n)$ .

- (1) Determine  $\prec$  as in Definition 2.1.
- (2) Add the clausal form of  $\neg Q$  to  $\mathcal{A}_k$ .
- (3) Concurrently,
  - (a) Perform resolution in each of the partitions  $A_i$ ,  $i \leq n$ .
  - (b) For every  $(i, j) \in E$  such that  $i \prec j$ , if partition  $\mathcal{A}_j$  includes the clause  $\varphi$  (as input or resolvent) and the predicates of  $\varphi$  are in  $\mathcal{L}(l(i, j))$ , then perform RES-SEND $(\varphi, j, i)$ .
  - (c) If Q is proven in  $A_k$ , return YES.

Fig. 9. A resolution forward message-passing algorithm.

In the propositional case, subroutine RES-SEND( $\varphi$ , j, i) (Implementation 1) simply adds  $\varphi$  to  $\mathcal{A}_i$ , as done in MP. MP is then sound and complete.

In the FOL case, implementing RES-SEND requires more care. To illustrate, consider the case where resolution generates the clause P(B,x) (B a constant symbol and x a variable). It also implicitly proves that  $\exists b\ P(b,x)$ . RES-MP may need to send  $\exists b\ P(b,x)$  from one partition to another, but it cannot send P(B,x) if B is not in the communication language between partitions (for ground theories there is no such problem (see [Slagle, 1970])). In the first-order case, completeness for consequence finding for a clausal first-order logic language (e.g., Lee's result for resolution) does not guarantee completeness for consequence finding for the corresponding full FOL language. This problem is also reflected in a slightly different statement of Craig's interpolation theorem [Craig, 1957a] that applies for resolution [Slagle, 1970].

A simple way of addressing this problem is to add all constant and function symbols to the communication language between every connected set of partitions. This has the advantage of preserving soundness and completeness, and is simple to implement. In this case, subroutine RES-SEND( $\varphi$ , j, i) (Implementation 2) simply adds  $\varphi$  to  $\mathcal{A}_i$ , as done in MP.

In large systems that consist of many partitions, the addition of so many constant and function symbols to each for the other partitions has the potential to be computationally inefficient, leading to many unnecessary and irrelevant deduction steps. Arguably, a more compelling way of addressing the problems associated with resolution for first-order theories is to infer the existential formula  $\exists b \ P(b,x)$  from P(B,x), send this formula to the proper partition and Skolemize it there. For example, if  $\varphi = P(f(g(B)), x)$  is the clause that

RES-SEND gets, replacing it with  $\exists b \ P(b, x)$  eliminates unnecessary work of the receiving partition.

The process of conservatively replacing function and constant symbols by existentially quantified variables is called unskolemization or  $reverse\ Skolemization$  and is discussed in [Bledsoe and Ballantyne, 1978,Cox and Pietrzykowski, 1984], as well as [Chadha and Plaisted, 1993]. [Chadha and Plaisted, 1993] presents an algorithm U that is complete for our purposes and generalizes and simplifies an algorithm of [Cox and Pietrzykowski, 1984]. (Space precludes inclusion of the algorithm.)

**Theorem 3.1 ([Chadha and Plaisted, 1993])** Let V be a vocabulary and  $\varphi$ ,  $\psi$  be formulae such that  $\psi \in \mathcal{L}(V)$  and  $\varphi \Rightarrow \psi$ . There exists  $F \in \mathcal{L}(V)$  that is generated by algorithm U such that  $F \Rightarrow \psi$ .

Thus, for every reasoning procedure that is complete for clausal consequence finding, unskolemizing  $\varphi$  using procedure U for V = l(i, j) and then Skolemizing the result gives us a combined procedure for message generation that is complete for FOL consequence finding. This procedure can then be used readily in RES-MP (or in MP), upholding the soundness and completeness to that supplied by Lemma 2.6. The subroutine RES-SEND $(\varphi, j, i)$  that implements this approach is presented in Figure 10. It replaces  $\varphi$  with a a set of formulae in  $\mathcal{L}(l(i,j))$  that follows from  $\varphi$ . It then Skolemizes the resulting formulae for inclusion in  $\mathcal{A}_i$ .

```
PROCEDURE RES-SEND(\varphi, j, i) (Implementation 3) \varphi a formula, j, i \leq n.
```

- (1) Unskolemize  $\varphi$  into a set of formulae,  $\Phi$  in  $\mathcal{L}(l(i,j))$ , treating every symbol of  $L(\varphi) \setminus l(i,j)$  as a Skolem symbol.
- (2) For every  $\varphi_2 \in \Phi$ , if  $\varphi_2$  is not subsumed by a clause that is in  $\mathcal{A}_i$ , then add the Skolemized version of  $\varphi_2$  to the set of axioms of  $\mathcal{A}_i$ .

Fig. 10. Subroutine RES-SEND using unskolemization.

Procedure U may generate more than one formula for any given clause  $\varphi$ . For example, if  $\varphi = P(x, f(x), u, g(u))$ , for  $l(i, j) = \{P\}$ , then we must generate both  $\forall x \exists y \forall u \exists v P(x, y, u, v)$  and  $\forall u \exists v \forall x \exists y P(x, y, u, v)$  ( $\varphi$  entails both quantified formulae, and there is no one quantified formula that entails both of them). In our case we can avoid some of these quantified formulae by replacing the unskolemize and then Skolemize process of RES-SEND (Implementation 3) with a procedure that produces a set of formulae directly (Implementation 4). It is presented in Figure 11.

Steps 2 and a of procedure RES-SEND( $\varphi$ , j, i) (Implementation 4) correspond to similar steps in procedure U presented in [Chadha and Plaisted, 1993], sim-

PROCEDURE RES-SEND $(\varphi, j, i)$  (Implementation 4)  $\varphi$  a formula,  $j, i \leq n$ .

- (1) Set  $S \leftarrow L(\varphi) \setminus l(i, j)$ .
- (2) For every term instance,  $t = f(t_1, ..., t_k)$ , in  $\varphi$ , if  $f \in S$  and t is not a subexpression of another term  $t' = f'(t'_1, ..., t'_{k'})$  of  $\varphi$  with  $f' \in S$ , then replace t with " $x \leftarrow t$ " for some new variable, x (if k = 0, t is a constant symbol).
- (3) Nondeterministically  $^{a}$ , for every pair of marked arguments " $x \leftarrow \alpha$ ", " $y \leftarrow \beta$ " in  $\varphi$ , if  $\alpha, \beta$  are unifiable, then unify all occurrences of x, y (i.e., unify  $\alpha_{i}, \beta_{i}$  for all markings  $x \leftarrow \alpha_{i}, y \leftarrow \beta_{i}$ ).
- (4) For every marked argument " $x \leftarrow \alpha$ " in  $\varphi$ ,
  - (a) Collect all marked arguments with the same variable on the left-hand side of the " $\leftarrow$ " sign. Suppose these are  $x \leftarrow \alpha_1, ..., x \leftarrow \alpha_l$ .
  - (b) Let  $y_1, ..., y_r$  be all the variables occurring in  $\alpha_1, ..., \alpha_l$ . For every  $i \leq l$ , replace " $x \leftarrow \alpha_i$ " with  $f(y_1, ..., y_r)$  in  $\varphi$ , for a fresh function symbol f (if r = 0, f is a fresh constant symbol).
- (5) Add  $\varphi$  to  $\mathcal{A}_i$ .

Fig. 11. Subroutine RES-SEND without unskolemization.

plifying where appropriate for our setup. Our procedure differs from unskolemizing procedures in Step 4, where it stops short of replacing the Skolem functions and constants with new, existentially quantified variables. Instead, it replaces them with new functions and constant symbols. The nondeterminism of Step a is used to add *all* the possible combinations of unified terms, which is required to ensure completeness.

For example, if  $\varphi = P(f(g(B)), x)$  and  $l(i, j) = \{P\}$ , then RES-SEND (implementation 4) adds P(A, x) to  $A_i$ , for a new constant symbol, A. If  $\varphi = P(x, f(x), u, g(u))$ , for  $l(i, j) = \{P\}$ , then RES-SEND adds  $P(x, h_1(x), u, h_2(u))$  to  $A_i$ , for new function symbols  $h_1, h_2$ . Finally, if  $\varphi = P(x, f(x), u, f(g(u)))$ , then RES-SEND adds P(x, f(x), u, h(u)) and  $P(h_1(u), h_2(u), u, h_2(u))$  to  $A_i$ , for  $h, h_1, h_2$  new function symbols.

**Theorem 3.2 (Soundness & Completeness of RES-MP)** Let  $A = \bigcup_{i \leq n} A_i$  be a partitioned theory of propositional or first-order clauses, G a tree that is properly labeled with respect to A, and  $Q \in \mathcal{L}(A_k)$ ,  $k \leq n$ , be a sentence that is the query.  $A \models Q$  iff applying RES-MP( $\{A_i\}_{i \leq n}$ , G, Q) (with Implementation 4 of RES-SEND) outputs YES.

 $<sup>\</sup>overline{a}$  Nondeterministically select the set of pairs for which to unify all occurrences of x, y.

### 3.2 Analysis and Comparison of Resolution-Based Inference

In this final subsection relating to resolution, we analyze the effect of MP on the computational efficiency of resolution-based inference, and identify some of the parameters of influence. Current measures for comparing automated deduction strategies are insufficient for our purposes. Proof length (e.g., [Tseitin, 1970,Haken, 1985,Urquhart, 1987]) (and see the survey article [Cook and Mitchell, 1997]) is only marginally relevant. More relevant is comparing the sizes of search spaces induced by different strategies (e.g., resolution of propositional Horn clauses [Plaisted, 1994], and contraction rules for FOL [Bonacina and Hsiang, 1996]). These measures do not precisely address our needs, but we use them here, leaving the development of better measures for comparison to future work.

In a resolution search space, each node in the search space includes a set of clauses, and properties relevant to the utilized resolution strategy (e.g., clause parenthood information). Each arc in the search space is a resolution step allowed by the strategy. In contrast, in an MP resolution search space the nodes also include partition membership information. Further, each arc is a resolution step allowed by the utilized resolution strategy that satisfies either of: (1) the two axioms are in the same partition, or (2) one of the axioms is in partition  $A_j$ , the second axiom is drawn from its communication language l(i, j), and the query-based ordering allows the second axiom to be sent from  $A_i$  to  $A_j$ . Legal sequence of resolutions correspond to paths in these spaces.

**Proposition 3.3** Let  $A = \bigcup_{i \leq n} A_i$  be a partitioned theory. Any path in the MP resolution search space of  $\{A_i\}_{i \leq n}$  is also a path in the resolution search space of the unpartitioned theory A.

Evaluating MP with respect to proof length, it follows that the longest proof without using MP is as long or longer than the longest MP proof. Unfortunately, the shortest MP proof may be longer than the shortest possible proof without MP. This observation can be quantified most easily in the simple case of only two partitions  $\mathcal{A}_1$ ,  $\mathcal{A}_2$ . The set of messages that need to be sent from  $\mathcal{A}_1$  to  $\mathcal{A}_2$  to prove Q is exactly the interpolant  $\gamma$  promised by Theorem 2.3 for  $\alpha = A_1$ ,  $\beta = \mathcal{A}_2 \Rightarrow Q$ . The MP proof has to prove  $\alpha \vdash \gamma$  and  $\gamma \vdash \beta$ .

For the propositional case there are several results relating shortest proofs and proofs using the interpolant. Carbone [Carbone, 1997] showed that, if  $\gamma$  is a minimal interpolant, then for many important cases the proof length of  $\alpha \vdash \gamma$  together with the proof length of  $\gamma \vdash \beta$  is in  $O(k^2)$  (for sequent calculus with cuts), where k is the length of the minimal proof of  $\alpha \vdash \beta$ . In some of these

cases, the minimal interpolant is shown to be of size  $O(a^2)$ , where a is the sum of lengths of  $\alpha, \beta$ .

In general, the size of  $\gamma$  itself may be large. In fact, in the propositional case it is an open question whether or not the size of the smallest interpolant can be polynomially bounded by the size of the two formulae  $\alpha$ ,  $\beta$ . A positive answer to this question would imply an important consequence in complexity theory, namely that  $NP \cap coNP \subseteq P/poly$  [Boppana and Sipser, 1990]. Nevertheless, there is a good upper bound on the length of the interpolation formula as a function of the length of the minimal proof [Krajiček, 1997]: If  $\alpha$ ,  $\beta$  share l symbols, and the resolution proof of  $\alpha \vdash \beta$  is of length k, then there is an interpolant  $\gamma$  of length  $min(kl^{O(1)}, 2^l)$ .

For the first-order case there are very few results. The best known is by Meyer [Meyer, 1980] who showed that for the first-order predicate calculus with equality there is no recursive bound on the length of the smallest interpolant as a function of the length of the input axioms. However, there is no result relating the size of the interpolant with the length of the minimal proof (in resolution or any other proof system).

The results above suggest that we can guarantee a small interpolant, if we make sure the communication language is minimal. Unfortunately, we do not always have control over the communication language. Take, for example, the case of multiple KBs that have extensive overlap. In such cases, the communication language between KBs may be large, possibly resulting in a large interpolant. In Section 5 we provide an algorithm for partitioning theories that attempts to minimize the communication language between partitions.

# 4 Partition-Based Propositional Satisfiability

In this section we propose an algorithm for partition-based logical reasoning based on propositional satisfiability (SAT) search (e.g., DPLL [Davis et al., 1962], GSAT [Selman et al., 1992] and WALKSAT [Selman et al., 1994]). We show that the complexity of computation is directly related to the size of the labels in the intersection graph, i.e., the size of the communication language.

#### 4.1 A Partition-Based SAT Procedure

The algorithm we propose is presented in Figure 12. It uses a SAT procedure as a subroutine and is backtrack-free. We describe the algorithm using database notation [Ullman, 1988].  $\pi_{p_1,\ldots,p_k}T$  is the *projection* operation on a relation T.

It produces a relation that includes all the rows of T, but only the columns named  $p_1, ..., p_k$  (suppressing duplicate rows).  $S \bowtie R$  is the natural join operation on the relations S and R. It produces the cross product of S, R, selecting only those entries that are equal between identically named fields (checking S.A = R.A), and discarding those columns that are now duplicated (e.g., R.A will be discarded).

The proposed algorithm shares some intuition with prime-implicate generation (e.g., [Marquis, 1995,Inoue, 1992]). Step (1) of the algorithm converts the intersection graph of  $\mathcal{A}$  into a tree. Step (2) computes L(i), the set of symbols on all of partition  $\mathcal{A}_i$ 's links, i.e., the union of all the communication languages connected to partition  $\mathcal{A}_i$ . Step (3 determines which truth values of L(i) are satisfiable (akin to computing the implicates of each partition in the language  $\mathcal{L}(L(i))$ ). Finally, the algorithm uses  $\bowtie$  to combine those values to find out if there are any models for  $\mathcal{A}$ .

# PROCEDURE LINEAR-PART-SAT( $\{A_i\}_{i \leq n}$ )

 $\{A_i\}_{i \le n}$  a partitioning of the theory A,

- (1)  $G_0 \leftarrow$  the intersection graph of  $\{A_i\}_{i \leq n}$ .  $G \leftarrow BREAK\text{-}CYCLES(G_0)$ .
- (2) For each  $i \leq n$ , let  $L(i) = \bigcup_{(i,j) \in E} l(i,j)$ .
- (3) For each  $i \leq n$ , for every truth assignment A to L(i), perform SAT-search on  $A_i \cup A$ , storing the result in a table  $T_i(A)$ .
- (4) Determine  $\prec$  as in Definition 2.1.
- (5) Iterate over  $i \leq n$  in reverse  $\prec$ -order (the last i is 1). For each  $j \leq n$  that satisfies  $(i, j) \in E$  and  $i \prec j$ , perform:
  - $T_i \leftarrow T_i \bowtie (\pi_{L(i)}T_j)$  (Join  $T_i$  with those columns of  $T_j$  that correspond to L(i)). If  $T_i = \emptyset$ , return FALSE.
- (6) If FALSE has not be returned, return TRUE.

Fig. 12. An algorithm for SAT of a partitioned propositional theory.

This algorithm resembles finding all the models of each partition and then joining the consistent interpretation fragments into models for  $\mathcal{A}$  (as done in [Dechter and Pearl, 1989]). The iterated join that we perform takes time proportional to the size of the tables involved. In contrast to the approach described in [Dechter and Pearl, 1989], we keep table sizes below  $2^{|L(i)|}$  by keeping only the consistent truth assignments for L(i) (instead of all the models of  $\mathcal{A}_i$ ) and projecting every table before joining it with another table. Figure 13(a) displays the result of applying LINEAR-PART-SAT up to Step (3) to the partitioned theory and input of Figure 4. Figures 13(b) and 13(c) show the progression of Step (5) of LINEAR-PART-SAT.

Soundness and completeness follow by an argument similar to that given for

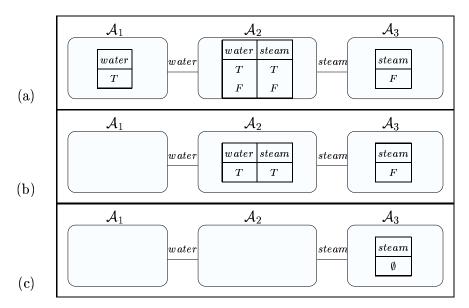


Fig. 13. Iteratively projecting and joining tables to check satisfiability. MP.

**Theorem 4.1 (Soundness and Completeness)** Given a sound and complete SAT-search procedure, LINEAR-PART-SAT is sound and complete for SAT of partitioned propositional theories.

PROOF See Appendix A.5.

#### 4.2 Analyzing Satisfiability in LINEAR-PART-SAT

Let  $\mathcal{A}$  be a partitioned propositional theory with n partitions. Let  $m = |L(\mathcal{A})|$ , L(i) be the set of propositional symbols calculated in Step (2) of LINEAR-PART-SAT, and  $m_i = |L(\mathcal{A}_i) \setminus L(i)|$   $(i \leq n)$ . Let  $a = |\mathcal{A}|$  and k be the length of each axiom.

**Lemma 4.2** The time taken by LINEAR-PART-SAT to compute SAT for  $\mathcal{A}$  is

$$\begin{split} Time(n,m,m_1,...,m_n,a,k,|L(1)|,...,|L(n)|) = \\ O(a*k^2 + n^4*m + \sum_{i=1}^n (2^{|L(i)|}*f_{SAT}(m_i))), \end{split}$$

where  $f_{SAT}$  is the time to compute SAT. If the intersection graph  $G_0$  is a tree, the second argument in the summation can be reduced from  $n^4 * m$  to n \* m.

Proof See Appendix A.6.

Corollary 4.3 Let A be a partitioned propositional theory with n partitions,

m propositional symbols and intersection graph G = (V, E, l). Let d(v) be the degree of node v in the graph G(V, E, l), let  $d = \max_{v \in V} d(v)$  and let  $l = \max_{i,j \leq n} |l(i,j)|$ . Assume  $P \neq NP$ . If intersection graph G of A is a tree and all the partitions  $A_i$  have the same number of propositional symbols, then the time taken by the LINEAR-PART-SAT procedure to compute SAT for A is

$$Time(m, n, l, d) = O(n * 2^{d*l} * f_{SAT}(\frac{m}{n})).$$

For example, if we partition a given theory  $\mathcal{A}$  into only two partitions (n=2) sharing l propositional symbols, the algorithm will take time  $O(2^l * f_{SAT}(\frac{m}{2}))$ . Assuming  $P \neq NP$ , this is a significant improvement over a simple SAT procedure for every l that is small enough  $(l < \frac{\alpha m}{2})$ , and  $\alpha \leq 0.582$  [Schiermeyer, 1996], [Cook and Mitchell, 1997]).

### 5 Decomposing a Logical Theory

The algorithms presented in previous sections assumed a given partitioning of theory  $\mathcal{A}$ . In this section we address the critical problem of automatically decomposing a set of propositional or FOL clauses into a partitioned theory. Guided by the results of previous sections, we propose guidelines for achieving a good partitioning and present a greedy algorithm that decomposes a theory following these guidelines.

### 5.1 What is a Good Partitioning?

The analysis done in Section 4.2 does not assume any particular time complexity for  $f_{SAT}(m)$  (aside from  $P \neq NP$  in the corollary). If we assume that  $f_{SAT}(m) = \Theta(2^m)$ , then we can conclude that the time for our reasoning algorithm is dominated by the largest partition (including its links). If the largest partition is of size s (i.e., it has s propositional symbols in its language, link languages included), then the time for the algorithm is  $O(n * 2^s)$ . This is the analysis done for CSPs and Bayes networks (e.g., [Dechter and Pearl, 1989,Dechter and Rish, 1994,Rish and Dechter, 2000], and [Becker and Geiger, 1996]), where the utilized algorithms do in fact use time  $\Theta(2^m)$  for a problem with m variables. For satisfiability the situation is slightly different. There are known stochastic algorithms (e.g., [Selman et al., 1994]) that perform much better than this pessimistic forecast. These algorithms are not complete, but they can be used in our algorithm, if we are willing to give up completeness. Efficient complete algo-

rithms also typically exhibit better than worst-case behavior (see the analysis of [Selman et al., 1997]). All of this suggests that emphasizing link sizes together with partition sizes is more accurate for the satisfiability problem.

Thus, given a theory, we wish to find a partitioning that minimizes the formula derived in Lemma 4.2. To that end, assuming  $P \neq NP$ , we want to minimize the following parameters in roughly the following order. For all  $i \leq n$ :

- (1) |L(i)| the total number of symbols contained in all links to/from node i. If  $G_0$  is already a tree, this is the number of symbols shared between the partition  $\mathcal{A}_i$  and the rest of the theory  $\mathcal{A} \setminus \mathcal{A}_i$ .
- (2)  $m_i$  the number of symbols in a partition, less those in the links, i.e., in  $\mathcal{A}_i$  but not in L(i). This number is mostly influenced by the size of the original partition  $\mathcal{A}_i$ , which in turn is influenced by the number of partitions of  $\mathcal{A}$ , namely, n. Having more partitions will cause  $m_i$  to become smaller.
- (3) n the number of partitions.

Also, a simple analysis shows that given fixed values for l,d in Corollary 4.3, the maximal n that maintains l,d such that also  $n \leq ln2 * \alpha * m$  ( $\alpha = 0.582$  [Schiermeyer, 1996,Cook and Mitchell, 1997]) yields an optimal bound for LINEAR-PART-SAT. In Section 3.2 we saw that the same parameters influence the number of derivations we can perform in MP: |L(i)| influences the interpolant size and thus the proof length, and  $m_i$  influences the number of deductions/resolutions we can perform. Thus, we would like to minimize the number of symbols shared between partitions and the number of symbols in each partition less those in the links.

The question is, how often do we get large n (many partitions), small  $m_i$ 's (small partitions) and small |L(i)|'s (weak interactions) in practice. We believe that in domains that deal with engineered physical systems, many of the domain axiomatizations have these structural properties. Indeed, design of engineering artifacts encourages modularization, with minimal interconnectivity (see [Amir, 2000,Lenat, 1995,Cohen et al., 1998]). More generally, we believe axiomatizers of large corpora of real-world knowledge tend to try to provide structured representations following some of these principles. Recent experiments with the HPKB knowledge base of SRI and a part of the Cyc knowledge base support this belief (those experiments are reported elsewhere).

#### 5.2 An Approach to Partitioning Logical Theories

To exploit the partitioning guidelines proposed in Section 5.1, we represent our theory  $\mathcal{A}$  using a *symbols graph* that captures the features we wish to minimize. G = (V, E) is a symbols graph for theory  $\mathcal{A}$  such that each vertex  $v \in V$  is a symbol in  $L(\mathcal{A})$ , and there is an edge between two vertices if their associated symbols occur in the same axiom of  $\mathcal{A}$ , i.e.,  $E = \{(a, b) \mid \exists \alpha \in \mathcal{A} \text{ s.t. } a, b \text{ appear in } \alpha\}$ .

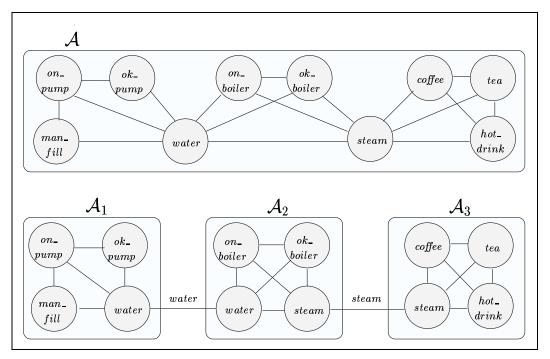


Fig. 14. Decomposing A's symbols graph.

Figure 14 (top) illustrates the symbols graph of theory  $\mathcal{A}$  from Figure 1 and the connected symbols graphs (bottom) of the individual partitions  $\mathcal{A}_1, \mathcal{A}_2, \mathcal{A}_3$ . Notice that each axiom creates a clique among its constituent symbols. To minimize the number of symbols shared between partitions (i.e., |L(i)|), we must find partitions whose symbols have minimal vertex separators in the symbols graph.

Generally speaking, we decompose theory  $\mathcal{A}$  by first creating the symbols graph of  $\mathcal{A}$ , then partition this graph into partitions (similar to the bottom part of Figure 1), and finally use the partitioning of the graph to define a partitioning of the axioms. Section 5.3 presents a complete algorithm for this task.

Examples of the recursive procedure that we are going to use are presented in Figure 17 on page 27.

### 5.3.1 Minimum Vertex Separators

In this section, we briefly describe the notion of a vertex separator. Let G = (V, E) be an undirected graph. A set S of vertices is called an (a, b)-vertex-separator if  $\{a, b\} \subset V \setminus S$  and every path connecting a and b in G passes through at least one vertex contained in S.

Let N(a, b) be the least cardinality of an (a, b)-vertex-separator. The connectivity of the graph G is the minimum N(a, b) for any  $a, b \in V$  that are not connected by an edge. An (a, b)-vertex-separator of minimum cardinality is said to be a minimum (a, b)-vertex-separator. The weaker property of a vertex separator being minimal requires that no subset of the (a, b)-vertex-separator is an (a, b)-vertex-separator.

We briefly review an algorithm by Even and Tarjan for finding minimum vertex separators [Even, 1979, Even and Tarjan, 1975]. This algorithm builds on [Dantzig and Fulkerson, 1956]. It is shown in Figure 15. The algorithm is given two vertices, a, b, and an undirected graph, G. It transforms G into a directed graph,  $\bar{G}$ , that has two vertices (corresponding to input and output) for each original vertex of G, directed edges connecting the corresponding input and output vertices, and edges corresponding to those of G, but only from output to input vertices. It then runs a max-flow algorithm on  $\bar{G}$  (Steps (1)-(3)). The produced flow, f, has a throughput of N(a,b). To extract a minimum separator, it produces a layered network (see [Even, 1979] p.97) from  $\bar{G}$  and the flow found, f, in Step (5). The layered network includes a subset of the vertices of  $\bar{G}$ . The set of edges between this set of vertices and the rest of  $\bar{G}$  corresponds to the separator.

Algorithms for finding maximal flow are abundant in the graph algorithms literature. Prominent algorithms for max-flow include the Simplex method, Ford and Fulkerson's [Ford Jr. and Fulkerson, 1962], the push-relabel method of Goldberg and Tarjan [Goldberg and Tarjan, 1988] (time bound of  $O(|V| \cdot |E| \cdot log \frac{|V|^2}{|E|})$  and several implementations [Chekassky and Goldberg, 1997]), and Dinitz's algorithm [Dinic, 1970]. When Dinitz's algorithm is used to solve the network problem, Even and Tarjan's algorithm has time complexity  $O(|V|^{\frac{1}{2}}|E|)$  [Even, 1979]. The unit-capacity network-flow algorithm of [Ahuja and Orlin, 1991] can also be used here, giving Even and Tarjan's algorithm time complexity of  $O(|V|^{\frac{1}{2}}|E|)$  as well.

Another possibility is to use the Ford-Fulkerson flow algorithm as described in [Ford Jr. and Fulkerson, 1962] (alternatively, see [Cormen et al., 1989]), for

#### PROCEDURE MIN-V-SEP-A-B(G = (V, E), a, b)

- (1) Construct a digraph  $\bar{G}(\bar{V}, \bar{E})$  as follows. For every  $v \in V$  put two vertices v', v'' (input/output vertices) in  $\bar{V}$  with an edge  $e_v = \overline{(v', v'')}$  (internal edge). For every edge e = (u, v) in G, put two edges  $e' = \overline{(u'', v')}$  and  $e'' = \overline{(v'', u')}$  in  $\bar{G}$  (external edges).
- (2) Define a network, with digraph  $\bar{G}$ , source a'', sink b' and unit capacities for all the edges.
- (3) Compute the maximum flow f in the network.
- (4) Set the capacities of all the external edges in  $\bar{G}$  to infinity.
- (5) Construct the layered network  $\{V_i\}_{i < l}$  from  $\bar{G}$  using f. Let  $S = \bigcup_{i < l} V_i$ .
- (6) Let  $R = \{v \in V \mid v' \in S, v'' \notin S\}$ . R is a minimum (a, b) vertex-separator in G.

Fig. 15. An algorithm for finding a minimum separator between a and b in G.

computing maximum flow. For an original graph of treewidth  $^4 < k$  this involves finding at most k augmenting paths of capacity 1. Thus, the combined algorithm using the Ford-Fulkerson maximum flow algorithm finds a minimum (a, b)-vertex-separator in time O(k(|V| + |E|)).

Finally, to compute the vertex connectivity of a graph and a minimum separator, without being given a pair (a, b), we check the connectivity of any c vertices (c being the connectivity of the graph) to all other vertices. When Dinitz's algorithm is used as above, this procedure takes time  $O(c \cdot |V|^{\frac{3}{2}} \cdot |E|)$ , where  $c \geq 1$  is the connectivity of G. When we use Ford-Fulkerson's algorithm for a graph of treewidth k, this procedure takes time  $O(c \cdot k \cdot |V| \cdot (|V| + |E|))$ , where  $c \geq 1$  is the connectivity of G. For the cases of c = 0, 1 there are well known linear time algorithms. [Even, 1975] also showed a way to test for k connectivity of a graph using only  $n + k^2$  pairs of vertices.

#### 5.3.2 Procedure Split

Procedure Split-Thy, presented in Figure 16, uses procedure Split to decompose a theory into a tree of partitions. It is given a theory,  $\mathcal{A}$ , and limitations on the partition size (a lower limit, M) and the separators between partitions (an upper limit, l). Split initially considers the theory as one big partition, and at every recursive iteration it breaks one of the partitions in two. It represents the tree structure of the partitions in a global variable,  $G_{str}$ . This tree structure and the set of partitions,  $\{\mathcal{A}_i\}_{i\leq p}$ , is returned as the result of Split-Thy.

<sup>&</sup>lt;sup>4</sup> The treewidth of a graph plus one is the minimum, over all triangulations of this graph, of the size of the largest clique in the triangulation (see [Kloks, 1994]).

An example of the input and the output is shown in Figure 1.

#### PROCEDURE Split-Thy( $\mathcal{A}, M, l$ )

 $\mathcal{A}$  is a theory. M limits the number of symbols in a partition from below. l limits the number of symbols shared between partitions.

- (1) Let G(V, E) be an undirected graph with V = L(A) and  $E = \{(l_1, l_2) \mid \exists C \in A \ l_1, l_2 \in L(C)\}$ .
- (2) Let  $G_{str}(V_{str}, E_{str})$  be an undirected graph with  $V_{str} = \{\{V\}\}$  and  $E_{str} = \emptyset$ .
- (3) Run Split(G, M, l, nil, nil).
- (4) For every  $v \in V_{str}$ , let  $\mathcal{A}_v = \{C \in \mathcal{A} \mid L(C) \subset v\}$ . Return  $\{\mathcal{A}_v\}_{v \in V_{str}}$  and  $G_{str}$ .

#### PROCEDURE Split(G, M, l, a, b)

G = (V, E) is an undirected graph. M, l as above. a, b are in V or are nil.

- (1) If |V| < M, then return V.
- (2) (a) If a, b = nil, find a minimum vertex separator, R, in G. (b) Otherwise, if b = nil, find a minimum vertex separator, R, of a in G. (c) Otherwise, find minimum vertex separators,  $R_a$  of a in G, and  $R_b$  of b in G. Let R be the smaller of  $R_a, R_b$ .
- (3) If R = V or |R| > l then return V.
- (4) Let  $G_1, G_2$  be the two subgraphs of G separated by R, with R included in both subgraphs.
- (5) Let  $V_{str} \leftarrow V_{str} \setminus \{\{V\}\} \cup \{\{V_1\}, \{V_2\}\}\$  and  $E_{str} \leftarrow E_{str} \cup \{(\{V_1\}, \{V_2\})\}\$ . Change the edges that connected to  $\{V\}$  to connect to one of  $\{V_1\}, \{V_2\}$ .
- (6) Create  $G'_1, G'_2$  from  $G_1, G_2$ , respectively, by aggregating the vertices in R into a single vertex r, removing all self edges and connecting r with edges to all the vertices connected by edges to some vertices in R.
- (7) Run  $Split2(G'_1, M, l, r, a)$ ,  $Split2(G'_2, M, l, r, b)$ . Replace r in the nodes of  $V_{str}$  by the members of R.

Fig. 16. An algorithm for generating partitions of axioms.

Split partitions the theory  $\mathcal{A}$  by taking as input its symbols graph, G = (V, E), the two limiting parameters, M and l, and nodes  $a, b \in V$  that are initially set to nil. Split updates the global variable  $G_{str}$  to represent the progressing decomposition. In each recursive call, Split finds a minimum vertex separator of a, b in G (i.e., a minimum-size set of vertices that crosses every path between a, b). If one of a, b or both are nil, it finds the overall minimum vertex separator between all vertices and the non-nil vertex (or all other vertices). This separator splits G into two graphs,  $G_1, G_2$ , and the process continues recursively. An example of the progress made on the input graph G is shown

in Figure 17.

Different variants of the algorithm yield different structures for the intersection graph of the resulting partitioning. As is, Split returns sets of symbols that result in a chain of partitions. We obtain arbitrary trees, if we change step 3(c) to find a minimum separator that does not include a, b (not required to separate a, b). We obtain arbitrary graphs, if in addition we do not aggregate R into r in step 6.

**Proposition 5.1** Procedure Split takes time  $O(|V|^{\frac{5}{2}} * |E|)$ .

Proof See Appendix A.7.

### 5.3.3 Fine-Tuning Split

Since  $f_{SAT}(m)$  is not known, and the time for reasoning with FOL theories in MP is not bounded, it is not clear what is an *optimal* decomposition. Nevertheless, the analyses done throughout this paper suggests minimizing the parameters mentioned in the last section. If we assume that  $f_{SAT}(m) = \Theta(2^{\alpha m})$ , then the problem of finding an optimal partition for LINEAR-PART-SAT is equivalent to finding triangulations of minimum clique number (a.k.a. finding treewidth). With this assumption, M should be chosen to be 1, l should be chosen to be m, and the algorithm will stop the recursive decomposition only when reaching a graph that is a clique. This is justified by the observation that any further decomposition can only decrease the size of the maximum partition (including the links). Thus, Assuming  $f_{SAT}(m) = \Theta(2^{\alpha m})$ , further decompositions only decrease the asymptotic time function of LINEAR-PART-SAT.

For reasoning with FOL theories it may sometimes be useful to choose M (the limit on the number of symbols in a partition) to be large, so that sentences are aggregated more closely to topics. This can be useful for managing large axiom sets as well as for applying specialized reasoning algorithms for each partition. This can be combined with replacing our vertex separator algorithm with a balanced separator algorithm. A balanced separator is a vertex separator that separates the graph such that the separated subgraphs are of comparable sizes (typically, they are chosen to be no larger than a constant times the size of the original graph). The problem of finding balanced separators is NP-hard, but several approximations exist (e.g., [Leighton and Rao, 1988, Klein et al., 1993, Feige and Krauthgamer, 2000]).

Our time bound for Split is lower than  $\Theta(2^{\alpha m})$  when  $l \leq \frac{\alpha m - \alpha m_i - lgn}{d}$  ( $i = argmax_jm_j$ ). In particular, if  $l > \frac{m}{2}$ , a standard deterministic SAT procedure will be better (compared to the best time bound known for SAT procedures [Schiermeyer, 1996,Cook and Mitchell, 1997]).

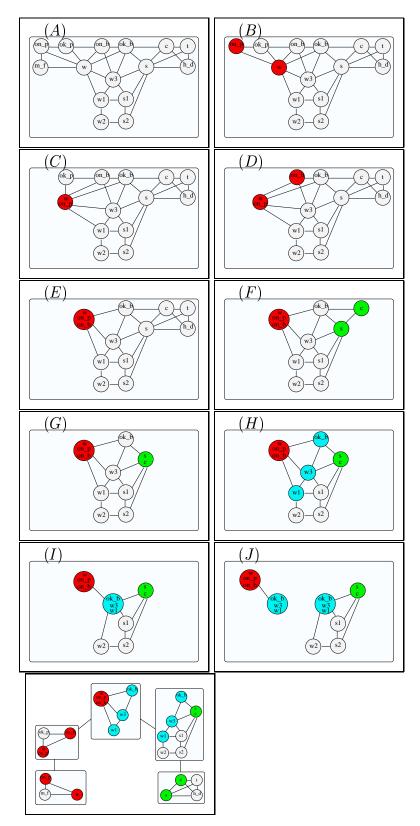


Fig. 17. Recursive use of Split by aggregating minimal separators into single nodes. Only the larger side of the leftover graph is shown after each split.

All the observations above are predicated on the assumption the  $\mathcal{A}$  is propositional and that  $f_{SAT}(n) = O(2^{\alpha n})$ , for some  $\alpha > 0$  constant. If our theory is in FOL, or we drop the assumption on  $f_{SAT}$ , then there is no clear good way to choose M, l. In those circumstances, l and M are perhaps best determined experimentally.

#### 5.3.4 Other Decomposition Approaches

There are many possible approaches to decomposing a set of logical axioms. One complementary approach that we have briefly experimented with is a normalized cut algorithm [Shi and Malik, 1997], using the dual graph of the theory. The dual graph represents each axiom, rather than each symbol, as a node in the graph to be split. The possible advantage of this approach is that it preserves the distinction of an axiom. Also, since the min-cut algorithm is normalized, it helps preclude the creation of small isolated partitions by both maximizing the similarity within partitions and minimizing the similarity between partitions.

A different decomposition is conceived from a semantic approach. Our reasoning algorithms and our computational analysis suggested a syntactic approach to decomposition. Semantic approaches are also possible along lines similar to [Slaney and Surendonk, 1996] or to [Chang and Lee, 1973] (Chapter on semantic resolution). Such decomposition approaches may require different reasoning algorithms to be computationally useful.

#### 6 Related Work

The work related to ours is vast. We divide it into three parts. First is the work on automated decomposition; second is the use of decompositions for propositional reasoning; and third is the work that relates to FOL theorem proving.

#### 6.1 Automated Decomposition

Decomposition techniques for CSPs, Bayes nets and other NP-hard problems are most relevant to our work on automated decomposition. These typically look for a *separation vertex* [Dechter, 1990], use various heuristics to order symbols (that translate to a decomposition of the graph) [Dechter and Pearl, 1989], [Selman and Kautz, 1993, Dechter and Rish, 1994], and use approximations for tree decomposition of minimum width (equivalent to finding triangulations

of minimum clique number, computing treewidth, and finding optimal clique trees) [Robertson and Seymour, 1986,Robertson and Seymour, 1995,Kloks, 1994], [Becker and Geiger, 1996,Shoikhet and Geiger, 1997,Amir, 2001].

The last approach is applicable to our setup, if we assume that  $f_{SAT}(m) = \Theta(2^{\alpha m})$ . In contrast to our SPLIT, these algorithms find weak approximations (factor  $O(\log n)$ ) to the optimal in polynomial time and constant-factor approximations or optimal results in quasi-polynomial time (polynomial time, assuming the treewidth is bounded by a constant, and exponential time otherwise). Furthermore, work on implementing SAT and automated deduction strongly suggests that the assumption of  $f_{SAT}(m) = \Theta(2^{\alpha m})$  is overly pessimistic. For this reason we prefer to minimize the links first, and then look at minimizing the partitions, leading to our proposed algorithm.

Cut-set conditioning such as [Pearl, 1988, Dechter, 1990, Becker and Geiger, 1994], and [Becker et al., 2000] and hypertree decompositions of CSPs such as the work of [Gottlob et al., 1999] are other methods for using decompositions, that are fairly different from the one we use in this paper.

# 6.2 Use of Decompositions in Propositional SAT

With respect to propositional SAT problems, perhaps the most relevant previous work is that of Dechter and Pearl [Dechter and Pearl, 1989], which presented algorithms for reasoning with decomposed CSPs. These can be used for SAT under a given decomposition. In comparison, the algorithm we presented for partition-based SAT does not produce all the models possible in each partition, as proposed in [Dechter and Pearl, 1989]. Instead, it finds the truth values for propositions on the links that are extendible to a satisfying truth assignment for the whole partition. This reduces our computation time and makes it more dependent on the links' sizes rather than on partition sizes.

Other SAT uses of SAT decomposition include [Park and Gelder, 1996] which proposed a decomposition procedure that represents the theory as a hypergraph of clauses and divides the propositional theory into two partitions (heuristically minimizing the number of hyperedges). It finds the set of possible truth-value assignments to the propositions associated with the hyperedges and tests them recursively for the two partitions. Cowen and Wyatt [Cowen and Wyatt, 1993] developed an algorithm that partitions a propositional CNF theory into connected components that can be tested for satisfiability individually. Their partitioning algorithm is an adaptation of a best first search as used to find components in a graph, or strongly connected components in a digraph. The authors tested their decomposition algorithm together with a SAT solver, demonstrating a dramatic decrease in the runtime of the

SAT solver on the decomposed theories described in the paper.

Prior to [Amir and McIlraith, 2000] there has been no work on using decompositions for automated deduction in propositional logic in the manner we propose. Concurrently to this work, Rish and Dechter [Rish and Dechter, 2000] proposed an algorithm similar to our MP algorithm for the case of propositional ordered resolution. However, their work looks at a limited case (ordered resolution, propositional logic), and they allow excessive computation by performing all possible resolutions in each partition, twice. Our MP algorithm is opportunistic in the sense that it does not wait for each partition to perform all of the possible resolutions. (In FOL this is not possible at all.) Thus, Rish and Dechter's algorithm may use exponential amounts of space and time over and above MP in the same settings.

### 6.3 Use of Decompositions in FOL Theorem Provers

Surprisingly, there has been little work on the specific problem of exploiting structure in theorem proving in the manner we propose in this paper. We conjecture that this can largely be attributed to the fact that theorem proving has traditionally examined mathematics domains, that do not necessarily have structure that supports decomposition. Nevertheless, there is related work both in the parallel theorem proving community, and in the work on combining logical systems.

The majority of work on parallel theorem proving implementations followed decomposition of the search space [Conry et al., 1990,Ertel, 1992,Sutcliffe, 1992], [Cowen and Wyatt, 1993,Bonacina and Hsiang, 1996,Suttner, 1997], or allowed messages to be sent between the different provers working in parallel, using heuristics to decide on what messages are relevant to each prover [Denzinger, 1995], [Denzinger et al., 1997,Denzinger and Fuchs, 1999] (surveys can be found in [Bonacina and Hsiang, 1994,Denzinger and Dahn, 1998]). Both approaches typically look at decompositions into very few sub-problems (typically less than ten). In addition, the first approach typically requires complete independence of the sub-spaces or the search is repeated on much of the space by several reasoners. The second approach is more similar to ours, but there are some major differences still. First, there is no clear methodology for deciding what messages should be sent from one partition to another, or which partitions should receive messages from which other partitions. Second, there are no clear criteria for decomposing a theory into sub-problems.

Another related line of work focuses on combining logical systems, including the work of [Nelson and Oppen, 1979, Shostak, 1984, Baader and Schulz, 1992], [Ringeissen, 1996, Tinelli and Harandi, 1996]. Here, the computational focus

has been on treating combinations of signature-disjoint theories (allowing the queries to include symbols from all signatures), e.g. [Baader and Schulz, 1992]. Recent work introduced sharing function symbols between two theories (e.g., [Ringeissen, 1996]), but no algorithm allowed any sharing of relation symbols. All approaches either nondeterministically instantiate the (newly created) variables connecting the theories (e.g., [Tinelli and Harandi, 1996]), or restrict the theories to be convex (disjunctions are intuitionistic) and have information flowing back and forth between the theories. In contrast, we focus on the structure of interactions between theories with signatures that share symbols and the efficiency of reasoning with consequence finders, theorem provers and SAT procedures. We do not have any restrictions on the language besides finiteness.

Finally, work on formalizing and reasoning with *context*, including the work of [McCarthy and Buvač, 1998,Akman and Surav, 1996]), can be related to partition-based logical reasoning by viewing the contextual theories as interacting sets of theories. Unfortunately, to introduce explicit contexts, a language that is more expressive than FOL is needed. Consequently, a number of researchers have focused on context for propositional logic, while much of the reasoning work has focused on proof checking. Examples include GET-FOL [Giunchiglia and Traverso, 1995,Giunchiglia, 1994]. There have been few reported successes with automated reasoning; [Bonzon, 1997] presents one example.

#### 7 Conclusions

In this paper we have shown that decomposing theories into partitions and reasoning over those partitions has potential computational advantages for theorem provers and SAT solvers. Theorem proving strategies, such as resolution, can use such decompositions to constrain search. Partition-based reasoning will improve the efficiency of propositional SAT solvers if the theory is decomposable into partitions that share only small numbers of symbols.

We have provided sound and complete algorithms for reasoning with partitions of related logical axioms, both in propositional logic and in FOL. Different reasoning algorithms can be plugged-in for different partitions in these algorithms. We gave conditions on those reasoners that ensure that the combined reasoning procedure is sound and complete. Specialized versions of these algorithms for resolution strategies in FOL were provided. We showed that some of these algorithms simulate some order-based resolution strategies, while order-based strategies may simulate some of our algorithms in restricted cases. All our reasoning algorithms are suited for parallel processing.

We examined the efficiency of our theorem-proving algorithms and our SAT algorithm. Guided by both analyses, we suggested guidelines for achieving a good partitioning and proposed an algorithm for the automatic decomposition of theories that tries to minimize identified parameters. This algorithm generalizes previous algorithms used to decompose CSPs by finding single-vertex separators.

Our work was motivated in part by the problem of reasoning with large multiple KBs that have overlap in content. The results in this paper address some of the theoretical principles that underly such partition-based reasoning. We are currently performing experimental analysis of Stanford KSL's and SRI's KBs to analyze structure in these KBs, to test the effectiveness of our automatic partitioning algorithms, and to investigate the effectiveness of proposed partition-based reasoning algorithms. We are also involved in further theoretical investigation.

#### A Proofs

## A.1 FORWARD-M-P (MP) is Sound and Complete

First, notice that soundness is immediate because the only rules used in deriving consequences are those used in our chosen consequence-finding procedure (of which rules are sound). In all that follows, we assume  $\mathcal{A}$  is finite. The infinite case follows by the compactness of FOL.

**Lemma A.1** Let  $\mathcal{A} = \mathcal{A}_1 \cup \mathcal{A}_2$  be a partitioned theory. Let  $\varphi \in \mathcal{L}(\mathcal{A}_2)$ . If  $\mathcal{A} \vdash \varphi$ , then  $\mathcal{A}_2 \vdash \varphi$  or there is a sentence  $\psi \in \mathcal{L}(\mathcal{A}_1) \cap \mathcal{L}(\mathcal{A}_2)$  such that  $\mathcal{A}_1 \vdash \psi$  and  $\mathcal{A}_2 \vdash \psi \Rightarrow \varphi$ .

**Proof of Lemma A.1.** We use Craig's interpolation theorem (Theorem 2.3), taking  $\alpha = \mathcal{A}_1$  and  $\beta = \mathcal{A}_2 \Rightarrow \varphi$ . Since  $\alpha \vdash \beta$  (by the deduction theorem for FOL), there is a formula  $\psi \in \mathcal{L}(\alpha) \cap \mathcal{L}(\beta)$  such that  $\alpha \vdash \psi$  and  $\psi \vdash \beta$ . By the deduction theorem for FOL, we get that  $\mathcal{A}_1 \vdash \psi$  and  $\psi \land \mathcal{A}_2 \vdash \varphi$ . Since  $\psi \in \mathcal{L}(\mathcal{A}_1) \cap \mathcal{L}(\mathcal{A}_2)$  by the way we constructed  $\alpha, \beta$ , we are done.

**Definition A.2 (Definition 2.5)** For a partitioning  $\mathcal{A} = \bigcup_{i \leq n} \mathcal{A}_i$ , we say that a tree G = (V, E, l) has a proper labeling, if for all  $(i, j) \in E$  and  $\mathcal{B}_1, \mathcal{B}_2$  the two subtheories of  $\mathcal{A}$  on the two sides of the edge (i, j) in G, it is true that  $\mathcal{L}(l(i, j)) \supseteq \mathcal{L}(\mathcal{B}_1) \cap \mathcal{L}(\mathcal{B}_2)$ .

We will show that all intersection graphs have a proper labeling. First, the following lemma provides the main argument behind all of the completeness

proofs in this paper.

**Lemma A.3 (Lemma 2.6)** Let  $A = \bigcup_{i \leq n} A_i$  be a partitioned theory and assume that the graph G is a tree that has a proper labeling for the partitioning  $\{A_i\}_{i\leq n}$ . Let  $k\leq n$  and let  $Q\in \mathcal{L}(A_k\cup\bigcup_{(k,i)\in E}l(k,i))$  be a sentence. If  $A\models Q$ , then MP will find a consequence of  $A_k$  that subsumes Q.

**Proof of Lemma 2.6.** We prove the lemma by induction on the number of partitions in the logical theory. For |V| = 1 (a single partition),  $\mathcal{A} = \mathcal{A}_1$  and the proof is immediate, as the reasoning procedure for  $\mathcal{A}_1$  is complete for consequence finding. Assume that we proved the lemma for  $|V| \leq n-1$  and we prove the lemma for |V| = n.

In G, k has c neighbors,  $i_1, ..., i_c$ .  $(k, i_1)$  separates two parts of the tree G:  $G_1$  (includes  $i_1$ ) and  $G_2$  (includes k). Let  $\mathcal{B}_1, \mathcal{B}_2$  be the subtheories of  $\mathcal{A}$  that correspond to  $G_1, G_2$ , respectively.

Notice that  $Q \in \mathcal{L}(\mathcal{B}_2)$ . By Lemma A.1, either  $\mathcal{B}_2 \vdash Q$  or there is  $\psi \in \mathcal{L}(\mathcal{B}_1) \cap \mathcal{L}(\mathcal{B}_2)$  such that  $\mathcal{B}_1 \vdash \psi$  and  $\mathcal{B}_2 \vdash \psi \Rightarrow Q$ . If  $\mathcal{B}_2 \vdash Q$ , then we are done, by the induction hypothesis applied to the partitioning  $\{\mathcal{A}_i \mid i \in V_2\}$  ( $V_2$  includes the vertices of  $G_2$ ) and  $G_2$  (notice that  $\prec'$  used for  $G_2$ , Q agrees with  $\prec$  used for G).

Otherwise, let  $\psi$  be a sentence as above.  $\bigcup_{(i_1,j)\in E,j\neq k} l(i_1,j) \supseteq \mathcal{L}(\mathcal{B}_2 \cup \mathcal{A}_{i_1}) \cap \mathcal{L}(\mathcal{B}_1 \setminus \mathcal{A}_{i_1})$  because the set of edges  $(i_1,j)$  separates two subgraphs corresponding to the theories  $\mathcal{B}_1 \setminus \mathcal{A}_{i_1}$  and  $\mathcal{B}_2 \cup \mathcal{A}_{i_1}$ , and G has a proper labeling our partitioning. Thus, since  $\psi \in \mathcal{L}(\mathcal{B}_1)$  we get that  $\psi \in \mathcal{L}(\mathcal{A}_{i_1} \cup \bigcup_{(i_1,j)\in E,j\neq k} l(i_1,j))$ . By the induction hypothesis for  $G_1, \mathcal{B}_1$ , at some point a sentence  $\psi'$  that subsumes  $\psi$  will be proved in  $\mathcal{A}_{i_1}$  (after some formulae were sent to it from the other partitions in  $G_1, B_1$ ).

At this point, our algorithm will send  $\psi'$  to  $\mathcal{A}_k$  because  $\psi' \in \mathcal{L}(l(k, i_1))$  because G has a proper labeling for  $\mathcal{A}, G$ . Since  $B_2 \vdash \psi' \Rightarrow Q$ , then by the induction hypothesis applied to  $G_2, B_2$  ( $\psi' \Rightarrow Q \in \mathcal{L}(\mathcal{A}_k \cup_{(k,i)\in E} l(k,i))$ ) at some point a sentence subsuming  $\psi' \Rightarrow Q$  will be generated in  $\mathcal{A}_k$  (after some message passing). Thus, at some point a sentence subsuming Q will be generated in  $\mathcal{A}_k$ .

**Proof of Theorem 2.4.** All we are left to prove is that the intersection graph G has a proper labeling. But if G is the intersection graph of the partitioning  $\{\mathcal{A}_i\}_{i\leq n}$  then  $l(i,j) = L(\mathcal{A}_i) \cap L(\mathcal{A}_j)$ . If for  $(i,j) \in E$   $L(l(i,j)) \not\supseteq L(\mathcal{B}_1) \cap L(\mathcal{B}_2)$ , with  $\mathcal{B}_1, \mathcal{B}_2$  the theories on the two sides of (i,j) in the tree G, then there are  $\mathcal{A}_x, \mathcal{A}_y$  in  $\mathcal{B}_1, \mathcal{B}_2$ , respectively, such that  $(x,y) \in E$  and  $x \neq i$  or  $y \neq j$ . Since G is connected (it is a single tree), this means there is a cycle in G, contradicting G being a tree. Thus  $L(l(i,j)) \supseteq L(\mathcal{B}_1) \cap L(\mathcal{B}_2)$  and G has a

### A.2 FORWARD-M-P with BREAK-CYCLES is Sound and Complete

Soundness is immediate, using the same argument as for Theorem 2.4. For completeness, first notice that the graph output by BREAK-CYCLES is always a tree, because BREAK-CYCLES will not terminate if there is still a cycle in G. Now, we need the following lemma.

**Lemma A.4** Let G' = (V, E', l') be a tree resulting from applying BREAK-CYCLES to G = (V, E, l) and  $\{A_i\}_{i \leq n}$ . Then G' has a proper labeling for this partitioning.

**Proof of Lemma A.4.** Assume there is a symbol p in  $L(\mathcal{B}_1) \cap L(\mathcal{B}_2)$  that is not in l(i,j), and let  $\mathcal{A}_x, \mathcal{A}_y$  be partitions on the two sides of (i,j) that include sentences with the symbol p. We will prove that throughout the run of the BREAK-CYCLES algorithm there is always a path in G' (we start with G' = G) between  $\mathcal{A}_x, \mathcal{A}_y$  that has p showing on all the edge labels. Call such a path a  $qood\ path$ .

Obviously we have a good path in G, because we have  $(x, y) \in E$  and  $p \in l(x, y)$  (because G is the intersection graph of  $A_1, ..., A_n$ ). Let us stop the algorithm at the first step in which G' does not have a good path (assuming there is no such path, or otherwise we are done). In the last step we must have removed an arc (a, b) (which was on a good path) to cause G' to not have a good path. Since  $p \in l(a, b)$  and (a, b) is in a cycle  $(b, a_1), (a_1, a_2), ..., (a_c, a), (a, b)$  (this is the only reason we removed (a, b)), we added (a, b) to the labels of the rest of this cycle. In particular, now the labels of  $(b, a_1), (a_1, a_2), ..., (a_c, a)$  include p. Replacing (a, b) in the previous good path by this sequence, we find a path in the new G' that satisfies our required property. This is a contradiction to having assumed that there is no such path at this step. Thus, there is no such p as mentioned above and  $L(l(i, j)) \supseteq L(\mathcal{B}_1) \cap L(\mathcal{B}_2)$ .

**Proof of Theorem 2.7.** The proof of Theorem 2.7 follows immediately from Lemma 2.6 and Lemma A.4. ■

#### A.3 BACKWARD-M-P (BMP) is Sound and Complete

**Proof of Theorem 2.8.** Notice that for a prover i to have a goal  $Q_i$  means that it needs to prove that the theory  $A_i \cup \{\neg Q_i\}$  is inconsistent.  $\varphi$  is a *subgoal* in a subgoal-disjunctive system if  $\{\varphi\} \cup A_i \vdash Q_i$ . For a series of subgoals

 $\psi_1, ..., \psi_r$  in partition  $\mathcal{A}_i$ ,  $\{\psi_1 \vee ... \vee \psi_r\} \cup \mathcal{A}_i \vdash Q_i$ . Also, if  $\mathcal{A}_j$  is the partition to whom  $\mathcal{A}_i$  sends its subgoals, then  $Q_j$ , the goal of partition  $\mathcal{A}_j$ , is  $\psi_1 \vee ... \vee \psi_r$  at this point in time.

Let  $\varphi$  be a subgoal of  $\mathcal{A}_i$ . This means that  $\mathcal{A}_i \cup \{\neg Q_i\} \vdash \neg \varphi$ . Thus, our BMP algorithm readily reduces to MP, as  $\neg \varphi$  would be sent from  $\mathcal{A}_i$  to  $\mathcal{A}_j$  in MP while  $\varphi$  would be disjoined with the goal of  $\mathcal{A}_j$  in BMP, and both need to prove inconsistency of  $\mathcal{A}_j \cup \{\neg Q_j \land \neg \varphi\}$ , when  $Q_j$  is the goal of  $\mathcal{A}_j$  before the arrival of  $\varphi$ . From the soundness and completeness of MP for graphs that are trees, we get soundness and completeness for BMP.

# A.4 Theorem 3.2: RESOLUTION-M-P (RES-MP) is Sound and Complete

**Theorem A.5** ([Lee, 1967]) For every non-tautologous clause D following from a given clause set A, a clause C is derivable by the resolution rule such that D is obtained from C by instantiation and addition of further literals (i.e.,  $C \subset \text{-subsumes } D$ ).

**Proof of Theorem 3.2.** Soundness and completeness of the algorithm follow from that of MP, if we show that RES-SEND (Implementation 4) adds enough sentences (implying completeness) to  $\mathcal{A}_i$  that are implied by  $\varphi$  (thus sound) in the restricted language  $\mathcal{L}(l(i,j))$ .

If we add all sentences  $\varphi$  that are submitted to RES-SEND to  $\mathcal{A}_i$  without any translation, then our soundness and completeness result for MP applies (this is the case where we add all the constant and function symbols to all l(i, j)).

We use Theorem 3.1 to prove that we add enough sentences to  $A_i$ . Let  $\varphi_2$  be a quantified formula that is the result of applying algorithm U to  $\varphi$ . Then,  $\varphi_2$  results from a clause C generated in step 4 of algorithm U (respectively, Step a in RES-SEND). In algorithm U, for each variable x, the markings " $x \leftarrow \alpha_i$ " in C are converted to a new variable that is existentially quantified immediately to the right of the quantification of the variables  $y_1, ..., y_r$ .  $\varphi_2$  is a result of ordering the quantifiers in a consistent manner to this rule (this process is done in steps 5–6 of algorithm U).

Step 4 of RES-SEND performs the same kind of replacement that algorithm U performs, but uses new function symbols instead of new quantified variables. Since each new quantified variable in  $\varphi_2$  is to the right of the variables on which it depends, and our new function uses exactly those variables as arguments, then Step 4 generates a clause C' from C that entails  $\varphi_2$ . Thus, the clauses added to  $\mathcal{A}_i$  by RES-SEND entail all the clauses generated by unskolemizing  $\varphi$  using U. From Theorem 3.1, these clauses entail all the sentences in  $\mathcal{L}(l(i,j))$ 

that are implied by  $\varphi$ .

To see that the result is still sound, notice that the set of clauses that we add to  $\mathcal{A}_i$  has the same consequences as  $\varphi$  in  $\mathcal{L}(l(i,j))$  (i.e., if we add those clauses to  $\mathcal{A}_j$  we get a conservative extension of  $\mathcal{A}_j$ ).

# A.5 LINEAR-PART-SAT is Sound and Complete

**Proof of Theorem 4.1.** For each partition  $A_i$ ,  $i \leq n$ , lines 1-3 perform the equivalent of finding all the models of  $A_i$  and storing their truth assignments to the symbols of  $\mathcal{L}(L(i))$  in  $T_i$ . (L(i)) specifies the columns, thus each row corresponds to a truth assignment.) This is equivalent to finding the implicates of the theory  $A_i$  in the sublanguage  $\mathcal{L}(L(i))$ . Thus, if  $A_i$  is the DNF of the set of implicates  $(\alpha_1(p_{j_1}, ..., p_{j_{l_i}}) \vee ... \vee \alpha_{a_i}(p_{j_1}, ..., p_{j_{l_i}}))$ , then  $T_i$  initially includes the set of models of  $A_i$  in the sublanguage  $\mathcal{L}(L(i))$ , namely,  $[\![A_i]\!]_{\mathcal{L}(L(i))}$ .

The natural join operation ( $\bowtie$ ) then creates all the consistent combinations of models from  $[\![A_i]\!]_{\mathcal{L}(L(i))}$  and  $[\![A_j]\!]_{\mathcal{L}(L(j))}$ . This set of consistent combinations is the set of models of  $A_i \cup A_j$ . Thus,  $T_i \bowtie T_j \equiv [\![(A_i \cup A_j)]\!]_{\mathcal{L}(L(i) \cup L(j))}$ .

Finally, the *projection* operation restricts the models to the sublanguage  $\mathcal{L}(L(i))$ , getting rid of duplicates in the sublanguage. This is equivalent to finding all the implicates of  $A_i \wedge A_j$  in the sublanguage  $\mathcal{L}(L(i))$ . Thus,  $\pi_{L(i)}(T_i \bowtie T_j) \equiv [\{\varphi \in \mathcal{L}(L(i)) \mid A_i \cup A_j \models \varphi\}]_{\mathcal{L}(L(i))}$ .

To see that the algorithm is sound and complete, notice that the it does the analogous operations to our forward message-passing algorithm MP (Figure 3). We break the cycles in  $G_0$  (creating G) and perform forward reasoning as in MP, using the set of implicates instead of online reasoning in each partition: instruction 2b in MP is our projection (" $A_i \models \varphi$  and  $\varphi \in \mathcal{L}(l(i,j))$ ") and then join ("add  $\varphi$  to the set of axioms of  $A_j$ "). Since  $T_i \bowtie T_j \equiv [(A_i \cup A_j)]]_{\mathcal{L}(L(i) \cup L(j))}$ , joining corresponds to sending all the messages together. Since  $\pi_{L(i)}(T_i \bowtie T_j) \equiv [\{\varphi \in \mathcal{L}(L(i)) \mid A_i \cup A_j \models \varphi\}]]_{\mathcal{L}(L(i))}$ , projection corresponds to sending only those sentences that are allowed by the labels.

By Theorem 2.7, LINEAR-PART-SAT is sound and complete for satisfiability of  $\mathcal{A}$ .

**Proof of Lemma 4.2.** Let  $\mathcal{A}$  be a partitioned propositional theory with n partitions. Let m be the total number of propositional symbols in  $\mathcal{A}$ , L(i) the set of propositional symbols calculated in step 2 of LINEAR-PART-SAT, and  $m_i$  the number of propositional symbols mentioned in  $\mathcal{A}_i \setminus L(i)$   $(i \leq n)$ . Let us examine procedure LINEAR-PART-SAT (Figure 12) step by step, computing the time needed for computation.

Computing the intersection graph takes  $O(a*k^2)$  time, where k is the number of propositional symbol in each axiom (for 3SAT, that is 3), because we check and add  $k^2$  edges to  $G_0$  for each axiom.

BREAK-CYCLES' loop starts by finding a minimal-length cycle, which takes time O(n) (BFS traversal of n vertices). Finding the optimal a in line 2 takes time O((c\*m)\*c), where c is the length of the cycle found (union of two labels takes at most O(m) time). Finally, since a tree always satisfies |E| = |V| - 1, breaking all the cycles will require us to remove |E| - (|V| - 1) edges. Thus, the loop will run |E| - (|V| - 1) times (assuming the graph  $G_0$  is connected). An upper bound on this algorithm's performance is then  $O(n^2*(n^2*m)) = O(n^4*m)$  (because  $c \le n$  and and  $|E| \le |V|^2 = n^2$ ).

Step 2 of LINEAR-PART-SAT takes time O(n\*m), since there are a total of n-1 edges in the graph G (G is a tree with n vertices) and every label is of length at most m.

Checking the truth assignments in step 3 takes time  $\sum_{i=1}^{n} 2^{|L(i)|}$  per satisfiability check of  $\mathcal{A}_i \cup A$ , because there are  $2^{|L(i)|}$  truth assignments for each  $i \leq n$ . Since  $\mathcal{A}_i \cup A$  has only  $m_i$  free propositional variables, (A is an assignment of truth values to |L(i)| variables),  $\mathcal{A}_i \cup A$  is reducible (in time O(|A|)) to a theory of smaller size with only  $m_i$  propositional variables. If the time needed for a satisfiability check of a theory with m variables is  $O(f_{SAT}(m))$ , then the time for step 3 is

$$O(\sum_{i=1}^{n} (2^{|L(i)|} * f_{SAT}(m_i)))$$

Finding the relation  $\prec$  takes O(n) as it is easily generated by a BFS through the tree.

Instruction 5 performs a projection and join, which takes time  $O(2^{|L(i)|})$  (the maximal size of the table). Since the number of iterations over  $i \leq n$  and j being a child of i is n-1 (there are only n-1 edges), we get that the total time for this step is  $O(\sum_{i=1}^{n} 2^{|L(i)|})$ .

Summing up, the worst-case time used by the algorithm is

$$\begin{split} & Time(n,m,m_1,...,m_n,a,k,L(1),...,L(n)) = \\ & O(a*k^2 + n^4*m + n*m + \\ & \sum_{i=1}^n (2^{|L(i)|}*f_{SAT}(m_i)) + n + \sum_{i=1}^n 2^{|L(i)|}) = \\ & O(a*k^2 + n^4*m + \sum_{i=1}^n (2^{|L(i)|}*f_{SAT}(m_i))). \end{split}$$

We can reduce the second argument (in the last formula) from  $n^4 * m$  to n \* m, if the intersection graph  $G_0$  is already a tree.

#### A.7 Time Complexity for SPLIT

**Proof of Proposition 5.1.** Finding a minimum vertex separator R in G takes time  $O(c*|V|^{\frac{3}{2}}*|E|)$ . Finding a minimum separator that does not include s is equivalent to having s be the only source with which we check connectivity (in Even's algorithm). Thus, this can be done in time  $O(|V|^{\frac{3}{2}}*|E|)$ . Finding a minimum separator that separates s from t takes time  $O(|V|^{\frac{1}{2}}*|E|)$ . In the worst case, each time we look for a minimum s-separator (t=nil), we get a very small partition, and a very large one. Thus, we can apply this procedure O(|V|) times. Summing up the time taken for each application of the procedure yields  $O(|V|*|V|^{\frac{3}{2}}*|E|+c*|V|^{\frac{3}{2}}*|E|) = O(|V|^{\frac{5}{2}}*|E|)$ .

## Acknowledgements

We wish to thank Mike Genesereth, John McCarthy, Leora Morgenstern, Nils Nilsson, and Mark Stickel for helpful comments on this work and for interesting discussions on general topics related to this work. We also wish to thank Rada Chirkova, Tom Costello and Jörg Denzinger for helpful comments on earlier papers describing this work. This research was supported in part by DARPA grant N66001-97-C-8554-P00004 and by AFOSR grant AF F49620-97-1-0207.

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