

Interpolation and Total Search Problems

Noah Fleming
University of California, San Diego

Last Time

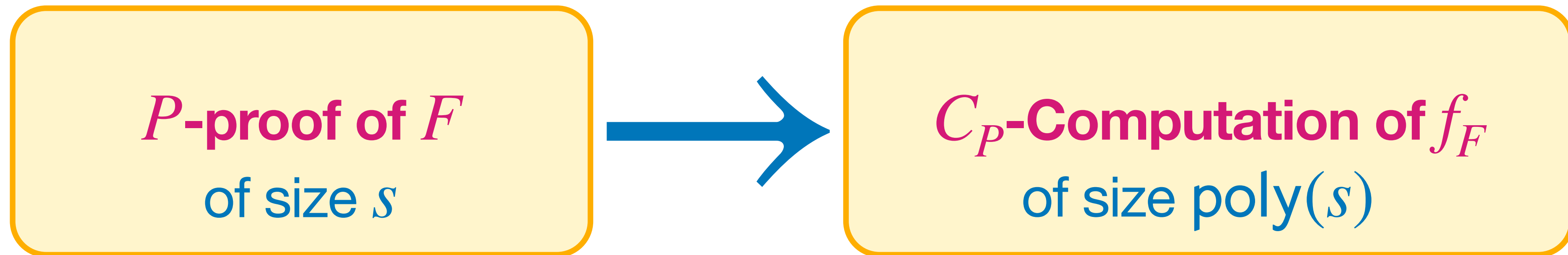
Interpolation

For many (all?) proof systems P it is possible to relate their complexity to the complexity of circuits in some associated model C_P of **monotone** computation

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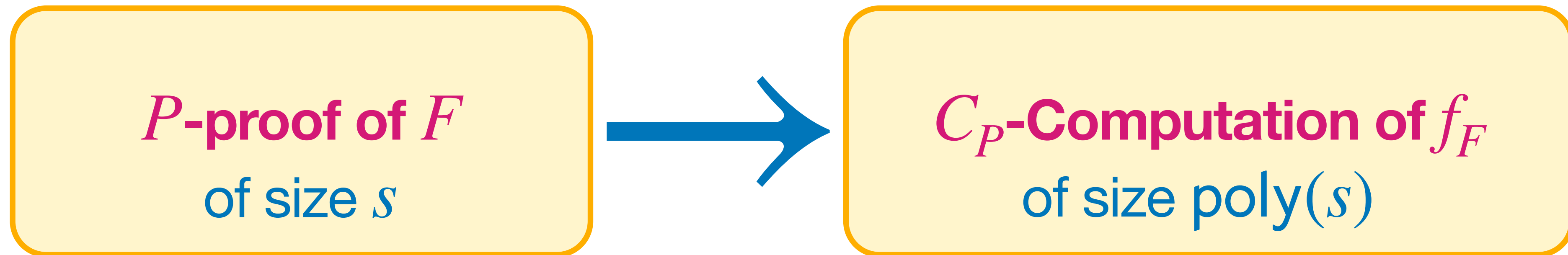


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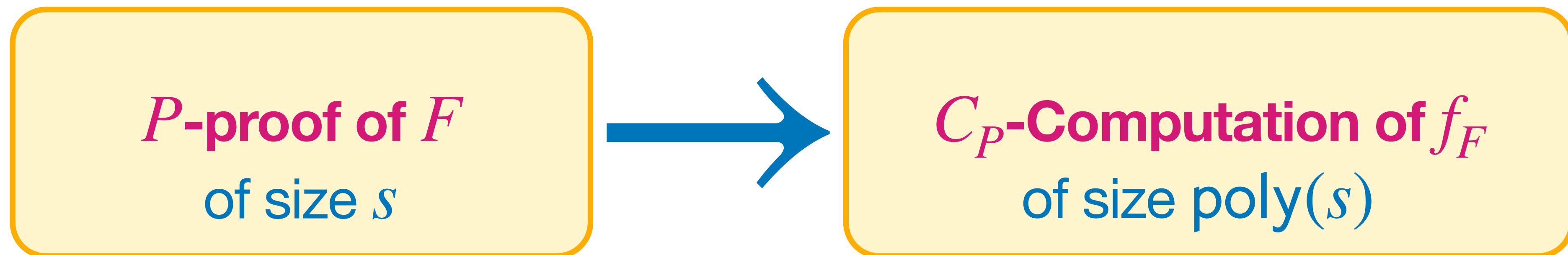
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$$F(x, y, z) = A(x, z) \wedge B(y, z)$$

Where A, B are CNF and all z variables occur **positively** in A

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The Function Computed

Let $\alpha \in \{0,1\}^y$ be any assignment to $y \implies A(x, \alpha)$ or $B(y, \alpha)$ is unsatisfiable

Define monotone “**interpolant**” function
$$I_F(z) = \begin{cases} 0 & \text{if } A(x, \alpha) \text{ is satisfiable} \\ 1 & \text{if } B(y, \alpha) \text{ is satisfiable} \end{cases}$$

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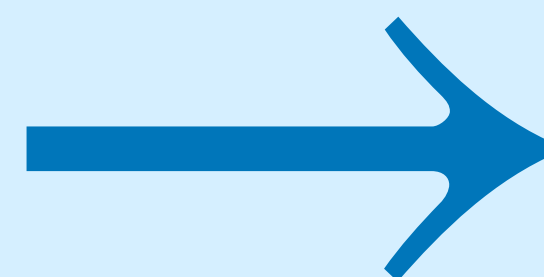
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Interpolation for CP [P97]: Let F be a split formula

CP proof of F
of size s



Monotone Real Circuit
computing I_F
of size $\text{poly}(s)$

Today: Interpolation for any Formula

Goal for today: remove the “split” assumption!

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Take a different view of the connection between proofs and circuits

→ Proofs and circuits as computations of search problems

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Given $x \in I$, output $y \in O$ such that $(x, y) \in S$

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Search Problem: A relation $S \subseteq I \times O$

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e.g.

Falsified Clause search problem: for an unsatisfiable CNF

$F = C_1 \wedge \dots \wedge C_m$, given $x \in \{0,1\}^n$, output $i \in [m]$ such that $C_i(x) = 0$

Today: Interpolation for any Formula

First, we will characterize the complexity of **circuits** and **proofs** in terms of the complexity of **search problems**

Karchmer-Wigderson

The Karchmer-Wigderson search problem **characterizes** models of monotone circuit complexity

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Monotone Karchmer-Wigderson: $\text{mKW}_f \subseteq f^{-1}(1) \times f^{-1}(0) \times \{0,1\}^n$

Given $x \in f^{-1}(1)$, $y \in f^{-1}(0)$ output $i \in [n]$ such that $x_i = 1$ and $y_i = 0$

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Intuition: any monotone circuit computing f must differentiate between 0-inputs (y) and 1-inputs (x)

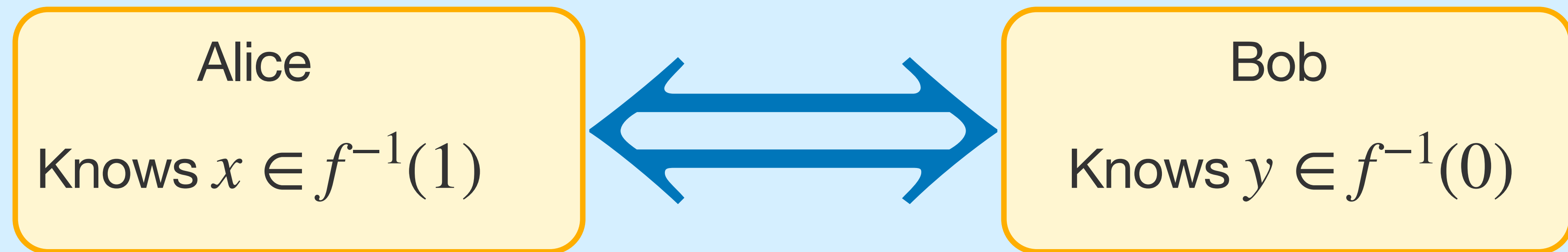
Karchmer-Wigderson and Circuits

The communication complexity of mKW will characterize monotone formulas

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Communication Complexity: Two players

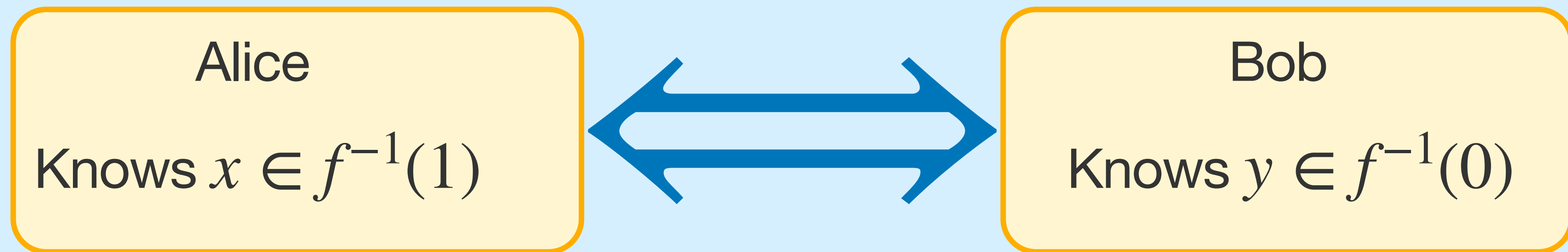


Communicate bits of information to find a solution $i \in [m]$ such that $(x, y, i) \in \text{mKW}_f$

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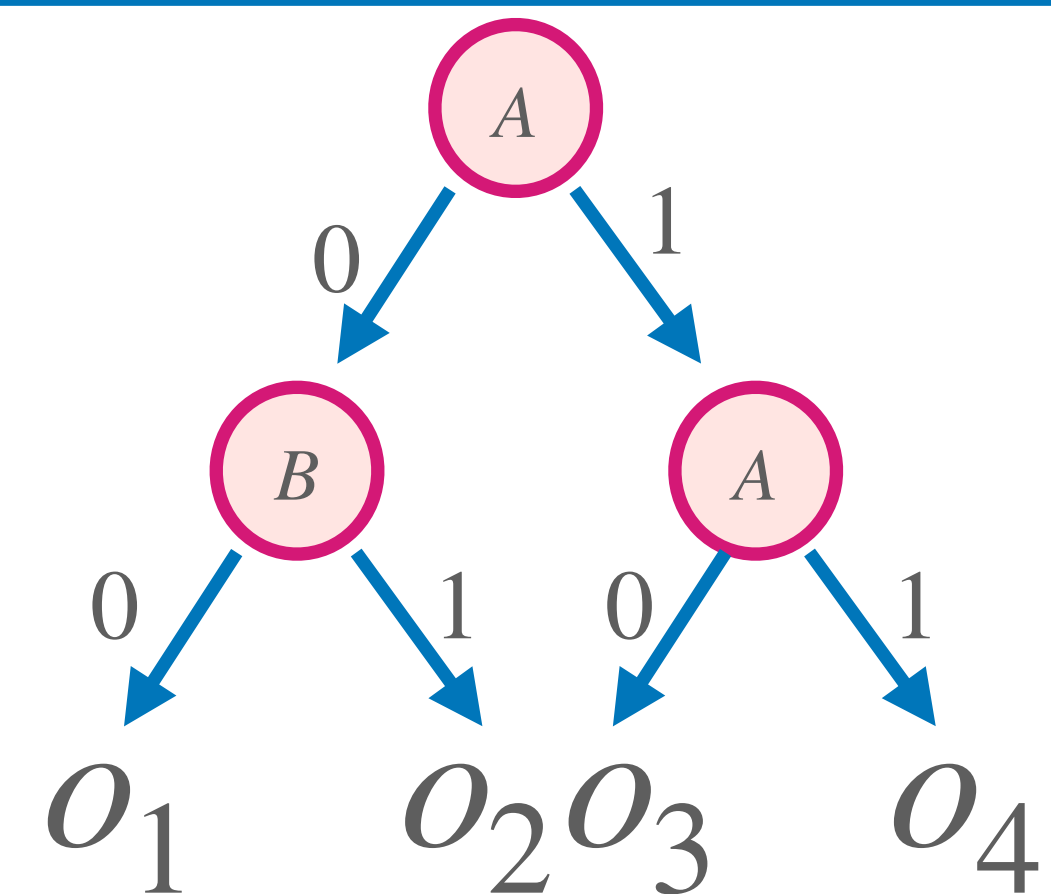
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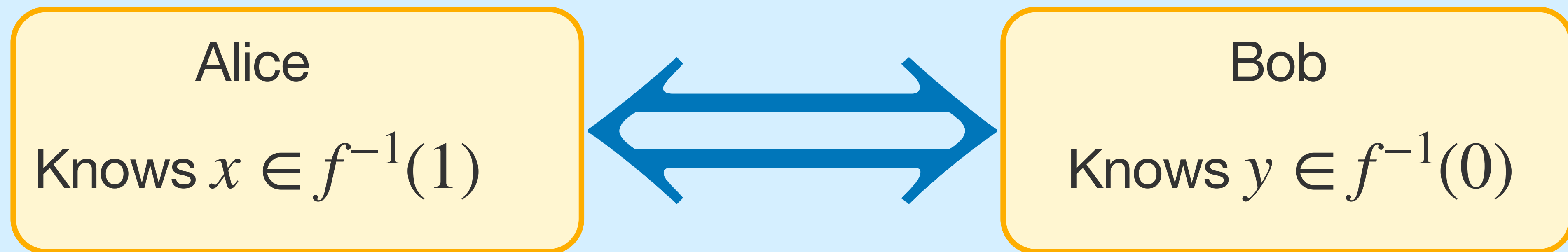
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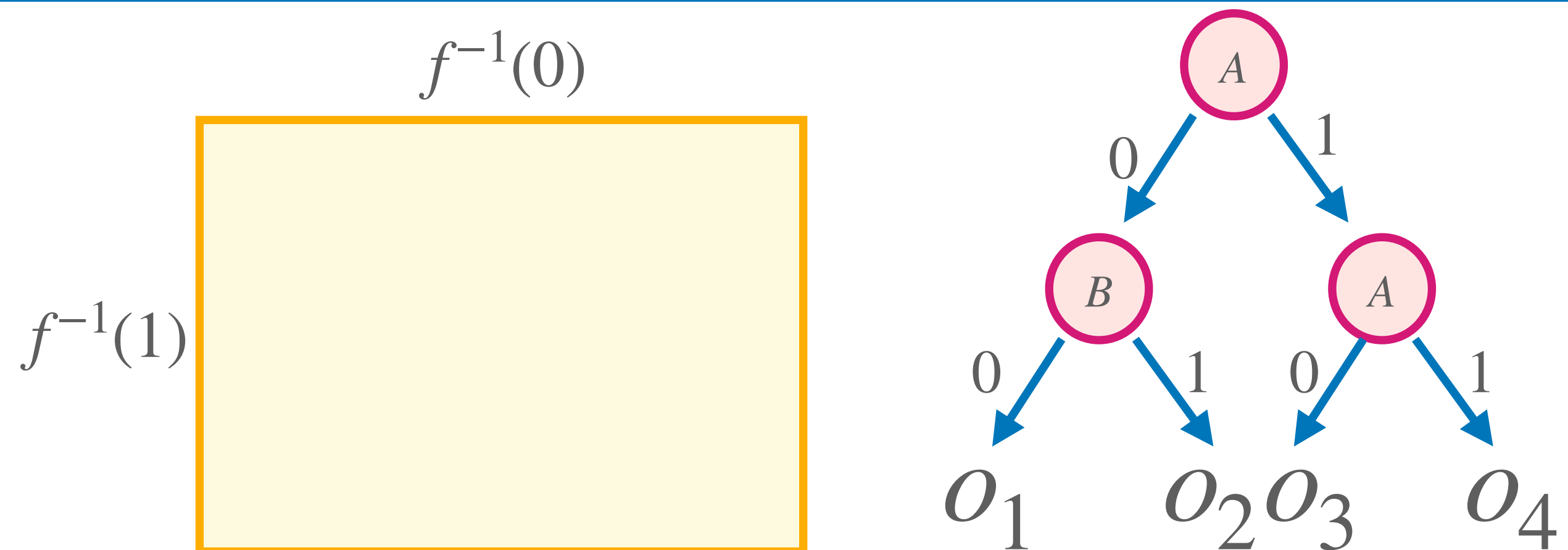
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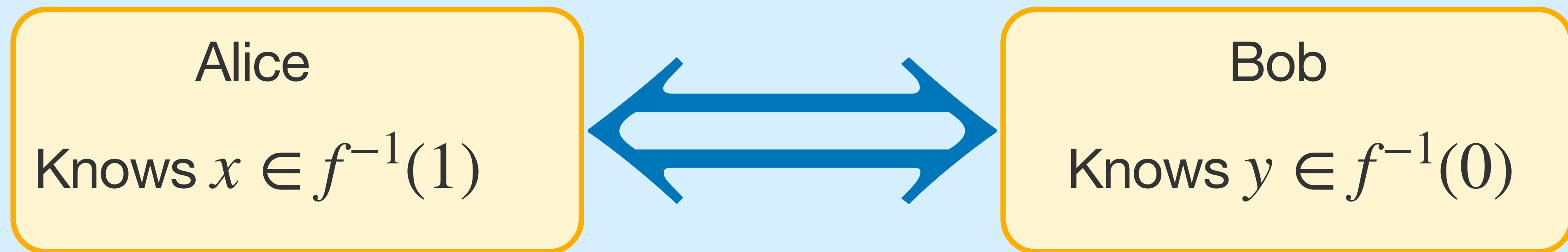
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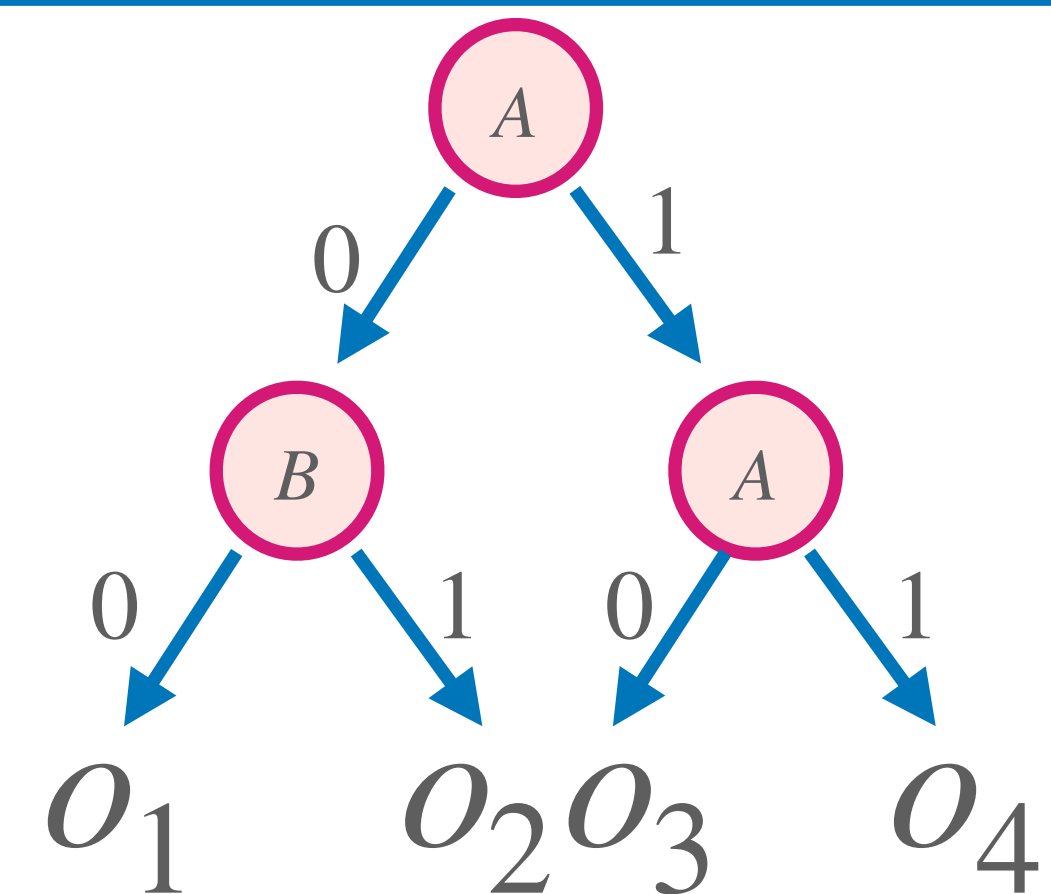
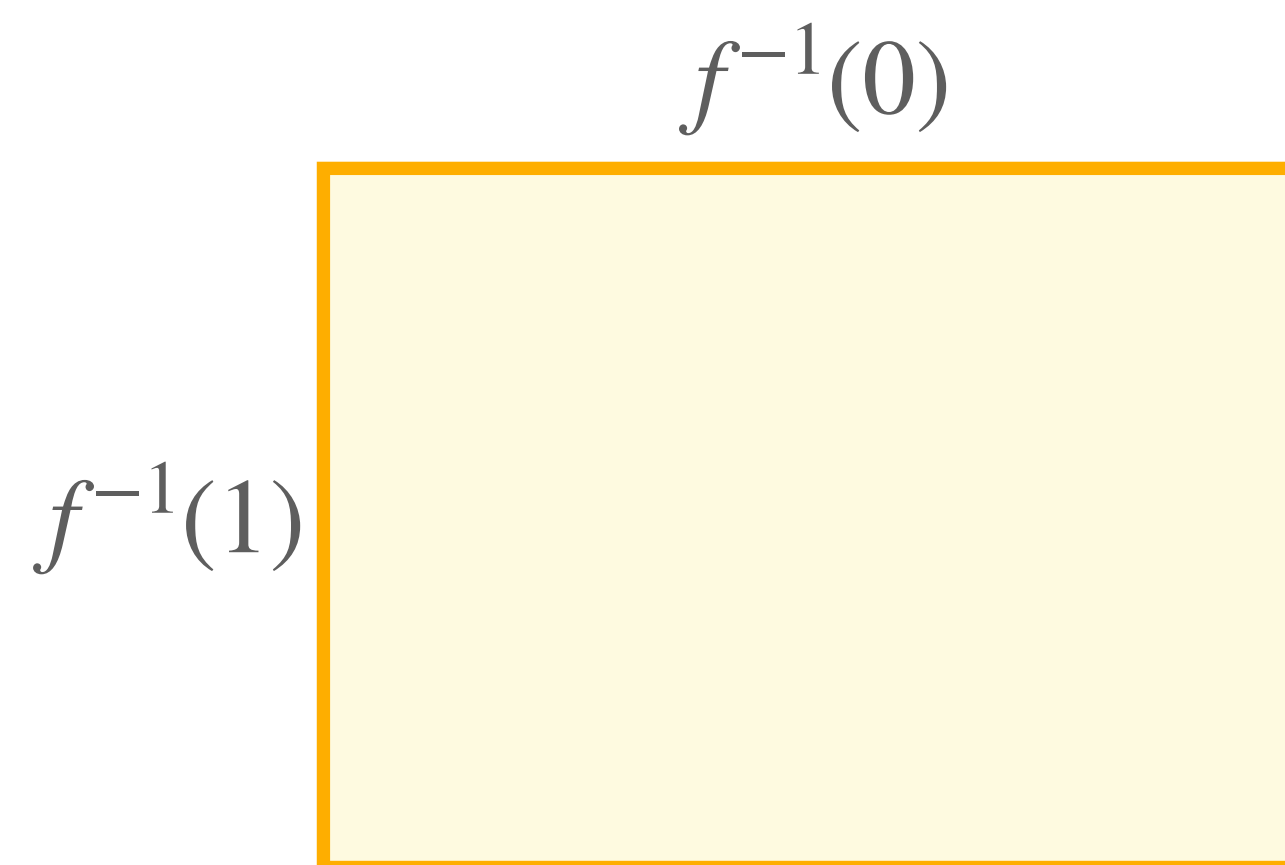


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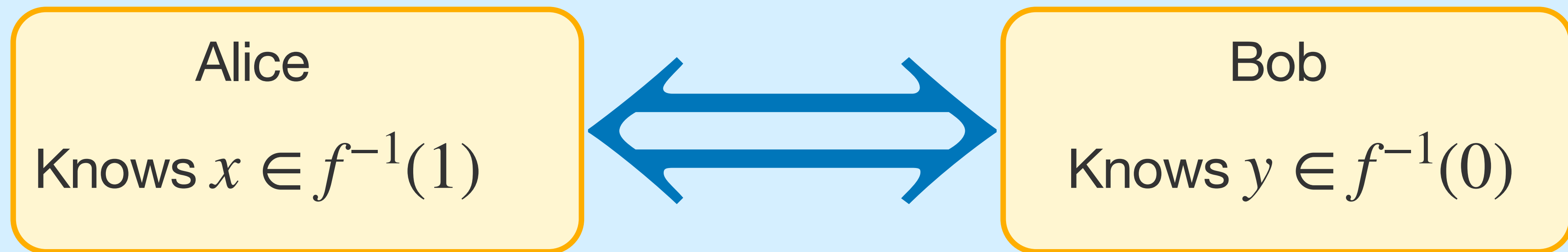
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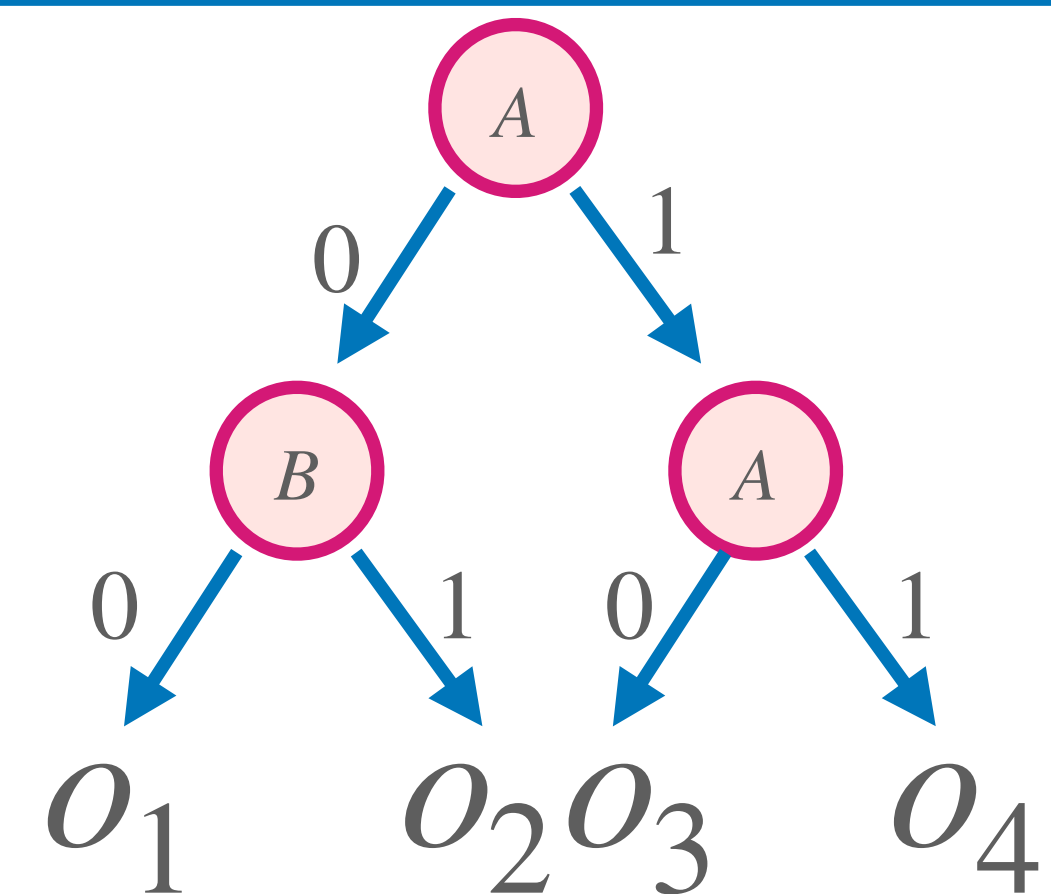
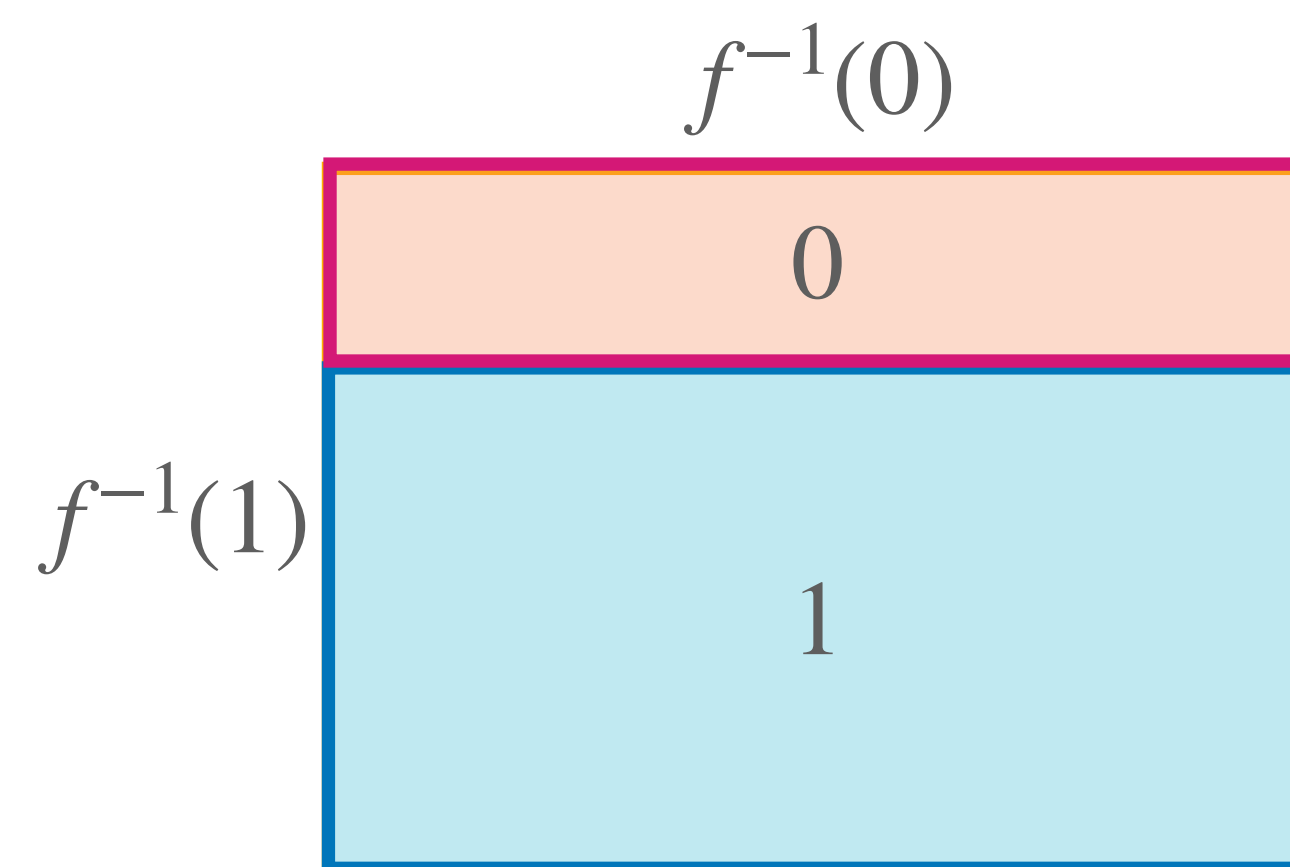


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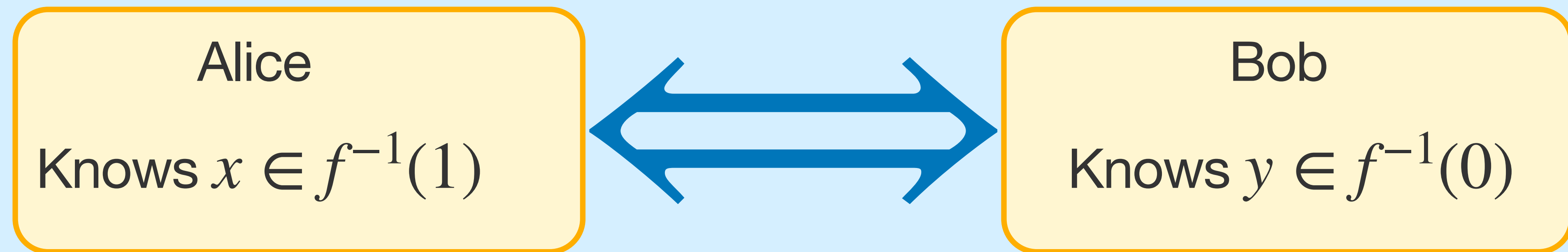
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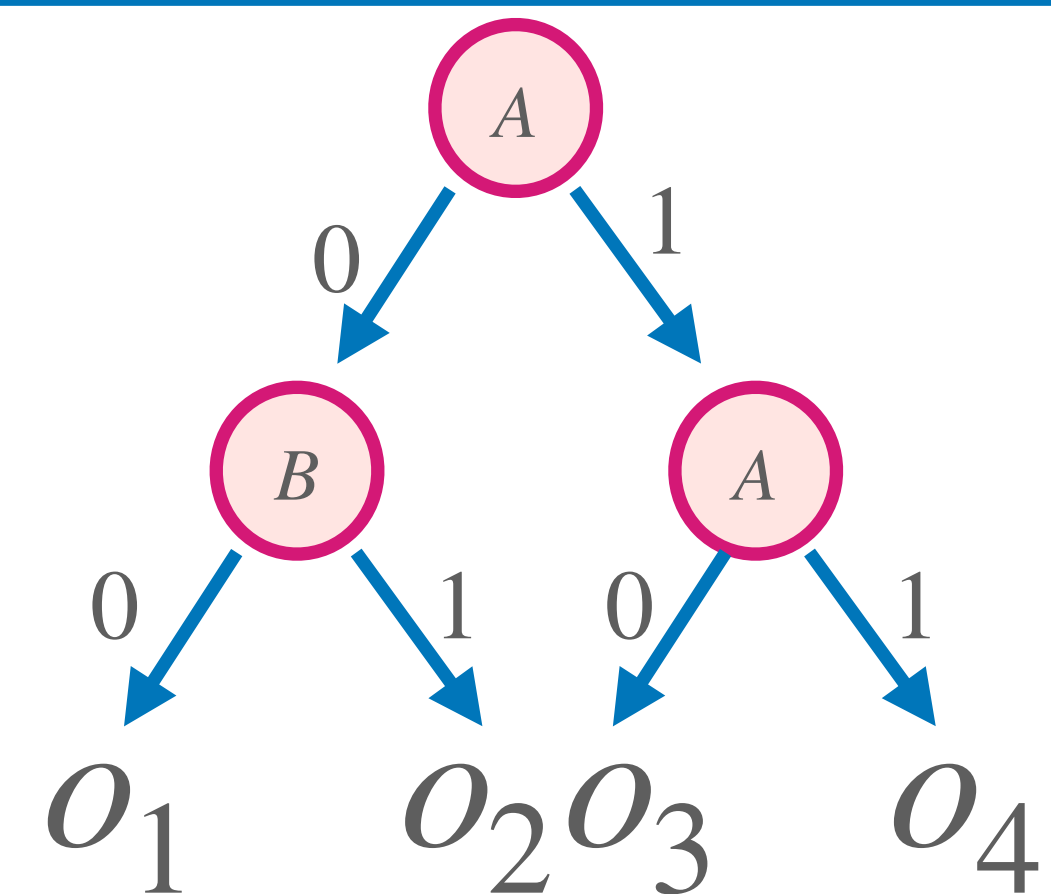
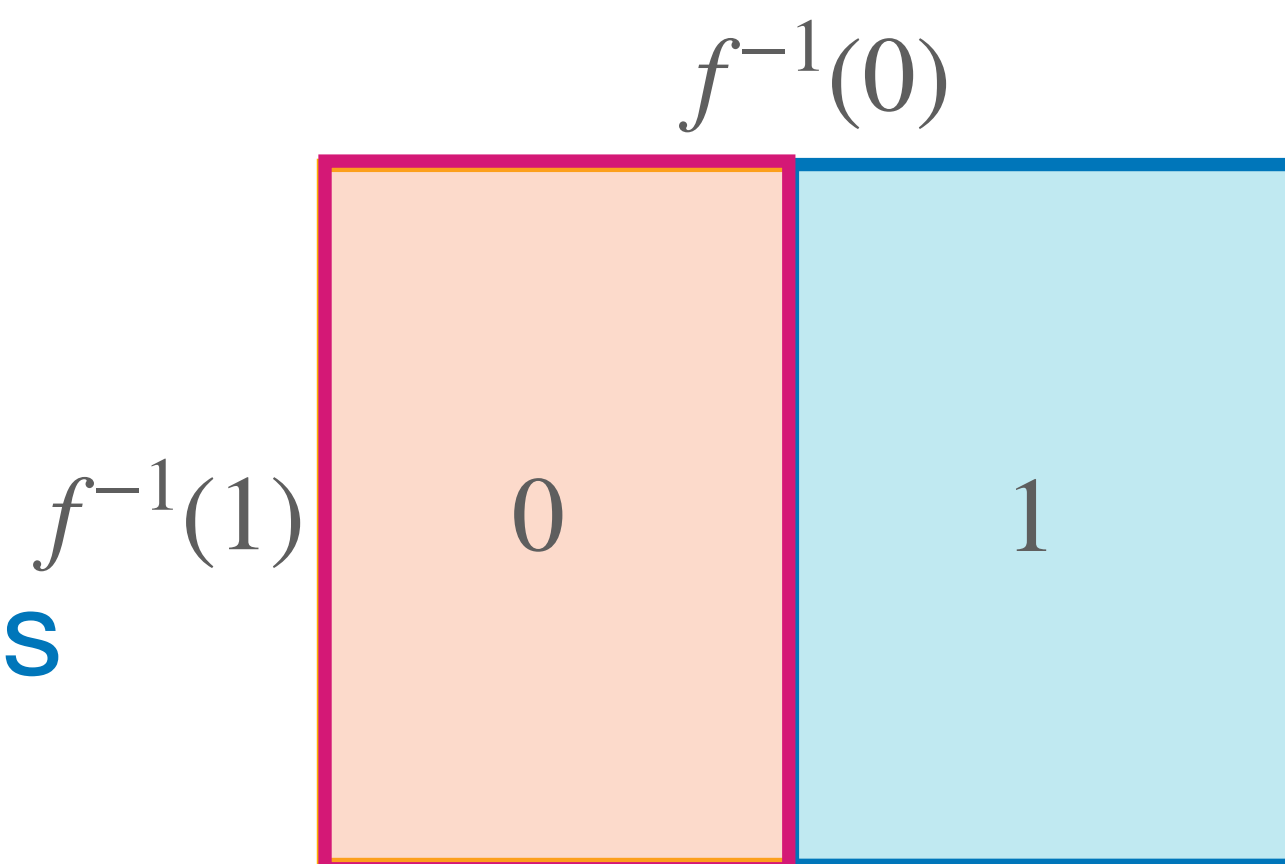
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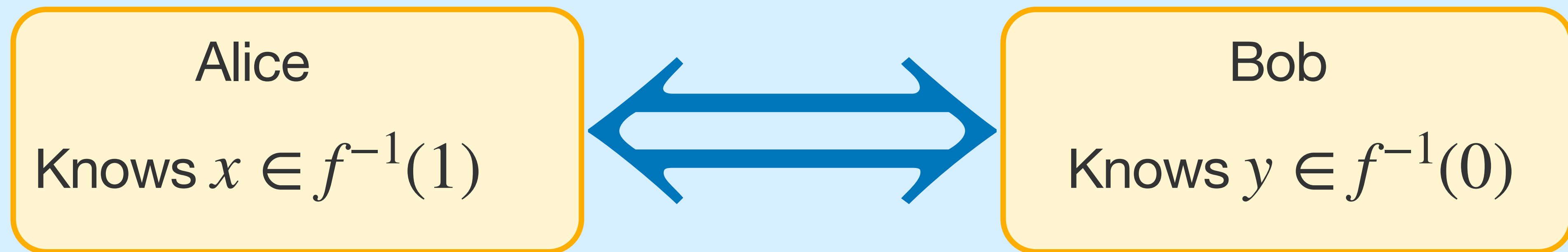
If **Bob** sends a bit, partitions **columns**



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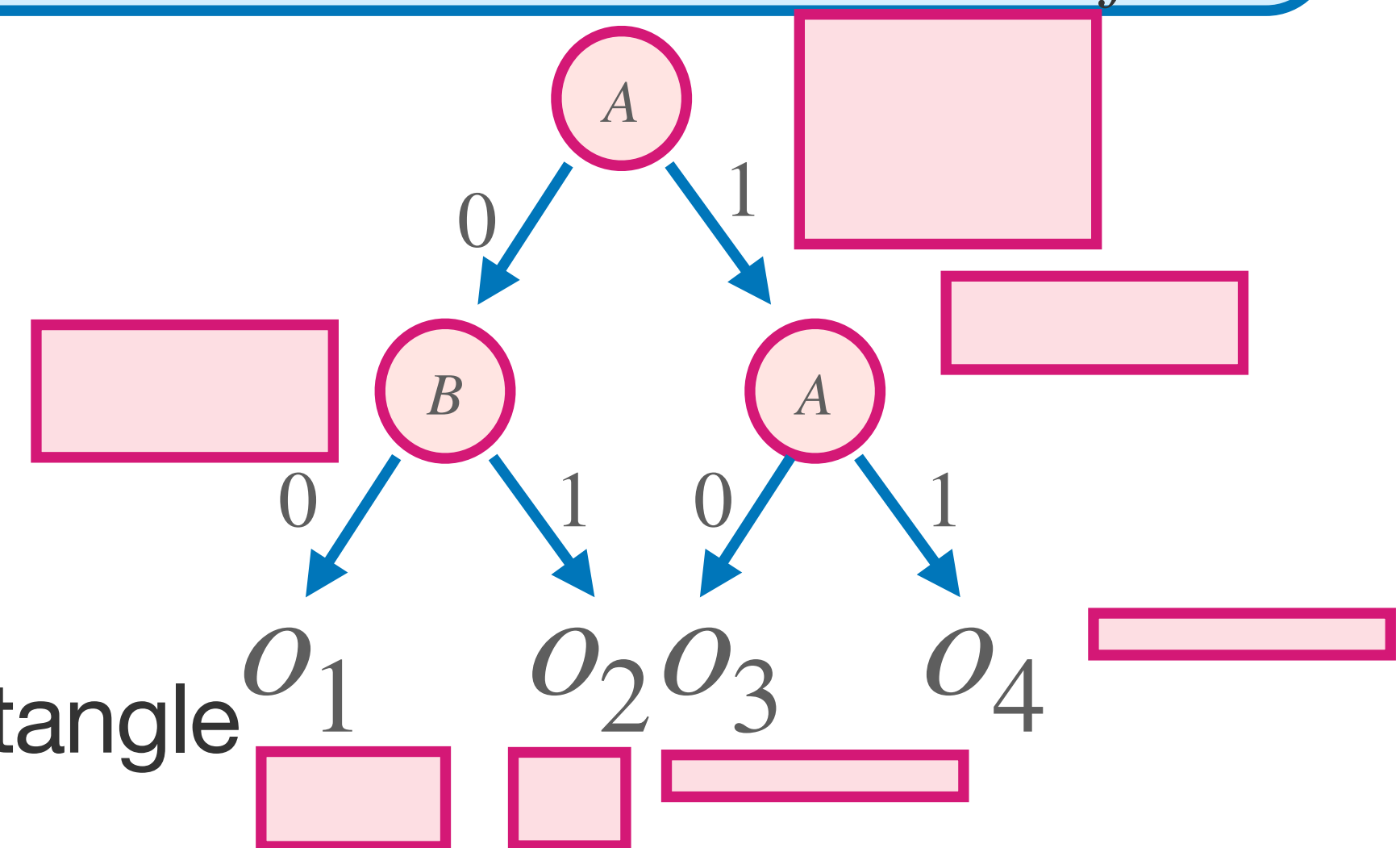
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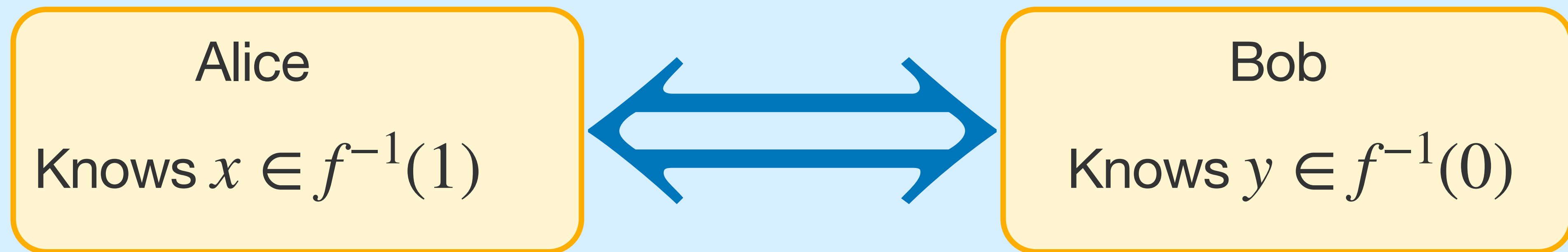
\Rightarrow Every node in the protocol tree is associated with a rectangle



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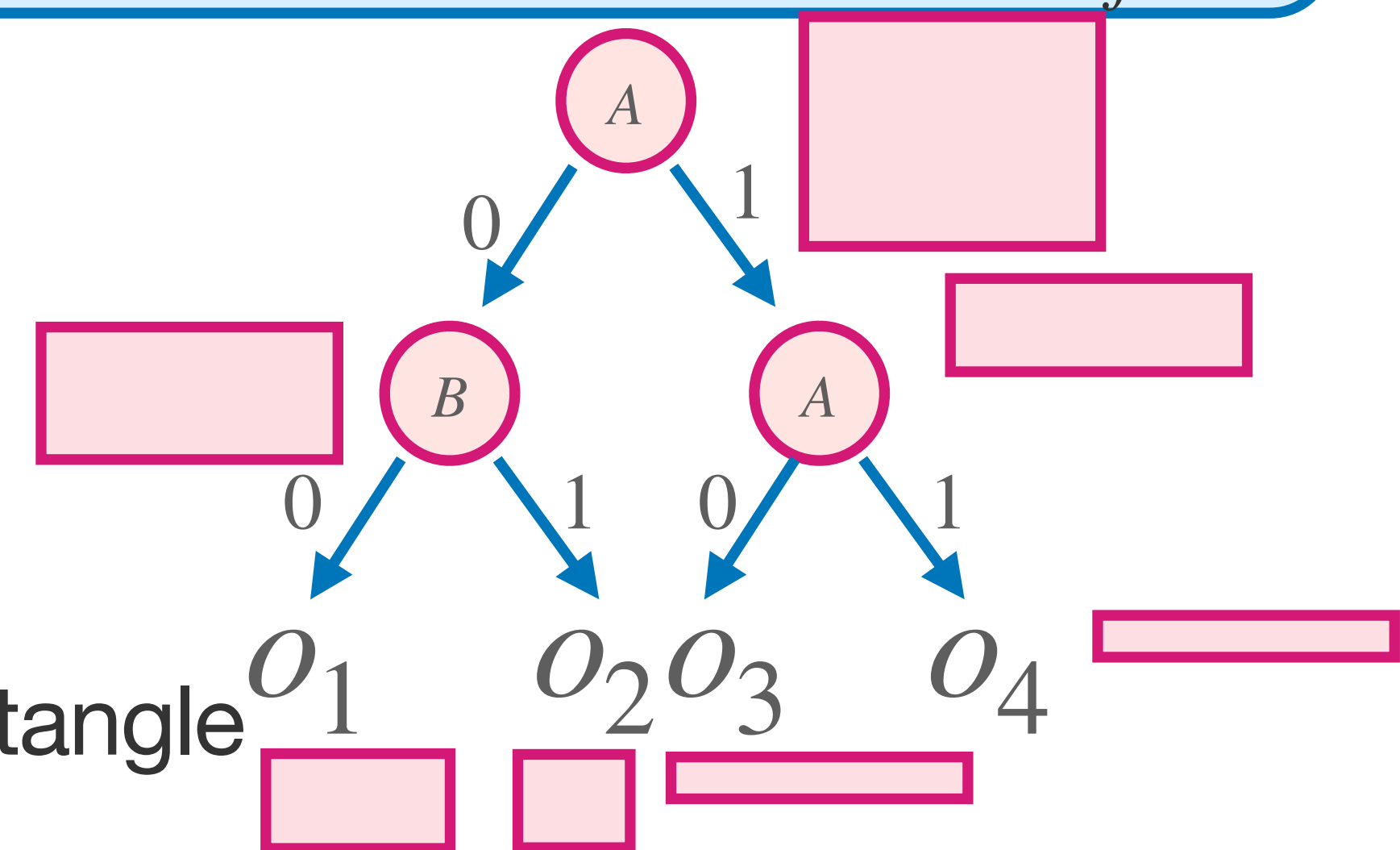
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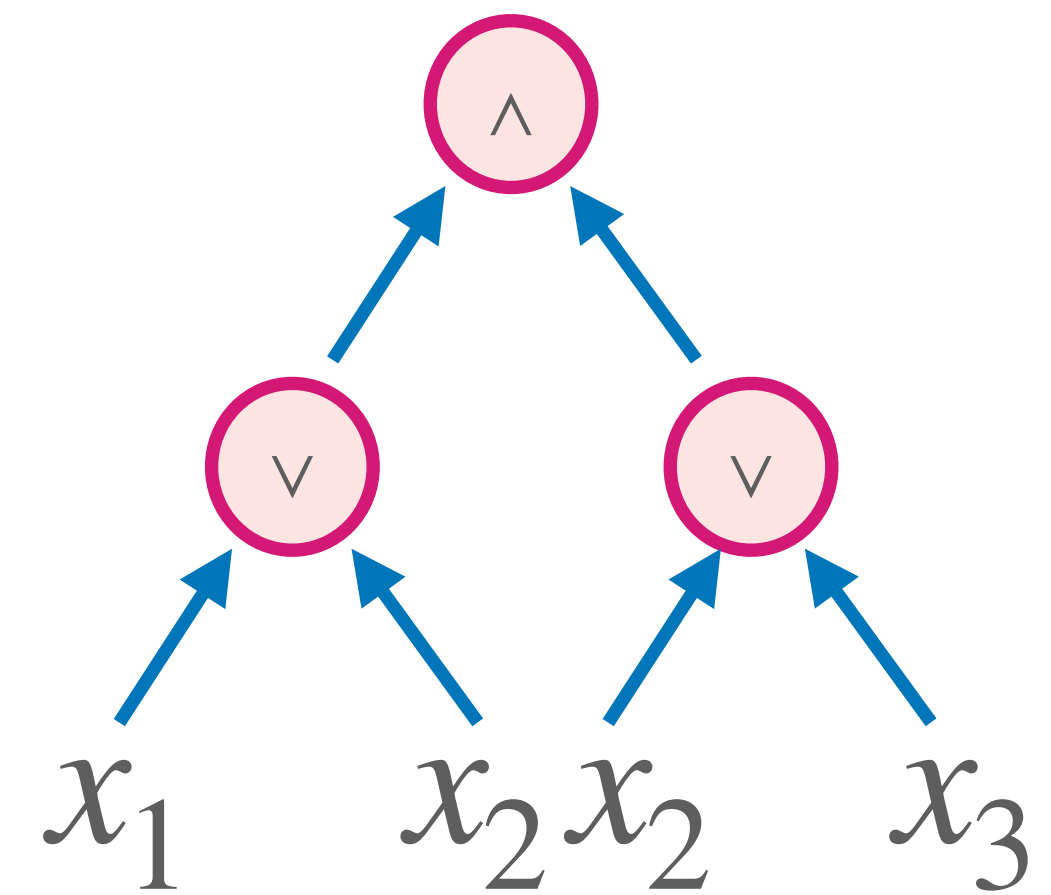
→ Leaves are **monochromatic rectangles**



Karchmer-Wigderson and Circuits

Monotone Formula: A tree-like circuit using only \wedge and \vee gates

→ Can only compute monotone functions



Karchmer-Wigderson and Circuits

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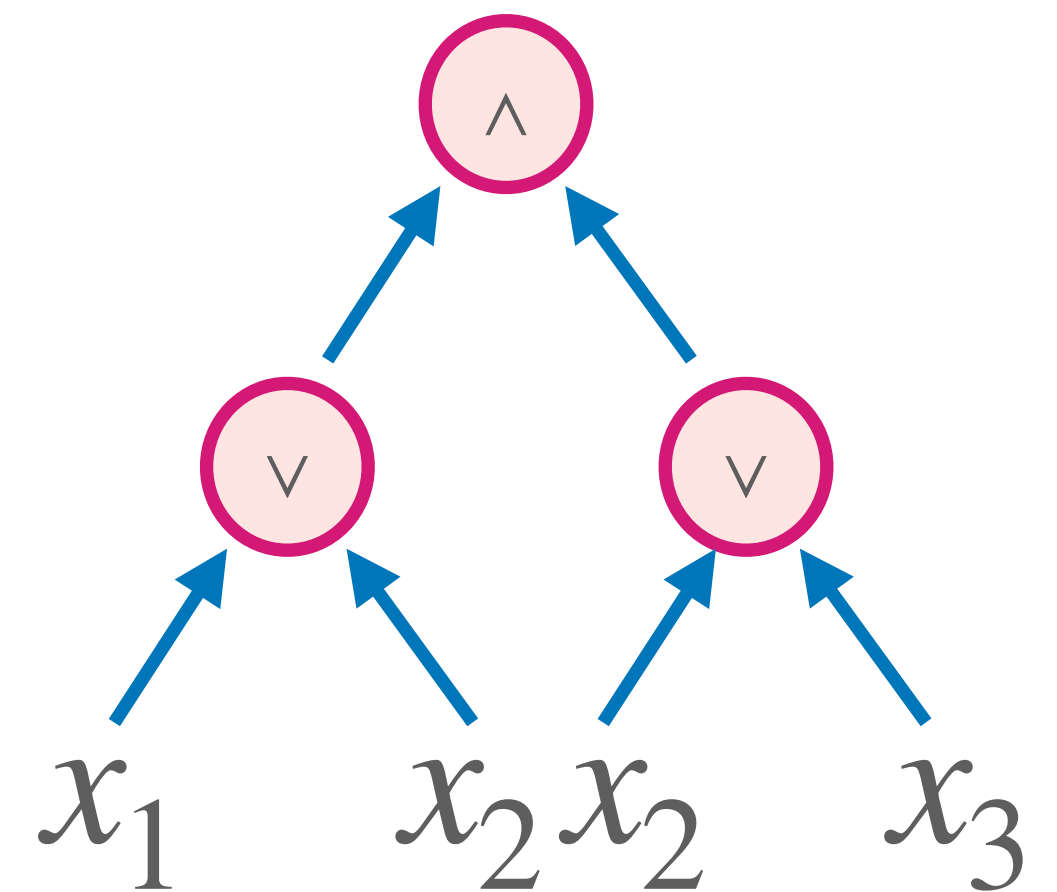
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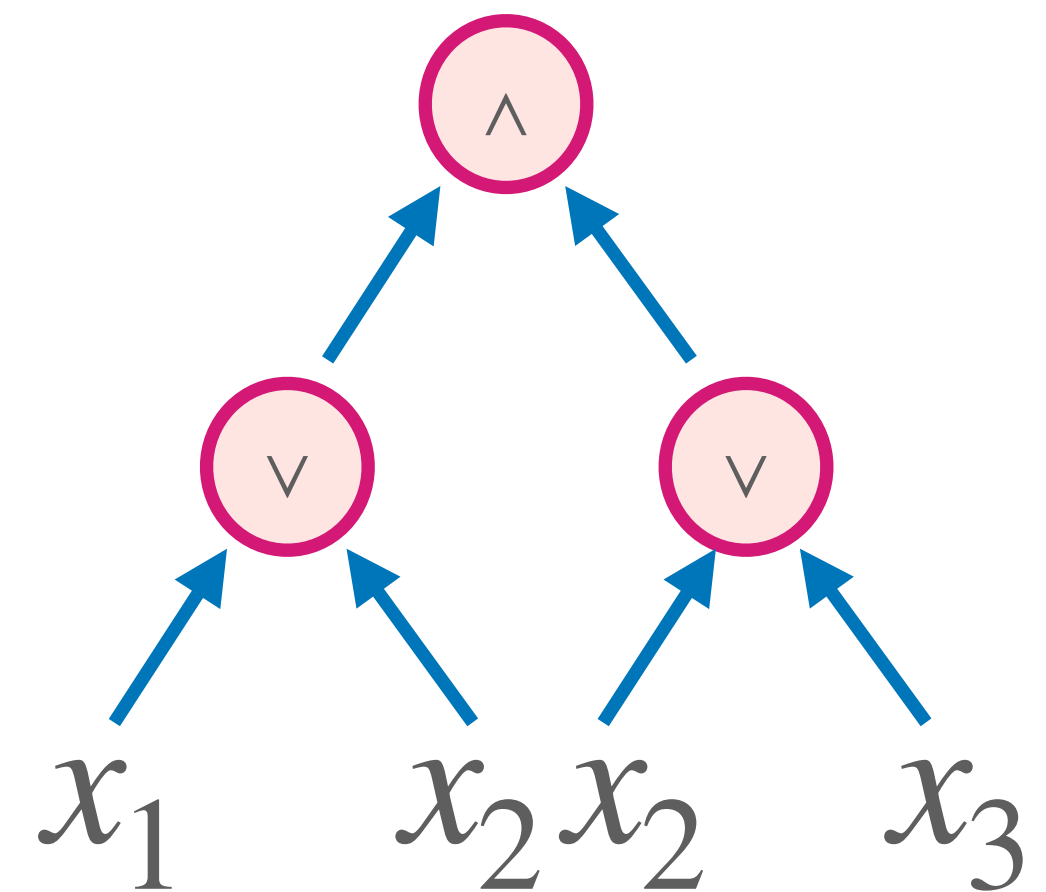
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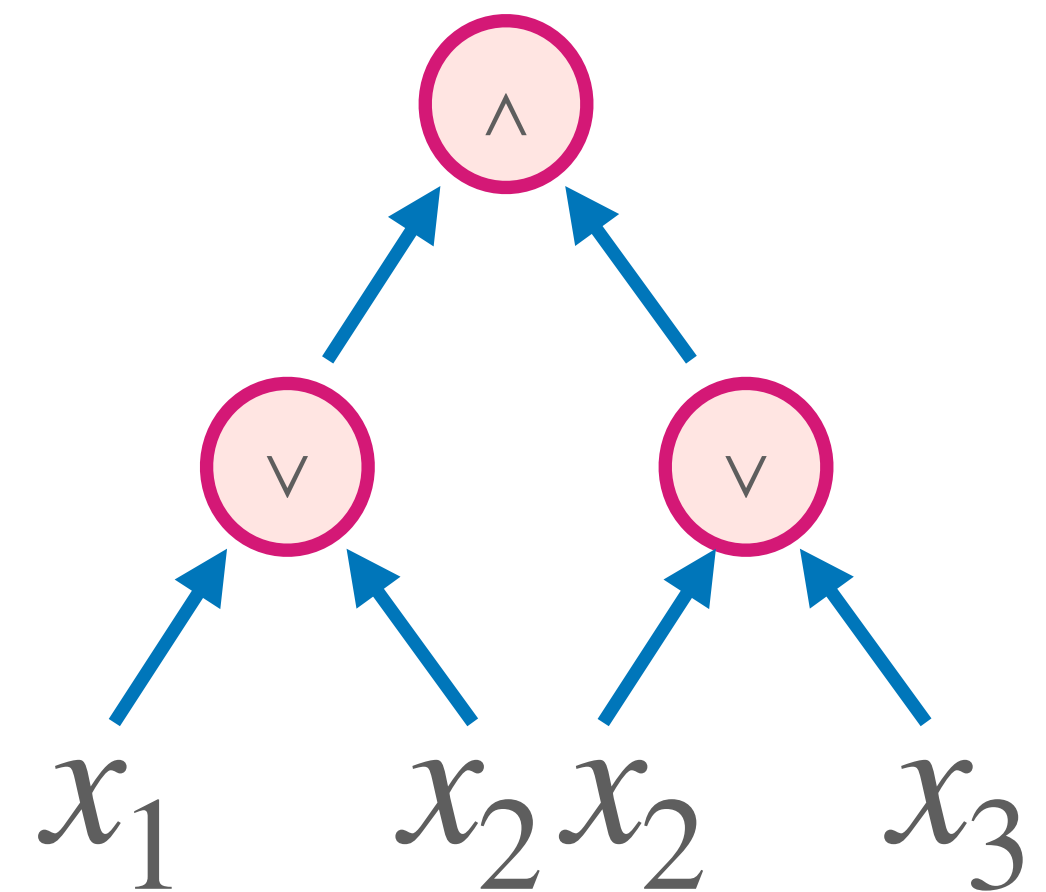
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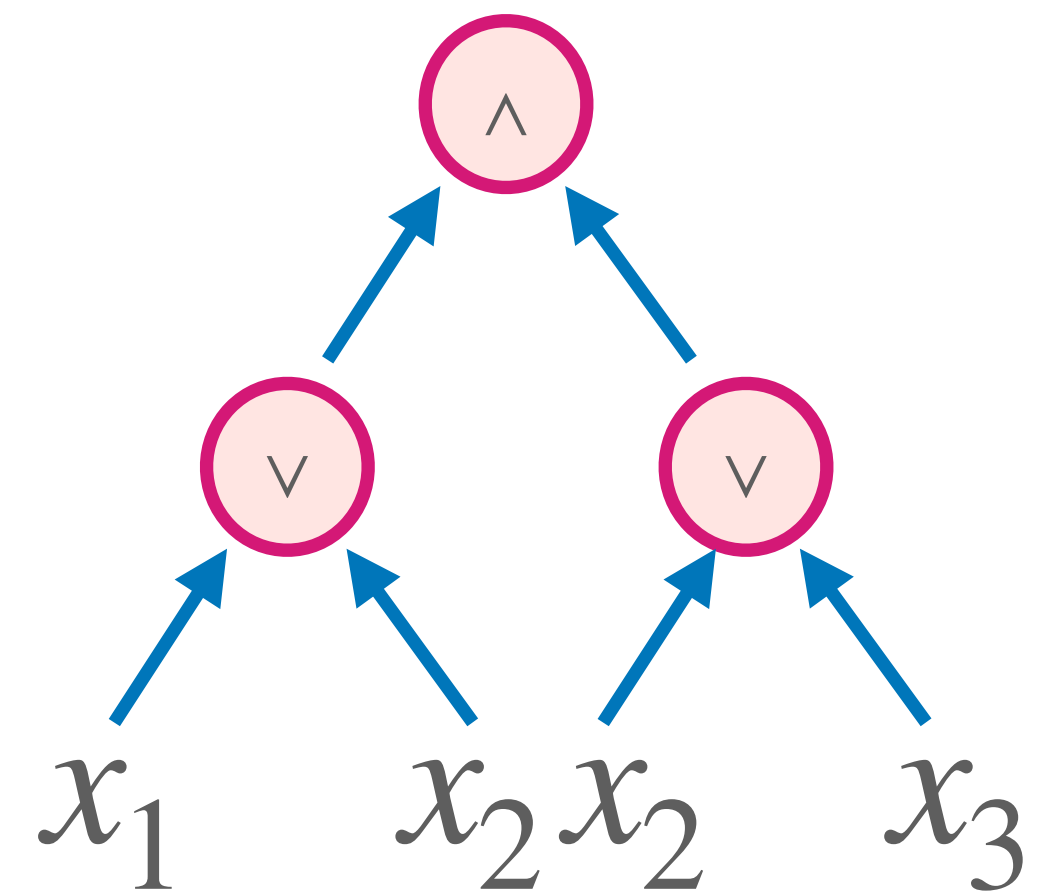
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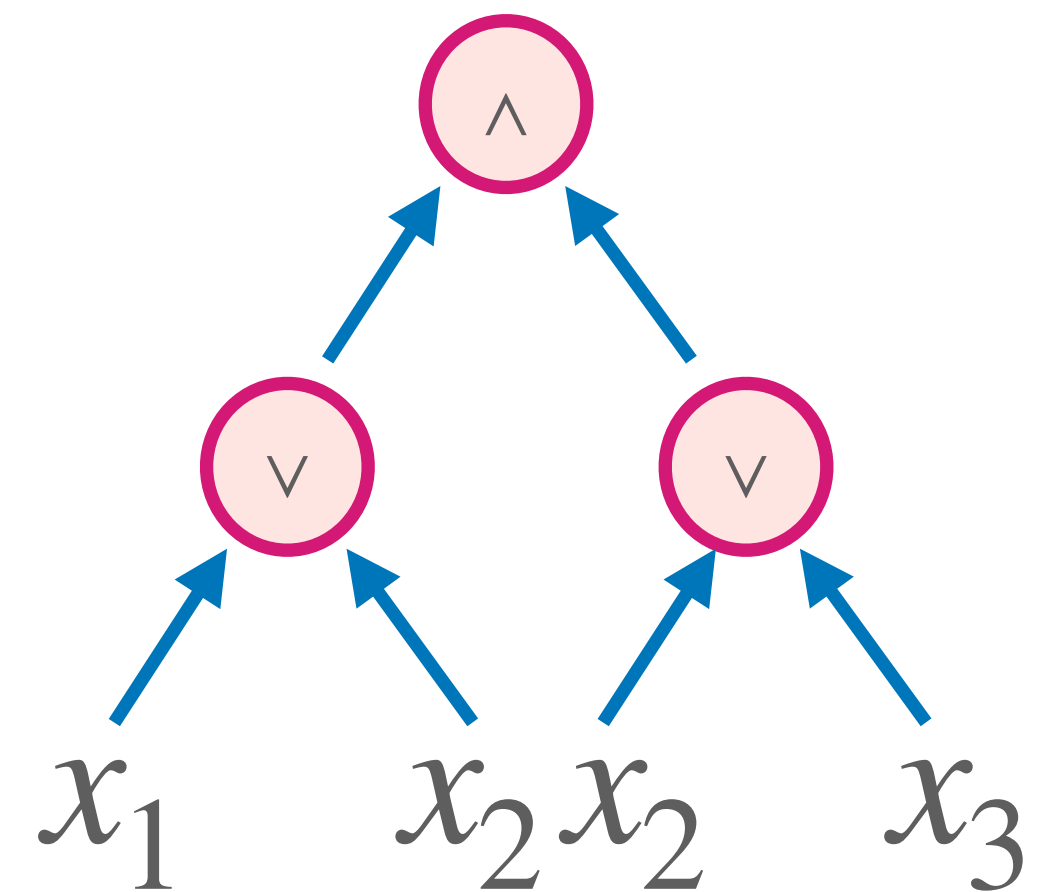
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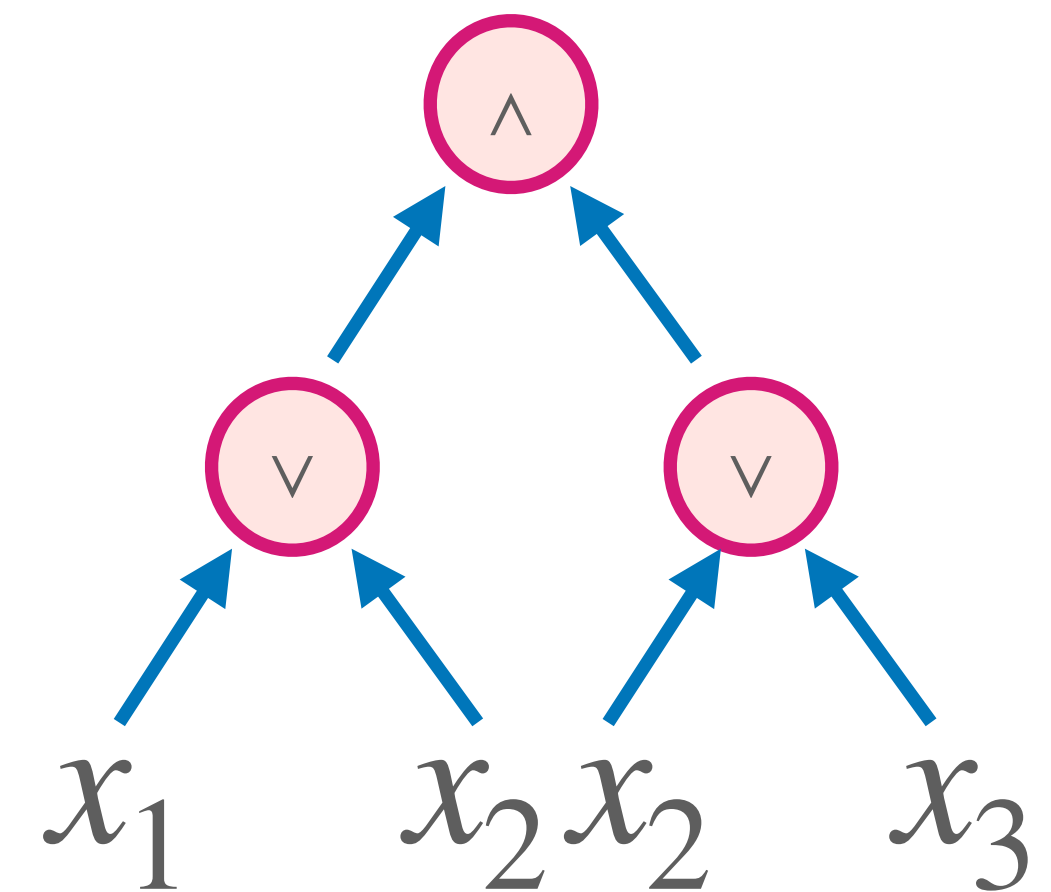
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- \wedge : Bob sends 0 if $C_{u_1}(y) = 0$, 1 o.w. ($C_{u_2}(y) = 0$)



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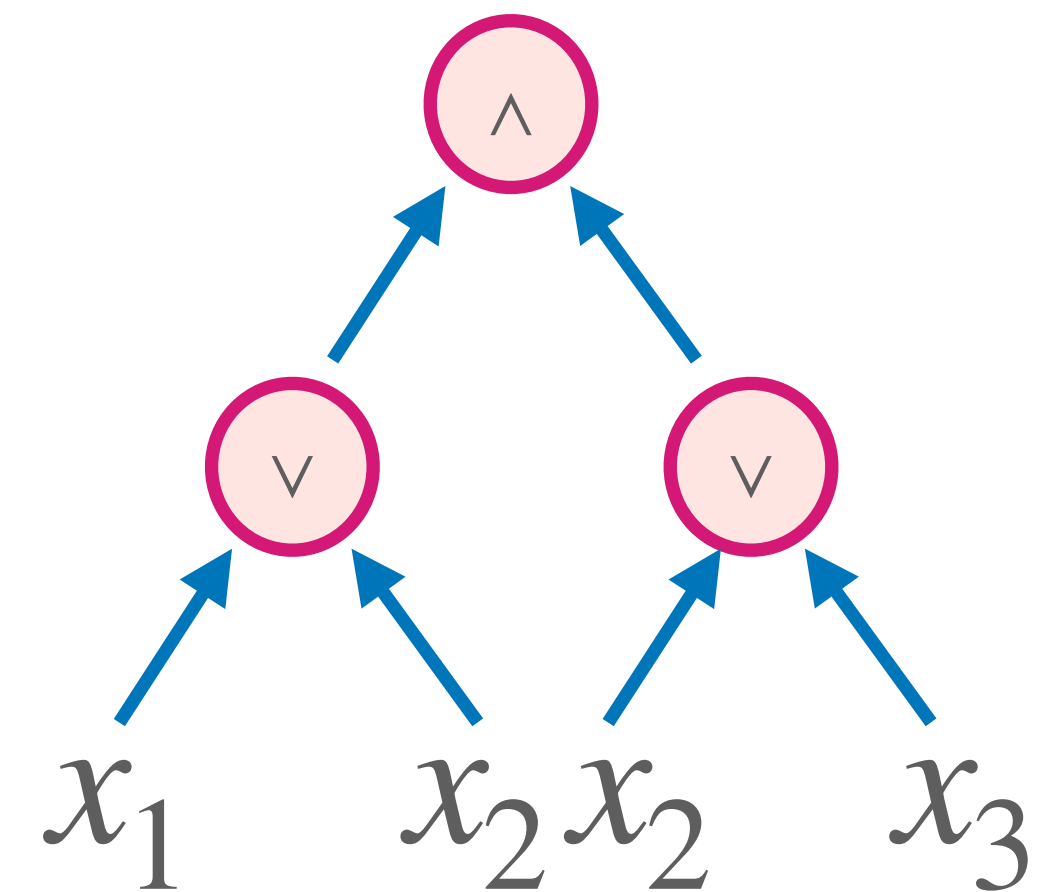
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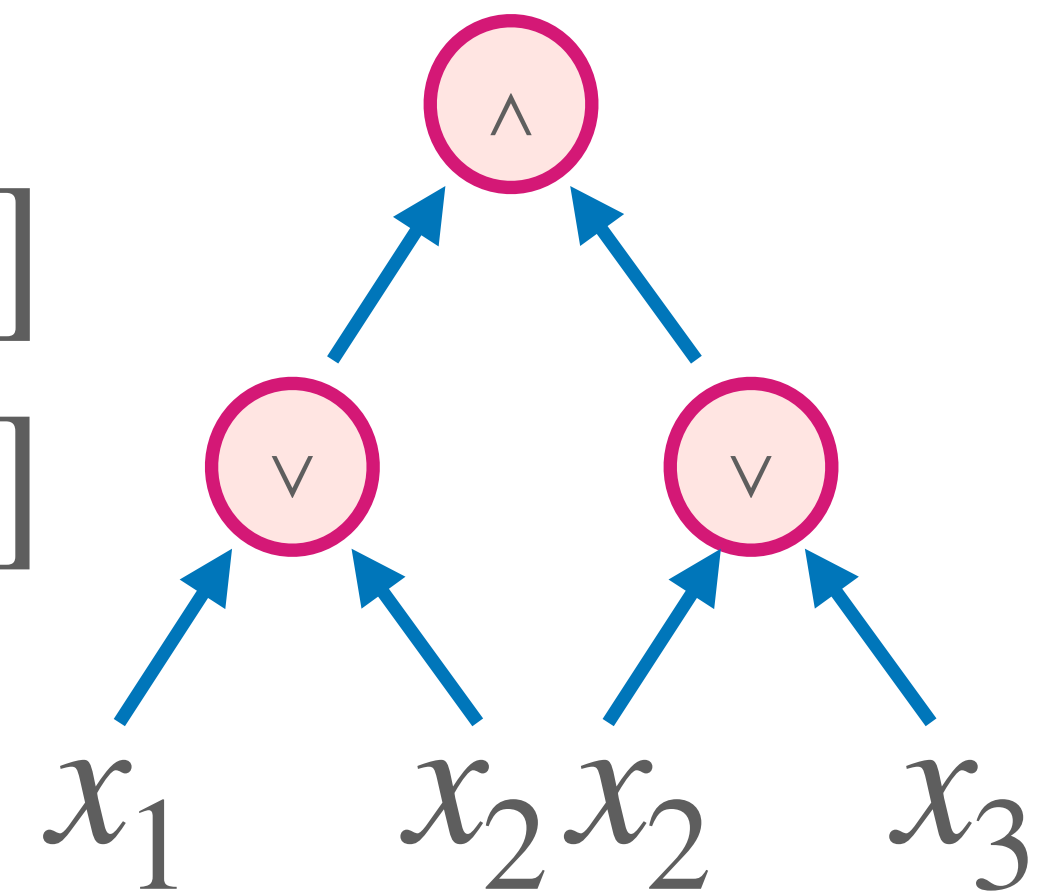
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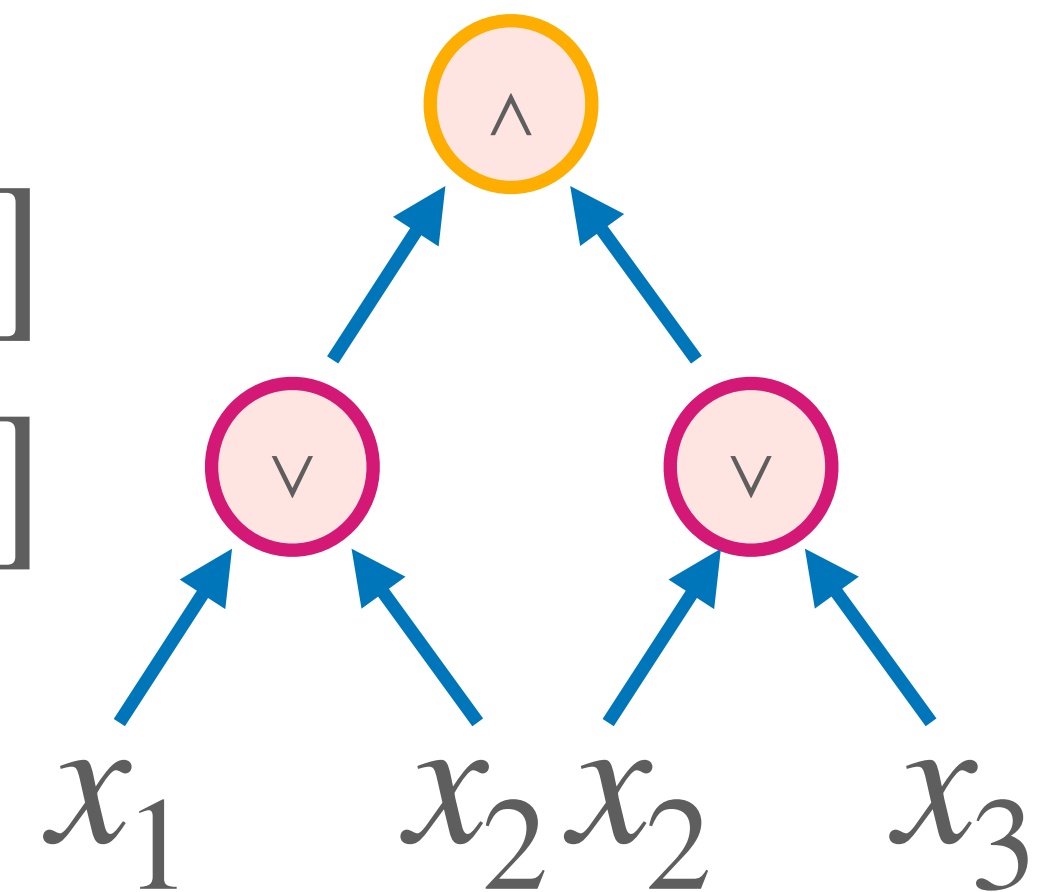
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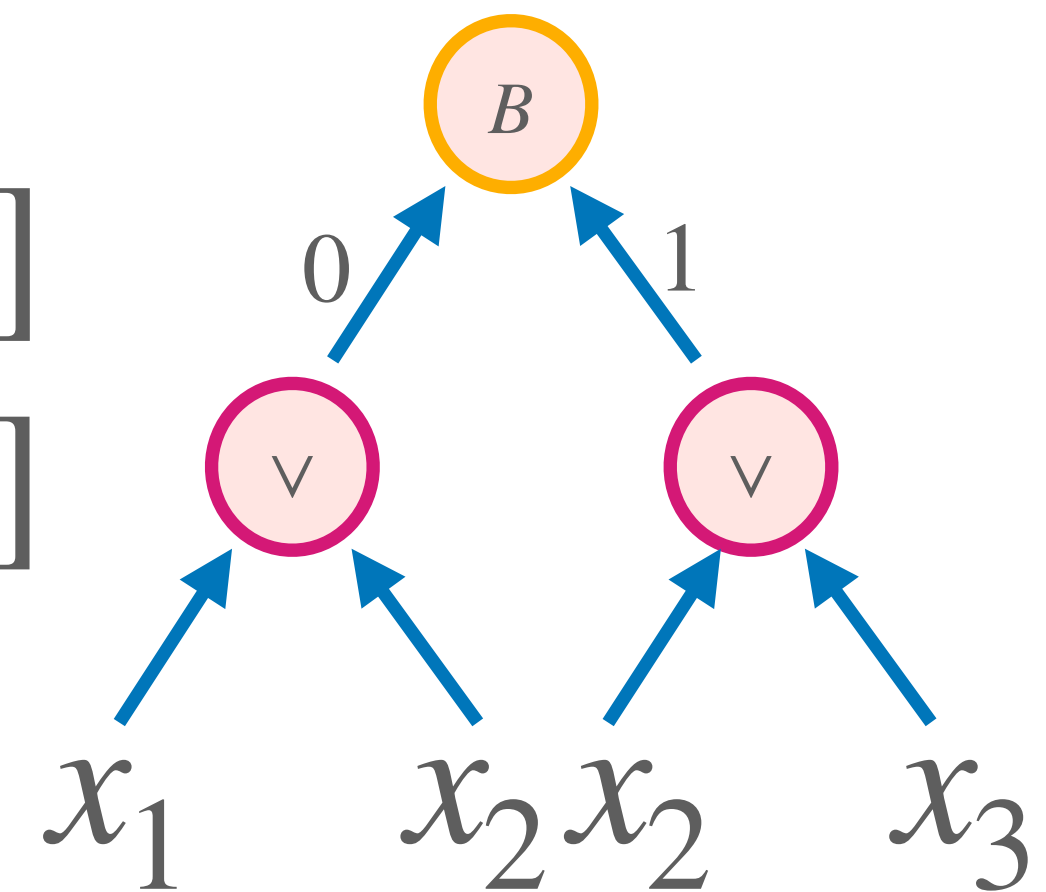
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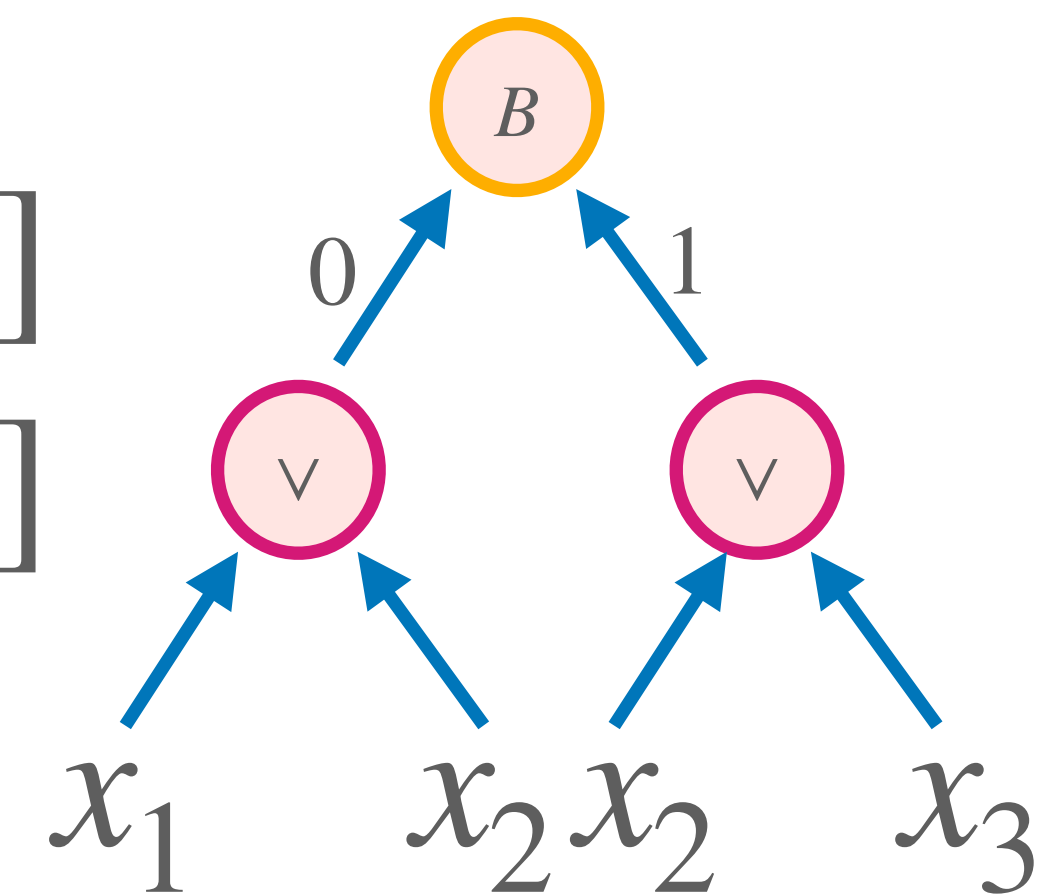
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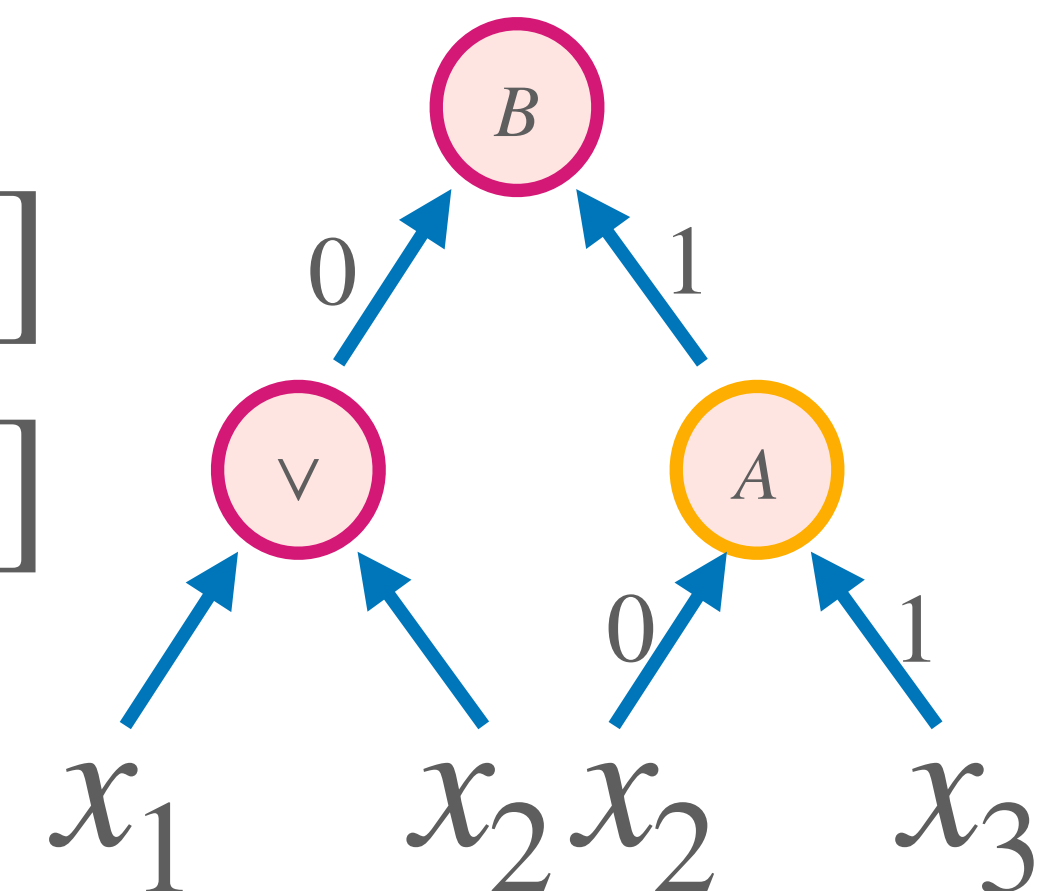
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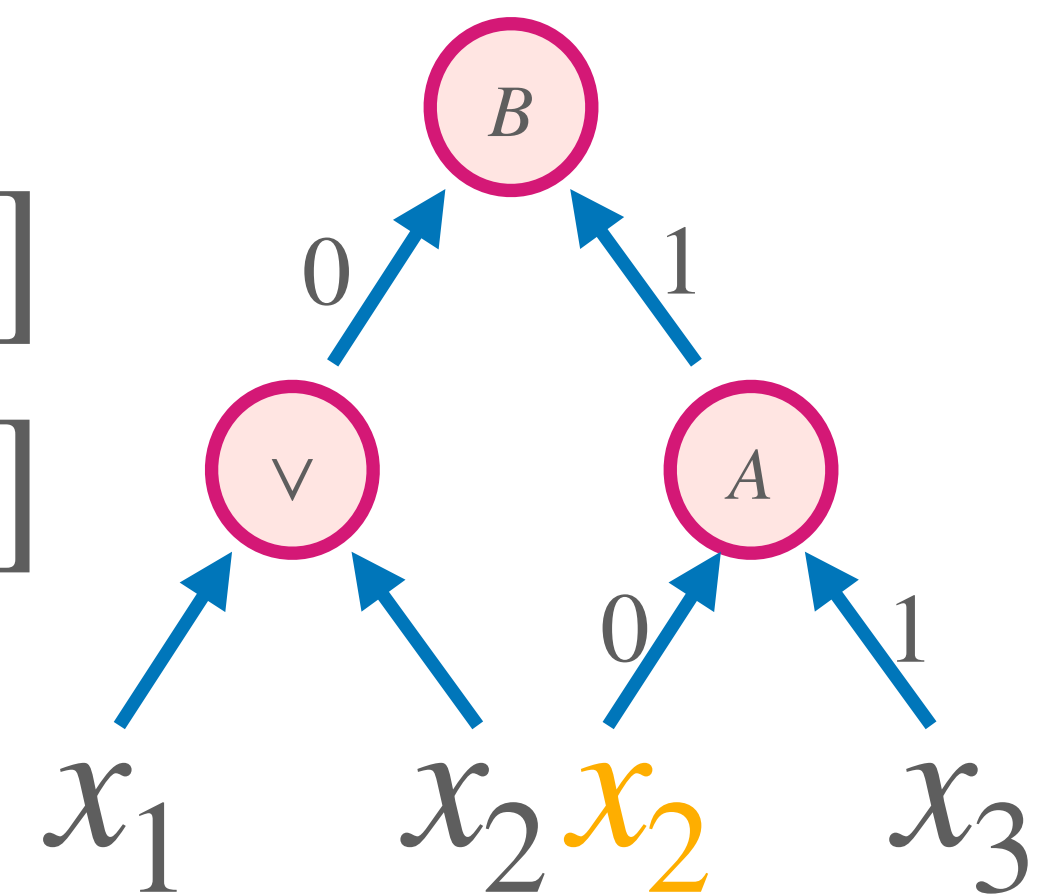
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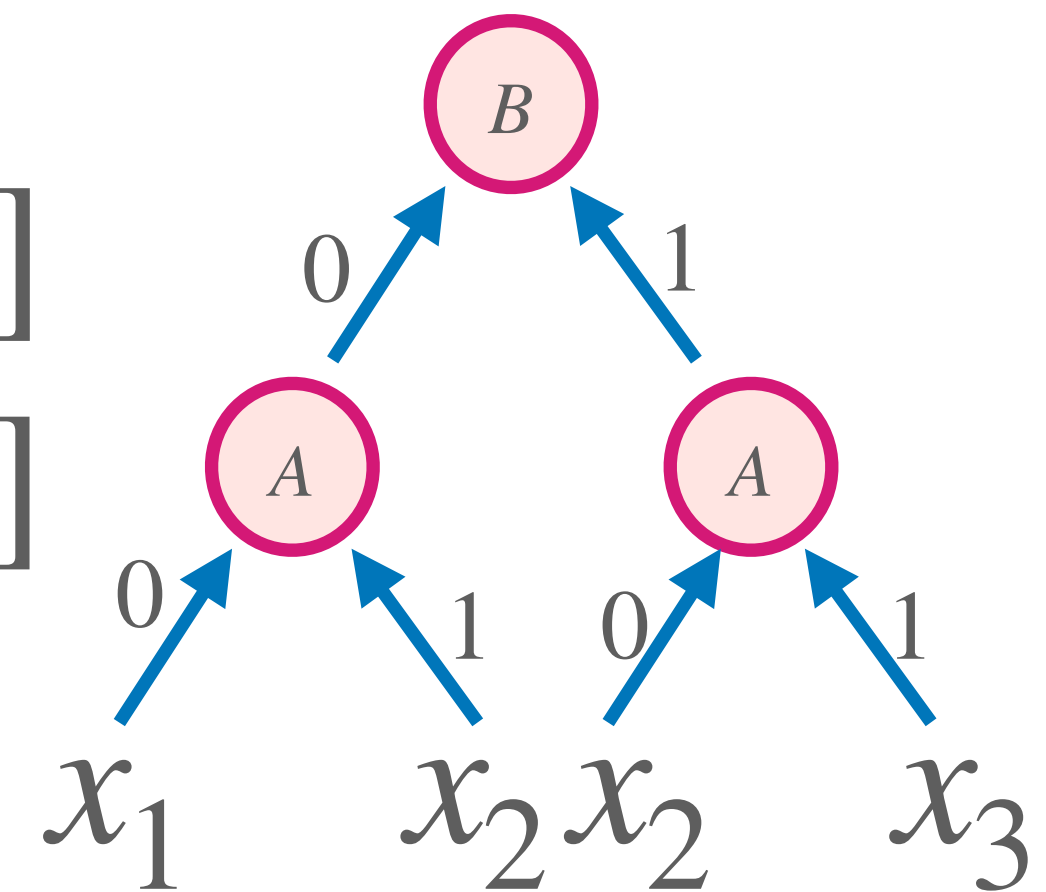
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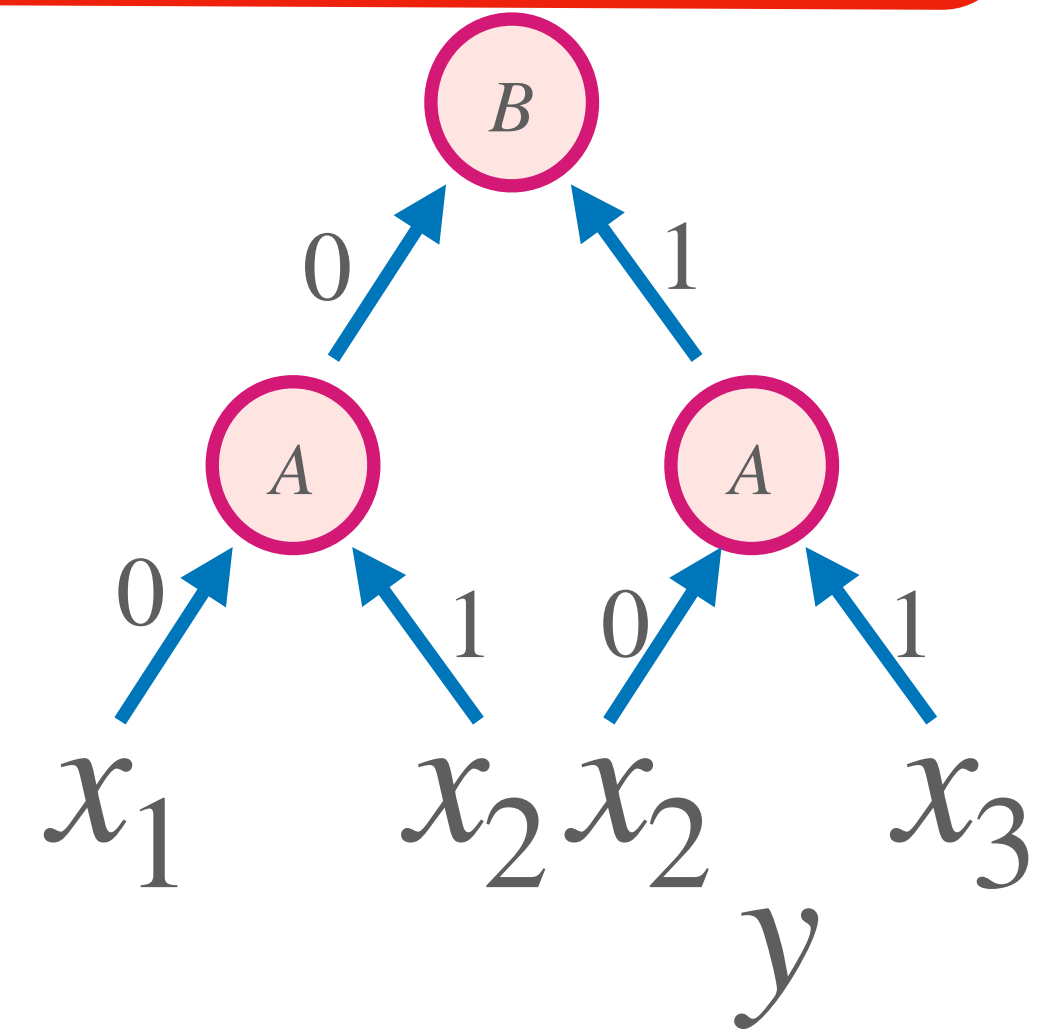


Karchmer-Wigderson and Circuits

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Let $f : \{0,1\}^n \rightarrow \{0,1\}$ be monotone. A cc-protocol for mKW_f is equivalent a monotone formula computing f .

Proof→: From a **protocol** construct a **monotone formula**



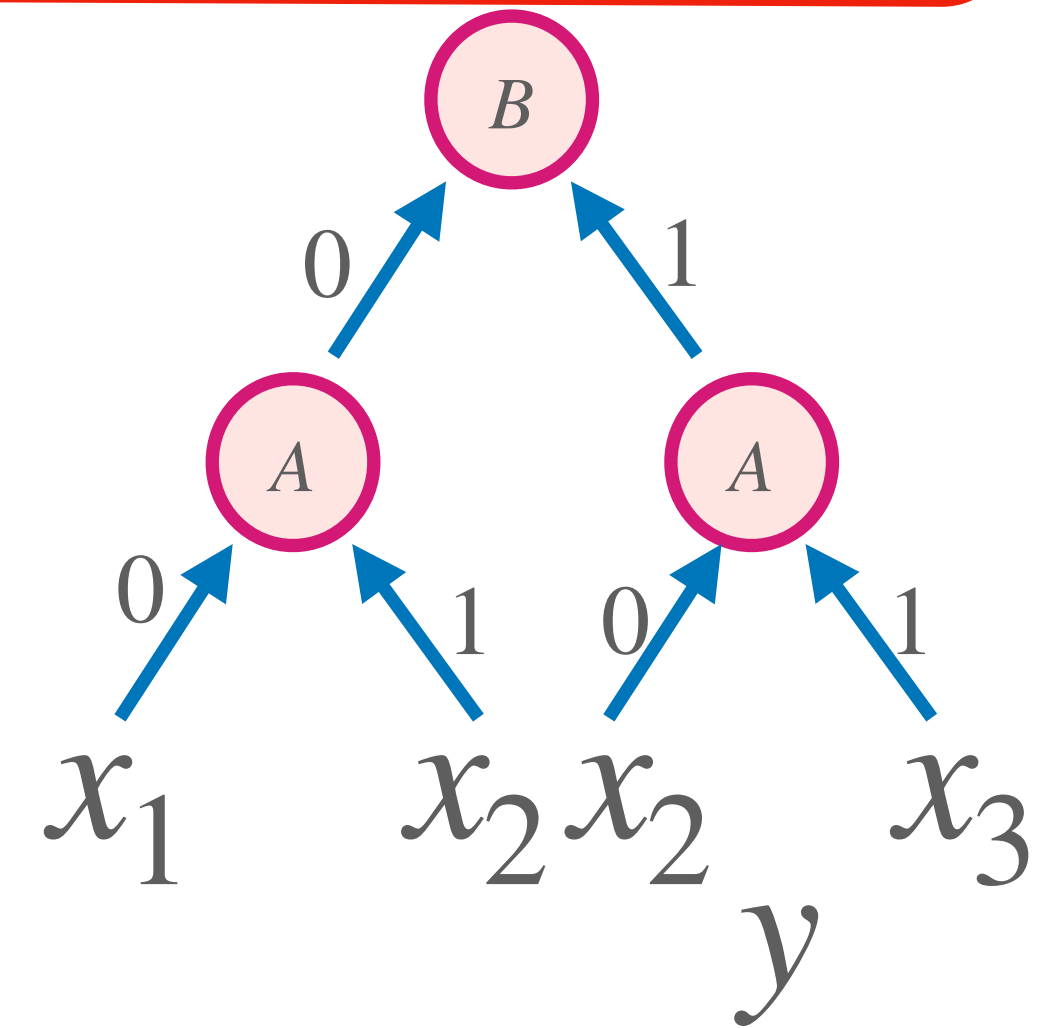
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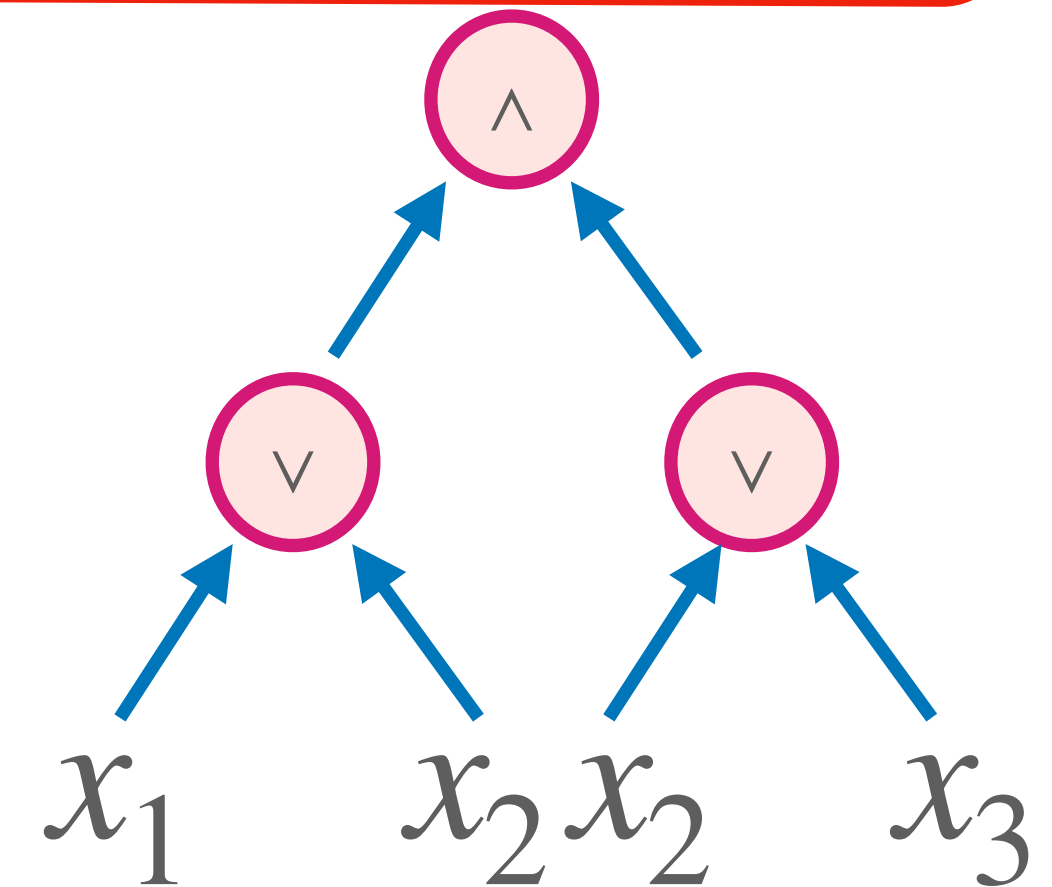
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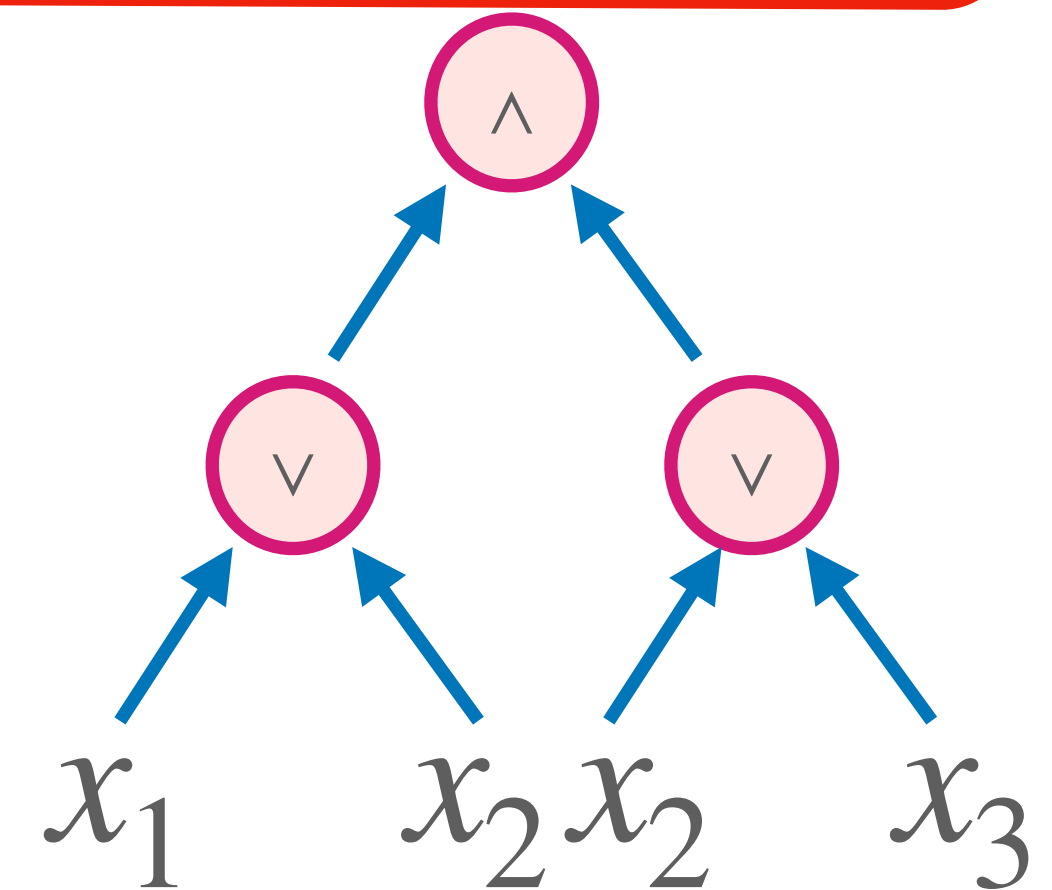
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Correctness: Let R_v be the rectangle at node v .

→ Show sub-circuit C_v has $C_v(x) = 1$, $C_v(y) = 0$ for $(x, y) \in R_v$



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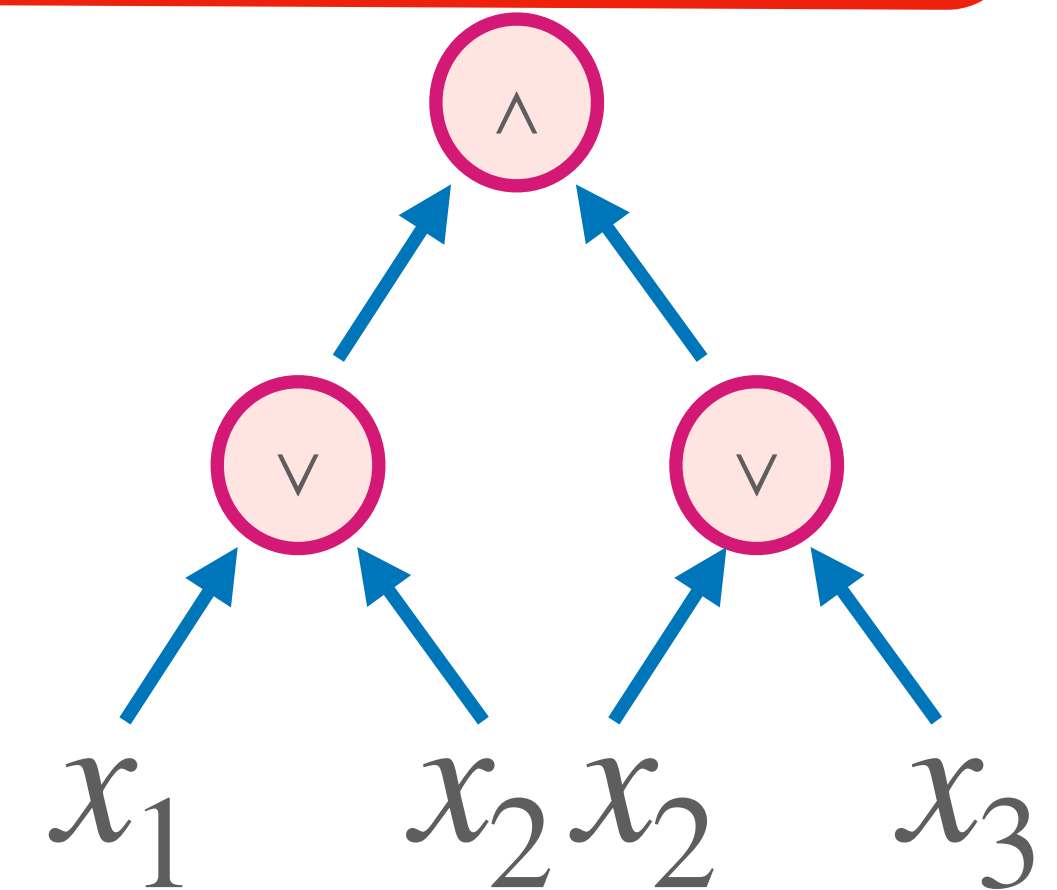
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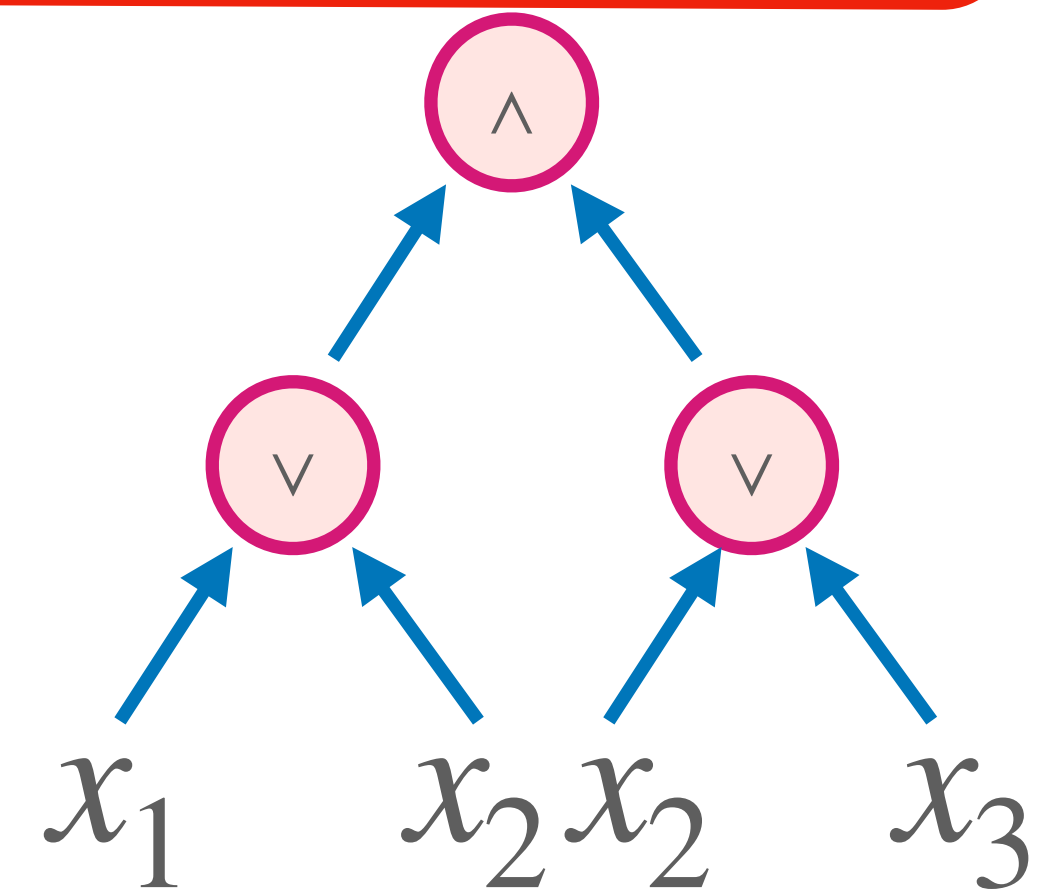
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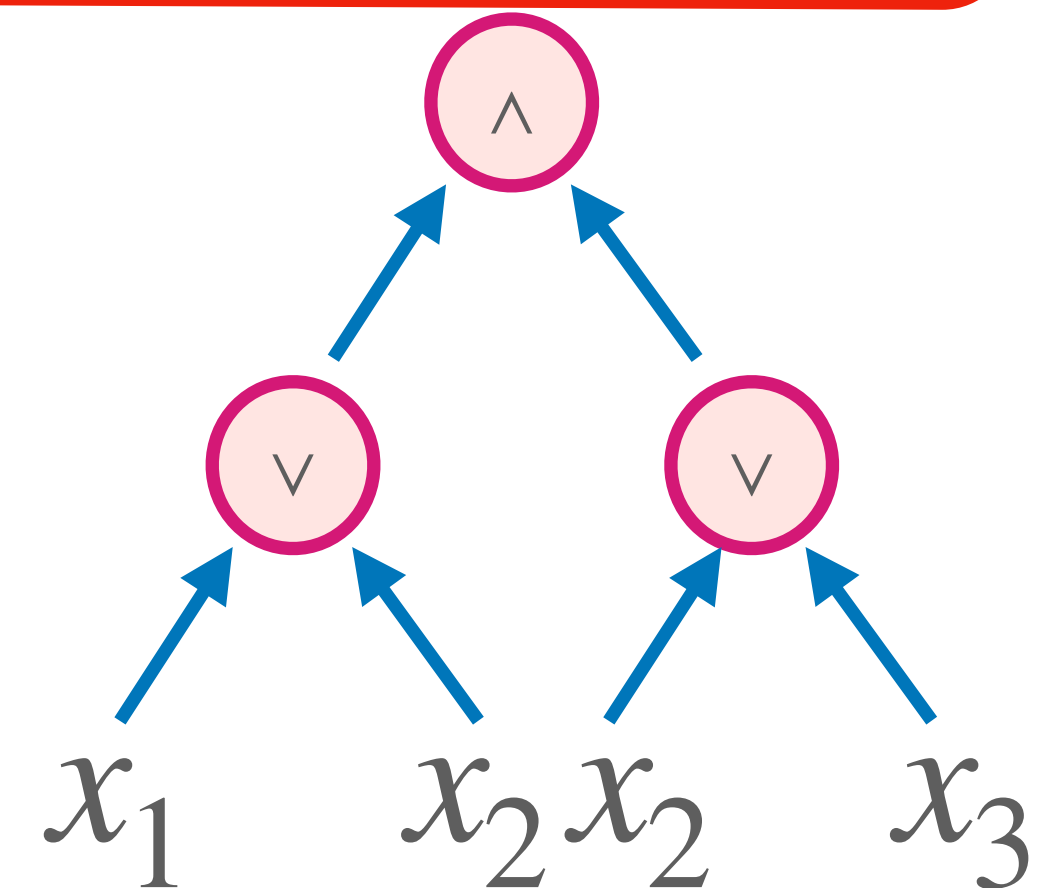
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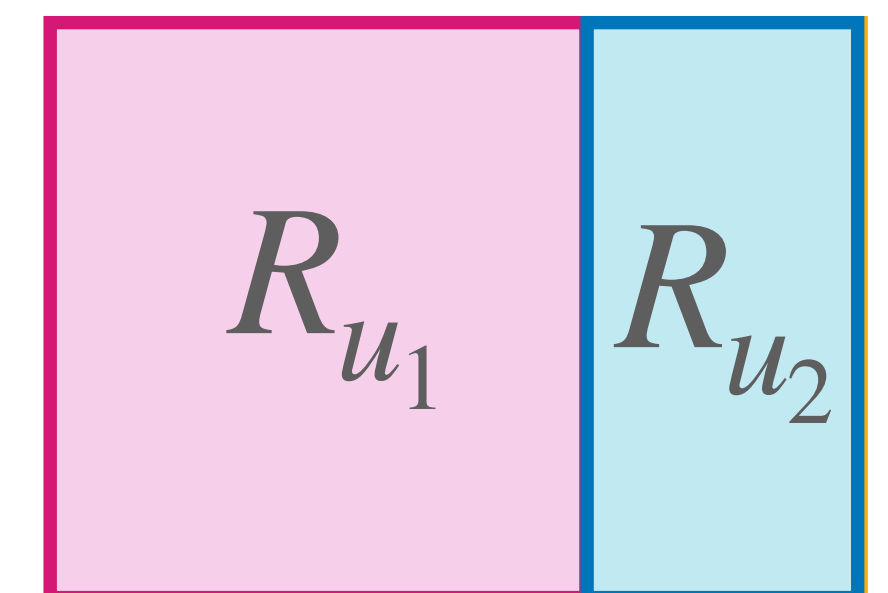
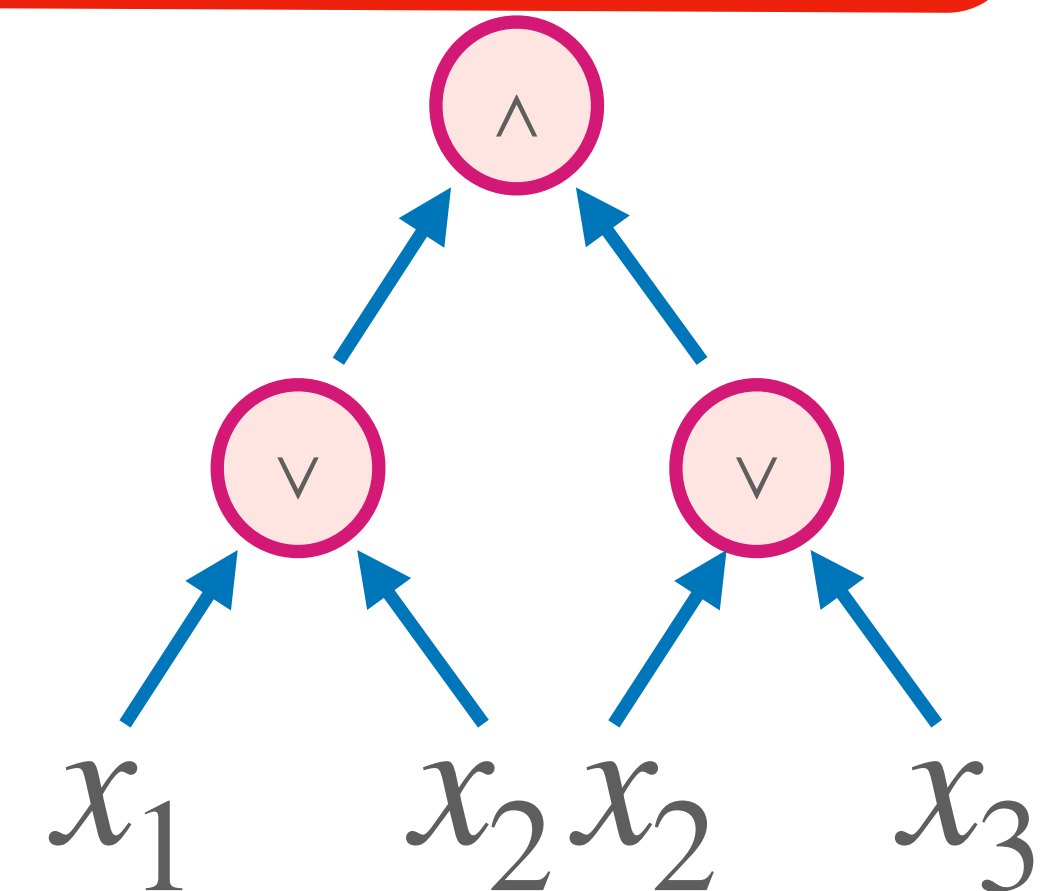
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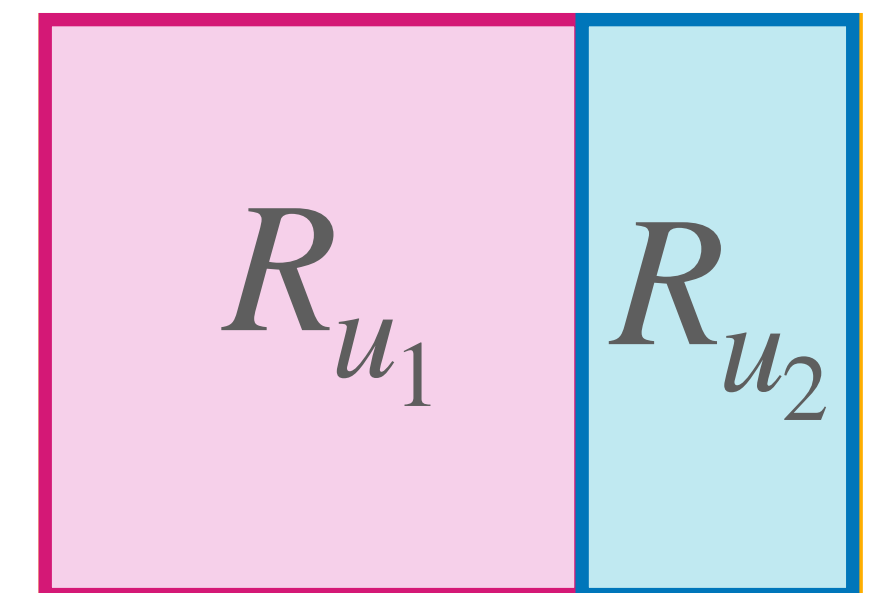
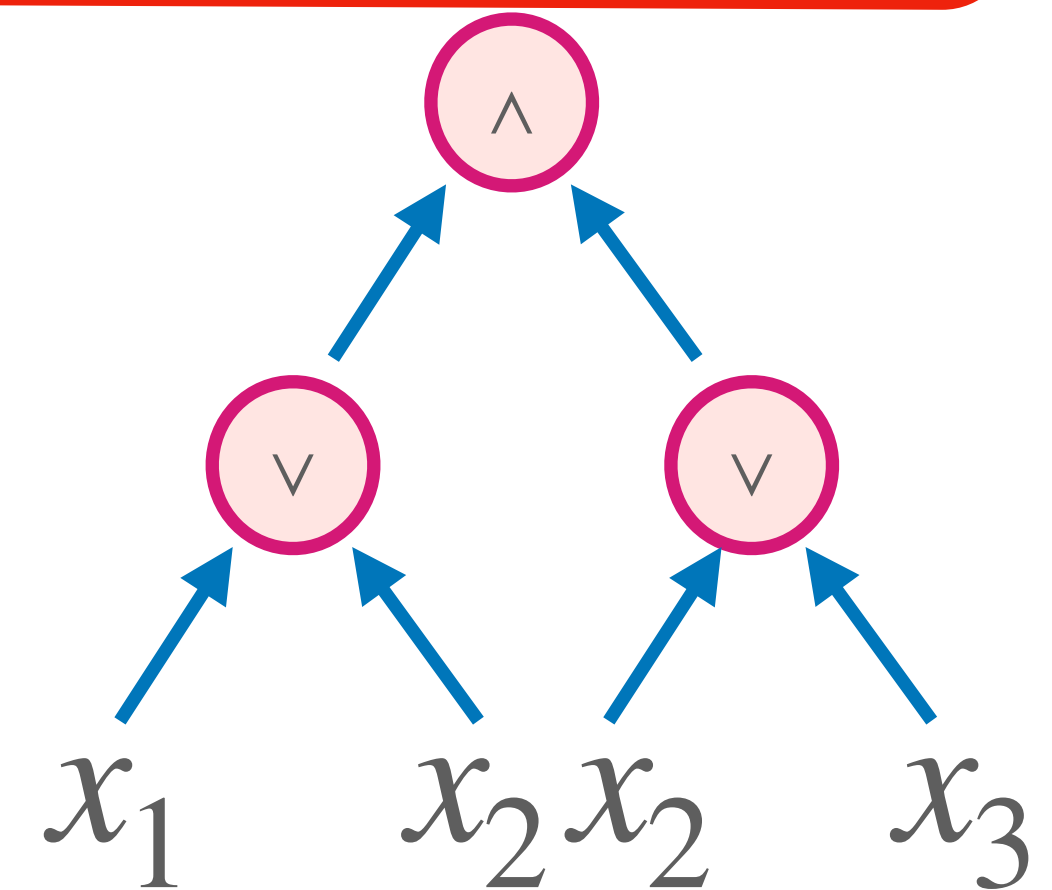
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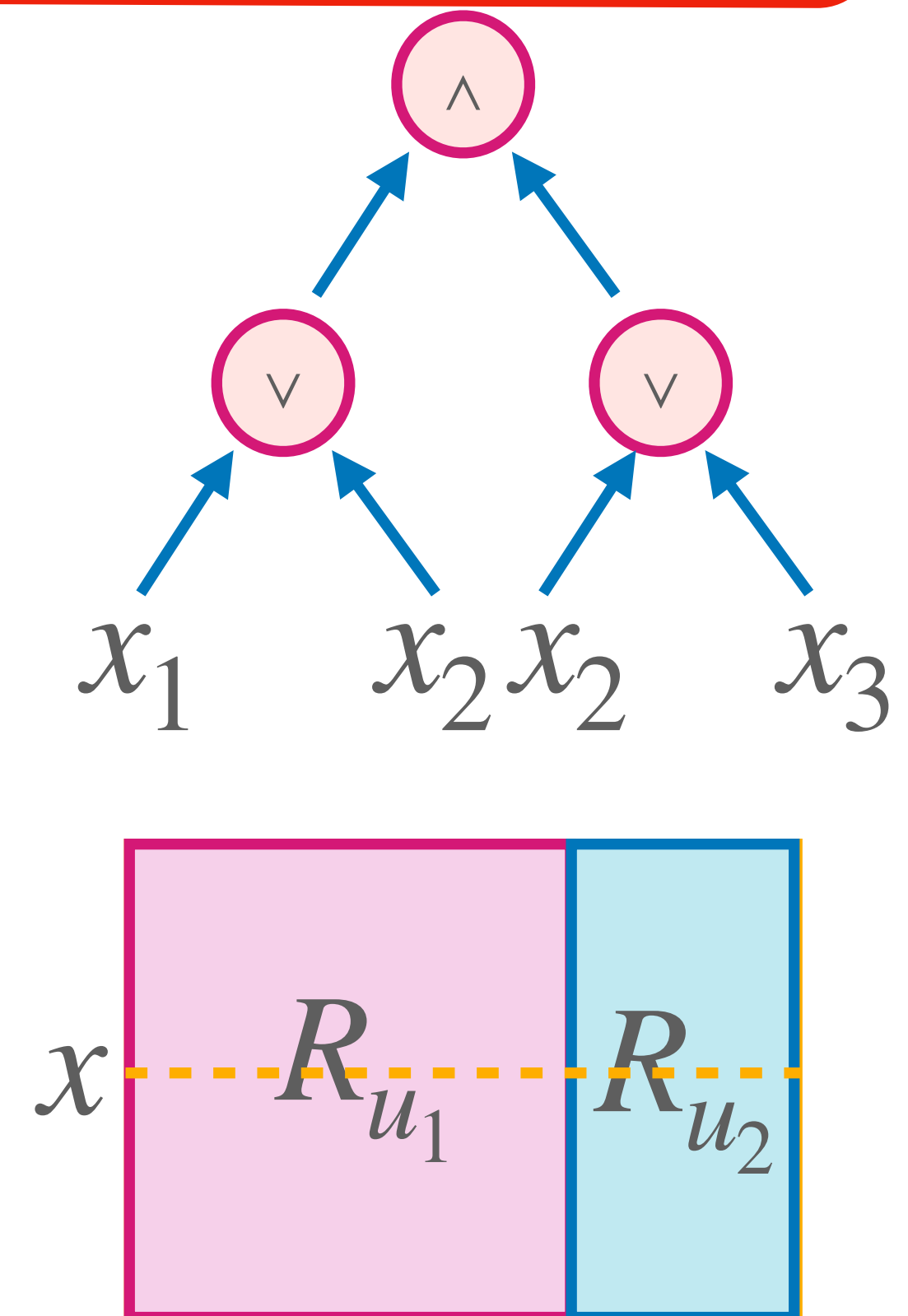
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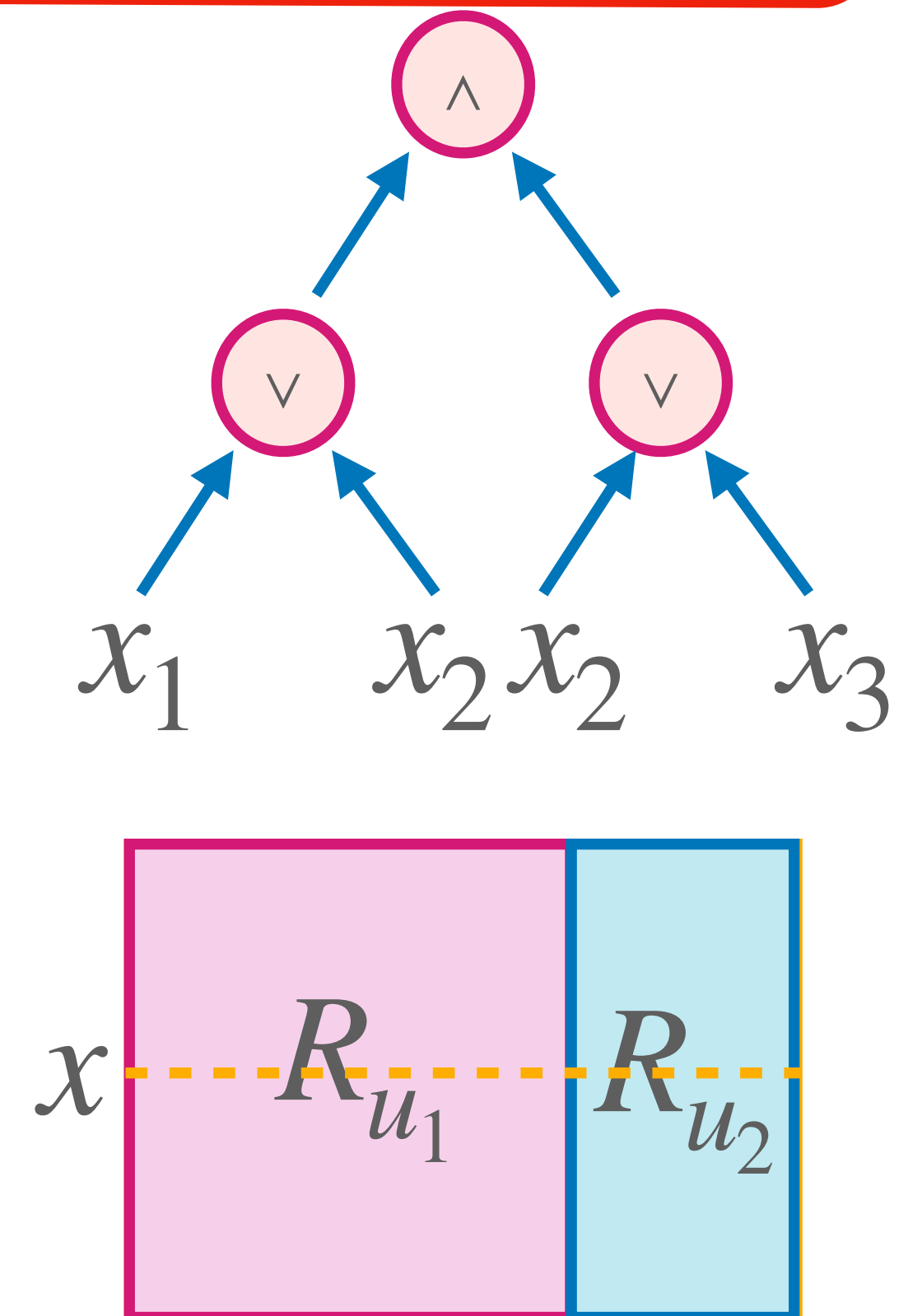
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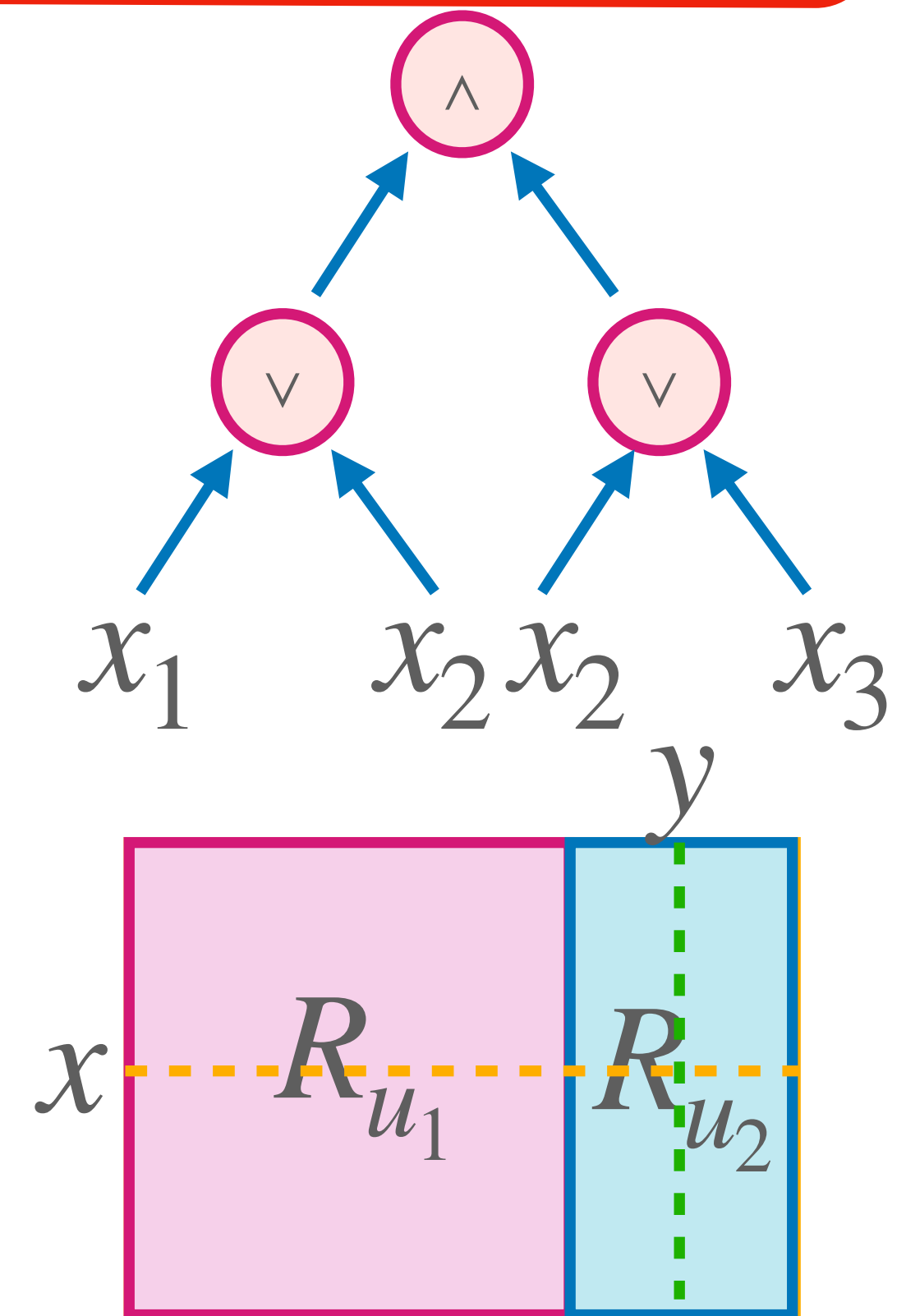
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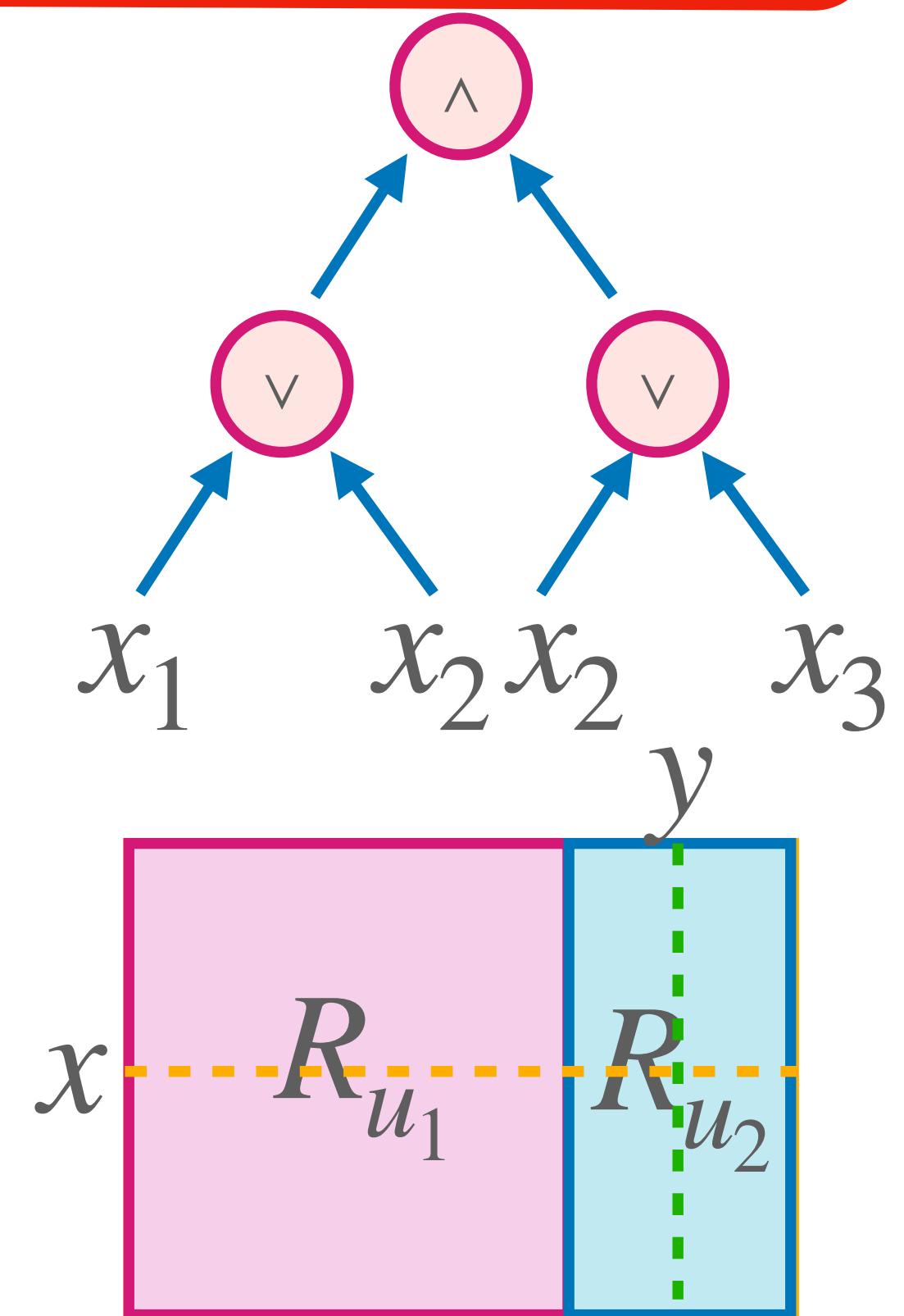
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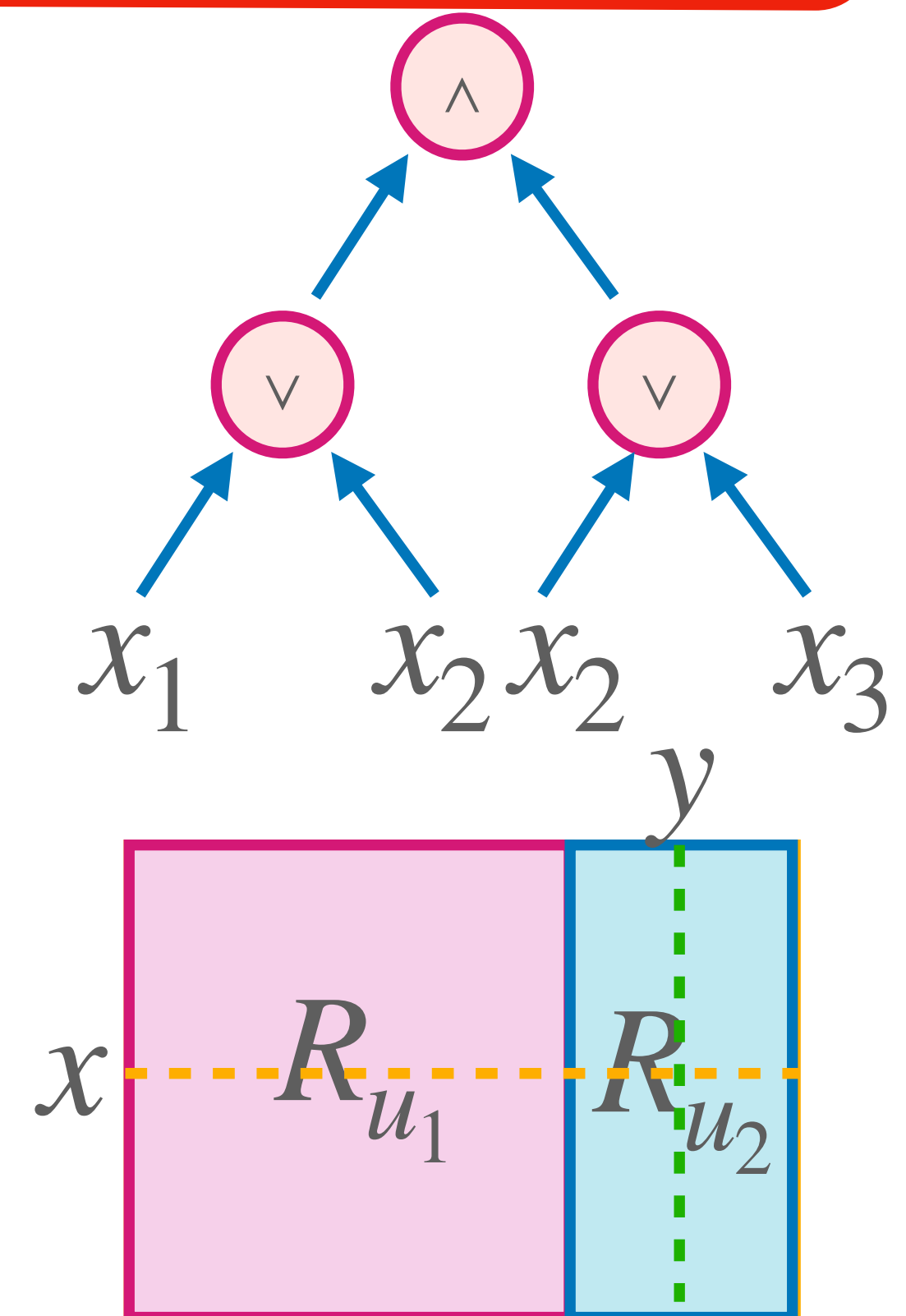
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- If $v = \vee$ Alice speaks partitioning R_v on rows; symmetric argument.



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False Clause Search Problem: Let $F = C_1 \wedge \dots \wedge C_m$ be an unsat CNF.

Let $X \times Y$ be a partition of $[n]$. $\text{Search}_F^{X,Y} \subseteq \{0,1\}^X \times \{0,1\}^Y \times [m]$:

Given $(x, y) \in \{0,1\}^{X \times Y}$ output $i \in [m]$ such that $C_i(x, y) = 0$

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Intuition: Every refutation must prove that for every assignment x to F there is a falsified clause of F

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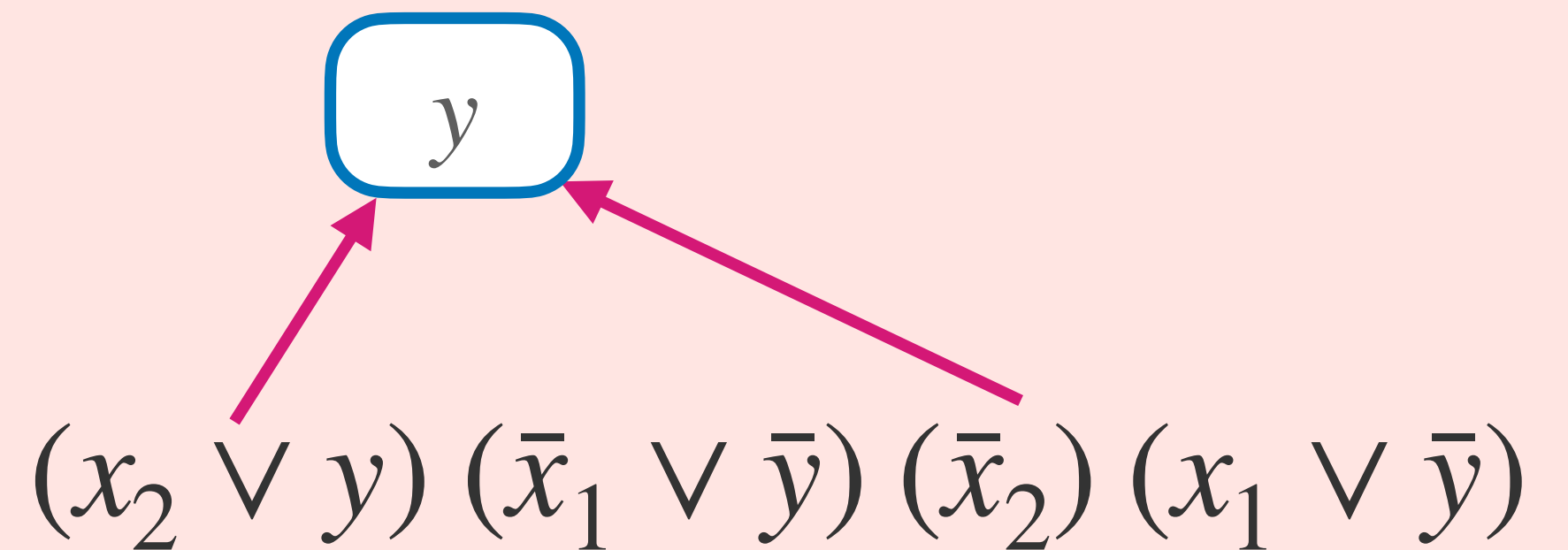
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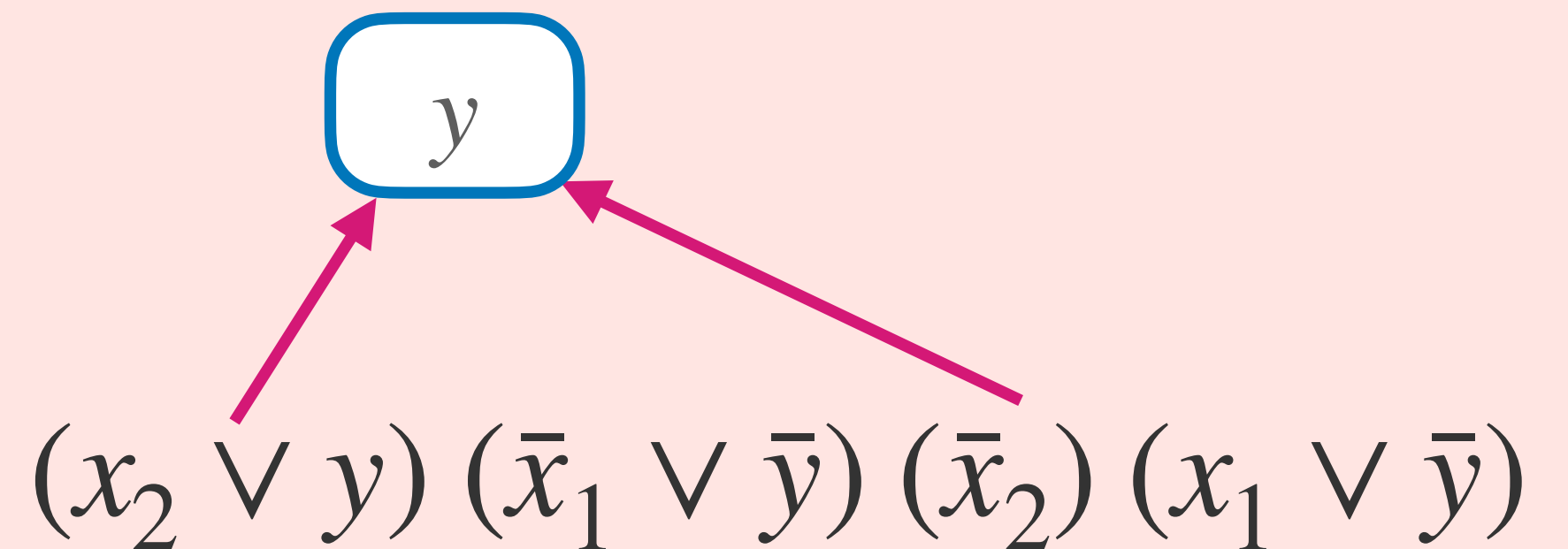
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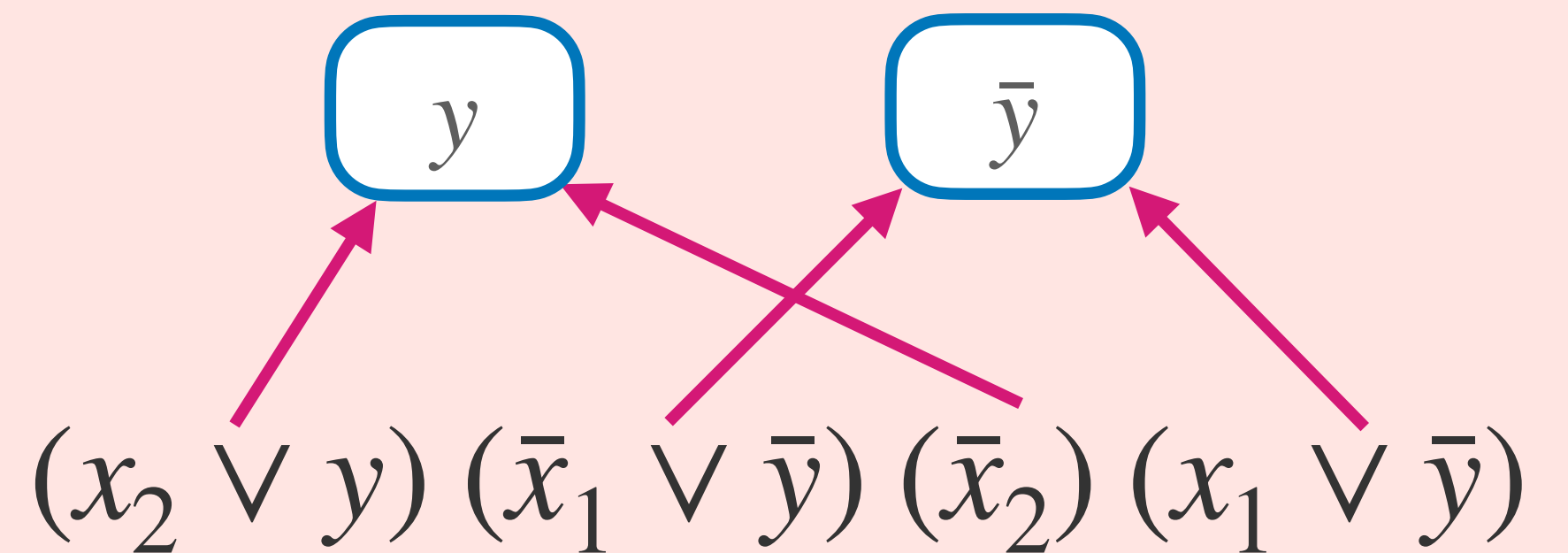
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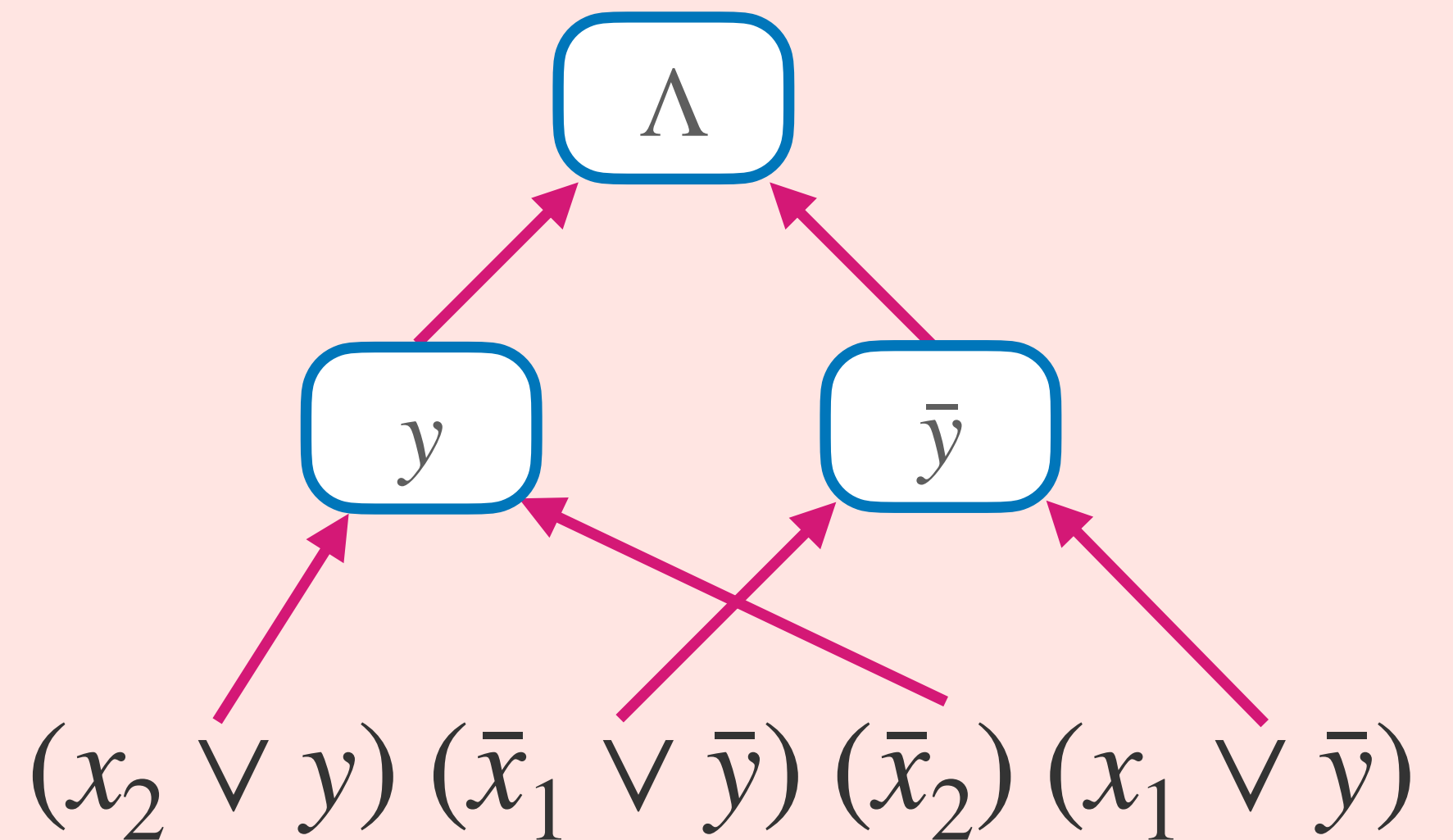
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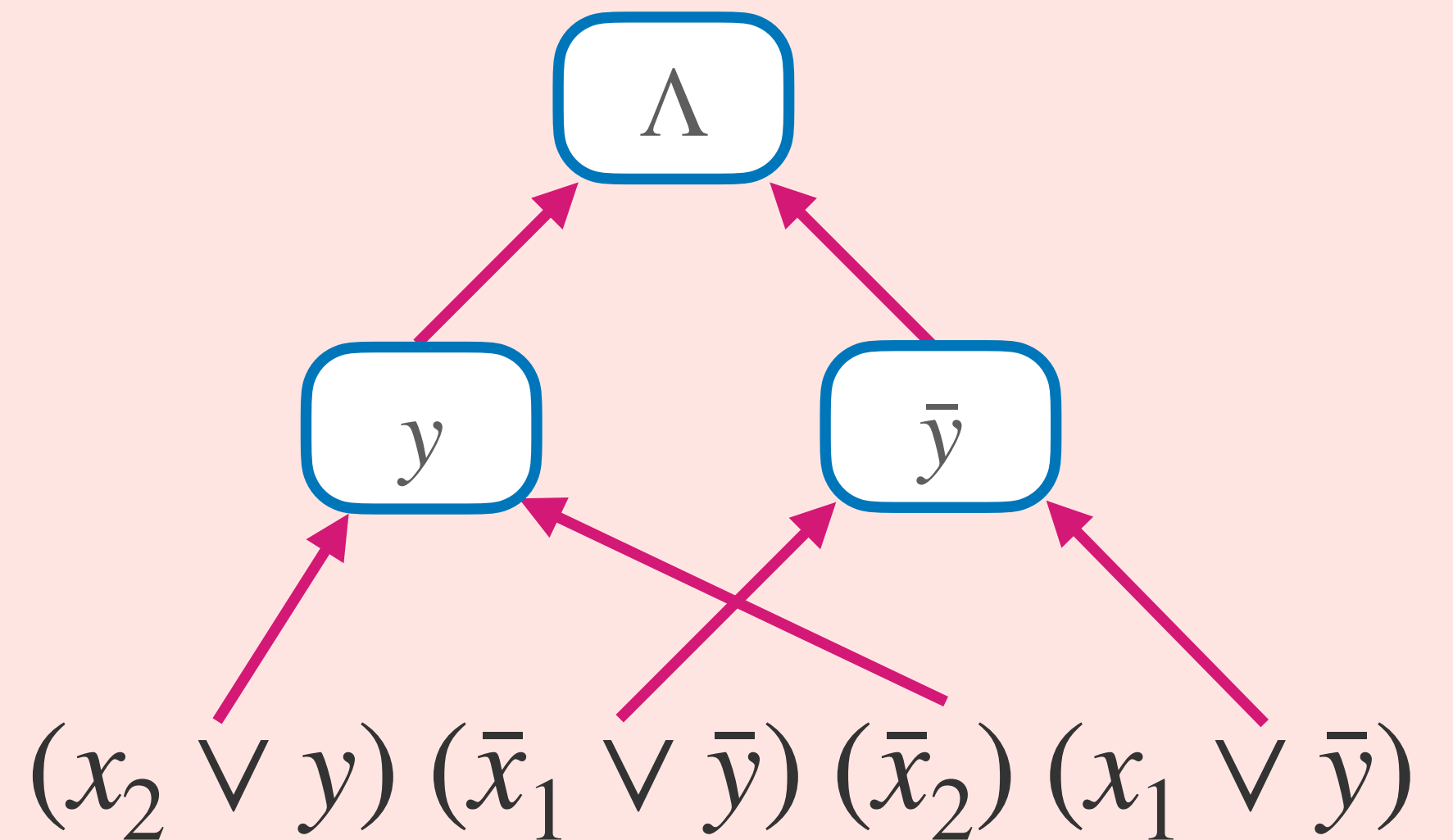


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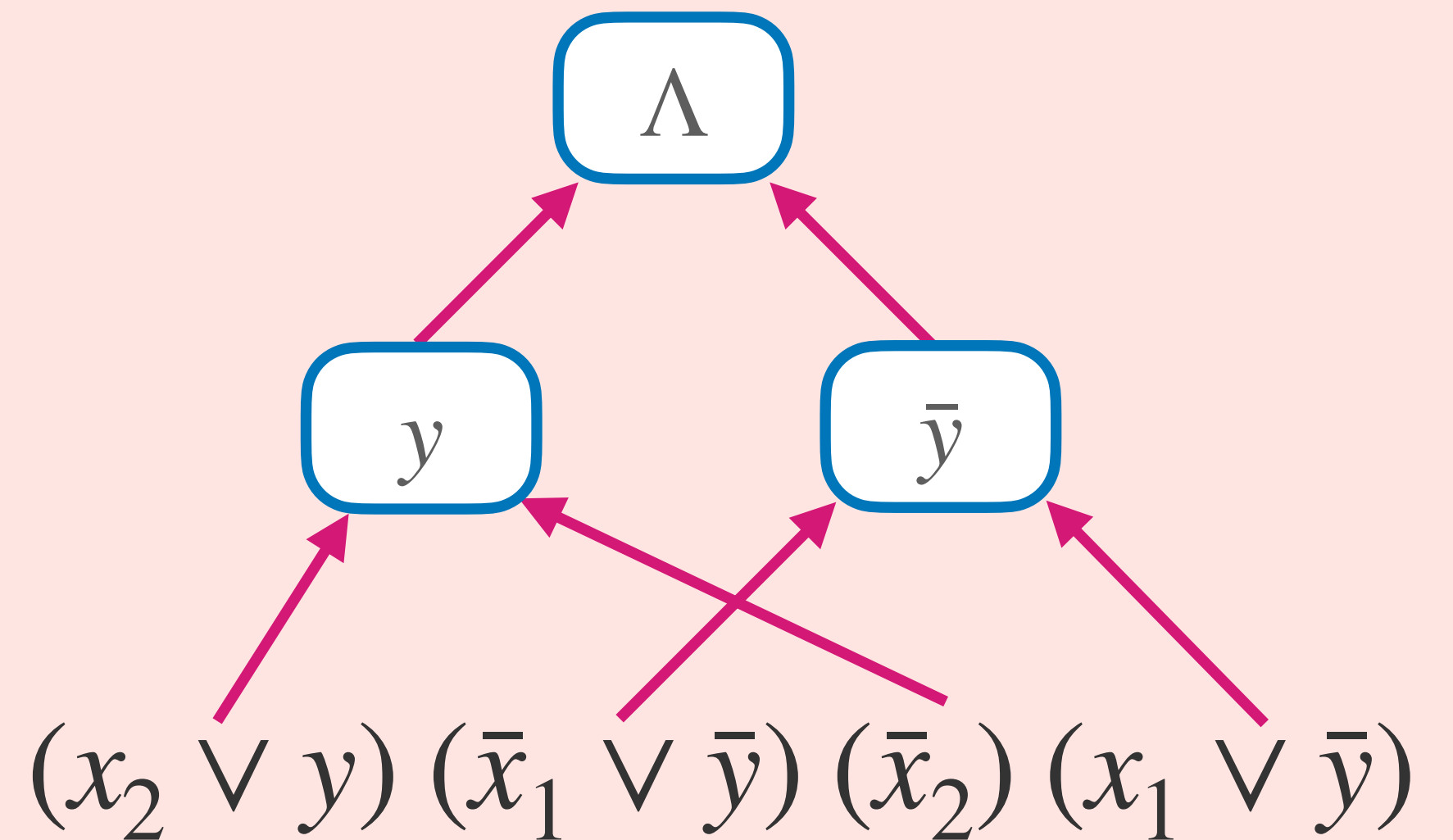


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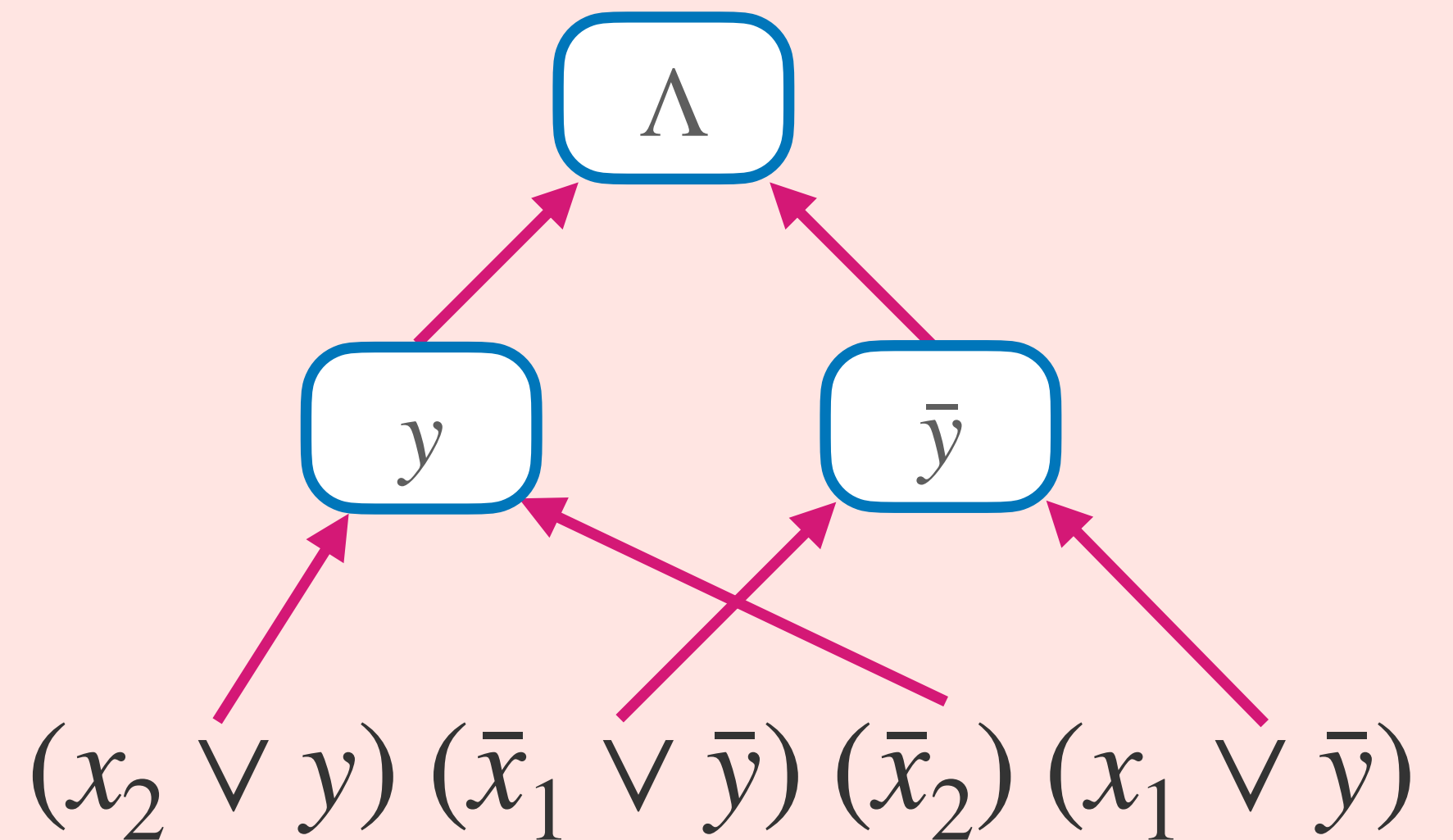
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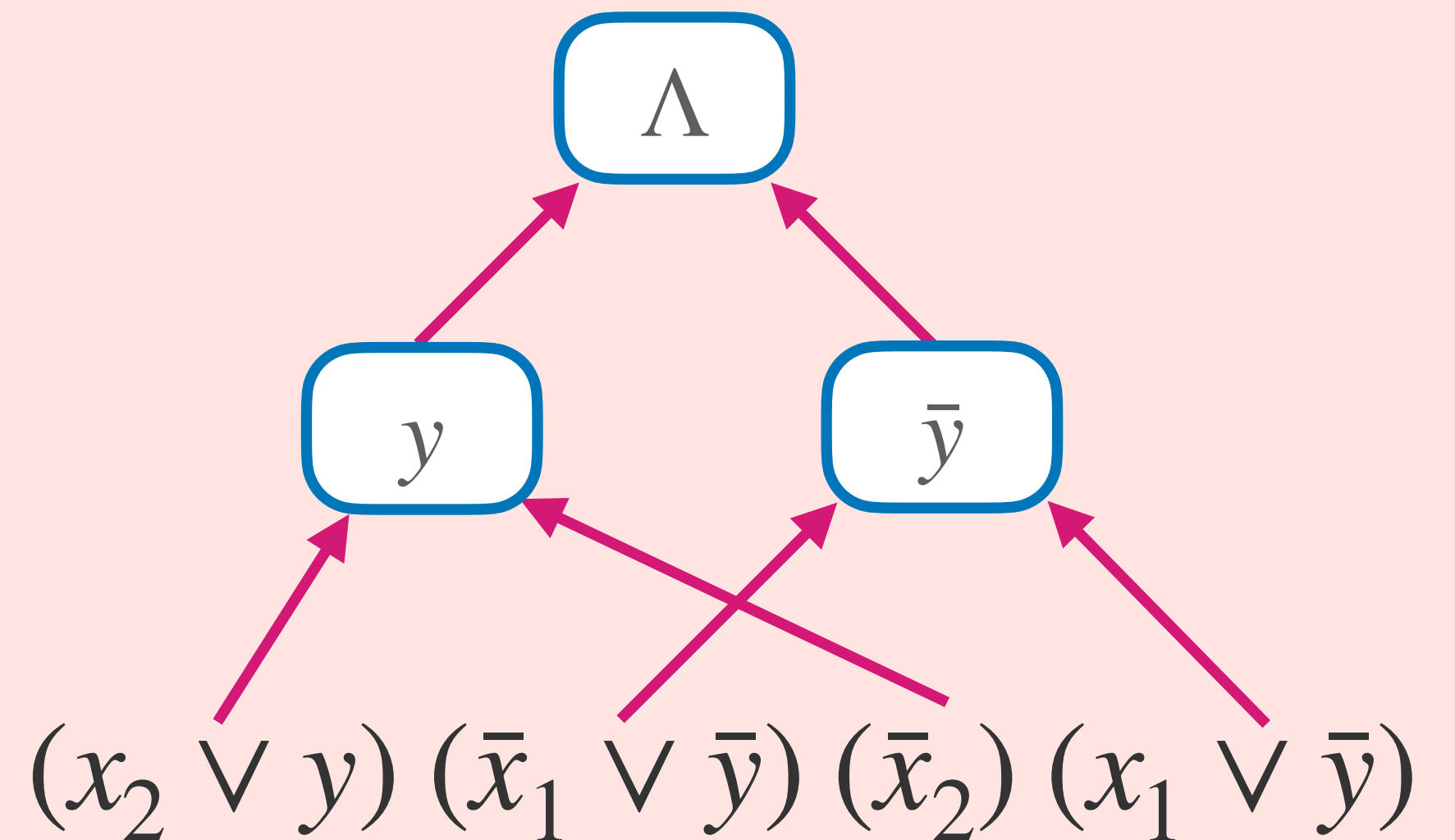
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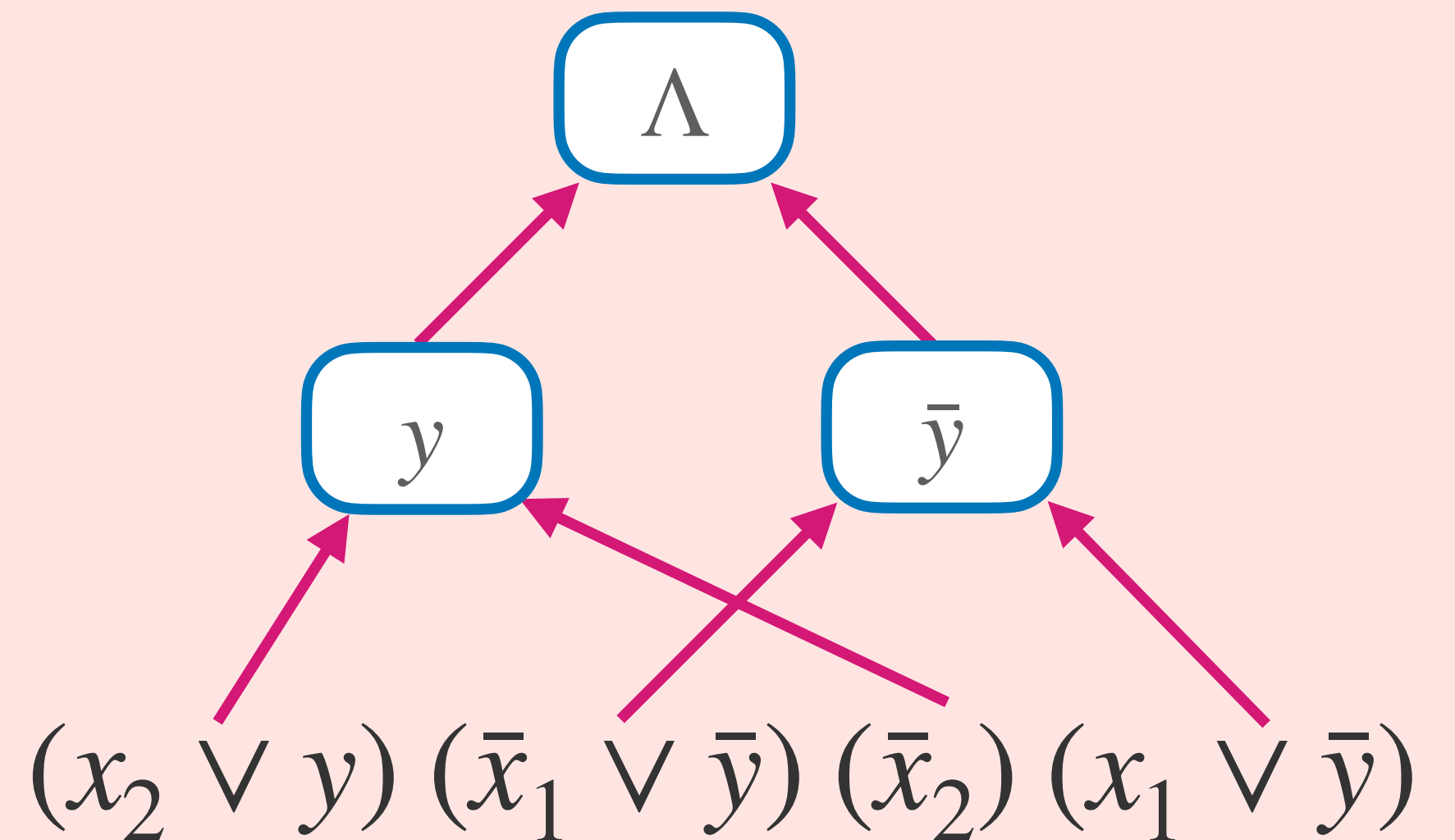
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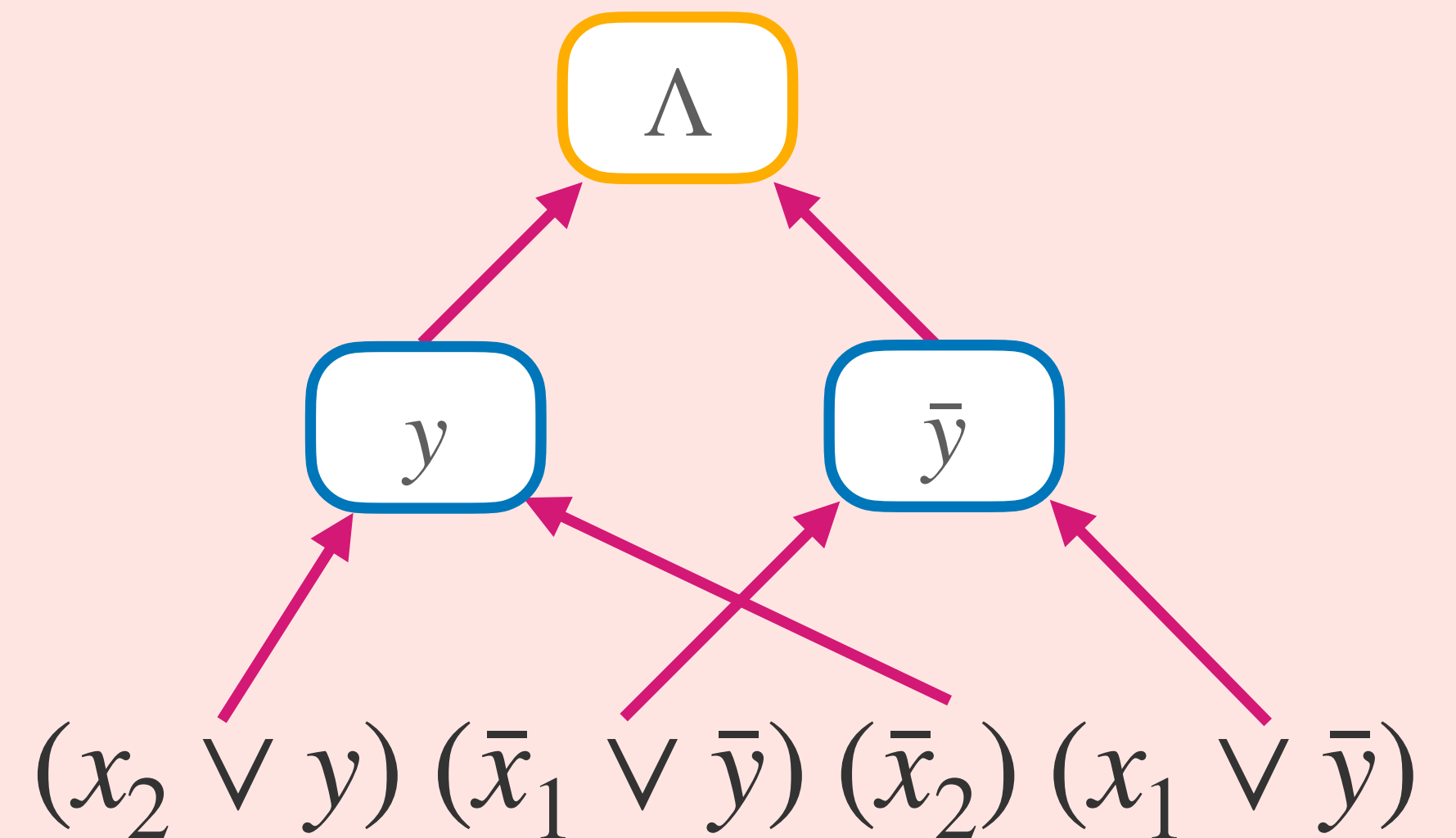
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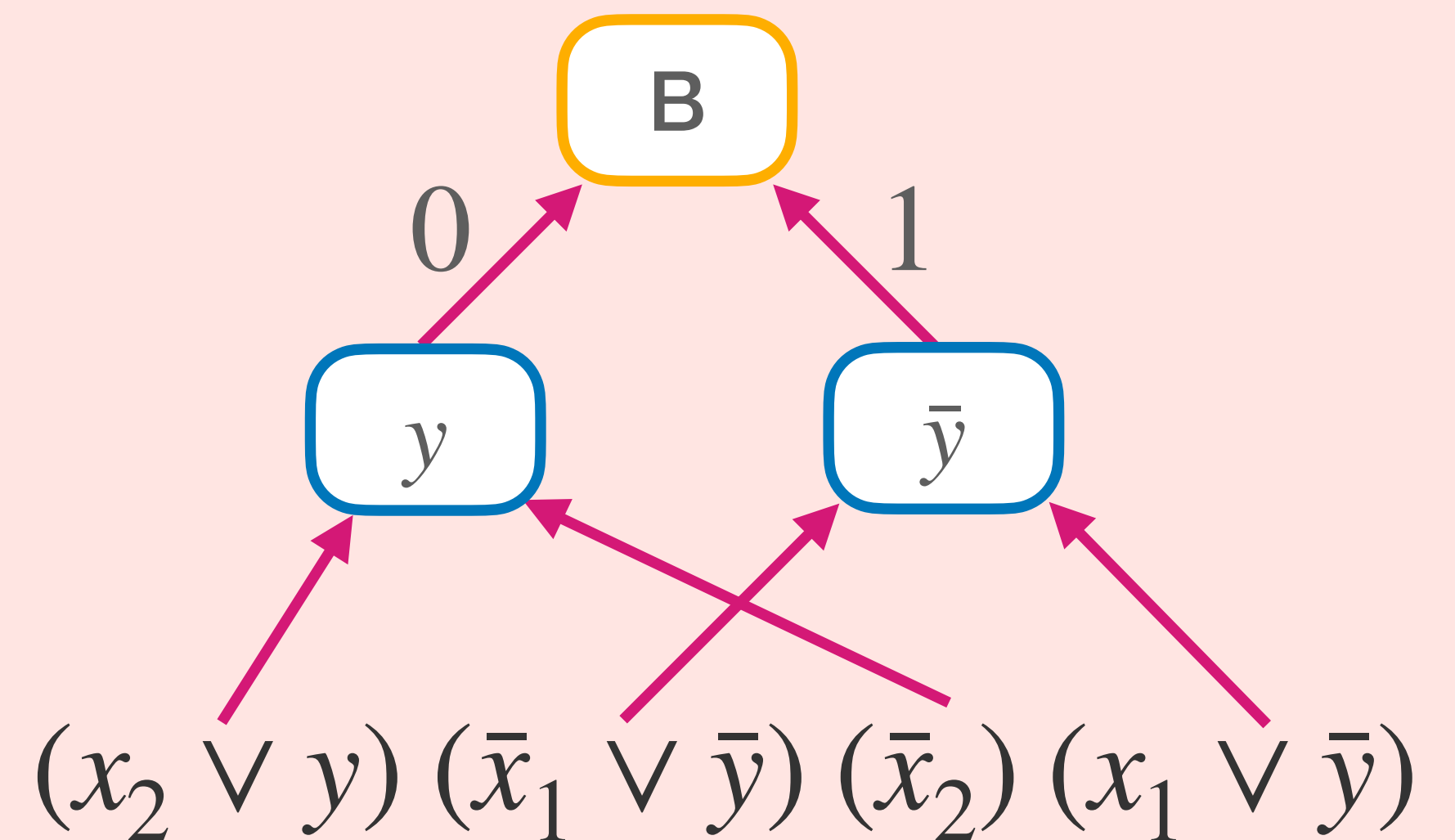
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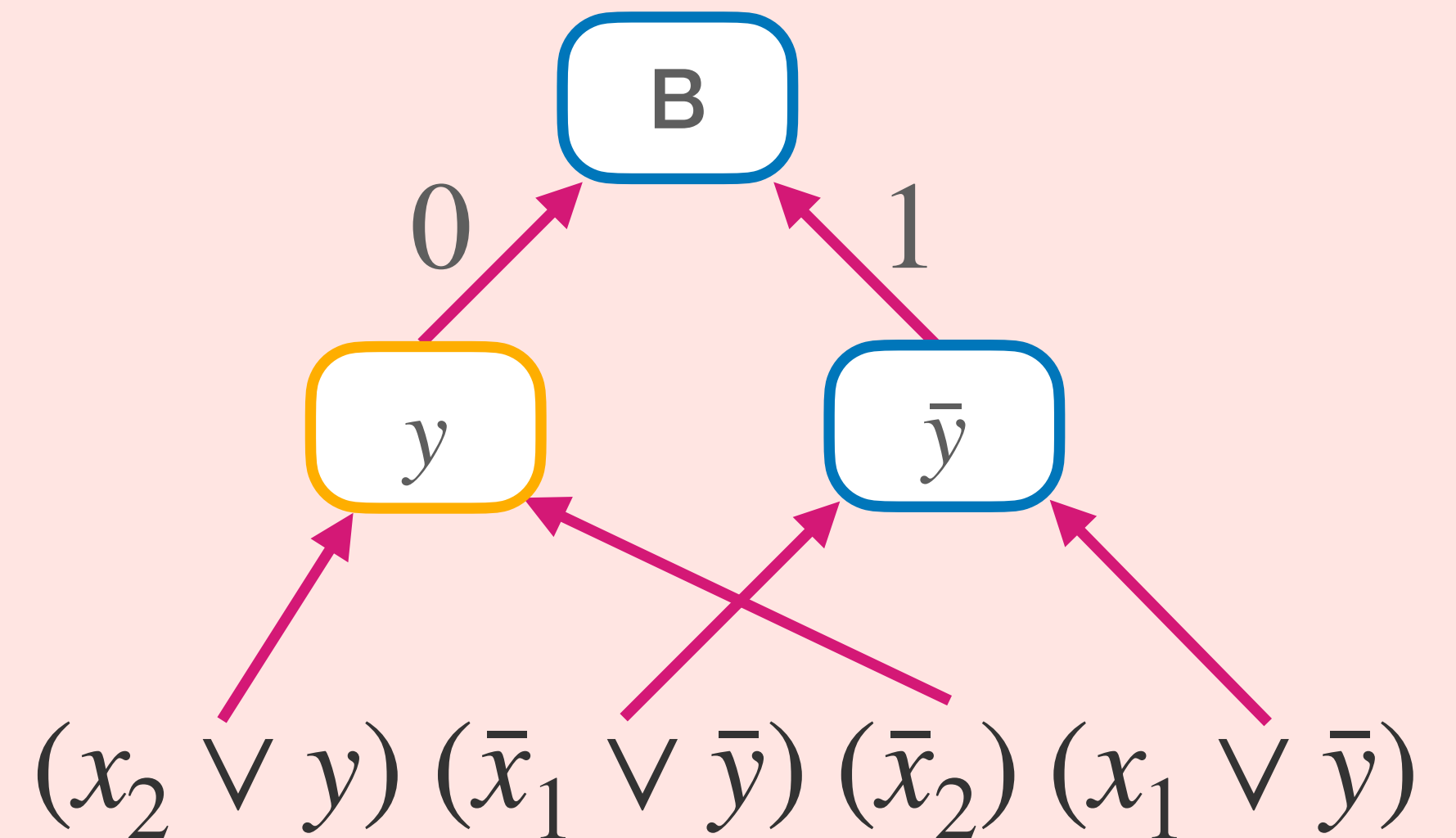
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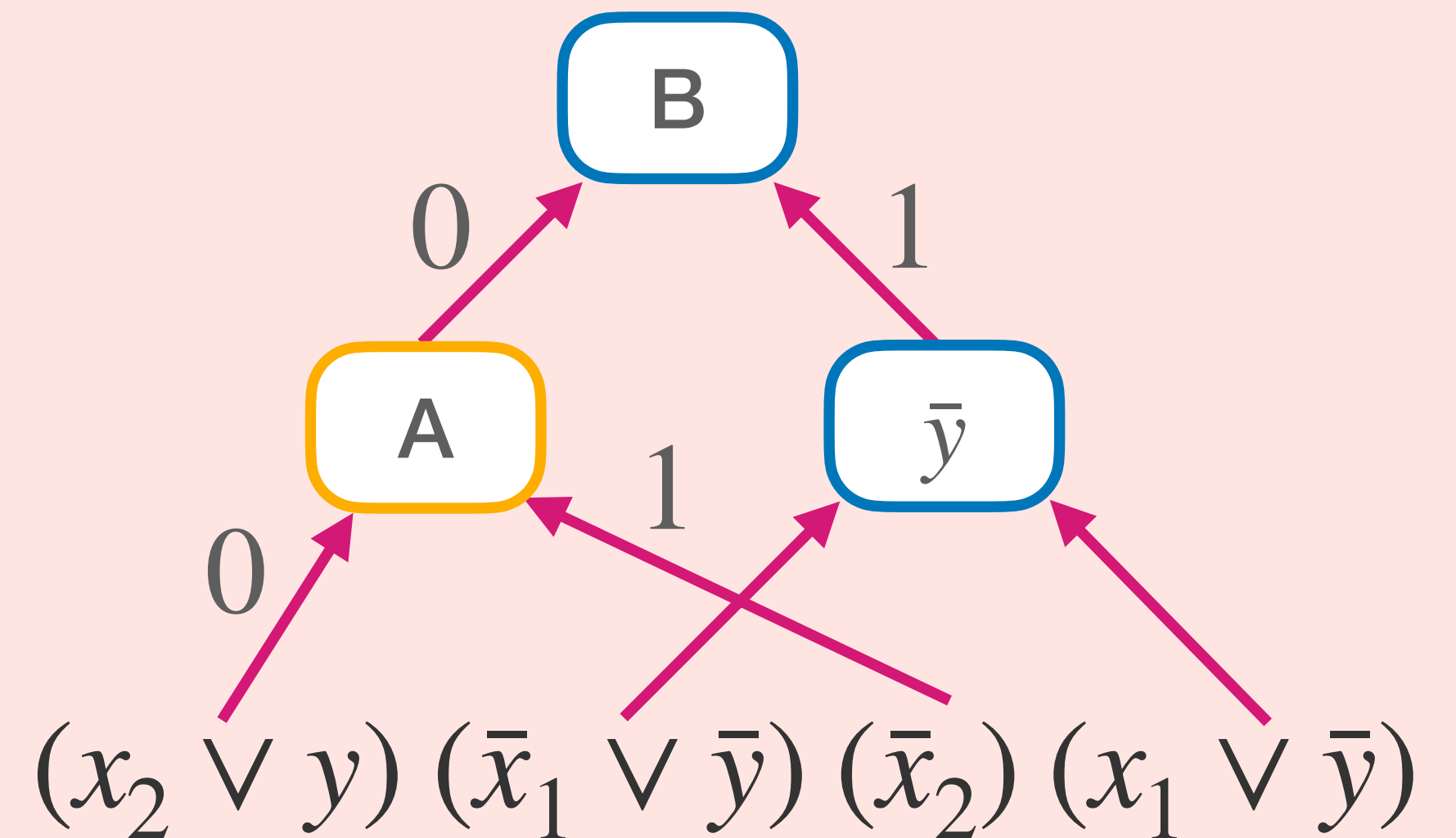
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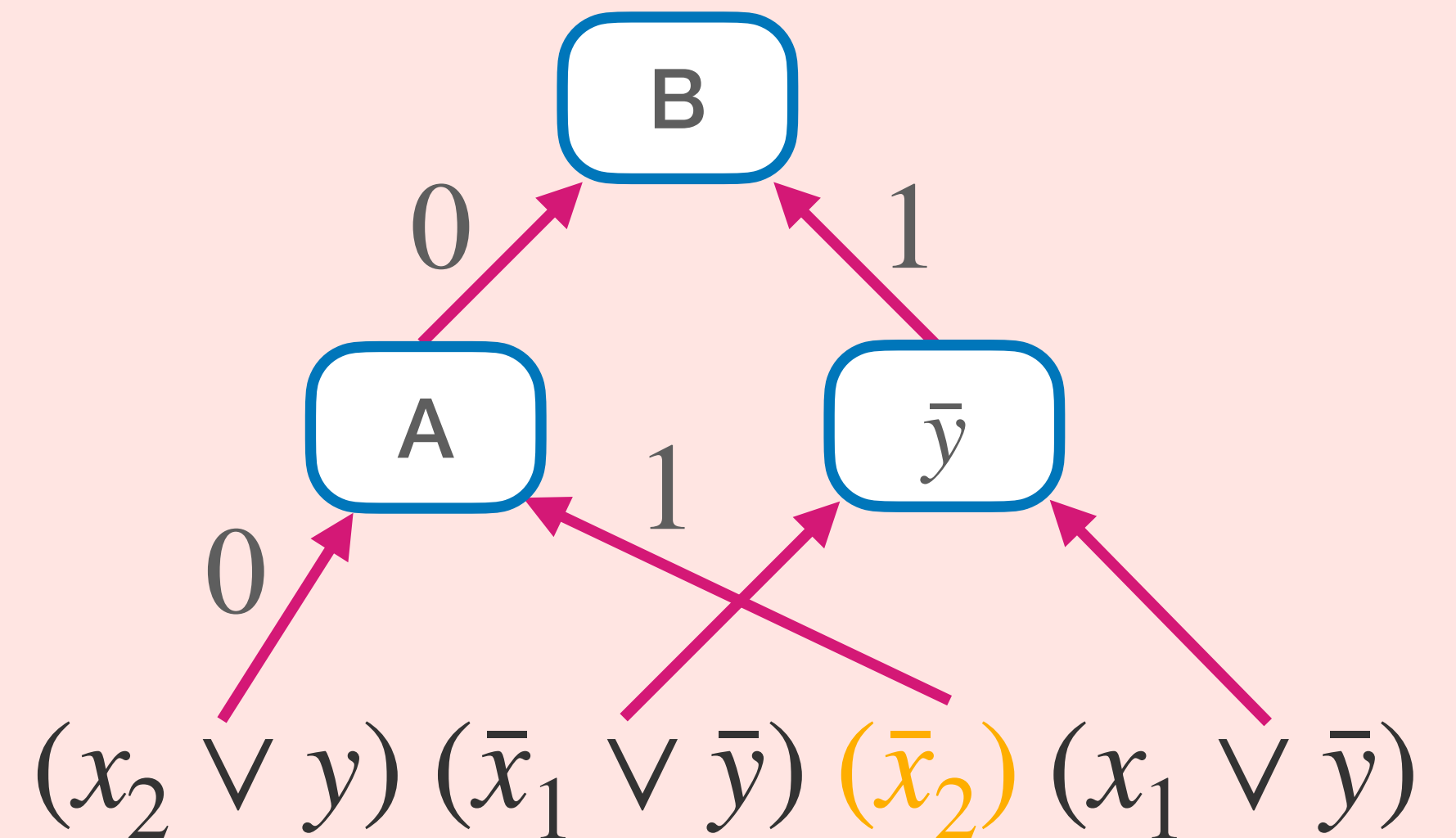
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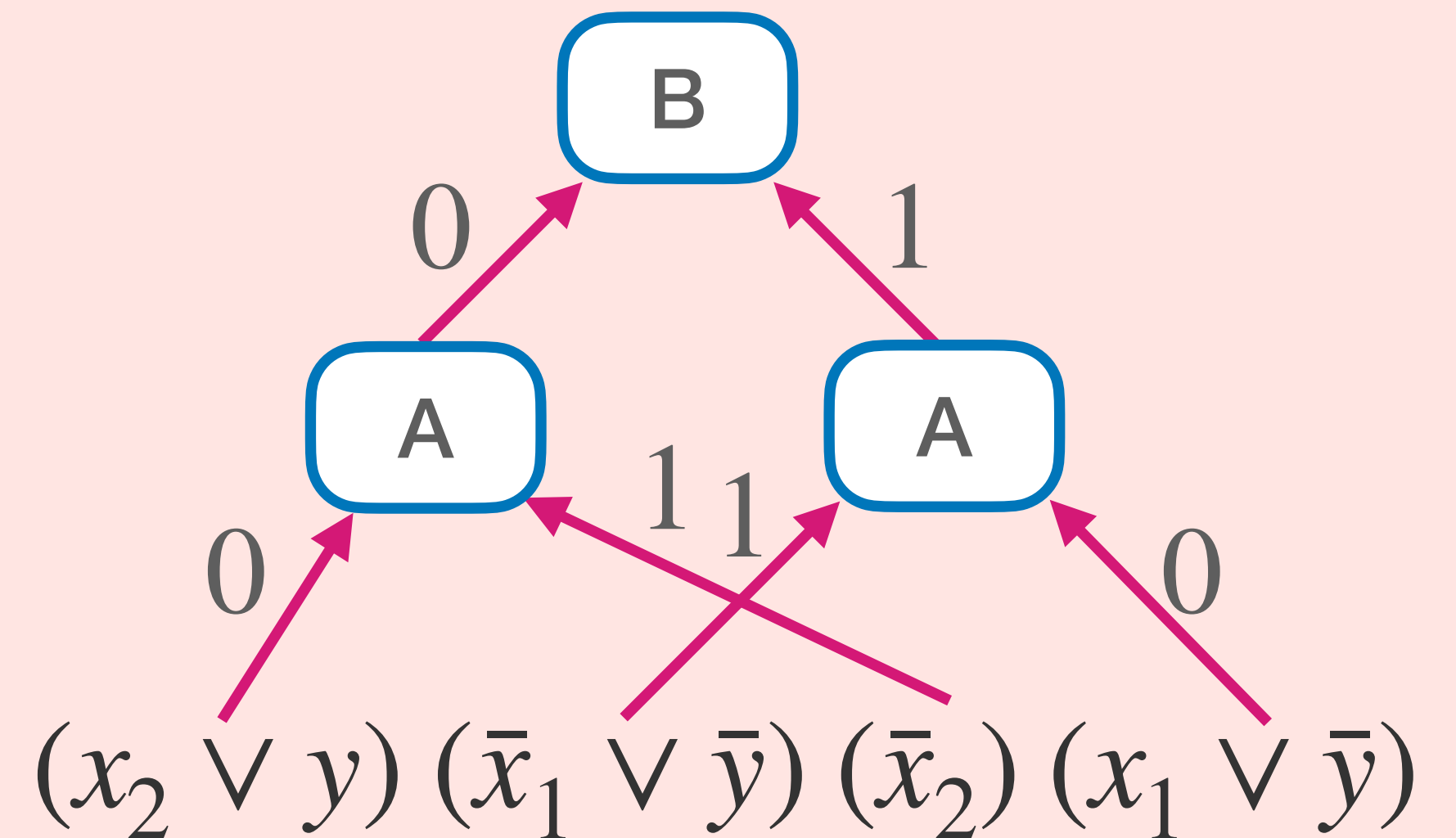
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Tale of Two Search Problems

So far we have seen

- Monotone circuit complexity $\approx \text{mKW}_f$
- Proof complexity $\approx \text{search}_F^{X,Y}$

We can prove a **generic** interpolation theorem by relating the two problems

Thm: For any unsatisfiable CNF formula $F = C_1 \wedge \dots \wedge C_m$ and any partition $X \times Y$ of $[n]$ there is a monotone function $f : \{0,1\}^m \rightarrow \{0,1\}$ and mappings $A : \{0,1\}^X \rightarrow f^{-1}(1)$, $R : \{0,1\}^Y \rightarrow f^{-1}(0)$ such that

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 \implies cc-protocol for mKW_f
 \implies monotone circuit for f

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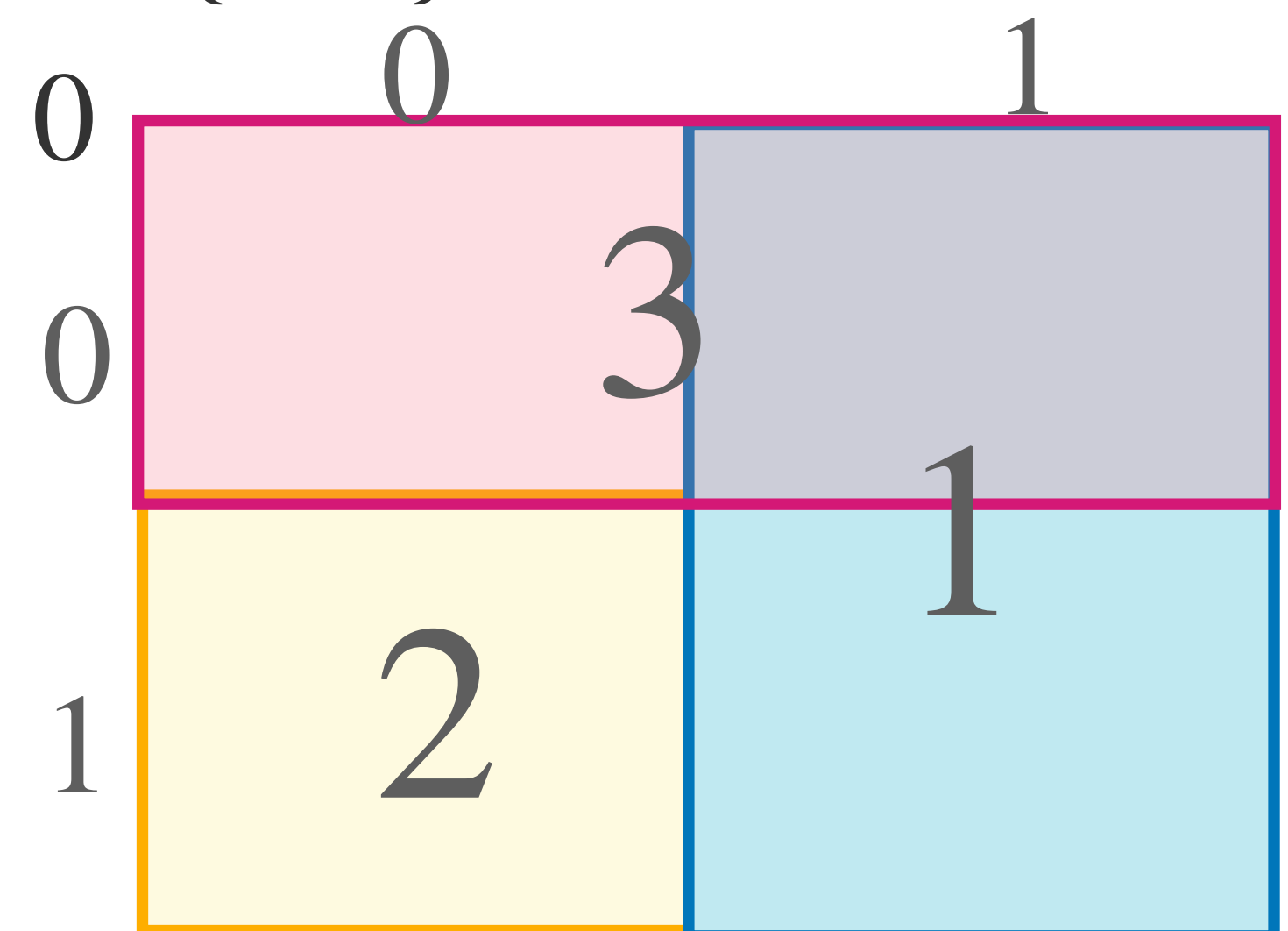
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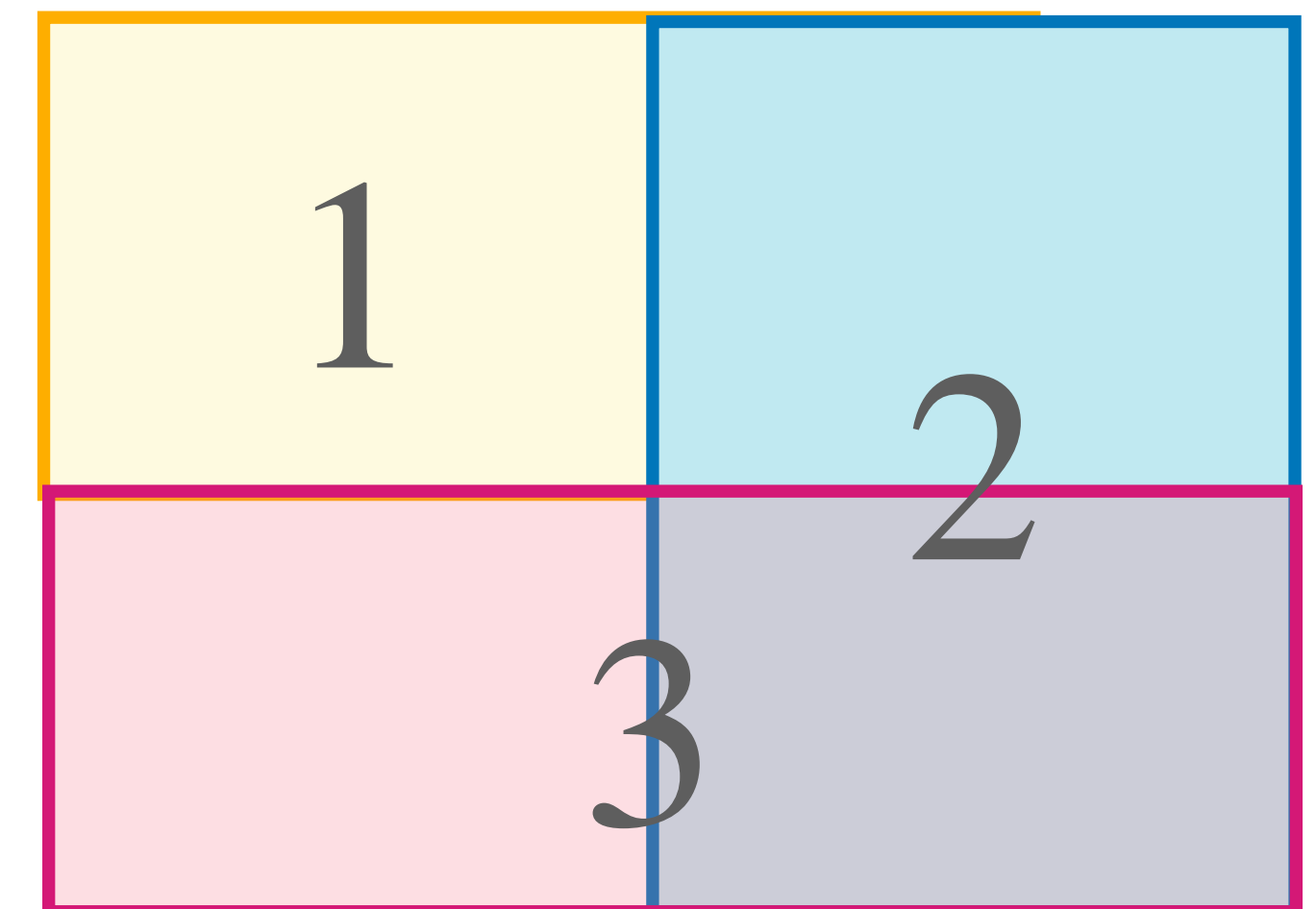
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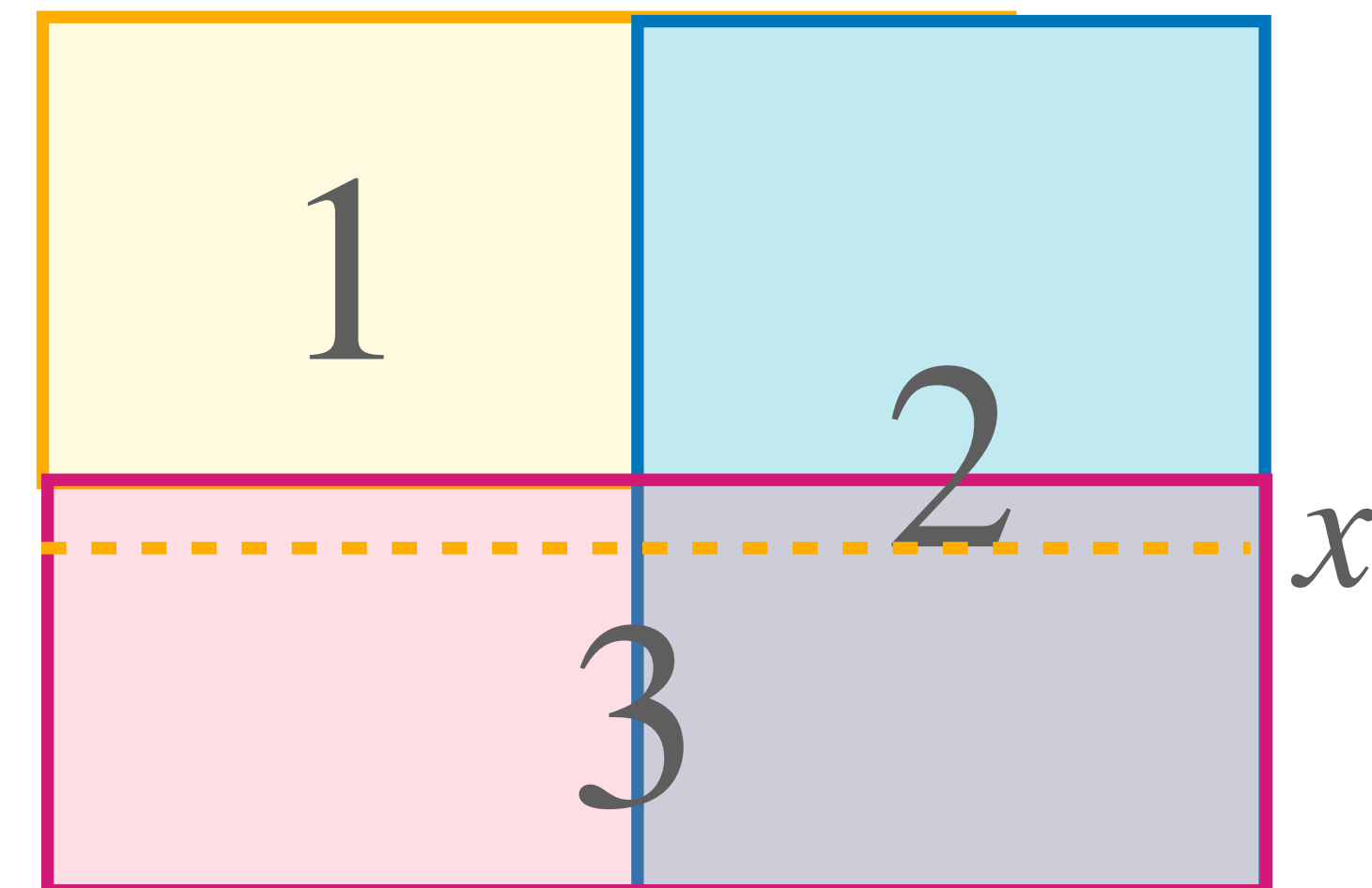
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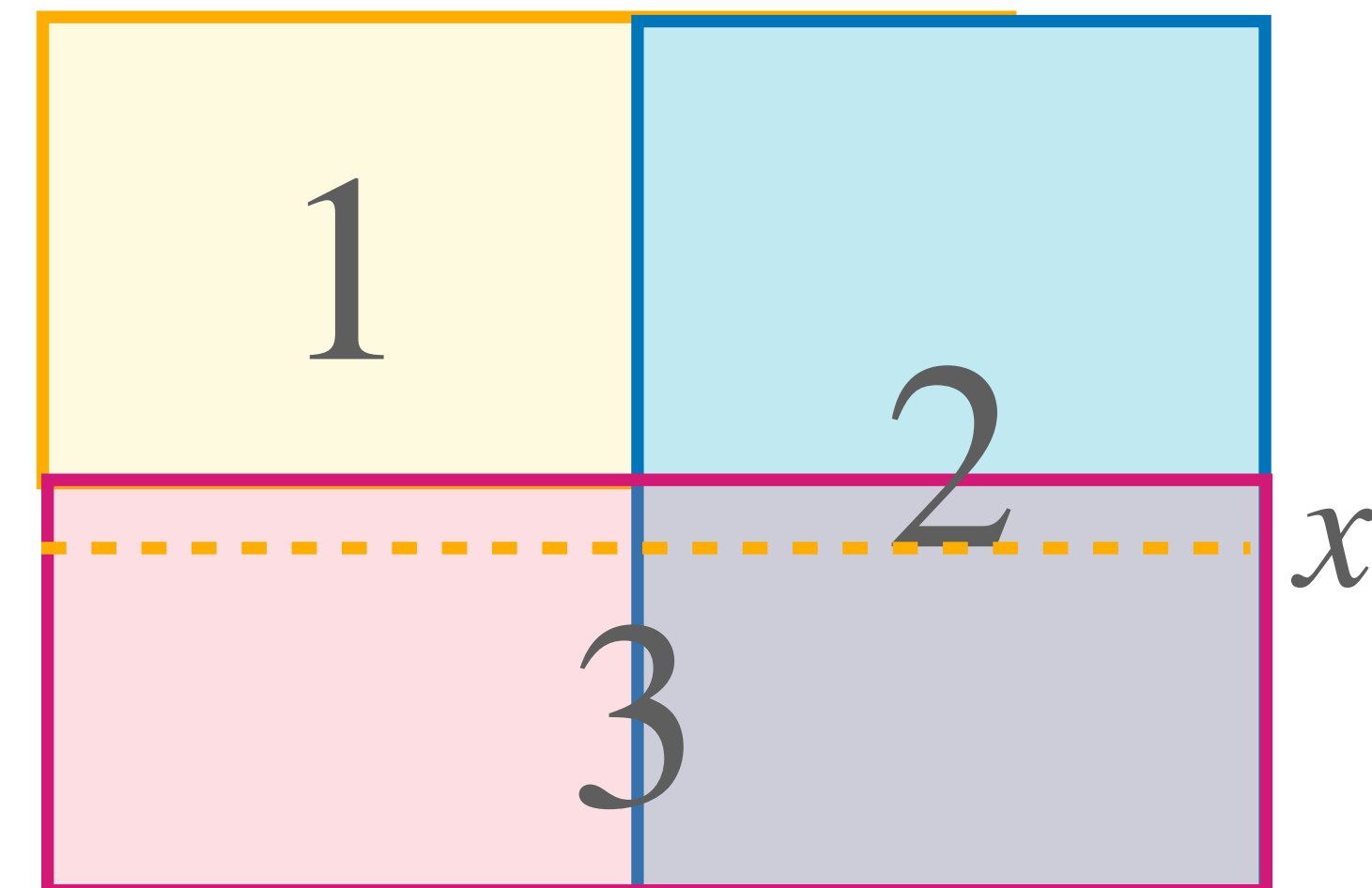
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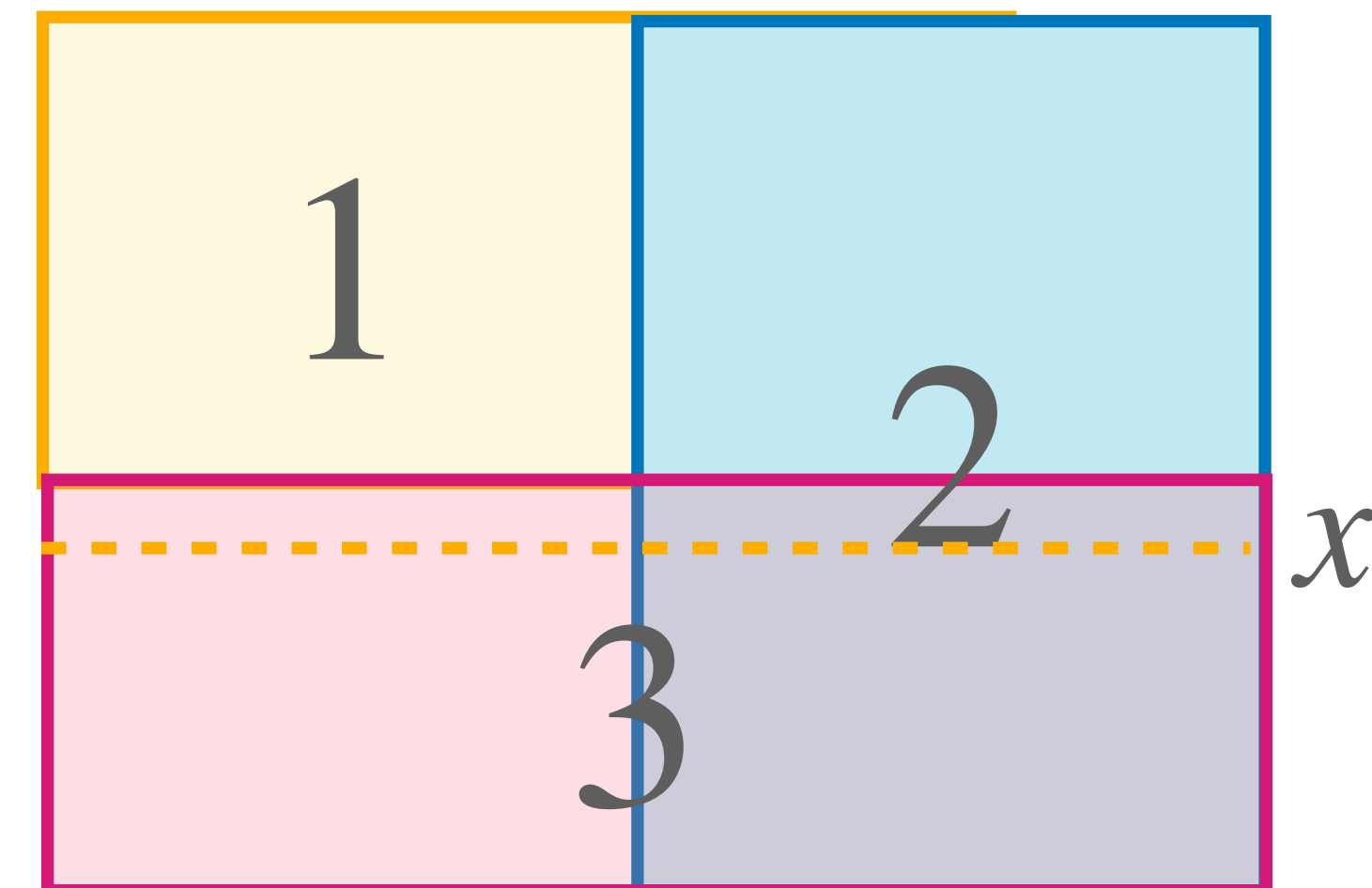
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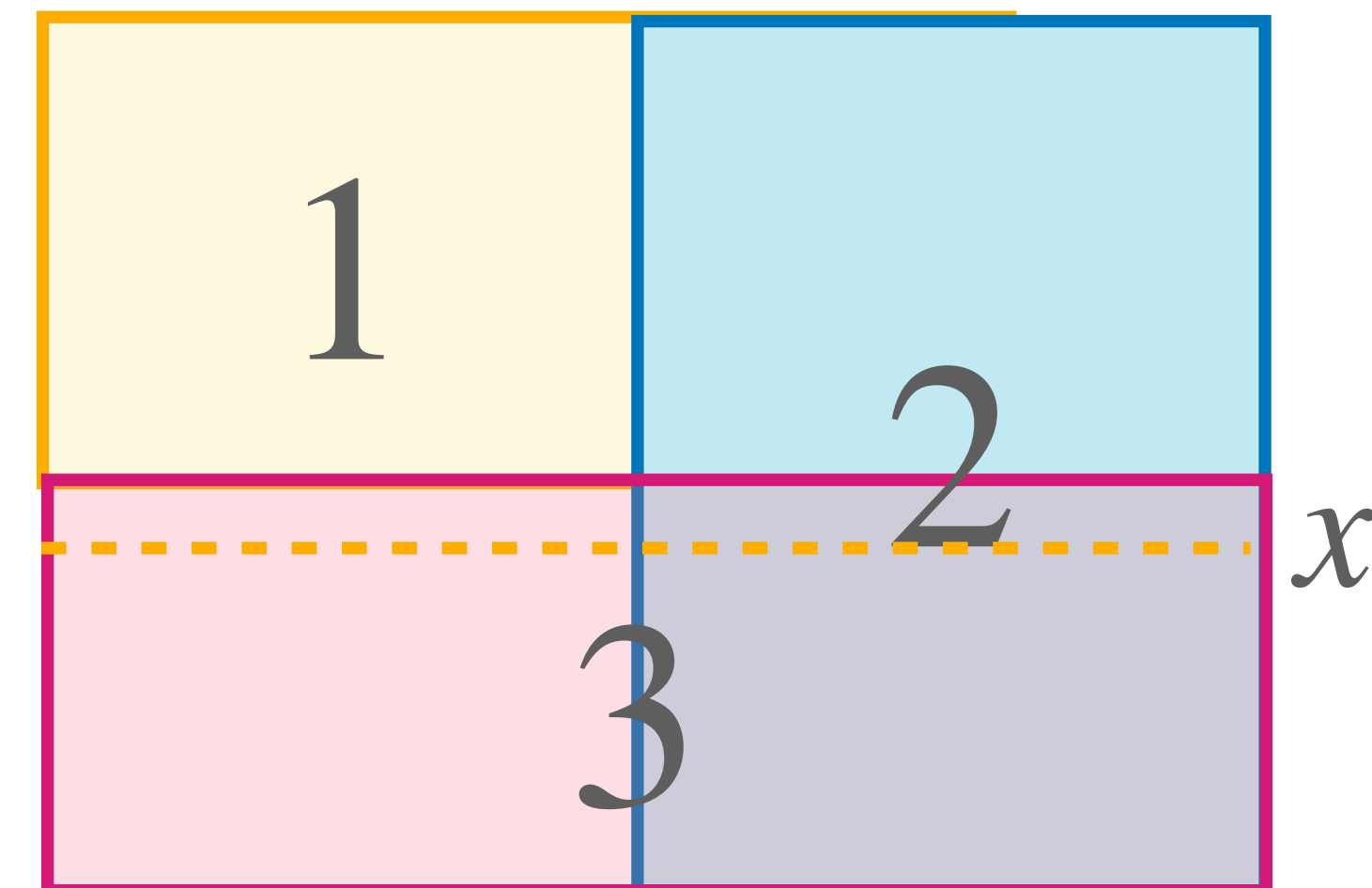
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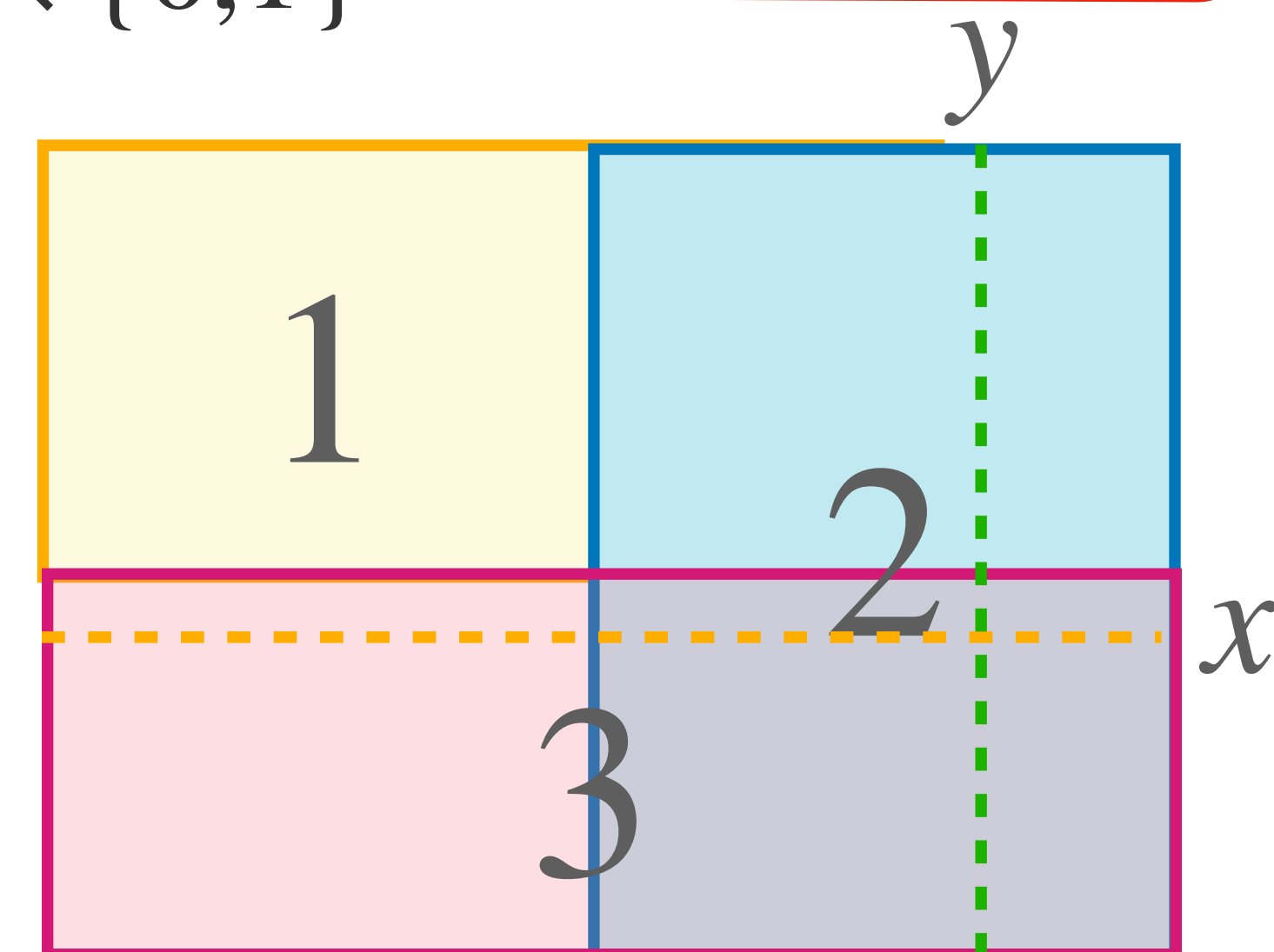
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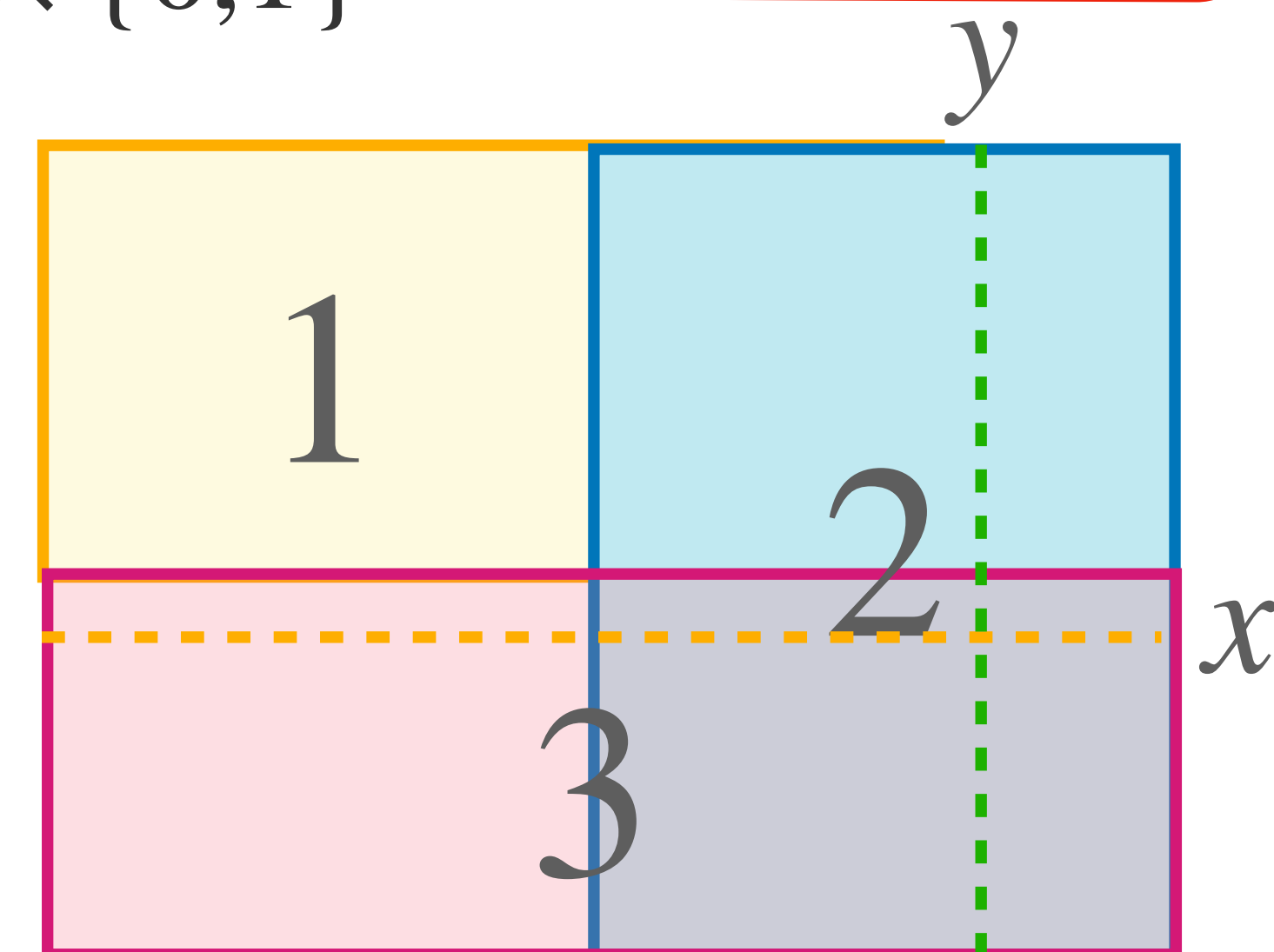
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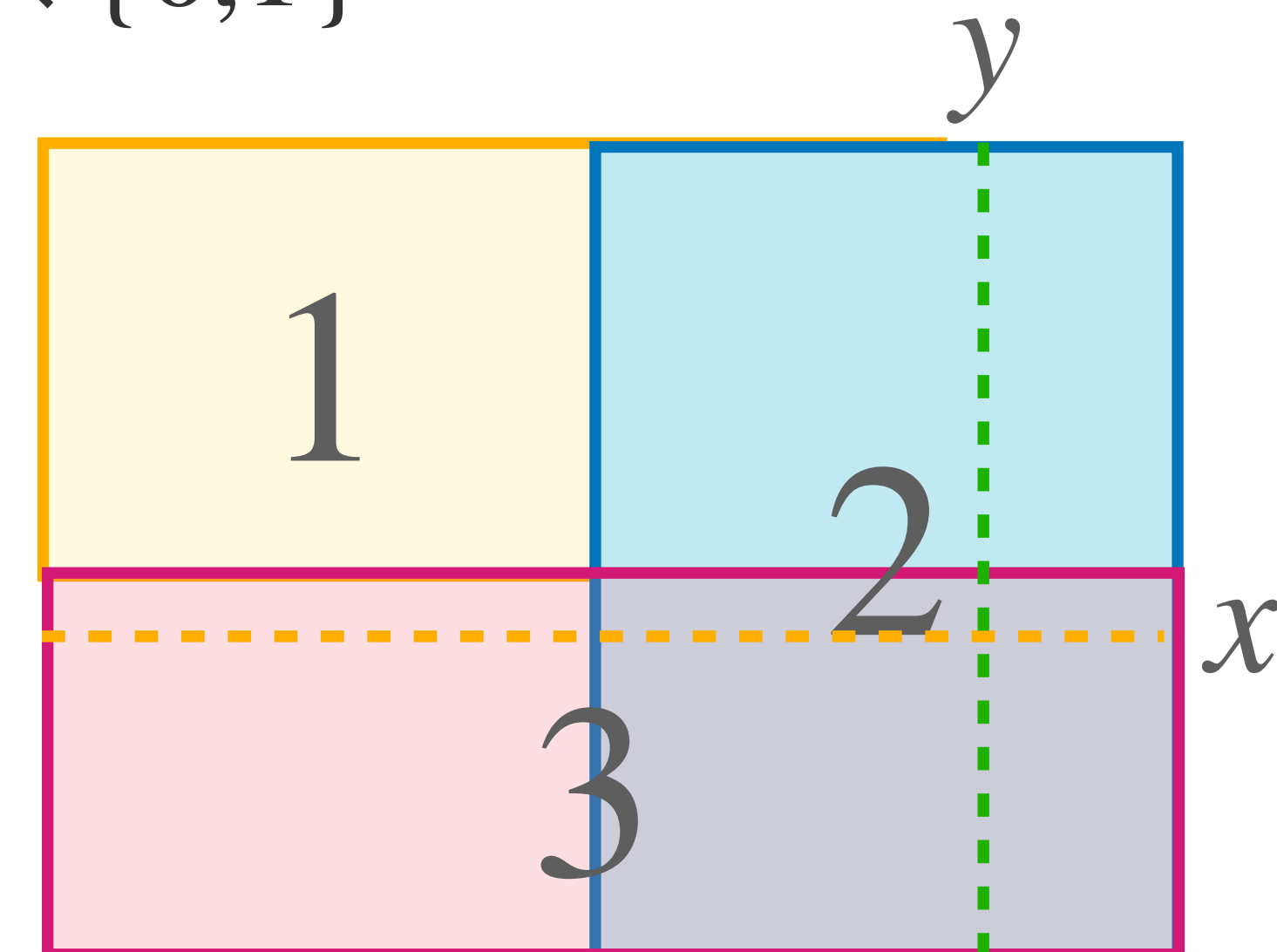
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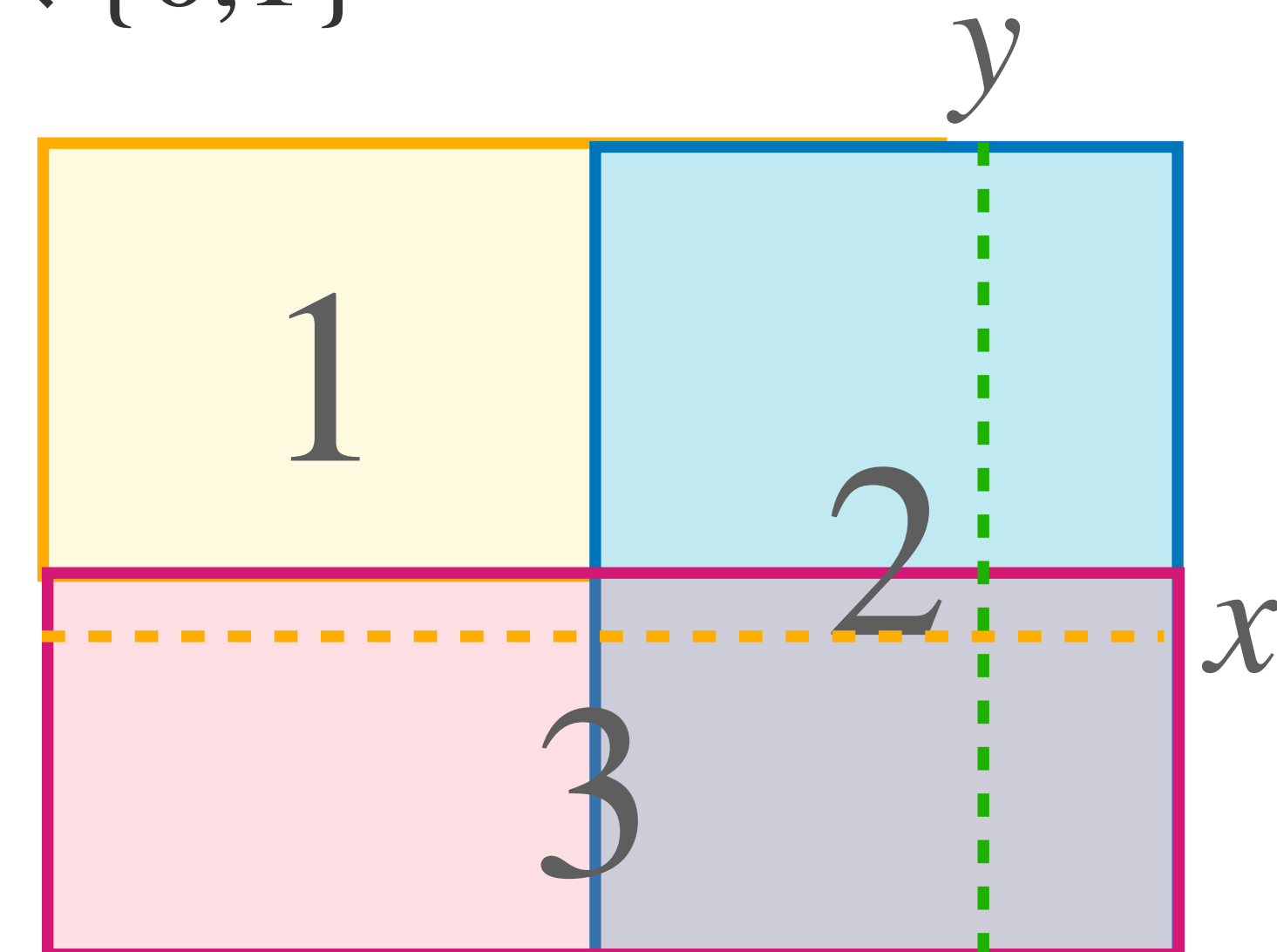
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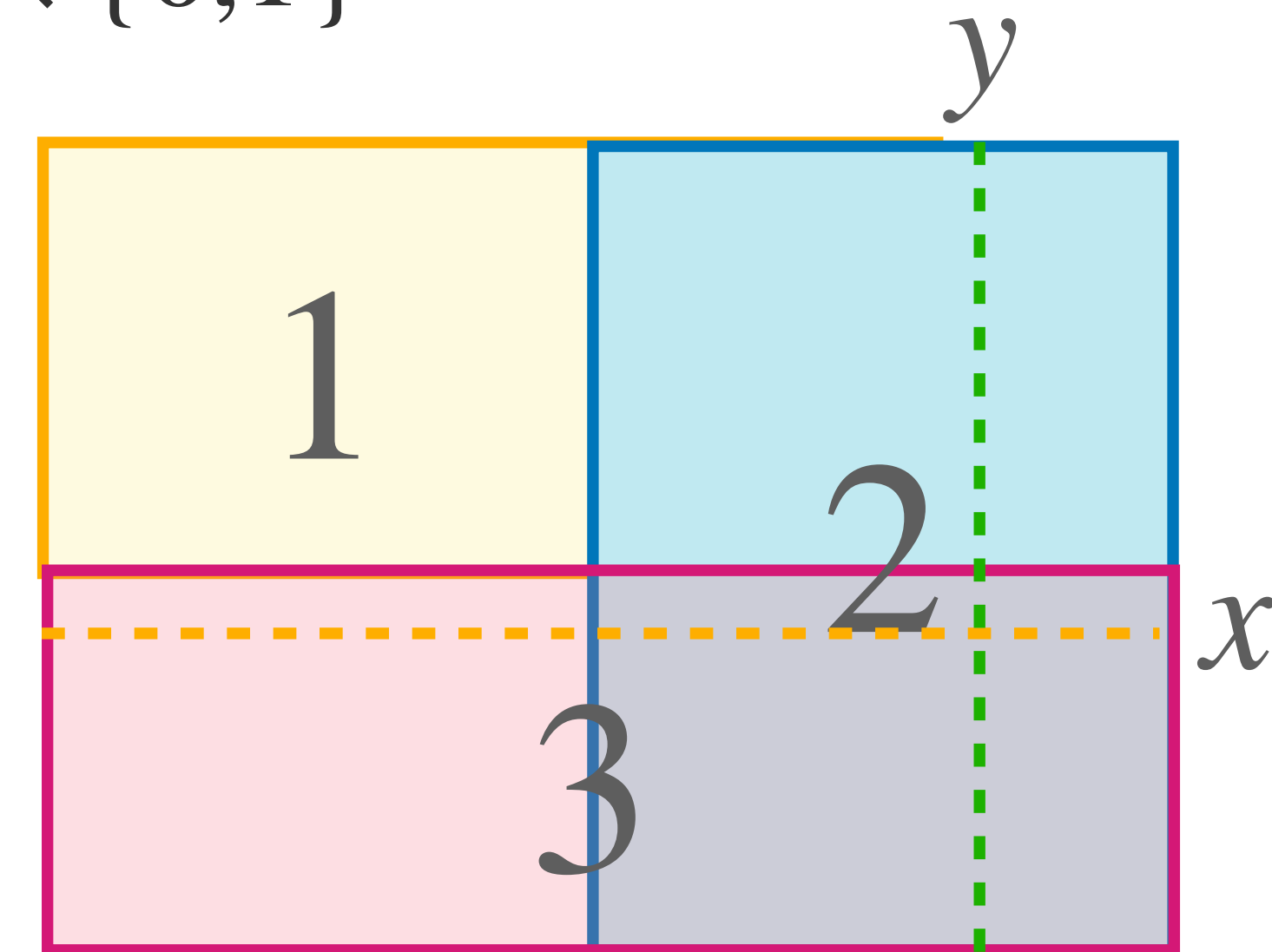
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$$(x, y, i) \in \text{search}_F^{X,Y} \iff C_i(x, y) = 0 \iff (x, y) \in R_i \iff A_i(x) > R_i(y)$$

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The function: Let f be a monotone function which accepts $A(x)$ for all $x \in \{0,1\}^X$ and rejects $R(y)$ for all $y \in \{0,1\}^Y$

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[FPPR17] proved same theorem using a function containing **cert** as a projection

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CC_1 proofs are **equivalent** to cc-protocols computing $\text{search}_F^{X,Y}$