# **CSC373**

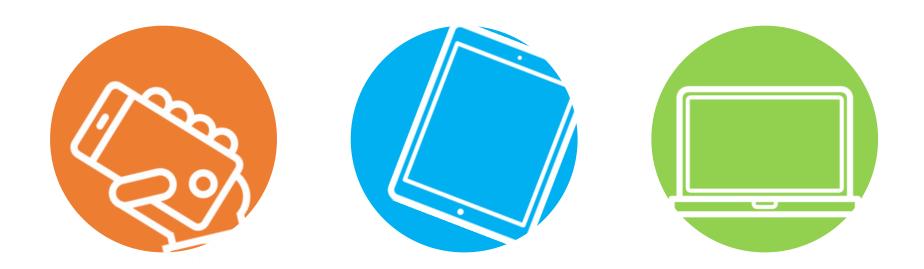
Review

#### BUT FIRST...

**Course Evaluation** 

Low response rate

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# Should I expect drumrolls?

**Course Evaluation** 

New response rate

## **Topics**

- Divide and conquer
- Greedy algorithms
- Dynamic programming
- Network flow
- Linear programming
- Complexity
- Approximation algorithms & local search
- Randomized algorithms

# **Greedy Algorithms**

- Greedy algorithm outline
  - We want to find the optimal solution maximizing some objective f over a large space of feasible solutions
  - > Solution x is composed of several parts (e.g. a set)
  - $\triangleright$  Instead of directly computing x...
    - Consider one element at a time in some greedy ordering
    - Make a decision about that element before moving on to future elements (and without knowing what will happen for the future elements)

# Greedy Algorithms

#### Proof of optimality outline

- > Strategy 1:
  - $\circ$   $G_i$  = greedy solution after i steps
  - $\circ$  Show that  $\forall i$ , there is some optimal solution  $OPT_i$  s.t.  $G_i \subseteq OPT_i$ 
    - "Greedy solution is promising"
  - By induction
  - Then the final solution returned by greedy must be optimal
- > Strategy 2:
  - Same as strategy 1, but more direct
  - Consider OPT that matches greedy solution for as many steps as possible
  - If it doesn't match in all steps, find another OPT which matches for one more step (contradiction)

# Dynamic Programming

- Key steps in designing a DP algorithm
  - "Generalize" the problem first
    - $\circ$  E.g. instead of computing edit distance between strings  $X=x_1,\ldots,x_m$  and  $Y=y_1,\ldots,y_n$ , we compute E[i,j]= edit distance between i-prefix of X and j-prefix of Y for all (i,j)
    - The right generalization is often obtained by looking at the structure of the "subproblem" which must be solved optimally to get an optimal solution to the overall problem
  - Remember the difference between DP and divide-andconquer
  - Sometimes you can save quite a bit of space by only storing solutions to those subproblems that you need in the future

# Dynamic Programming

- Dynamic programming applies well to problems that have optimal substructure property
  - > Optimal solution to a problem contains (or can be computed easily given) optimal solution to subproblems.
- Recall: divide-and-conquer also uses this property
  - You can think of divide-and-conquer as a special case of dynamic programming, where the two (or more) subproblems you need to solve don't "overlap"
  - > So there's no need for memoization
  - > In dynamic programming, one of the subproblems may in turn require solution to the other subproblem...

# Dynamic Programming

#### Top-Down may be preferred...

- ...when not all sub-solutions need to be computed on some inputs
- ...because one does not need to think of the "right order" in which to compute sub-solutions

#### Bottom-Up may be preferred...

- ...when all sub-solutions will anyway need to be computed
- ...because it is sometimes faster as it prevents recursive call overheads and unnecessary random memory accesses

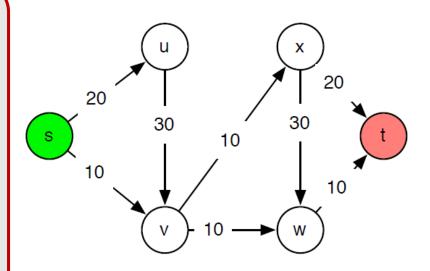
## **Network Flow**

#### Input

- $\triangleright$  A directed graph G = (V, E)
- $\triangleright$  Edge capacities  $c: E \to \mathbb{R}_{\geq 0}$
- > Source node s, target node t

#### Output

> Maximum "flow" from s to t



# Ford-Fulkerson Algorithm

```
MaxFlow(G):
 // initialize:
 Set f(e) = 0 for all e in G
 // while there is an s-t path in G_f:
 While P = FindPath(s, t, Residual(G, f))! = None:
   f = Augment(f, P)
   UpdateResidual(G, f)
 EndWhile
 Return f
```

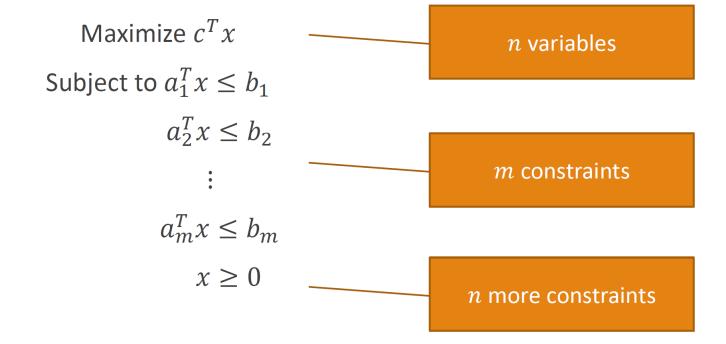
## Max Flow - Min Cut

• Theorem: In any graph, the value of the maximum flow is equal to the capacity of the minimum cut.

- Ford-Fulkerson can be used to find the min cut
  - $\triangleright$  Find the max flow  $f^*$
  - ightharpoonup Let  $A^*=$  set of all nodes reachable from s in residual graph  $G_{f^*}$ 
    - Easy to compute using BFS
  - $\triangleright$  Then  $(A^*, V \setminus A^*)$  is min cut

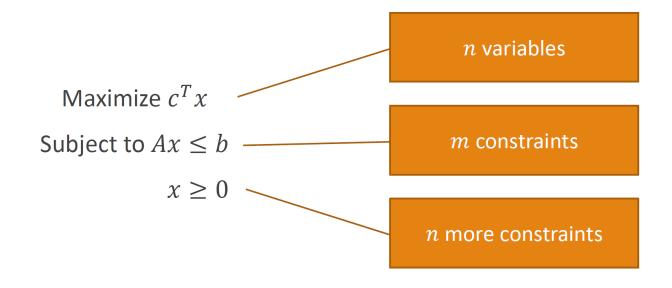
## LP, Standard Formulation

- Input:  $c, a_1, a_2, \dots, a_m \in \mathbb{R}^n, b \in \mathbb{R}^m$ 
  - $\triangleright$  There are n variables and m constraints
- Goal:



## LP, Standard Matrix Form

- Input:  $c, a_1, a_2, \dots, a_m \in \mathbb{R}^n, b \in \mathbb{R}^m$ 
  - $\triangleright$  There are n variables and m constraints
- Goal:



## Convert to Standard Form

- What if the LP is not in standard form?
  - ➤ Constraints that use ≥

$$a^T x \ge b \iff -a^T x \le -b$$

> Constraints that use equality

$$a^T x = b \iff a^T x \le b, \quad a^T x \ge b$$

- > Objective function is a minimization
  - $\circ$  Minimize  $c^T x \Leftrightarrow \text{Maximize } -c^T x$
- > Variable is unconstrained
  - o x with no constraint  $\Leftrightarrow$  Replace x by two variables x' and x'', replace every occurrence of x with x' x'', and add constraints  $x' \ge 0$ ,  $x'' \ge 0$

# Duality

# Primal LP Dual LP $\max \mathbf{c}^T \mathbf{x} \qquad \min \mathbf{y}^T \mathbf{b}$ $\mathbf{A} \mathbf{x} \leq \mathbf{b} \qquad \mathbf{y}^T \mathbf{A} \geq \mathbf{c}^T$ $\mathbf{x} \geq 0 \qquad \mathbf{y} \geq 0$

- Weak duality theorem:
  - > For any primal feasible x and dual feasible y,  $c^Tx \le y^Tb$
- Strong duality theorem:
  - > For any primal optimal  $x^*$  and dual optimal  $y^*$ ,  $c^Tx^* = (y^*)^Tb$

## P

- P (polynomial time)
  - > The class of all decision problems computable by a TM in polynomial time

#### NP

- NP (nondeterministic polynomial time)
  - > The class of all decision problems for which a YES answer can be verified by a TM in polynomial time given polynomial length "advice" or "witness".
  - $\succ$  There is a polynomial-time verifier TM V and another polynomial p such that
    - o For all YES inputs x, there exists y with |y| = p(|x|) on which V(x,y) returns YES
    - $\circ$  For all NO inputs x, V(x, y) returns NO for every y
  - > Informally: "Whenever the answer is YES, there's a short proof of it."

## co-NP

#### • co-NP

> Same as NP, except whenever the answer is NO, we want there to be a short proof of it

## Reductions

- Problem A is p-reducible to problem B if an "oracle" (subrouting) for B can be used to efficiently solve A
  - > You can solve A by making polynomially many calls to the oracle and doing additional polynomial computation

## NP-completeness

#### NP-completeness

- ➤ A problem B is NP-complete if it is in NP and every problem A in NP is p-reducible to B
- Hardest problems in NP
- If one of them can be solved efficiently, every problem in NP can be solved efficiently, implying P=NP

#### Observation:

- ▶ If A is in NP, and some NP-complete problem B is p-reducible to A, then A is NP-complete too
  - "If I could solve A, then I could solve B, then I could solve any problem in NP"

## Review of Reductions

- If you want to show that problem B is NP-complete
- Step 1: Show that B is in NP
  - Some polynomial-size advice should be sufficient to verify a YES instance in polynomial time
  - > No advice should work for a NO instance
  - Usually, the solution of the "search version" of the problem works
    - But sometimes, the advice can be non-trivial
    - For example, to check LP optimality, one possible advice is the values of both primal and dual variables, as we saw in the last lecture

## Review of Reductions

- If you want to show that problem B is NP-complete
- Step 2: Find a known NP-complete problem A and reduce it to B (i.e. show A ≤<sub>p</sub> B)
  - > This means taking an arbitrary instance of A, and solving it in polynomial time using an oracle for B
    - Caution 1: Remember the direction. You are "reducing known NPcomplete problem to your current problem".
    - Caution 2: The size of the B-instance you construct should be polynomial in the size of the original A-instance
  - This would show that if B can be solved in polynomial time, then A can be as well
  - > Some reductions are trivial, some are notoriously tricky...

# Approximation Algorithms

- We focus on optimization problems
  - > Decision problem: "Is there...where... ≥ k?"
    - $\circ$  E.g. "Is there an assignment which satisfies at least k clauses of a given formula  $\phi$ ?"
  - > Optimization problem: "Find...which maximizes..."
    - $\circ$  E.g. "Find an assignment which satisfies the maximum possible number of clauses from a given formula  $\varphi$ ."
  - Recall that if the decision problem is hard, then the optimization problem is hard too

# Approximation Algorithms

- There is a function Profit we want to maximize or a function Cost we want to minimize
- Given input instance *I*...
  - $\triangleright$  Our algorithm returns a solution ALG(I)
  - > An optimal solution maximizing Profit or minimizing Cost is OPT(I)
  - $\triangleright$  Then, the approximation ratio of ALG on instance I is

$$\frac{Profit(OPT(I))}{Profit(ALG(I))}$$
 or  $\frac{Cost(ALG(I))}{Cost(OPT(I))}$ 

# Approximation Algorithms

• Approximation ratio of ALG on instance I is

$$\frac{Profit(OPT(I))}{Profit(ALG(I))}$$
 or  $\frac{Cost(ALG(I))}{Cost(OPT(I))}$ 

- $\gt$  Note: These are defined to be  $\ge 1$  in each case.
  - 2-approximation = half the optimal profit / twice the optimal cost
- ALG has worst-case c-approximation if for each instance I...

$$Profit(ALG(I)) \ge \frac{1}{c} \cdot Profit(OPT(I)) \text{ or}$$

$$Cost(ALG(I)) \le c \cdot Cost(OPT(I))$$

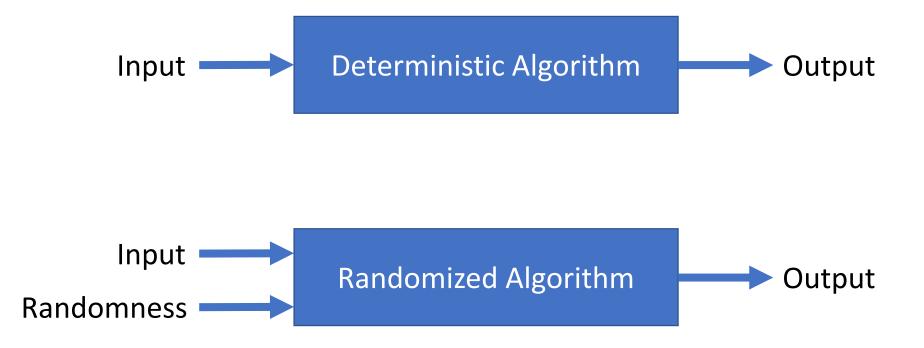
## Local Search

- A heuristic paradigm for solving complex problems
  - > Sometimes it might provably return an optimal solution
  - > But even if not, it might give a good approximation

#### • Idea:

- > Start with some solution S
- $\triangleright$  While there is a "better" solution S' in the **local neighborhood** of S
- Switch to S'
- Need to define what is "better" and what is a "local neighborhood"

# Randomized Algorithms



# Randomized Algorithms

#### Running time

- Sometimes, we want the algorithm to always take a small amount of time
  - Regardless of both the input and the random coin flips
- Sometimes, we want the algorithm to take a small amount of time in expectation
  - Expectation over random coin flips
  - Still regardless of the input

# Randomized Algorithms

#### Efficiency

- We want the algorithm to return a solution that is, in expectation, close to the optimum according to the objective under consideration
  - Once again, the expectation is over random coin flips
  - We want this to hold for every input

## Derandomization

 For some problems, it is easy to come up with a very simple randomized approximation algorithm

- Later, one can ask whether this algorithm can be "derandomized"
  - > Informally, the randomized algorithm is making some random choices, and sometimes they turn out to be good
  - > Can we make these "good" choices deterministically?