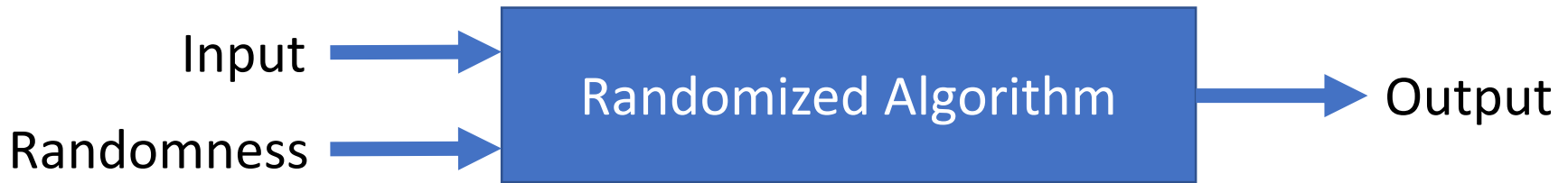
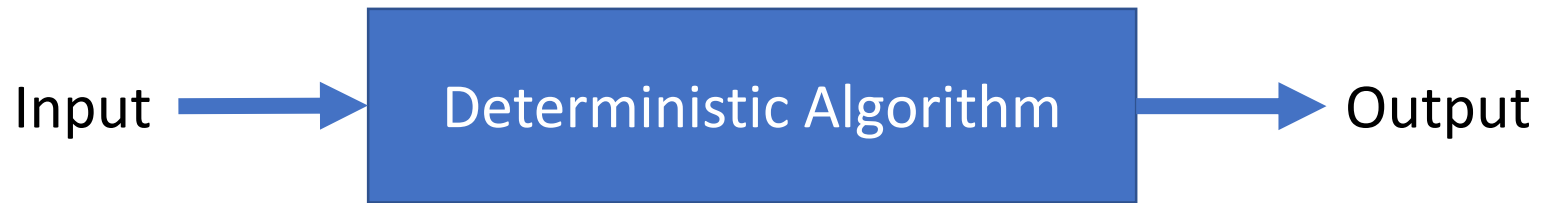


# CSC373

## Week 11: Randomized Algorithms

# Randomized Algorithms



# Randomized Algorithms

- Running time

- Sometimes, we want the algorithm to *always* take a small amount of time
  - Regardless of both the input and the random coin flips
- Sometimes, we want the algorithm to take a small amount of time *in expectation*
  - Expectation over random coin flips
  - Still regardless of the input

# Randomized Algorithms

- Efficiency

- We want the algorithm to return a solution that is, *in expectation*, close to the optimum according to the objective under consideration
  - Once again, the expectation is over random coin flips
  - We want this to hold for every input

# Derandomization

- For some problems, it is easy to come up with a very simple randomized approximation algorithm
- Later, one can ask whether this algorithm can be “derandomized”
  - Informally, the randomized algorithm is making some random choices, and sometimes they turn out to be good
  - Can we make these “good” choices deterministically?

# Recap: Probability Theory

- Random variable  $X$

- Discrete

- Takes value  $v_1$  with probability  $p_1$ ,  $v_2$  w.p.  $p_2$ , ...
- Expected value  $E[X] = p_1 \cdot v_1 + p_2 \cdot v_2 + \dots$
- Examples: the roll of a six-sided die (takes values 1 through 6 with probability  $1/6$  each)

- Continuous

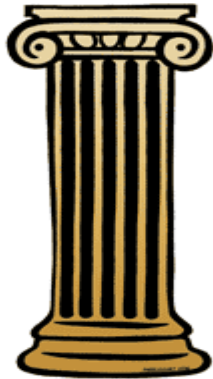
- Has a probability density function (pdf)  $f$
- Its integral is the cumulative density function (cdf)  $F$ 
  - $F(x) = \Pr[X \leq x]$
- Expected value  $E[X] = \int x f(x) dx$
- Examples: normal distribution, exponential distribution, uniform distribution over  $[0,1]$ , ...

# Recap: Probability Theory

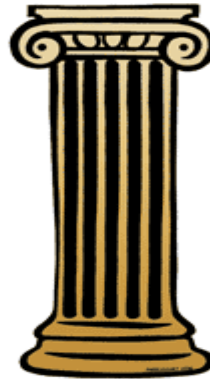
- Things you should be aware of...
  - Conditional probabilities
  - Independence among random variables
  - Conditional expectations
  - Moments of random variables
  - Standard discrete distributions: uniform over a finite set, Bernoulli, binomial, geometric, Poisson, ...
  - Standard continuous distributions: uniform over intervals, Gaussian/normal, exponential, ...

# Three Pillars

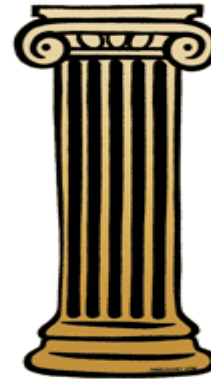
Linearity of Expectation



Union Bound



Chernoff Bound



- Deceptively simple, but incredibly powerful!
- Many many many many probabilistic results are just interesting applications of these three results



# Three Pillars

- **Linearity of expectation**

- $E[X + Y] = E[X] + E[Y]$

- This does *not* require any independence assumptions about  $X$  and  $Y$

- E.g. if you want to find out how many people will attend your party on average, just ask each person the probability with which they will attend and add up
  - It does not matter that some of them are friends, and will either attend together or not attend together

# Three Pillars

- Union bound

- For any two events  $A$  and  $B$ ,  $\Pr[A \cup B] \leq \Pr[A] + \Pr[B]$
- “Probability that at least one of the  $n$  events  $A_1, \dots, A_n$  will occur is at most  $\sum_i \Pr[A_i]$ ”
- Typically,  $A_1, \dots, A_n$  are “bad events”
  - You do not want any of them to occur
  - If you can individually bound  $\Pr[A_i] \leq 1/2n$  for each  $i$ , then probability that at least one them occurs  $\leq 1/2$
  - So with probability  $\geq 1/2$ , *none* of the bad events will occur

- Chernoff bound & Hoeffding’s inequality

- Read up!

# Exact Max- $k$ -SAT

# Exact Max- $k$ -SAT

- **Problem (recall)**

- **Input:** An exact  $k$ -SAT formula  $\varphi = C_1 \wedge C_2 \wedge \cdots \wedge C_m$ , where each clause  $C_i$  has exactly  $k$  literals, and a weight  $w_i \geq 0$  of each clause  $C_i$
  - **Output:** A truth assignment  $\tau$  maximizing the number (or total weight) of clauses satisfied under  $\tau$
- 
- Let us denote by  $W(\tau)$  the total weight of clauses satisfied under  $\tau$

# Exact Max- $k$ -SAT

- Recall our local search
  - $N_d(\tau)$  = set of all truth assignments which can be obtained by changing the value of at most  $d$  variables in  $\tau$
- **Result 1:** Neighborhood  $N_1(\tau) \Rightarrow 2/3$ -apx for Exact Max-2-SAT.
- **Result 2:** Neighborhood  $N_1(\tau) \cup \tau^c \Rightarrow 3/4$ -apx for Exact Max-2-SAT.
- **Result 3:** Neighborhood  $N_1(\tau)$  + oblivious local search  $\Rightarrow 3/4$ -apx for Exact Max-2-SAT.

# Exact Max- $k$ -SAT

- Recall our local search
  - $N_d(\tau)$  = set of all truth assignments which can be obtained by changing the value of at most  $d$  variables in  $\tau$
- We claimed that  $\frac{3}{4}$ -apx for Exact Max-2-SAT can be generalized to  $\frac{2^k-1}{2^k}$ -apx for Exact Max- $k$ -SAT
  - Algorithm becomes slightly more complicated
- What can we do with randomized algorithms?

# Exact Max- $k$ -SAT

- Recall:

- We have a formula  $\varphi = C_1 \wedge C_2 \wedge \dots \wedge C_m$
- Variables =  $x_1, \dots, x_n$ , literals = variables or their negations
- Each clause contains exactly  $k$  literals

- The most naïve randomized algorithm

- Set each variable to TRUE with probability  $\frac{1}{2}$  and to FALSE with probability  $\frac{1}{2}$

- How good is this?

# Exact Max- $k$ -SAT

- Recall:

- We have a formula  $\varphi = C_1 \wedge C_2 \wedge \cdots \wedge C_m$
- Variables =  $x_1, \dots, x_n$ , literals = variables or their negations
- Each clause contains exactly  $k$  literals

- For each clause  $C_i$ :

- $\Pr[C_i \text{ is not satisfied}] = 1/2^k$  (WHY?)
- Hence,  $\Pr[C_i \text{ is satisfied}] = (2^k - 1)/2^k$



# Exact Max- $k$ -SAT

- For each clause  $C_i$ :

- $\Pr[C_i \text{ is not satisfied}] = 1/2^k$  (WHY?)

- Hence,  $\Pr[C_i \text{ is satisfied}] = (2^k - 1)/2^k$

- Let  $\tau$  denote the random assignment

- $E[W(\tau)] = \sum_{i=1}^m w_i \cdot \Pr[C_i \text{ is satisfied}]$

(Which pillar did we just use?)

- $E[W(\tau)] = \frac{2^k - 1}{2^k} \cdot \sum_{i=1}^m w_i \geq \frac{2^k - 1}{2^k} \cdot OPT$

# Derandomization

- Can we derandomize this algorithm?
  - What are the choices made by the algorithm?
    - Setting the values of  $x_1, x_2, \dots, x_n$
  - How do we know which set of choices is good?
- **Idea:**
  - Do not think about all the choices at once.
  - Think about them one by one.

# Derandomization

- Say you want to *deterministically* make the right choice for  $x_1$

- Choices of  $x_2, \dots, x_n$  are still random

$$\begin{aligned} E[W(\tau)] &= \Pr[x_1 = T] \cdot E[W(\tau)|x_1 = T] + \Pr[x_1 = F] \cdot E[W(\tau)|x_1 = F] \\ &= \frac{1}{2} \cdot E[W(\tau)|x_1 = T] + \frac{1}{2} \cdot E[W(\tau)|x_1 = F] \end{aligned}$$

- This means at least one of  $E[W(\tau)|x_1 = T]$  and  $E[W(\tau)|x_1 = F]$  must be at least as much as  $E[W(\tau)]$ 
  - Moreover, both quantities can be computed, so we can take the better of the two!
  - For now, forget about the running time...

# Derandomization

- Once we have made the right choice for  $x_1$  (say T), then we can apply the same logic to  $x_2$

$$E[W(\tau)|x_1 = T] = \frac{1}{2} \cdot E[W(\tau)|x_1 = T, x_2 = T] + \frac{1}{2} \cdot E[W(\tau)|x_1 = T, x_2 = F]$$

- And then we can pick the choice that leads to a better conditional expectation

- **Derandomized Algorithm:**

- For  $i = 1, \dots, n$

- Let  $z_i = T$  if  $E[W(\tau)|x_1 = z_1, \dots, x_{i-1} = z_{i-1}, x_i = T] \geq E[W(\tau)|x_1 = z_1, \dots, x_{i-1} = z_{i-1}, x_i = F]$ , and  $z_i = F$  otherwise
- Set  $x_i = z_i$

# Derandomization

- This is called *the method of conditional expectations*
  - If we're happy when making a choice at random, we should be at least as happy conditioned on at least one of the possible values of that choice

- **Derandomized Algorithm:**

- For  $i = 1, \dots, n$

- Let  $z_i = T$  if  $E[W(\tau) | x_1 = z_1, \dots, x_{i-1} = z_{i-1}, x_i = T] \geq E[W(\tau) | x_1 = z_1, \dots, x_{i-1} = z_{i-1}, x_i = F]$ , and  $z_i = F$  otherwise

- Set  $x_i = z_i$

- How do we compare the two conditional expectations?

# Derandomization

- $E[W(\tau) | x_1 = z_1, \dots, x_{i-1} = z_{i-1}, x_i = T]$ 
  - $= \sum_r w_r \cdot \Pr[C_r \text{ is satisfied} | x_1 = z_1, \dots, x_{i-1} = z_{i-1}, x_i = T]$
  - Set the values of  $x_1, \dots, x_{i-1}, x_i$
  - If  $C_r$  resolves to TRUE already, the corresponding probability is 1
  - If  $C_r$  resolves to FALSE already, the corresponding probability is 0
  - Otherwise, if there are  $\ell$  literals left in  $C_r$  after setting  $x_1, \dots, x_{i-1}, x_i$ , the corresponding probability is  $\frac{2^\ell - 1}{2^\ell}$
- Compute  $E[W(\tau) | x_1 = z_1, \dots, x_{i-1} = z_{i-1}, x_i = F]$  similarly

# Max-SAT

- Simple randomized algorithm

- $\frac{2^k - 1}{2^k}$  – approximation for Max- $k$ -SAT

- Max-3-SAT  $\Rightarrow 7/8$

- [Håstad]: This is the best possible assuming  $P \neq NP$

- Max-2-SAT  $\Rightarrow 3/4 = 0.75$

- The best known approximation is 0.9401 using semi-definite programming and randomized rounding

- Max-SAT  $\Rightarrow 1/2$

- Max-SAT = no restriction on the number of literals in each clause

- The best known approximation is 0.7968, also using semi-definite programming and randomized rounding

# Max-SAT

- Better approximations for Max-SAT

- Semi-definite programming is out of the scope
- But we will see the simpler “LP + randomized rounding” approach that gives  $1 - 1/e \approx 0.6321$  approximation

- Max-SAT:

- **Input:**  $\varphi = C_1 \wedge C_2 \wedge \dots \wedge C_m$ , where each clause  $C_i$  has weight  $w_i \geq 0$  (and can have any number of literals)
- **Output:** Truth assignment that approximately maximizes the weight of clauses satisfied



# LP Formulation of Max-SAT

- **First, IP formulation:**

- Variables:

- $y_1, \dots, y_n \in \{0,1\}$ 
  - $y_i = 1$  iff variable  $x_i = \text{TRUE}$  in Max-SAT
- $z_1, \dots, z_m \in \{0,1\}$ 
  - $z_j = 1$  iff clause  $C_j$  is satisfied in Max-SAT

- Program:

Maximize  $\sum_j w_j \cdot z_j$

s.t.

$$\sum_{x_i \in C_j} y_i + \sum_{\bar{x}_i \in C_j} (1 - y_i) \geq z_j \quad \forall j \in \{1, \dots, m\}$$

$$y_i, z_j \in \{0,1\} \quad \forall i \in \{1, \dots, n\}, j \in \{1, \dots, m\}$$

# LP Formulation of Max-SAT

- LP relaxation:

- Variables:

- $y_1, \dots, y_n \in [0,1]$ 
  - $y_i = 1$  iff variable  $x_i = \text{TRUE}$  in Max-SAT
- $z_1, \dots, z_m \in [0,1]$ 
  - $z_j = 1$  iff clause  $C_j$  is satisfied in Max-SAT

- Program:

Maximize  $\sum_j w_j \cdot z_j$

s.t.

$$\sum_{x_i \in C_j} y_i + \sum_{\bar{x}_i \in C_j} (1 - y_i) \geq z_j \quad \forall j \in \{1, \dots, m\}$$

$$y_i, z_j \in [0,1] \quad \forall i \in \{1, \dots, n\}, j \in \{1, \dots, m\}$$

# Randomized Rounding

- **Randomized rounding**

- Find the optimal solution  $(y^*, z^*)$  of the LP
- Compute a random IP solution  $\hat{y}$  such that
  - Each  $\hat{y}_i = 1$  with probability  $y_i^*$  and 0 with probability  $1 - y_i^*$
  - Independently of other  $\hat{y}_i$ 's
  - The output of the algorithm is the corresponding truth assignment
- **What is  $\Pr[C_j \text{ is satisfied}]$  if  $C_j$  has  $k$  literals?**

$$\underbrace{1 - \prod_{x_i \in C_j} (1 - y_i^*) \cdot \prod_{\bar{x}_i \in C_j} (y_i^*)}_{\text{AM-GM inequality}} \geq \underbrace{1 - \left( \frac{\sum_{x_i \in C_j} (1 - y_i^*) + \sum_{\bar{x}_i \in C_j} (y_i^*)}{k} \right)^k}_{\text{LP constraint}} \geq 1 - \left( \frac{k - z_j^*}{k} \right)^k$$

# Randomized Rounding

- Claim

➤  $1 - \left(1 - \frac{z}{k}\right)^k \geq \left(1 - \left(1 - \frac{1}{k}\right)^k\right) \cdot z$  for all  $z \in [0,1]$  and  $k \in \mathbb{N}$

- Assuming the claim:

$$\Pr[C_j \text{ is satisfied}] \geq 1 - \left(\frac{k - z_j^*}{k}\right)^k \geq \underbrace{\left(1 - \left(1 - \frac{1}{k}\right)^k\right) \cdot z_j^*}_{\text{Standard inequality}} \geq \left(1 - \frac{1}{e}\right) \cdot z_j^*$$

- Hence,

$$\mathbb{E}[\text{\#weight of clauses satisfied}] \geq \left(1 - \frac{1}{e}\right) \sum_j w_j \cdot z_j^* \geq \left(1 - \frac{1}{e}\right) \cdot OPT$$

# Randomized Rounding

- Claim

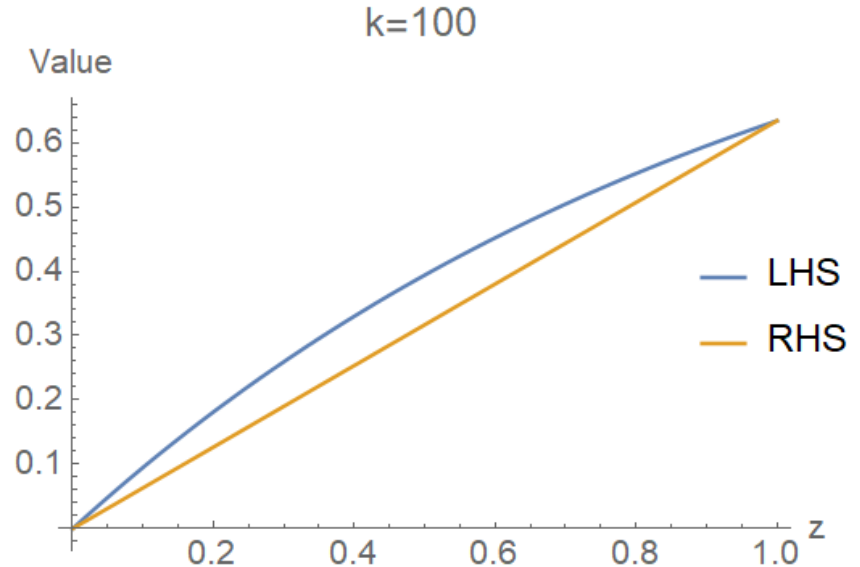
- $1 - \left(1 - \frac{z}{k}\right)^k \geq \left(1 - \left(1 - \frac{1}{k}\right)^k\right) \cdot z$  for all  $z \in [0,1]$  and  $k \in \mathbb{N}$

- Proof of claim:

- True at  $z = 0$  and  $z = 1$  (same quantity on both sides)

- For  $0 \leq z \leq 1$ :

- LHS is a convex function
- RHS is a linear function
- Hence,  $LHS \geq RHS$  ■



# Improving Max-SAT Apx

- **Claim without proof:**

- Running both “LP + randomized rounding” and “naïve randomized algorithm”, and returning the best of the two solutions gives  $3/4 = 0.75$  approximation!
- This algorithm can be derandomized.
- **Recall:**
  - “naïve randomized” = independently set each variable to TRUE/FALSE with probability 0.5 each, which only gives  $1/2 = 0.5$  approximation by itself

# Back to 2-SAT

- Max-2-SAT is NP-hard (we didn't prove this!)
- But 2-SAT can be efficiently solved
  - “Given a 2-CNF formula, check whether *all* clauses can be satisfied simultaneously.”

- **Algorithm:**

- Eliminate all unit clauses, set the corresponding literals.
- Create a graph with  $2n$  literals as vertices.
- For every clause  $(x \vee y)$ , add two edges:  $\bar{x} \rightarrow y$  and  $\bar{y} \rightarrow x$ .
  - $u \rightarrow v$  means if  $u$  is true,  $v$  must be true.
- Formula is satisfiable iff no path from  $x$  to  $\bar{x}$  or  $\bar{x}$  to  $x$  for any  $x$
- Solve  $s - t$  connectivity problem in polynomial time

# Random Walk + 2-SAT

- Here's a cute randomized algorithm by Papadimitriou [1991]

- **Algorithm:**

- Start with an arbitrary assignment.
- While there is an unsatisfied clause  $C = (x \vee y)$ 
  - Pick one of the two literals with equal probability.
  - Flip the variable value so that  $C$  is satisfied.

- But, but, this can hurt other clauses?



# Random Walk + 2-SAT

- **Theorem:**

- If there is a satisfying assignment  $\tau^*$ , then the expected time to reach some satisfying assignment is at most  $O(n^2)$ .

- **Proof:**

- Fix  $\tau^*$ . Let  $\tau_0$  be the starting assignment. Let  $\tau_i$  be the assignment after  $i$  iterations.
- Consider the “hamming distance”  $d_i$  between  $\tau_i$  and  $\tau^*$ 
  - Number of coordinates in which the two differ
  - $d_i \in \{0, 1, \dots, n\}$ .
- To show: in expectation, we will hit  $d_i = 0$  in  $O(n^2)$  iterations, unless the algorithm stops before that.

# Random Walk + 2-SAT

- **Observation:**  $d_{i+1} = d_i - 1$  or  $d_{i+1} = d_i + 1$ 
  - Because we change one variable in each iteration.
- **Claim:**  $\Pr[d_{i+1} = d_i - 1] \geq 1/2$
- **Proof:**
  - Iteration  $i$  considers an unsatisfied clause  $C = (x \vee y)$
  - $\tau^*$  satisfies at least one of  $x$  or  $y$ , while  $\tau_i$  satisfies neither
  - Because we pick a literal randomly, w.p. at least  $1/2$  we pick one where  $\tau_i$  and  $\tau^*$  differ, and decrease distance.
  - **Q:** Why did we need an unsatisfied clause? What if we pick one of  $n$  variables randomly, and flip it?

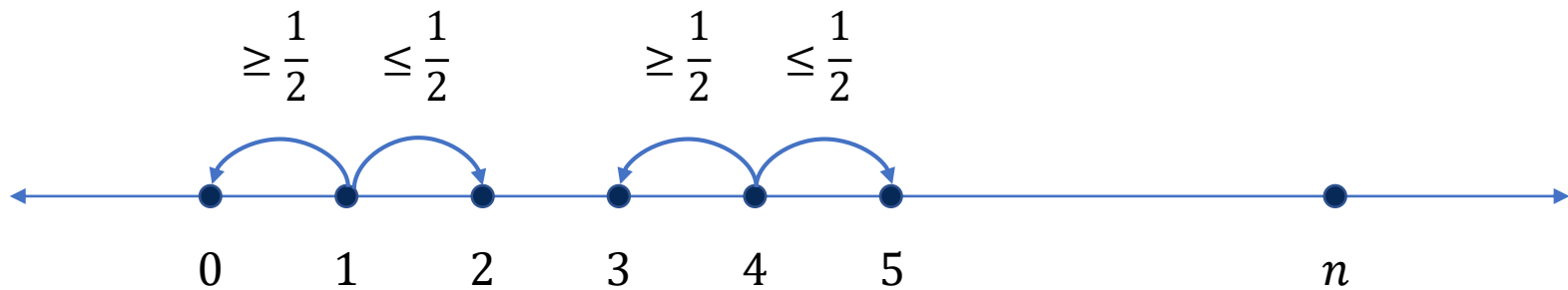
# Random Walk 2-SAT

- **Answer:**

- We want the distance to decrease with probability at least  $\frac{1}{2}$  no matter how close or far we are from  $\tau^*$ .
- If we are already close, choosing a variable at random will likely choose one where  $\tau$  and  $\tau^*$  already match.
- Flipping this variable will increase the distance with high probability.
- An unsatisfied clause narrows it down to two variables s.t.  $\tau$  and  $\tau^*$  differ on at least one of them

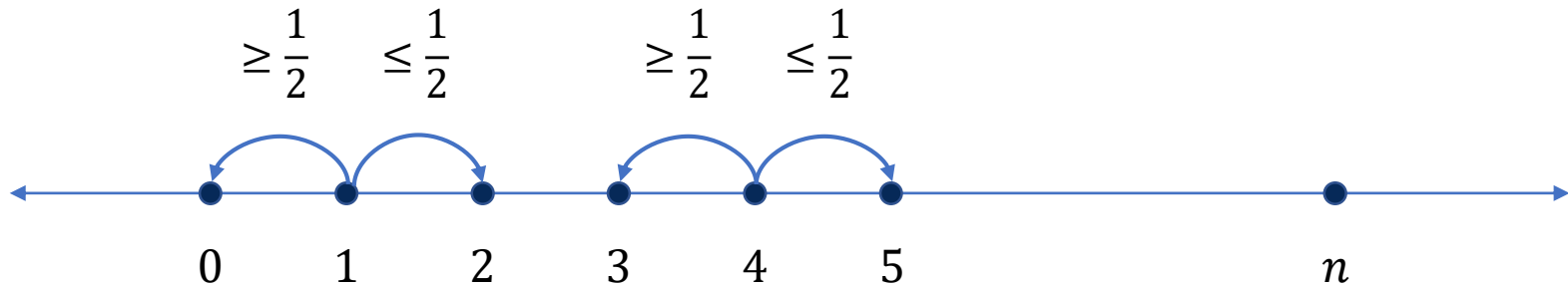
# Random Walk + 2-SAT

- **Observation:**  $d_{i+1} = d_i - 1$  or  $d_{i+1} = d_i + 1$
- **Claim:**  $\Pr[d_{i+1} = d_i - 1] \geq 1/2$



- **How does this help?**

# Random Walk + 2-SAT



- How does this help?
  - Can view this as Markov chain and use hitting time results
  - But let's prove it with elementary methods.

# Random Walk + 2-SAT

- For  $k > \ell$ , define:
  - $T_{k,\ell}$  = expected number of iterations it takes to hit distance  $\ell$  for the first time when you start at distance  $k$
- $$T_{i+1,i} \leq \frac{1}{2} * 1 + \frac{1}{2} * (1 + T_{i+2,i})$$
$$= \frac{1}{2} * (1) + \frac{1}{2} * (1 + T_{i+2,i+1} + T_{i+1,i})$$
- Simplifying:
  - $T_{i+1,i} \leq 2 + T_{i+2,i+1} \leq 4 + T_{i+3,i+2} \leq \dots \leq O(n) + T_{n,n-1} \leq O(n)$ 
    - Uses  $T_{n,n-1} = 1$  (Why?)
- $$T_{n,0} \leq T_{n,n-1} + \dots + T_{1,0} = O(n^2)$$

# Random Walk + 2-SAT

- Can view this algorithm as a “drunken local search”
  - We are searching the local neighborhood
  - But we don’t ensure that we necessarily improve.
  - We just ensure that in expectation, we aren’t hurt.
  - Hope to reach a feasible solution in polynomial time
- Schönig extended this technique to  $k$ -SAT
  - Schönig’s algorithm no longer runs in polynomial time, but this is okay because  $k$ -SAT is NP-hard
  - It still improves upon the naïve  $2^n$
  - Later derandomized by Moser and Scheder [2011]

# Schöning's Algorithm for $k$ -SAT

- **Algorithm:**

- Choose a random assignment  $\tau$ .
- Repeat  $3n$  times ( $n = \text{\#variables}$ )
  - If  $\tau$  satisfies the CNF, stop.
  - Else, pick an arbitrary unsatisfied clause, and flip a random literal in the clause.



# Schöning's Algorithm

- Randomized algorithm with one-sided error
  - If the CNF is satisfiable, it finds an assignment with probability at least  $\left(\frac{1}{2} \cdot \frac{k}{k-1}\right)^n$
  - If the CNF is unsatisfiable, it surely does not find an assignment.
- Expected # times we need to repeat =  $\left(2 \left(1 - \frac{1}{k}\right)\right)^n$ 
  - For  $k = 3$ , this gives  $O(1.3333^n)$
  - For  $k = 4$ , this gives  $O(1.5^n)$

# Best Known Results

- 3-SAT
- Deterministic
  - Derandomized Schöning's algorithm:  $O(1.3333^n)$
  - Best known:  $O(1.3303^n)$  [HSSW]
    - If there is a unique satisfying assignment:  $O(1.3071^n)$  [PPSZ]
- Randomized
  - Nothing better known without one-sided error
  - With one-sided error, best known is  $O(1.30704^n)$  [Modified PPSZ]

# Random Walk + 2-SAT

- Random walks are not only of theoretical interest
  - WalkSAT is a practical SAT algorithm
  - At each iteration, pick an unsatisfied clause *at random*
  - Pick a variable in the unsatisfied clause to flip:
    - With some probability, pick at random.
    - With the remaining probability, pick one that will make the fewest previously satisfied clauses unsatisfied.
  - Restart a few times (avoids being stuck in local minima)
- Faster than “intelligent local search” (GSAT)
  - Flip the variable that satisfies most clauses

# Random Walks on Graphs

- Aleliunas et al. [1979]
  - Let  $G$  be a connected undirected graph. Then a random walk starting from any vertex will cover the entire graph (visit each vertex at least once) in  $O(mn)$  steps.
- Also care about limiting probability distribution
  - In the limit, the random walk will spend  $\frac{d_i}{2m}$  fraction of the time on vertex with degree  $d_i$
- Markov chains
  - Generalize to directed (possibly infinite) graphs with unequal edge probabilities

# Randomization for Sublinear Running Time

# Sublinear Running Time

- Given an input of length  $n$ , we want an algorithm that runs in time  $o(n)$ 
  - $o(n)$  examples:  $\log n$ ,  $\sqrt{n}$ ,  $n^{0.999}$ ,  $\frac{n}{\log n}$ , ...
  - The algorithm doesn't even get to read the full input!
  - There are four possibilities:
    - **Exact vs inexact**: whether the algorithm always returns the correct/optimal solution or only does so with high probability (or gives some approximation)
    - **Worst-case versus expected running time**: whether the algorithm always takes  $o(n)$  time or only does so in expectation (but still on every instance)

# Exact algorithms, expected sublinear time

# Searching in Sorted List

- **Input:** A sorted doubly linked list with  $n$  elements.
  - Imagine you have an array  $A$  with  $O(1)$  access to  $A[i]$
  - $A[i]$  is a tuple  $(x_i, p_i, n_i)$ 
    - Value, index of previous element, index of next element.
  - Sorted:  $x_{p_i} \leq x_i \leq x_{n_i}$
- **Task:** Given  $x$ , check if there exists  $i$  s.t.  $x = x_i$
- **Goal:** We will give a randomized + exact algorithm with expected running time  $O(\sqrt{n})!$



# Searching in Sorted List

- **Motivation:**

- Often we deal with large datasets that are stored in a large file on disk, or possibly broken into multiple files
- Creating a new, sorted version of the dataset is expensive
- It is often preferred to “implicitly sort” the data by simply adding previous-next pointers along with each element
  
- Would like algorithms that can operate on such implicitly sorted versions and yet achieve sublinear running time
  - Just like binary search achieves for an explicitly sorted array

# Searching in Sorted List

## Algorithm:

- Select  $\sqrt{n}$  random indices  $R$
- Access  $x_j$  for each  $j \in R$
- Find “accessed  $x_j$  nearest to  $x$  in either direction”
  - Either largest among all  $x_j \leq x$  or smallest among all  $x_j \geq x$
  - At least one direction must be possible (WHY?)
- If you take the largest  $x_j \leq x$ , start from there and keep going “next” until you find  $x$  or go past its value
- If you take the smallest  $x_j \geq x$ , start from there and keep going “previous” until you find  $x$  or go past its value

# Searching in Sorted List

- **Analysis sketch:**

- Suppose you find the largest  $x_j \leq x$  and keep going “next”
- Let  $x_i$  be smallest value  $\geq x$
- Algorithm stops when it hits  $x_i$
- Algorithm throws  $\sqrt{n}$  random “darts” on the sorted list
- **Chernoff bound:**
  - Expected distance of  $x_i$  to the closest dart to its left is  $O(\sqrt{n})$
  - **We’ll assume this without proof!**
- Hence, the algorithm only does “next”  $O(\sqrt{n})$  times in expectation

# Searching in Sorted List

- **Note:**
  - We don't *really* require the list to be doubly linked. Just “next” pointer suffices if we have a pointer to the first element of the list (a.k.a. “anchored list”).
- This algorithm is optimal!
- **Theorem:** No algorithm that always returns the correct answer can run in  $o(\sqrt{n})$  expected time.
  - Can be proved using Yao's minimax principle
  - Beyond the scope of the course, but this is a fundamental result with wide-ranging applications

# Sublinear Geometric Algorithms

- Chazelle, Liu, and Magen [2003] proved the  $\Theta(\sqrt{n})$  bound for searching in a sorted linked list
  - Their main focus was to generalize these ideas to come up with sublinear algorithms for geometric problems
  - **Polygon intersection:** Given two convex polyhedra, check if they intersect.
  - **Point location:** Given a Delaunay triangulation (or Voronoi diagram) and a point, find the cell in which the point lies.
  - They provided optimal  $O(\sqrt{n})$  algorithms for both these problems.

# Inexact algorithms, expected sublinear time

# Estimating Avg Degree in Graph

- **Input:** Graph  $G$  with  $n$  vertices, and access to an oracle that returns the degree of a queried vertex in  $O(1)$  time.
- **Goal:**  $(2 + \epsilon)$ -approximation in expected time  $O(\epsilon^{-O(1)}\sqrt{n})$ 
  - $\epsilon$  is constant  $\Rightarrow$  sublinear in input size  $n$

# Estimating Avg Degree in Graph

- **Wait!**

- Isn't this equivalent to "given an array of  $n$  numbers between 1 and  $n - 1$ , estimate their average"?
- No! That requires  $\Omega(n)$  time for constant approximation!
  - Consider an instance with constantly many  $n - 1$ 's, and all other 1's: you may not discover any  $n - 1$  until you query  $\Omega(n)$  numbers
- Why are degree sequences more special?
  - **Erdős–Gallai theorem:**  $d_1 \geq \dots \geq d_n$  is a degree sequence iff their sum is even and  $\sum_{i=1}^k d_i \leq k(k - 1) + \sum_{i=k+1}^n d_i$ .
  - Intuitively, we will sample  $O(\sqrt{n})$  vertices
    - We may not discover the few high degree vertices, but we'll find their neighbors, and thus account for their edges anyway!



# Estimating Avg Degree in Graph

- **Algorithm:**

- Take  $\frac{8}{\epsilon}$  random subsets  $S_i \subseteq V$  with  $|S_i| = s$
- Compute the average degree  $d_{S_i}$  in each  $S_i$ .
- Return  $\widehat{d} = \min_i d_{S_i}$

- **Analysis beyond the scope of this course**

- But doesn't use anything other than Hoeffding's inequality, Markov's inequality, linearity of expectation, and union bound