## Scalable Techniques for Constrained Sampling and Counting

Kuldeep S. Meel Rice University

Joint work with Supratik Chakraborty (IITB), Daniel J. Fremont(UCB), Alexander Ivrii (IBM), Sharad Malik (Princeton), Sanjit A. Seshia (UCB), Moshe Y. Vardi (Rice)

# How do we guarantee that systems work *correctly* ?





#### **Functional Verification**

- Formal verification
  - Challenges: formal requirements, scalability
    ~10-15% of verification effort
- Dynamic verification: dominant approach

#### **Dynamic Verification**

- Design is simulated with test vectors
- Test vectors represent different verification scenarios
- Results from simulation compared to intended results
- •Challenge: Exceedingly large test space!

#### Motivating Example



How do we test the circuit works?

- Try for all values of a and b
  - 2<sup>128</sup> possibilities
  - Sun will go nova before done!
  - Not scalable

#### **Constrained-Random Simulation**



#### **Sources for Constraints**

- Designers:
  - 1.  $a +_{64} 11 *_{32} b = 12$
  - 2.  $a <_{64} (b >> 4)$
- Past Experience:
  - 1.  $40 <_{64} 34 + a <_{64} 5050$
  - 2.  $120 <_{64} b <_{64} 230$
- Users:
  - 1. 232  $*_{32}$  a + b != 1100
  - 2. 1020 <<sub>64</sub> (b /<sub>64</sub> 2) +<sub>64</sub> a <<sub>64</sub> 2200
- Test vectors: solutions of constraints

#### **Constrained-Random Simulation**



#### **Sources for Constraints**

- Designers:
  - 1.  $a +_{64} 11 *_{32} b = 12$
  - 2.  $a <_{64} (b >> 4)$
- Past Experience:
  - 1.  $40 <_{64} 34 + a <_{64} 5050$
  - 2. 120 <<sub>64</sub> b <<sub>64</sub> 230
- Users:
  - 1. 232 \*<sub>32</sub> a + b != 1100
  - 2.  $1020 <_{64} (b /_{64} 2) +_{64} a <_{64} 2200$

Problem: How can we <u>uniformly</u> sample the values of a and b satisfying the above constraints?

#### **Problem Formulation**



#### Scalable Uniform Generation of SAT Witnesses





#### Constraint satisfaction for random stimuli generation

Yehuda Naveh IBM Haifa Research Lab

IBM Labs in Haifa

© 2006 IBM Corporation



#### Search-Based Synthesis

- Goal: synthesize from under-constrained specifications ("sketch")
- Large space of programs that satisfy correctness conditions
- Task: Find "optimal" program (wrt running time, memory, ...)
- Method: *Uniformly sample* from the space of programs

#### **Constrained Counting**

- Given a SAT formula F
- $R_F$ : Set of all solutions of F
- Problem (#SAT): Estimate the number of solutions of F (#F) i.e., what is the cardinality of  $R_{\rm F}?$
- E.g., F = (a v b)
- $R_F = \{(0,1), (1,0), (1,1)\}$
- The number of solutions (#F) = 3
   #P: The class of counting problems for decision problems in NP!

### **Practical Applications**

- Wide range of applications!
- Probabilistic reasoning/Bayesian inference
- Dynamic Verification
- Planning with uncertainty
- Multi-agent/ adversarial reasoning

[Roth 96, Sang 04, Bacchus 04, Domshlak 07]



## Design Scalable Techniques for Uniform Generation and Model Counting with Strong Theoretical Guarantees



## Design Scalable Techniques for Almost-Uniform Generation and Approximate-Model Counting with Strong Theoretical Guarantees







Generator	Relative runtime
State-of-the-art: XORSample'	50000
Ideal Uniform Generator*	10
SAT Solver	1

Experiments over 200+ benchmarks \*: According to EDA experts



#### Partitioning into equal "small" cells



#### Partitioning into equal "small" cells



#### Partitioning into equal "small" cells



Pick a random solution from this cell 20

How to partition into roughly equal small cells of solutions without knowing the distribution of solutions?

Universal Hashing [Carter-Wegman 1979] (IBM Research)

## Universal Hashing

- Hash functions: mapping  $\{0,1\}^n$  to  $\{0,1\}^m$ 

•  $(2^n \text{ elements to } 2^m \text{ cells})$ 

• Random inputs => All cells are *roughly* equal (in <u>expectation</u>)

• Universal family of hash functions:

- Choose hash function randomly from family
- For arbitrary distribution on inputs => All cells are roughly equal (in <u>expectation</u>)

#### Universal Hashing and Independence

- Hash functions from mapping  $\{0,1\}^n$  to  $\{0,1\}^m$ 
  - $(2^n \text{ elements to } 2^m \text{ cells})$
- Universal hash functions:
  - Choose hash function randomly
  - For arbitrary distribution on inputs => All cells are *roughly* equal in <u>expectation</u>
  - <u>But:</u>
    - While each input is hashed **uniformly**
    - Different inputs *might not* be hashed **independently**

#### Strong Universality

H(n,m,r): Family of r-universal hash functions mapping {0,1}<sup>n</sup> to {0,1}<sup>m</sup> (2<sup>n</sup> elements to 2<sup>m</sup> cells)
r: degree of independence of hashed inputs

• Higher r => Stronger guarantee on *range of size* of cells

• r-wise universality => Polynomials of degree r-1

• Higher universality => Higher complexity

#### Partitioning

•How large should the cells be?

•How many cells?

#### Size of cell

# Too large => Hard to enumerate Too small => Variance can be very high

# pivot = $5(1 + 1/\varepsilon)^2$

#### How many cells?

• Our desire: 
$$2^{m} = \frac{|R_{F}|}{pivot}$$

• But determining  $|R_F|$  is expensive (#P complete)

- How about approximation? • *ApproxMC* (*F*,  $\varepsilon$ ,  $\delta$ ) returns C:  $\Pr[\frac{|R_F|}{1+\varepsilon} \le C \le (1+\varepsilon)|R_F|] \ge 1 - \delta$ •  $q = \log C - \log pivot$ 
  - Concentrate on  $2^m$ , where m = q-1, q, q+1

ApproxMC(F, $\varepsilon$ ,  $\delta$ )



- For right choice of m, large number of cells are "small"
  - "almost all" the cells are "roughly" equal
- Check if a randomly picked cell is "small"
- If yes, then estimate = # of solutions in cell \*  $2^m$

ApproxMC(F, $\varepsilon$ ,  $\delta$ )



ApproxMC(F, $\varepsilon$ ,  $\delta$ )



ApproxMC(F, $\varepsilon$ ,  $\delta$ )



# Runtime Performance of ApproxMC

#### Can Solve a Large Class of Problems



Large class of problems that lie beyond the exact counters but can be computed by ApproxMC

#### Mean Error: Only 4% (allowed: 75%)



Mean error: 4% – much smaller than the theoretical guarantee of 75%

# Guarantees and Runtime performance of UniGen

### Strong Theoretical Guarantees

#### • <u>Almost-Uniformity</u>

For every solution y of  $R_{\rm F}$ 

 $1/(6.84+\epsilon) \times 1/|R_F| \le \Pr[y \text{ is output}] \le (6.84+\epsilon)/|R_F|$ 

• <u>Success Probability</u>

UniGen succeeds with probability at least 0.52

• In practice, succ. Probability  $\sim 0.99$ 

Polynomial number of calls to SAT Solver

#### 1-2 Orders of Magnitude Faster



#### **Results: Uniformity**



• Benchmark: case110.cnf; #var: 287; #clauses: 1263

38

• Total Runs: 4x10<sup>6</sup>; Total Solutions : 16384

#### **Results: Uniformity**



• Benchmark: case110.cnf; #var: 287; #clauses: 1263

30

• Total Runs: 4x10<sup>6</sup>; Total Solutions : 16384



- The first scalable approximate model counter
- The first scalable uniform generator
- Outperforms state-of-the-art generators/counters

#### Are we done?



Generator	Relative runtime
State-of-the-art: XORSample'	50000
UniGen	~5000
Ideal Uniform Generator*	10
SAT Solver	1

Experiments over 200+ benchmarks \*: According to EDA experts

## **XOR-Based** Hashing

- Partition  $2^n$  space into  $2^m$  cells
- Variables:  $X_1, X_2, X_3, \ldots, X_n$
- Pick every variable with prob.  $\frac{1}{2}$  ,XOR them and add ~0/1 with prob.  $\frac{1}{2}$
- $X_1 + X_3 + X_6 + \dots + X_{n-1} + 0$
- To construct h:  $\{0,1\}^n \to \{0,1\}^m,$  choose m random XORs
- $\boldsymbol{\cdot} \alpha \in \{0,1\}^m \to \operatorname{Set}$  every XOR equation to 0 or 1 randomly
- The cell:  $F \land XOR$  (CNF+XOR)

### **XOR-Based Hashing**

• CryptoMiniSAT: Efficient for CNF+XOR

• Avg Length : n/2

• Smaller XORs  $\rightarrow$  better performance

#### How to shorten XOR clauses?

## Independent Support

- Set I of variables such that assignments to these uniquely determine assignments to rest of variables (for satisfying assignments)
- If  $\sigma_1$  and  $\sigma_2$  agree on I then  $\sigma_1 = \sigma_2$
- c  $\leftrightarrow$  (a V b) ; Independent Support I: {a, b}
- Key Idea: Hash only on the independent variables
- Average size of XOR: n/2 to |I|/2



Minimal Independent Support (MIS)



Minimal Unsatisfiable Subset (MUS)

#### Minimal Unsatisfiable Subset

• Given  $\Psi = H_1 \wedge H_2 \cdots H_m$ 

• Find subset  $\{H_{i1}, H_{i2}, \cdots H_{ik}\}$  of  $\{H_1, H_2, \cdots H_m\}$  such that  $H_{i1} \wedge H_{i2} \cdots H_{ik} \wedge \Omega$  is UNSAT **Unsatisfiable subset** 

• Find **minimal** subset  $\{H_{i1}, H_{i2}, \cdots H_{ik}\}$  of  $\{H_1, H_2, \cdots H_m\}$  such that  $H_{i1} \wedge H_{i2} \cdots H_{ik}$  is UNSAT **Minimal Unsatisfiable subset** 

#### Impact on Sampling and Counting Techniques



### What about complexity

- Computation of MUS:  $FP^{NP}$ 

• Why solve a  $FP^{NP}$  for almost-uniform generation/approximate counter (PTIME PTM with NP Oracle)

Settling the debate through practice!

#### Performance Impact on Uniform Sampling



■UniGen ■UniGen1

#### Where are we?

Generator	Relative runtime
State-of-the-art: XORSample'	50000
UniGen	5000
UniGen1	470
Ideal Uniform Generator*	10
SAT Solver	1

#### Back to basics



# of solutions in "small" cell ∈ [*loThresh, hiThresh*] We pick one solution "Wastage" of loThresh solutions Pick *loThresh* samples!

### **Balancing Independence**

For  $h \in H(n, m, 3)$ 

 Choosing up to 3 samples => Full independence among samples

• Choosing loThresh (>> 3) samples => Loss of independence

#### Why care about Independence

Convergence requires multiplication of probabilities



If every sample is independent => Faster convergence

#### The principle of principled compromise!

 Choosing up to 3 samples => Full independence among samples

- Choosing loThresh (>> 3) samples => Loss of independence
  - "Almost-Independence" among samples
  - Still provides strong theoretical guarantees of coverage

• 
$$L = \# of samples < |R_F|$$

$$\frac{L}{(1+\varepsilon)|R_F|} \le \Pr[\text{y is output}] \le 1.02(1+\varepsilon)\frac{L}{|R_F|}$$

 Polynomial Constant number of SAT calls per sample
 After one call to ApproxMC

#### Bug-finding effectiveness bug frequency $f = 1/10^4$ find bug with probability $\ge 1/2$

	UniGen	UniGen2
Expected number of SAT calls	$4.35 \times 10^7$	$3.38 \times 10^6$

An order of magnitude difference!

#### ~20 times faster than UniGen1



#### Where are we?

Generator	Relative runtime
State-of-the-art: XORSample'	50000
UniGen	5000
UniGen1	470
UniGen2	20
Ideal Uniform Generator*	10
SAT Solver	1

#### The Final Push....

- UniGen requires one time computation of ApproxMC
- Generation of samples in fully distributed fashion (Previous algorithms lacked the above property)
  New paradigms!

#### Current Paradigm of Simulation-based Verification



- Can not be parallelized since test generators maintain "global state"
- Loses theoretical guarantees (if any) of uniformity

#### New Paradigm of Simulationbased Verification Simulator

Simulator





- Preprocessing needs to be done only once
- No communication required between different copies of the test generator
- Fully distributed!

Simulator



## Closing in...

Generator	Relative runtime
State-of-the-art: XORSample'	50000
UniGen	5000
UniGen1	470
UniGen2	20
Multi-core UniGen2	10 (two cores)
Ideal Uniform Generator*	10
SAT Solver	1

62

#### So what happened....



63

## Future Directions

#### Extension to More Expressive domains

- Efficient hashing schemes
  - Extending bit-wise XOR to richer constraint domains provides guarantees but no advantage of SMT progress

- Solvers to handle F + Hash efficiently
  - CryptoMiniSAT has fueled progress for SAT domain
  - Similar solvers for other domains?

### Handling Distributions

- Given: CNF formula F and Weight function W over assignments
- Weighted Counting: sum the weight of solutions
- Weighted Sampling: Sample according to weight of solution
- Wide range of applications in Machine Learning
- Extending universal hashing works only in theory so far

# Thanks!

# Questions?

www.kuldeepmeel.com

kuldeep@rice.edu