

# APS360

## Fundamentals of AI

Florian Shkurti

Behavioral Cloning vs. Imitation

# New robotics faculty in CS



Jessica Burgner-Kahrs

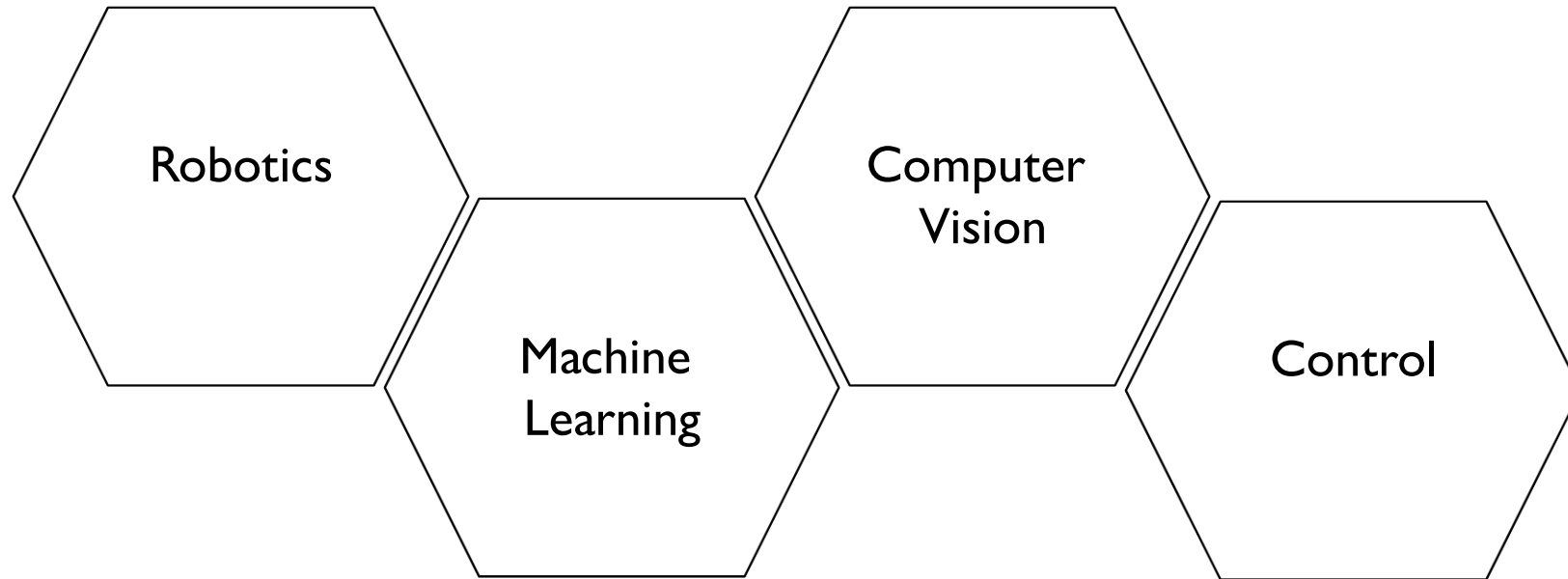


Animesh Garg

Myself

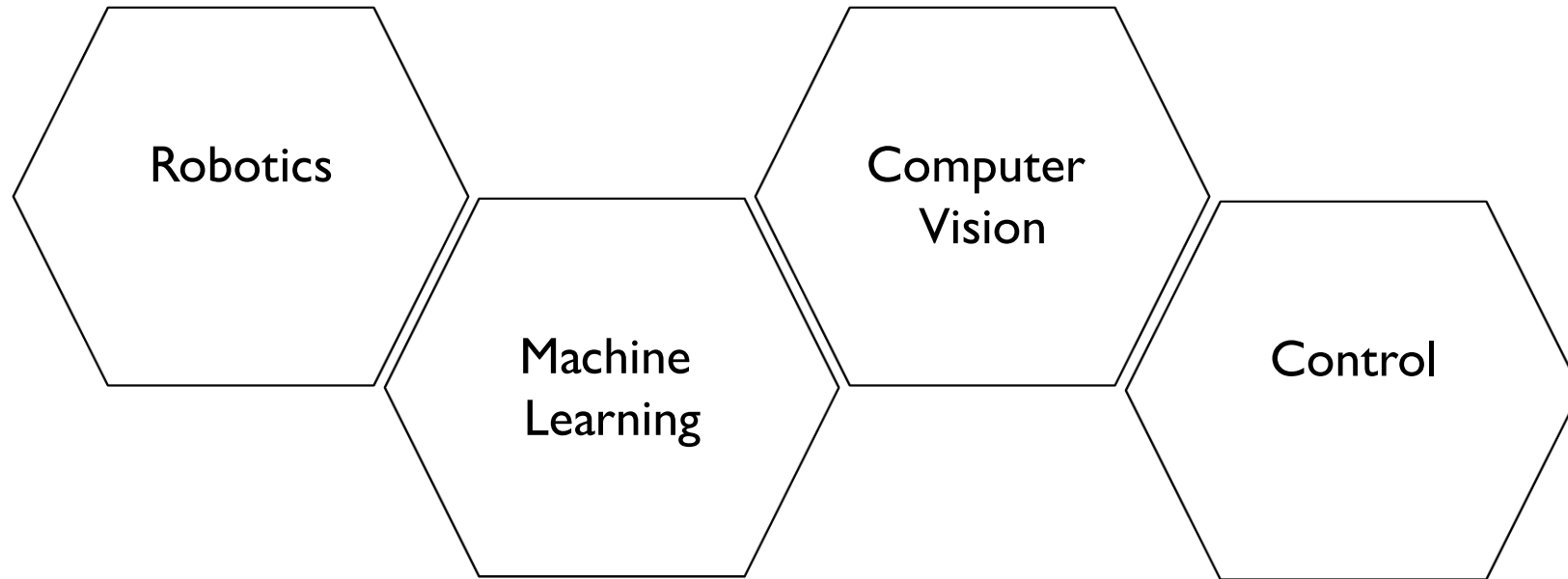


# My Research



How can robots learn to perform well alongside humans with only minimal explicit supervision from them?

# My Research



**Long term research question**

How can robots learn to perform well alongside humans  
with ~~only minimal~~ explicit supervision from them?

**NO**

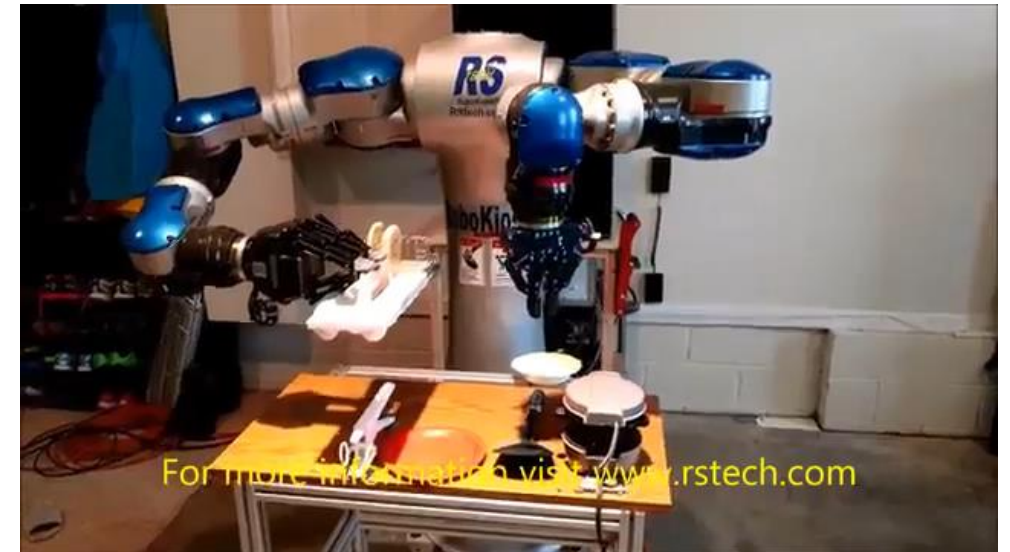
# Today's agenda

- Behavioral cloning (supervised learning)
- Imitation learning

# Applications

Any control problem where:

- **writing down a dense cost function is difficult**
- there is a hierarchy of interacting decision-making processes
- our engineered solutions might not cover all cases
- unrestricted exploration during learning is slow or dangerous



<https://www.youtube.com/watch?v=M8r0gmQXm1Y>

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<https://www.youtube.com/watch?v=Q3LXJGha7Ws>

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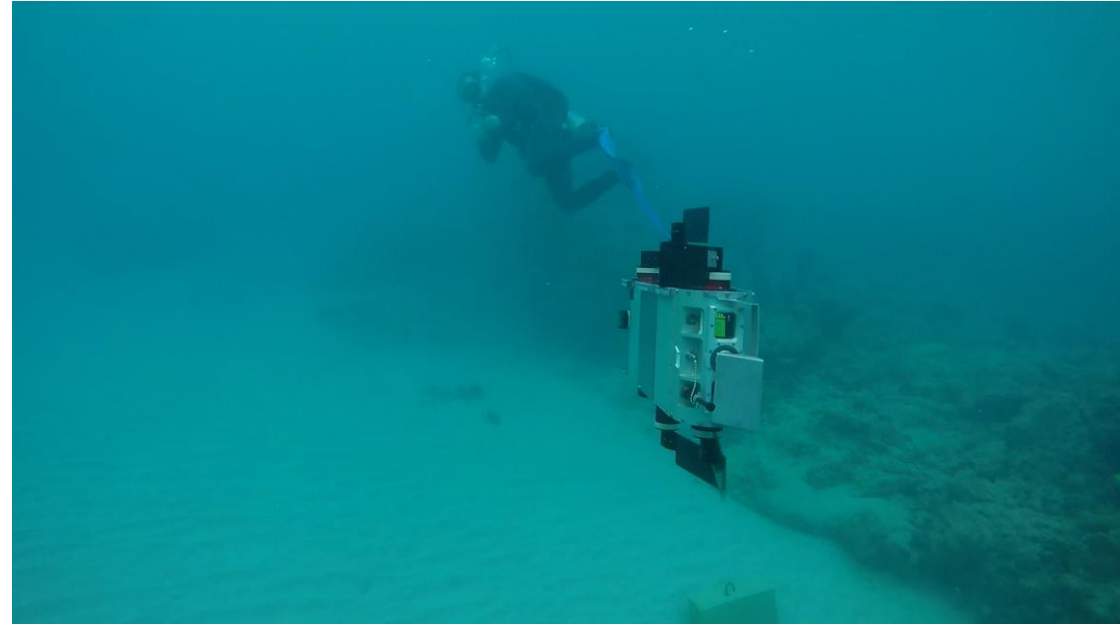
<https://www.youtube.com/watch?v=RjGe0GiiFzw>



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Robot videographer / documentarian

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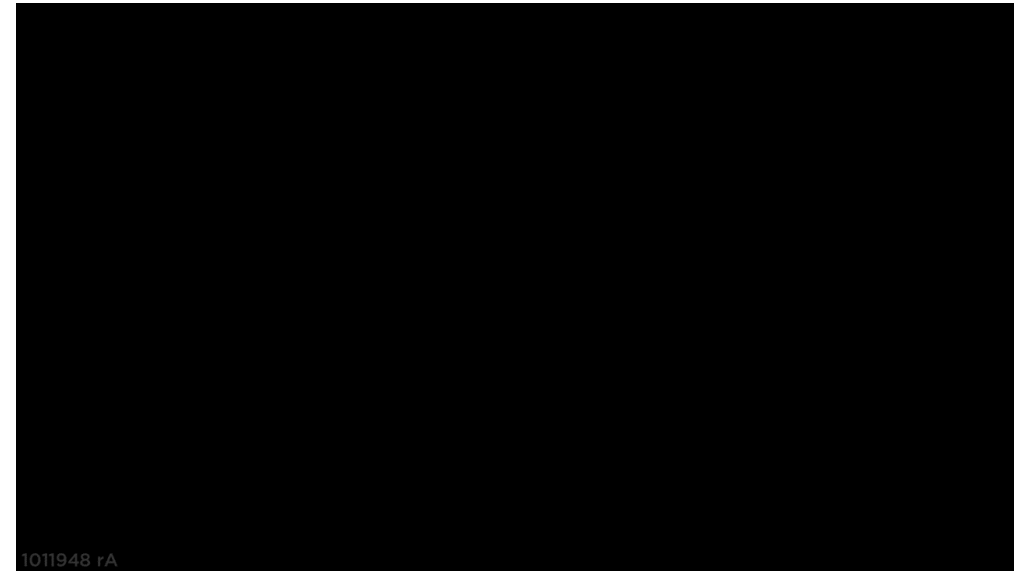


Robot explorer

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<https://www.youtube.com/watch?v=0XdC1HUp-rU>

# Back to the future



<https://www.youtube.com/watch?v=2KMAAmkz9go>

Navlab 1 (1986-1989)



[Courtesy of Dean Pomerleau]

<https://www.youtube.com/watch?v=iIP4aPDTBPE>

Navlab 2 + ALVINN (Dean Pomerleau's PhD thesis, 1989-1993)

30 x 32 pixels, 3 layer network, outputs steering command  
~5 minutes of training per road type

# ALVINN: architecture

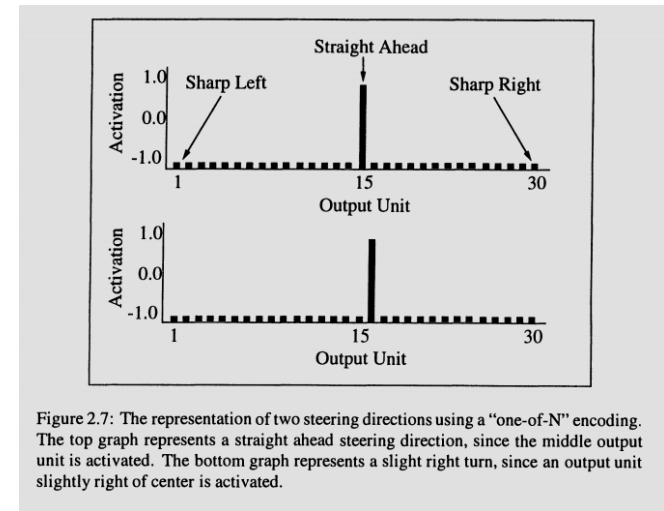
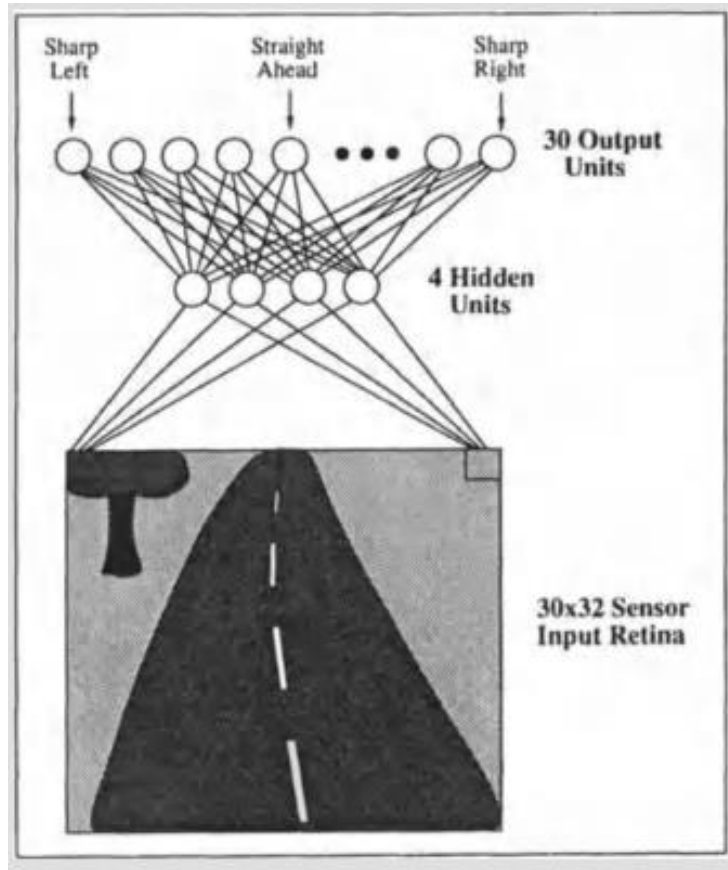


Figure 2.7: The representation of two steering directions using a "one-of-N" encoding. The top graph represents a straight ahead steering direction, since the middle output unit is activated. The bottom graph represents a slight right turn, since an output unit slightly right of center is activated.

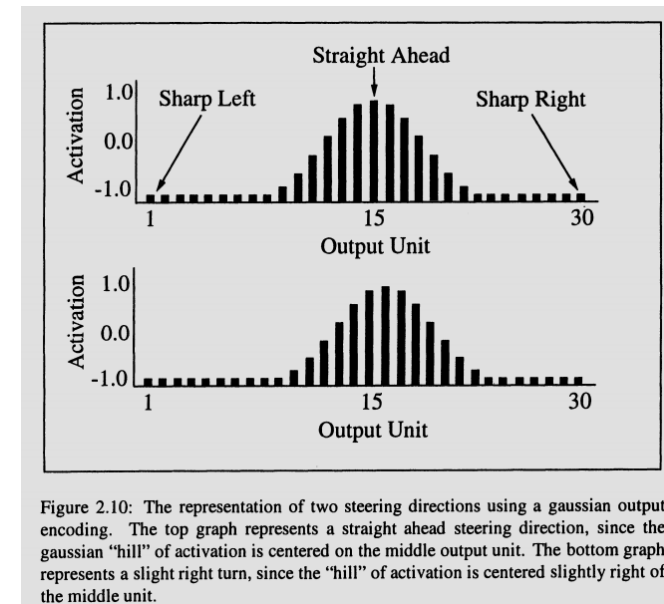


Figure 2.10: The representation of two steering directions using a gaussian output encoding. The top graph represents a straight ahead steering direction, since the gaussian "hill" of activation is centered on the middle output unit. The bottom graph represents a slight right turn, since the "hill" of activation is centered slightly right of the middle unit.

<https://drive.google.com/file/d/0Bz9namoRIUKMa0pJYzRGSFVwbm8/view>

Dean Pomerlau's PhD thesis

# ALVINN: training set

To generate synthetic training data for the task of autonomous road following, I developed a program that generated aerial views of simulated stretches of roads and then used a model of the camera to back-project the aerial map into a 2D image of the road ahead. The simulated road image generator used nearly 200 parameters in order to generate a variety of realistic road images. Some of the most important parameters are listed in Figure 3.1.

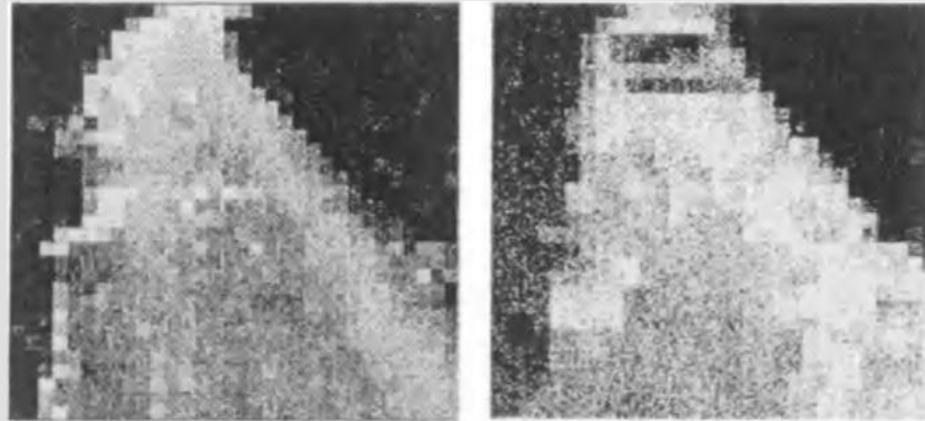
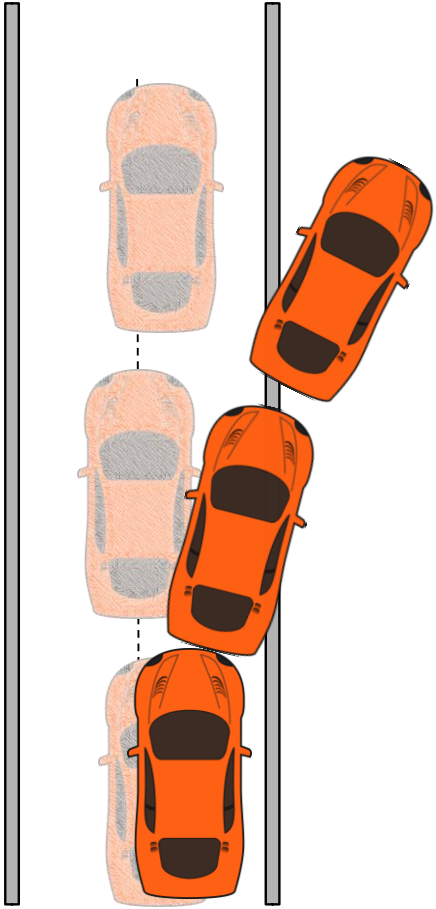


Figure 3.2: A low resolution video image of a single lane road (left) and an artificial single lane road image created by the road image generator (right).

## 3.2 Training “on-the-fly” with Real Data

Online updates via  
backpropagation

# Problems Identified by Pomerlau



**Test distribution is different  
from training distribution  
(covariate shift)**

the vehicle back to the middle of the road. The second problem is that naively training the network with only the current video image and steering direction may cause it to overlearn recent inputs. If the person drives the Navlab down a stretch of straight road at the end of training, the network will be presented with a long sequence of similar images. This sustained lack of diversity in the training set will cause the network to “forget” what it had learned about driving on curved roads and instead learn to always steer straight ahead.

**Catastrophic forgetting**



# (Partially) Addressing Covariate Shift

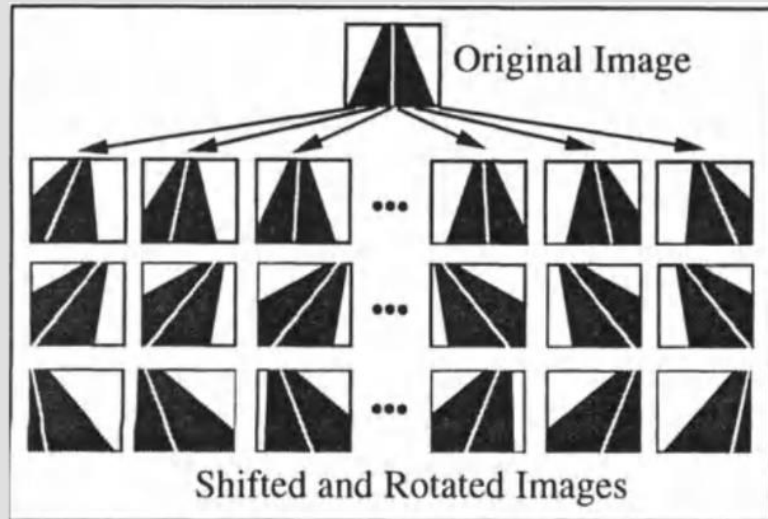
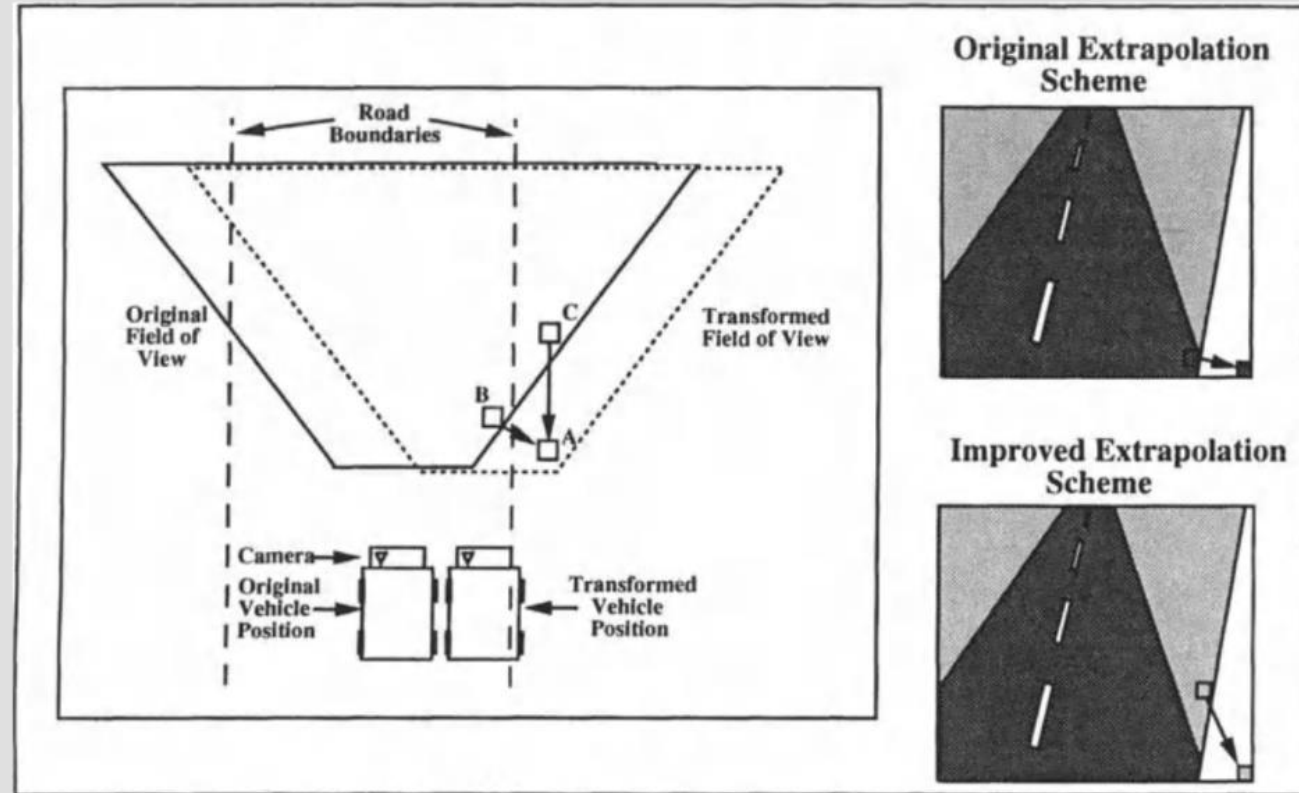


Figure 3.4: The single original video image is shifted and rotated to create multiple training exemplars in which the vehicle appears to be at different locations relative to the road.





# (Partially) Addressing Catastrophic Forgetting

1. Maintains a buffer of old (image, action) pairs
2. Experiments with different techniques to ensure diversity and avoid outliers

**Behavioral Cloning = Supervised Learning**

# 25 years later



<https://www.youtube.com/watch?v=qhUvQiKec2U>

# How much has changed?

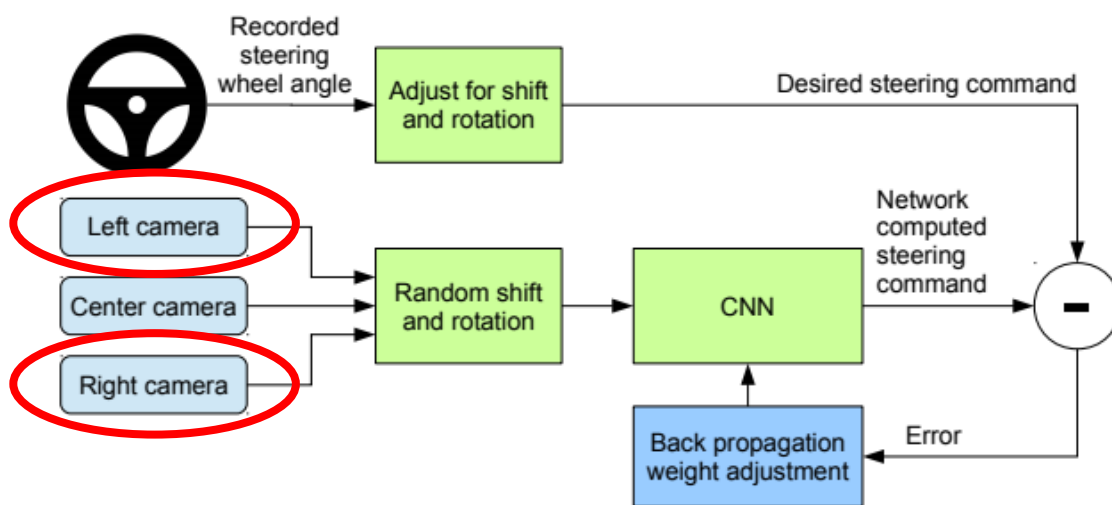


Figure 2: Training the neural network.

offline

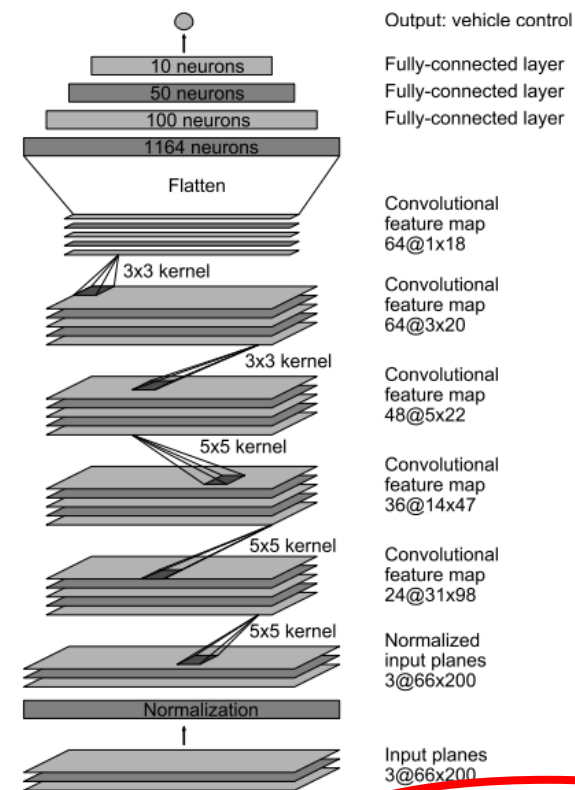


Figure 4: CNN architecture. The network has about 27 million connections and 250 thousand parameters.

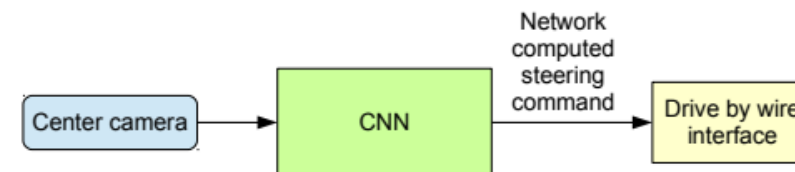


Figure 3: The trained network is used to generate steering commands from a single front-facing center camera.

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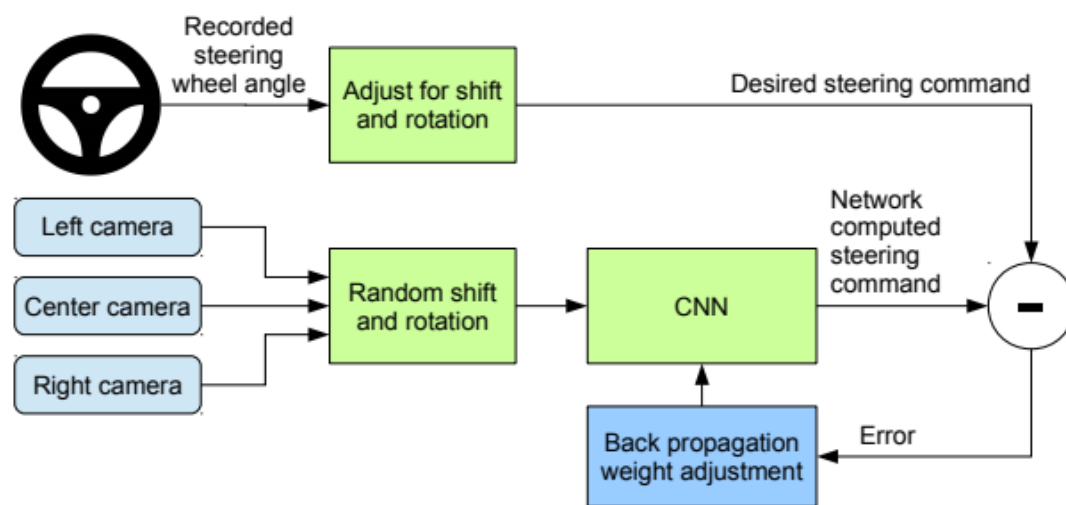


Figure 2: Training the neural network.

“Our collected data is labeled with road type, weather condition, and the driver’s activity (staying in a lane, switching lanes, turning, and so forth).”

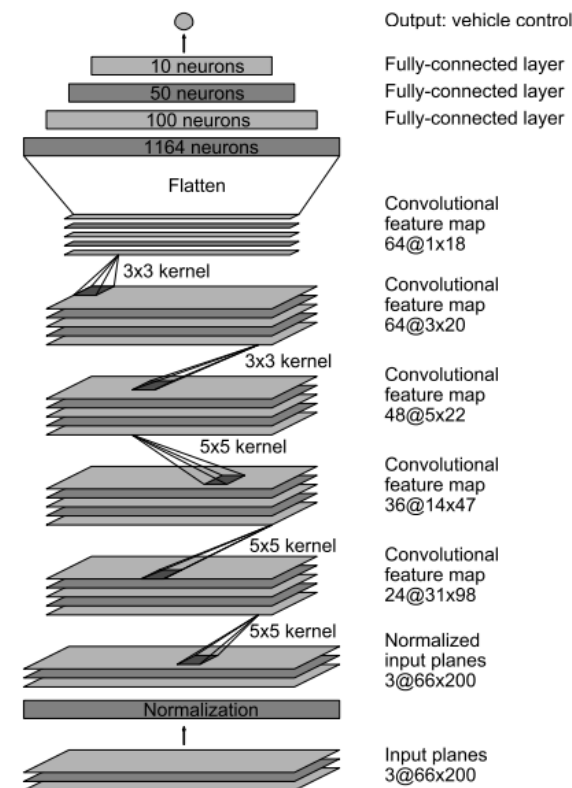


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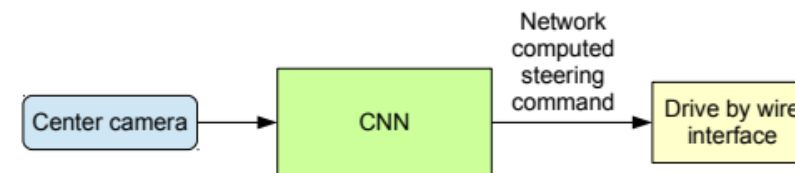


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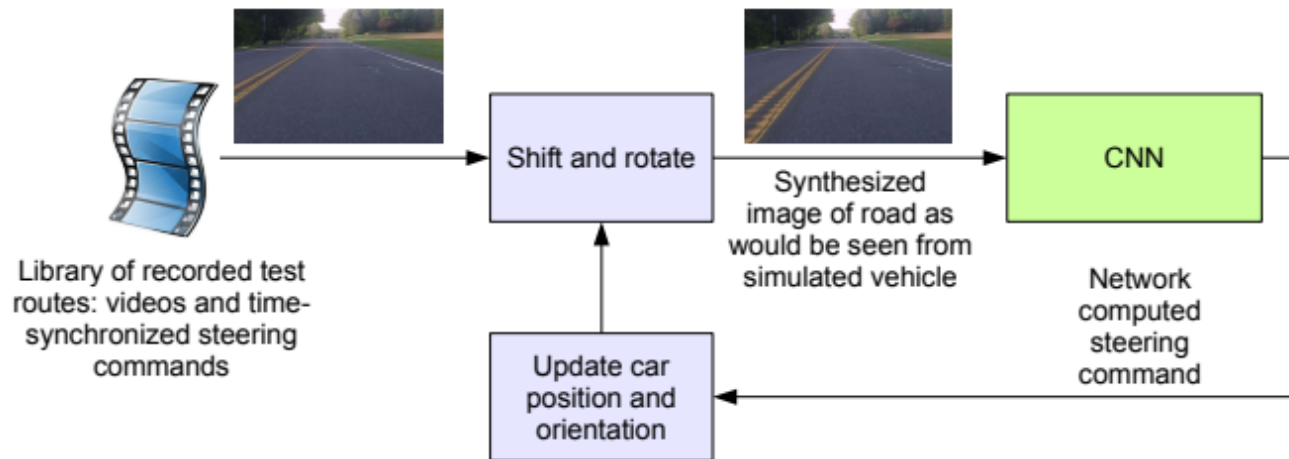


Figure 5: Block-diagram of the drive simulator.

# How much has changed?

Training the classifier



**Autonomous  
drone navigation  
experiments**

*A Machine Learning Approach to Visual Perception of Forest Trails for Mobile Robots, Giusti et al., 2016*

<https://www.youtube.com/watch?v=umRdt3zGgpU>

# How much has changed?

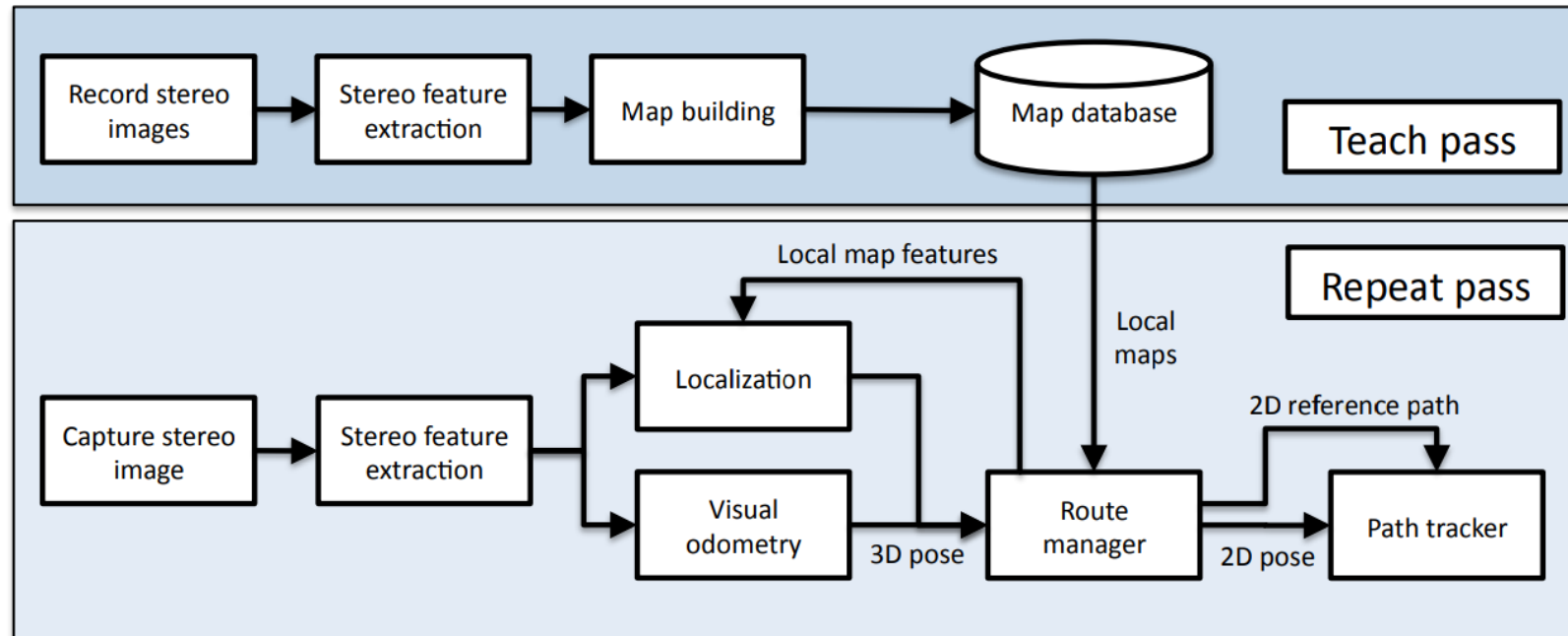
Not a lot for learning to do lane following with neural networks.

But, there are a few other beautiful ideas that do not involve end-to-end learning.



# Visual Teach & Repeat

Human Operator or  
Planning Algorithm



# Visual Teach & Repeat

## Key Idea #1: Manifold Map

Build local maps relative to the path. No global coordinate frame.

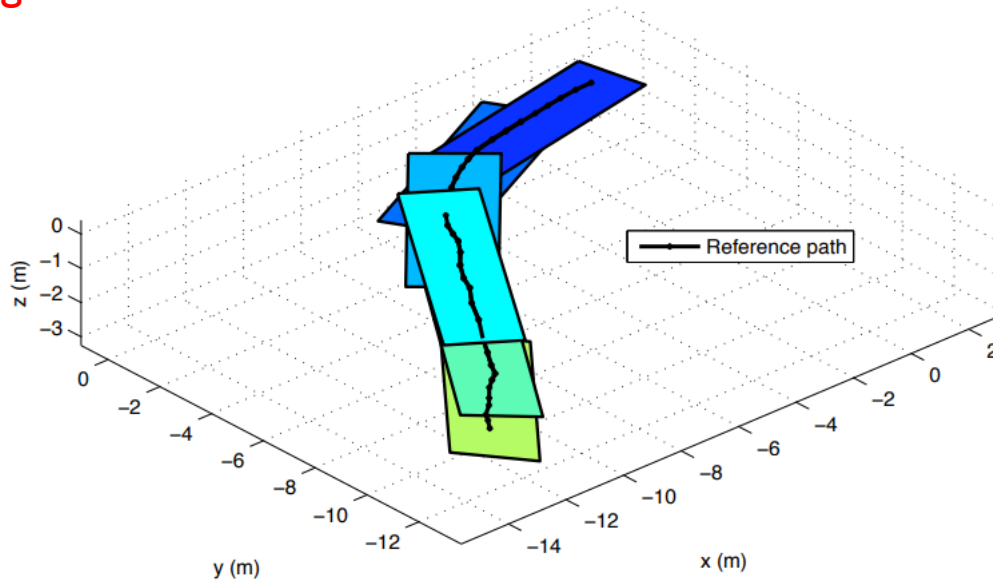


Fig. 5. A view of six overlapping submaps with the reference path plotted above.

# Visual Teach & Repeat

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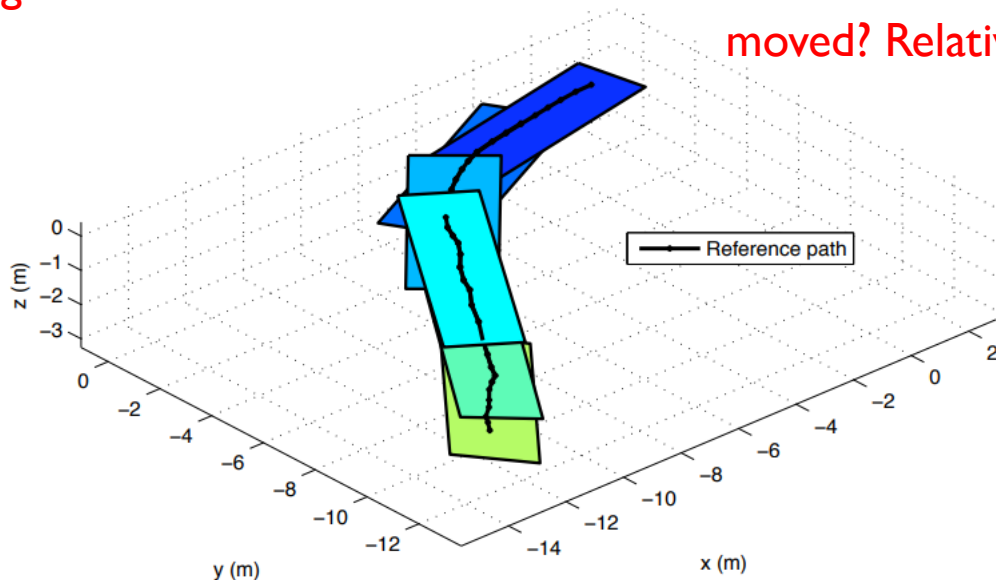


Fig. 5. A view of six overlapping submaps with the reference path plotted above.

## Key Idea #2: Visual Odometry

Given two consecutive images, how much has the camera moved? Relative motion.

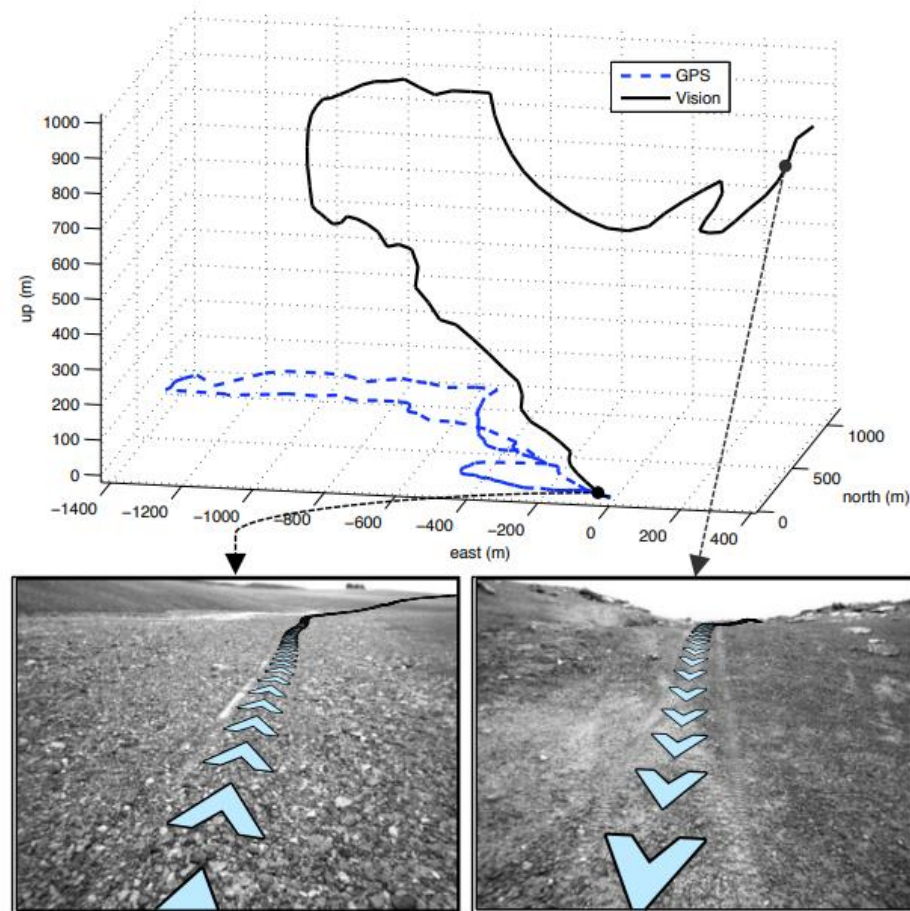


Fig. 6. The visual reconstruction of a five kilometer rover traverse plotted against GPS (Top). Although the reconstruction is wildly inaccurate at this scale, locally it is good enough to enable retracing of the route. The bottom images show views from either end of the path, with the reference path plotted as a series of chevrons. To the rover, the map is locally Euclidean.

# Visual Teach & Repeat



[https://www.youtube.com/watch?v=\\_ZdBfU4xJnQ](https://www.youtube.com/watch?v=_ZdBfU4xJnQ)



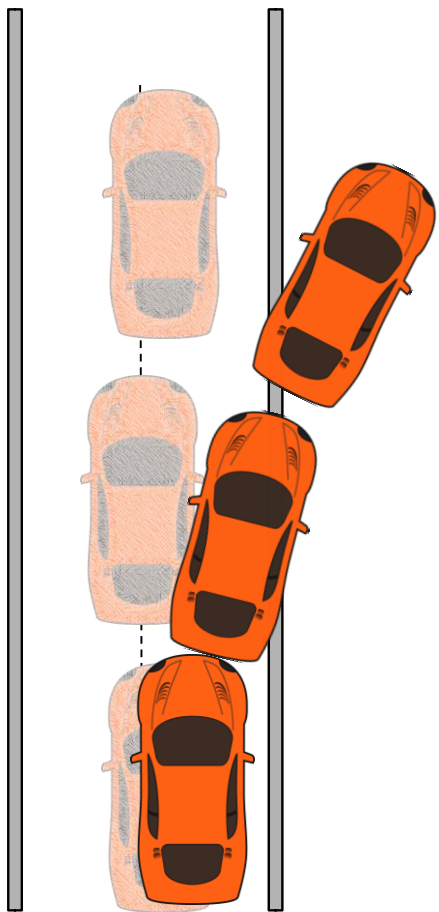
<https://www.youtube.com/watch?v=9dN0wwXDuqo>

Centimeter-level precision in tracking the demonstrated path over kilometers-long trails.

# Today's agenda

- Behavioral cloning
- Imitation learning

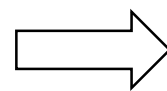
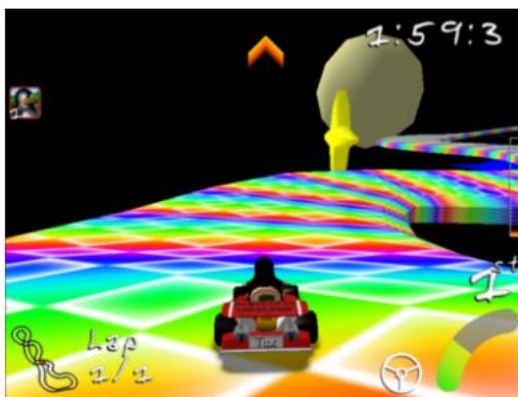
# Back to Pomerlau



**Test distribution is different  
from training distribution  
(covariate shift)**

(Ross & Bagnell, 2010): How are we sure these errors are not due to overfitting or underfitting?

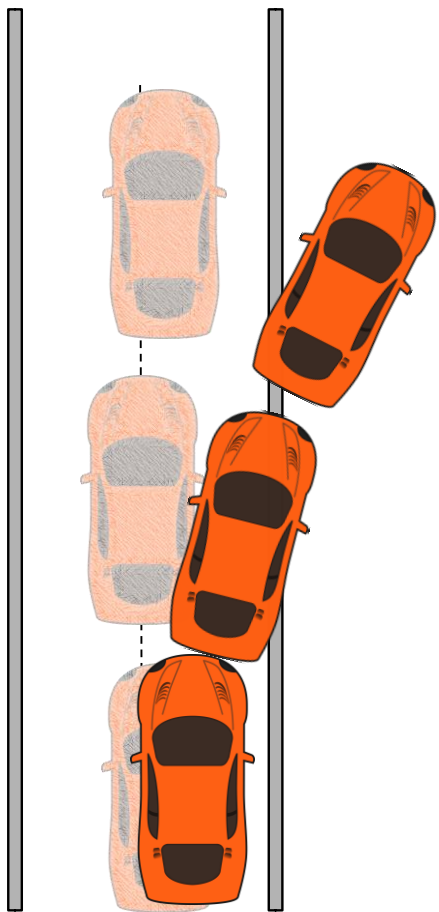
1. Maybe the network was too small (underfitting)
2. Maybe the dataset was too small and the network overfit it



Steering commands  $\pi_{\theta}(s) = \theta^{\top} s$   
where  $s$  are image features



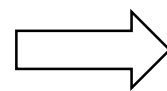
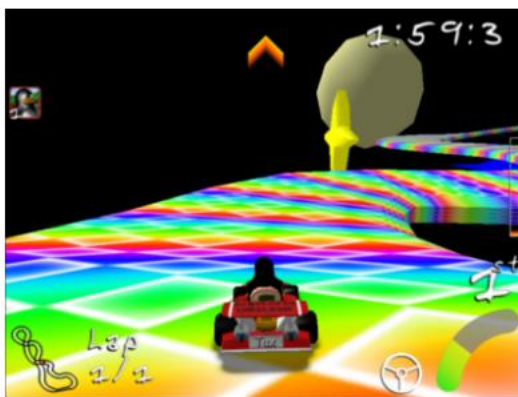
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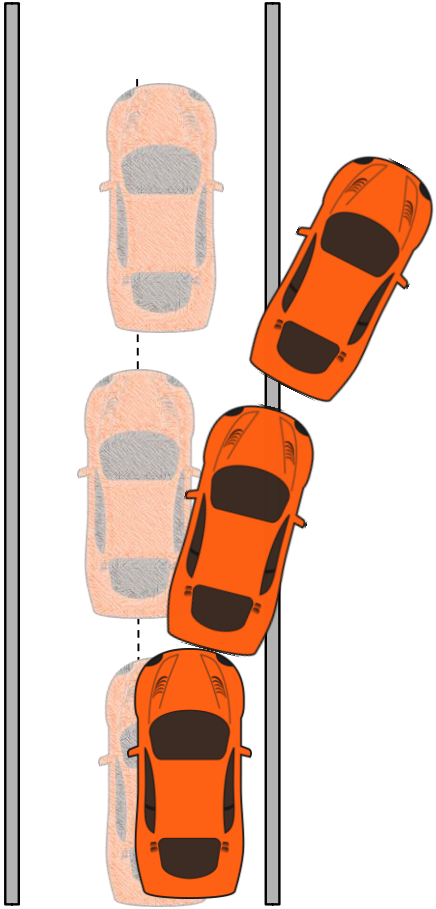
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Steering commands  $\pi_{\theta}(s) = \theta^{\top} s$   
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It was not 1: they showed that even a linear policy can work well.  
It was not 2: their error on held-out data was close to training error.

# Imitation learning $\neq$ Supervised learning



**Test distribution is different  
from training distribution  
(covariate shift)**

(Ross & Bagnell, 2010): IL is a sequential decision-making problem.

- Your actions affect future observations/data.
- This is not the case in supervised learning

## **Supervised Learning**

Assumes train/test data are i.i.d.

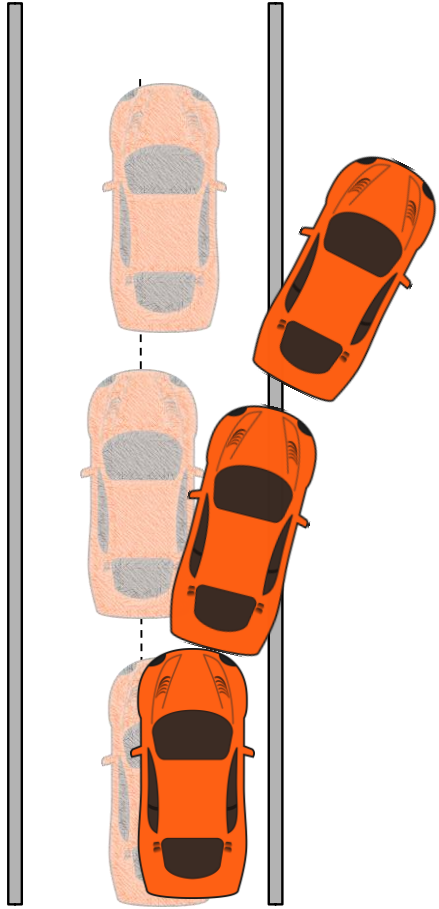
If expected training error is  $\epsilon$   
Expected test error after  $T$  decisions

$$T\epsilon$$

Errors are independent



# Imitation learning $\neq$ Supervised learning



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**Imitation Learning**



**Supervised Learning**

Train/test data are not i.i.d.

Assumes train/test data are i.i.d.

If expected training error is  $\epsilon$   
Expected test error after  $T$  decisions  
is up to

$$T^2 \epsilon$$

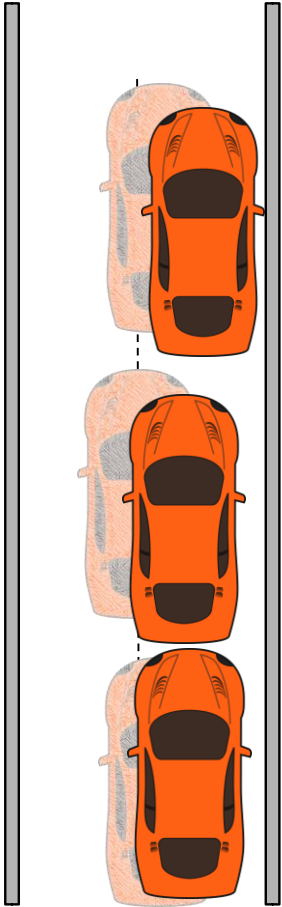
Errors compound

If expected training error is  $\epsilon$   
Expected test error after  $T$  decisions

$$T \epsilon$$

Errors are independent

# DAgger



(Ross & Gordon & Bagnell, 2011): DAgger, or Dataset Aggregation

- Imitation learning as interactive supervision
- Aggregate training data from expert with test data from execution

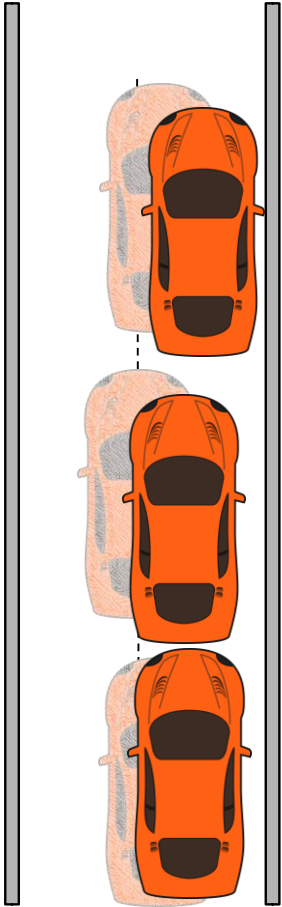
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## Algorithm 1 DAgger

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- 1:  $D = \{(s, a)\}$  initial expert demonstrations
  - 2:  $\theta_1 \leftarrow$  train learner's policy parameters on  $D$
  - 3: **for**  $i = 1 \dots N$  **do**
  - 4:     Execute learner's policy  $\pi_{\theta_i}$ , get visited states  $S_{\theta_i} = \{s_0, \dots, s_T\}$
  - 5:     Query the expert at those states to get actions  $A = \{a_0, \dots, a_T\}$
  - 6:     Aggregate dataset  $D = D \cup \{(s, a) \mid s \in S_{\theta_i}, a \in A\}$
  - 7:     Train learner's policy  $\pi_{\theta_{i+1}}$  on dataset  $D$
  - 8: Return one of the policies  $\pi_{\theta_i}$  that performs best on validation set
-

# DAgger



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## Imitation Learning via DAgger

Train/test data are not i.i.d.

If expected training error on aggr. dataset is  $\epsilon$   
Expected test error after  $T$  decisions is

$$O(T\epsilon)$$

Errors do not compound

## Supervised Learning

Assumes train/test data are i.i.d.

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$$T\epsilon$$

Errors are independent

# Dagger



Initial expert trajectories

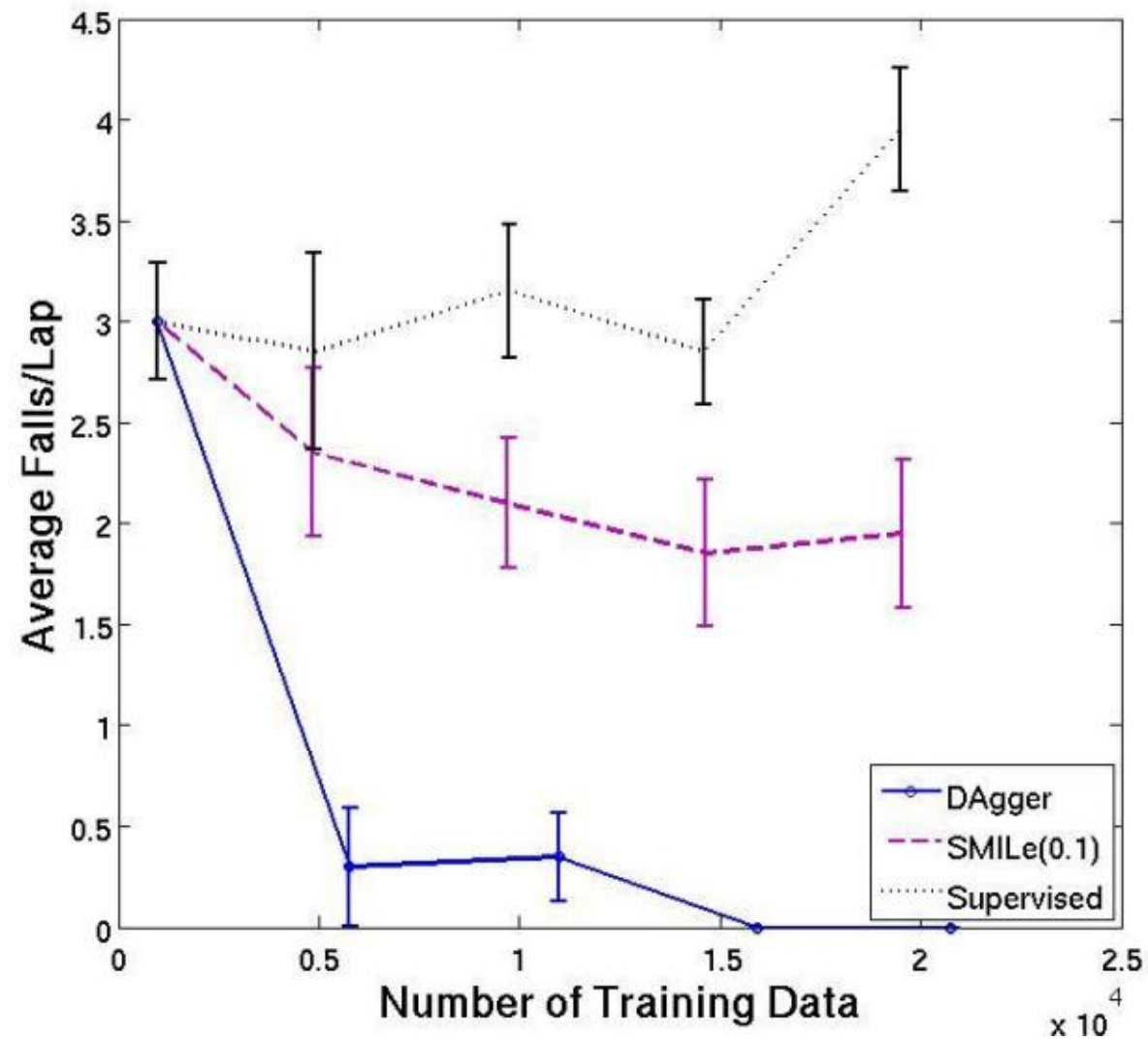


Supervised learning



Dagger

# DAgger



# DAgger

Q: Any drawbacks of using it in a robotics setting?

# Dagger



<https://www.youtube.com/watch?v=hNsP6-K3Hn4>

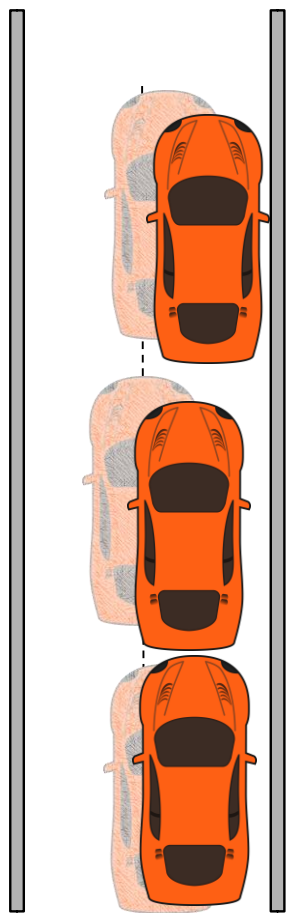
*Learning Monocular Reactive UAV Control in Cluttered Natural Environments, Ross et al, 2013*

Imitation learning  $\neq$  Supervised learning

Any questions?



# DAgger: Assumptions for theoretical guarantees



Strongly convex loss  
No-regret online learner

(Ross & Gordon & Bagnell, 2011): DAgger, or Dataset Aggregation

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## Supervised Learning

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Expected test error after  $T$  decisions

$$T\epsilon$$

Errors are independent

# Appendix: No-Regret Online Learners

Intuition: No matter what the distribution of input data, your online policy/classifier will do asymptotically as well as the best-in-hindsight policy/classifier.

$$r_N = \frac{1}{N} \sum_{i=1}^N L_i(\theta_i) - \min_{\theta \in \Theta} \left[ \frac{1}{N} \sum_{i=1}^N L_i(\theta) \right]$$

Policy has access to  
data up to round  $i$

Policy has access to  
data up to round  $N$

No-regret:  $\lim_{N \rightarrow \infty} r_N = 0$