Speech Features and Speaker Classfication

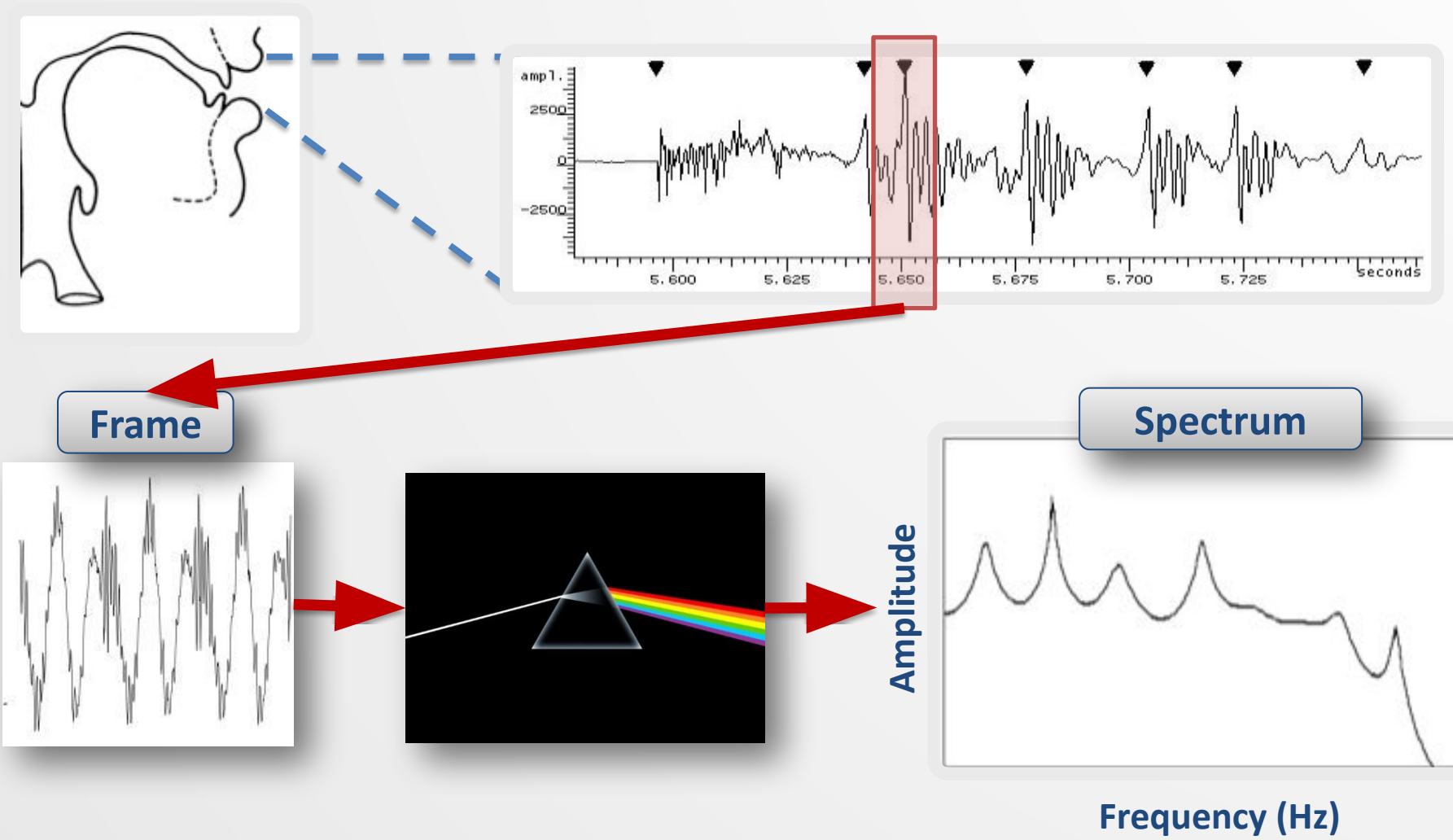
CSC401/2511 – Natural Language Computing – Spring 2026
Lecture 11
University of Toronto

Contents

- Define some common feature vectors for speech processing
- Use them as input to a GMM-based speaker classification system
- All of this is part of A3

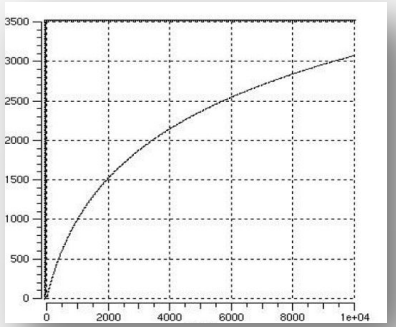
SPEECH FEATURES

Recall the spectrogram pipeline



Problems with spectrograms

- As input to speech systems, spectrograms are…
- **Too big**
  - The discrete signal is usually 16,000 samps/sec
  - 100 frames/sec x 400 samps/frame = 40,000 samps/sec!
- **Too linear**
  - Pitch perception is log-linear (recall Mels)
  - Lots of coefficients wasted on high frequencies
- **Too entangled**
  - Speaker and phoneme info is correlated



Filtering

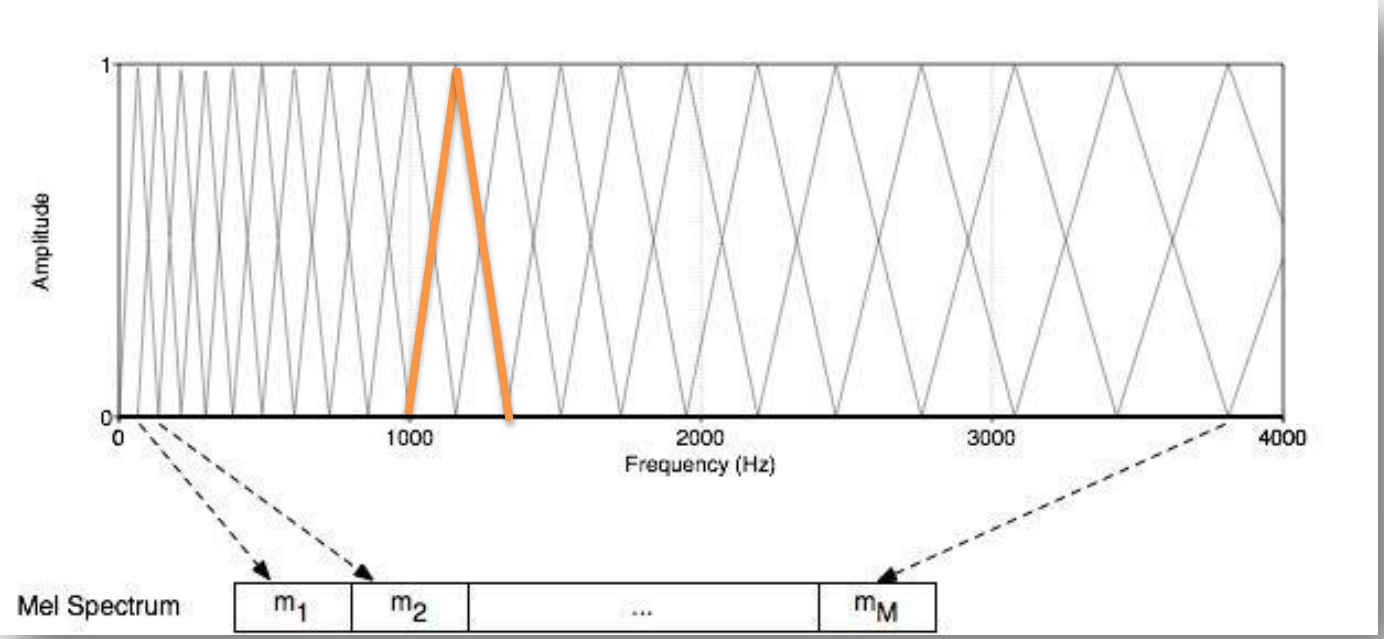
- To reduce the size of the spectra, we **filter** it with **filters** from a **filter bank**
- Each filter is a signal whose spectrum $F_m \in \mathbb{R}^N$ picks out small a range (or **band**) of frequencies
- The bands of the M filters are overlapping and span the spectrum
- A **filter coefficient** is computed as the **log** of the dot product of the **magnitude** of the frame X_t and filter F_m spectra:

$$c_{t,m} = \log \sum_{n=1}^{N} |X_t|[n]|F_m|[n]$$

- If there are T frames, this gives us a real-valued feature matrix of size $T \times M$
  - $M = 40$ is a lot smaller than 400!

The mel-scale filter bank

- The mel-scale triangular overlapping filter bank, or **f-bank**, is a popular choice
- The filter's vertices are arranged along the mel-scale
  - Ascending frequency = wider bands

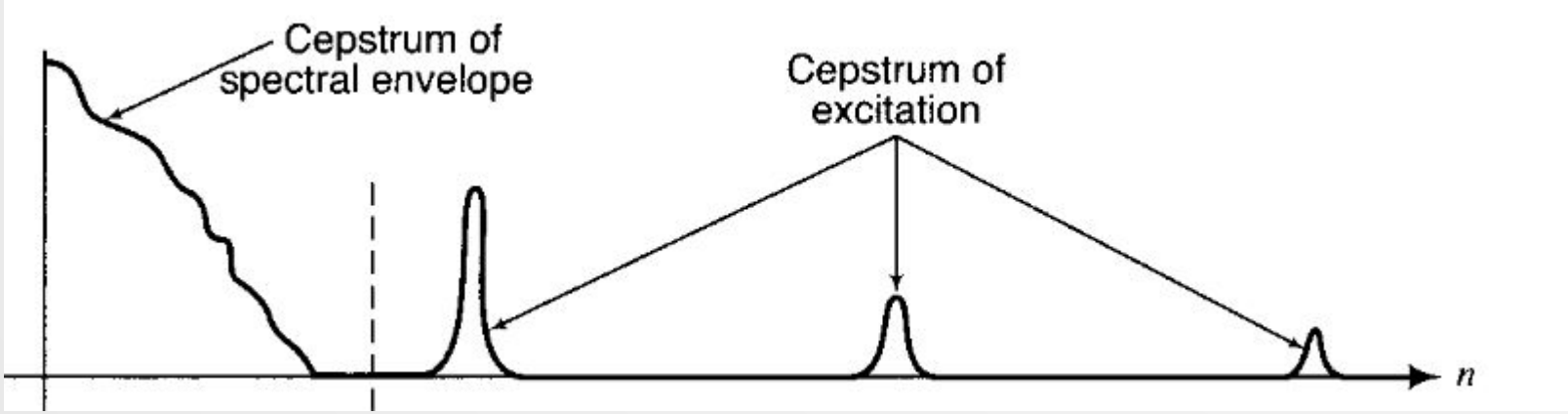


The source-filter model

- In vowels, the sound signal emitted from the glottis g is filtered by the vocal tract v
- The **source-filter model** of speech assumes

$$|X[n]| = |G[n]||V[n]|$$

- $|V|$ is responsible for the smooth shape (envelope)
- $|G|$ is responsible for all the bumps (F0 harmonics)



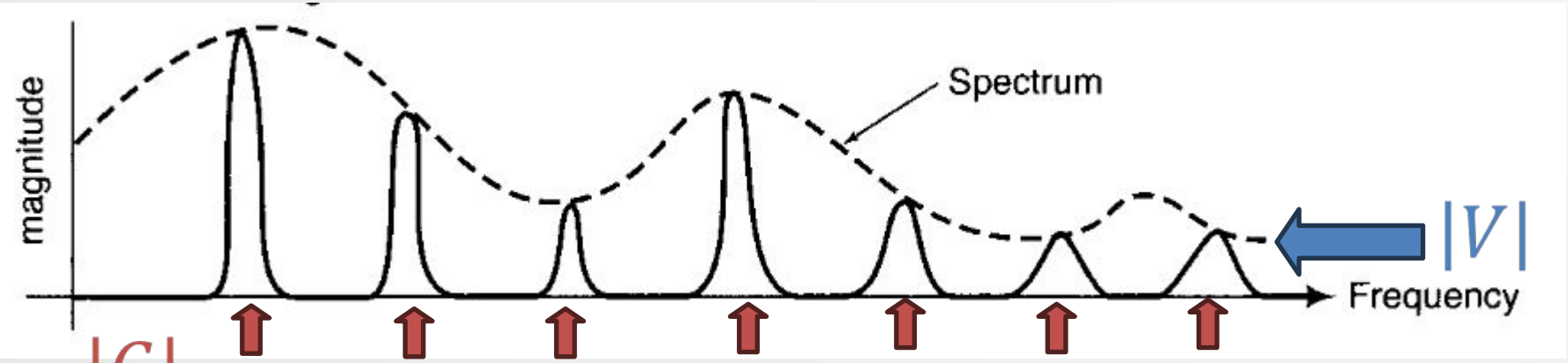
Gold et al (2011)

The cepstrum

- We can get at $|V|$ by computing the **cepstrum** $\hat{x}$
- The cepstrum is $\log|X|$ transformed by the inverse DFT
- Because $\log|X| = \log|G| + \log|V|$, and DFT^{-1} is linear

$$\hat{x}[n] = \hat{g}[n] + \hat{v}[n]$$

- $DFT^{-1} \approx DFT$, so $\hat{x}$ is like the spectrum of $\log|X|$
- $|V|$ is slower-moving than $|G|$, so $\hat{v}[n]$ is higher for lower n (lower frequency of frequency)



Gold et al (2011)

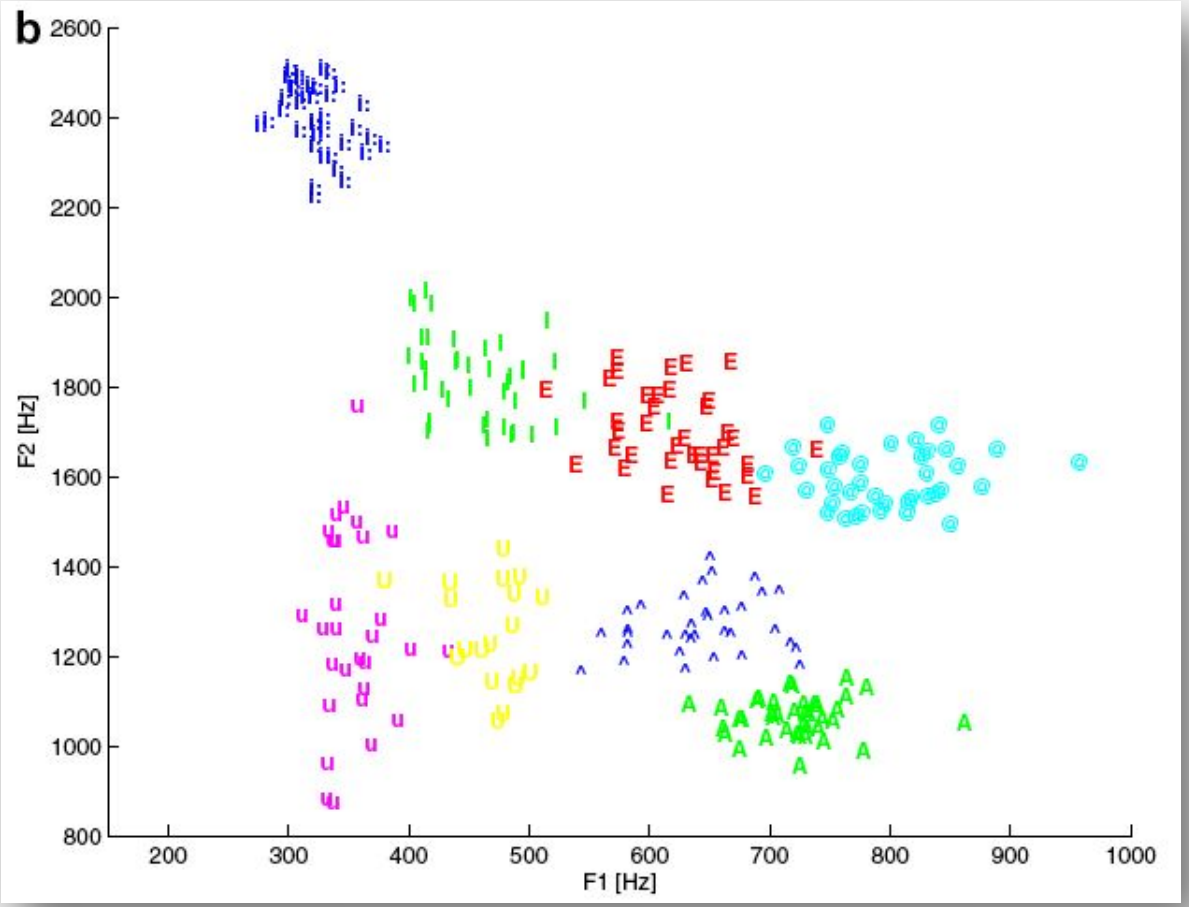
Mel-Frequency Cepstral Coefficients

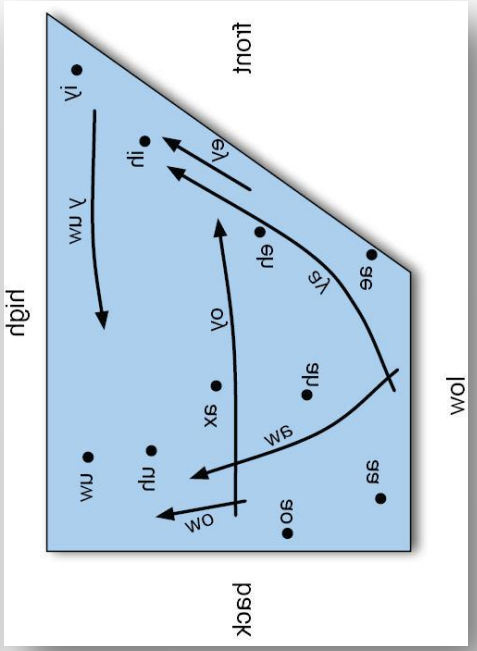
- **MFCC**s are the coefficients of the cepstrum of F-bank coefficients
- Altogether

- MFCCs are useful for models which can't handle speaker correlations themselves, like (diagonal) GMMs
- F-banks are better for those which can, like NNs

GAUSSIAN MIXTURES

Classifying speech sounds





Note: The vowel trapezoid's dimensions were physical

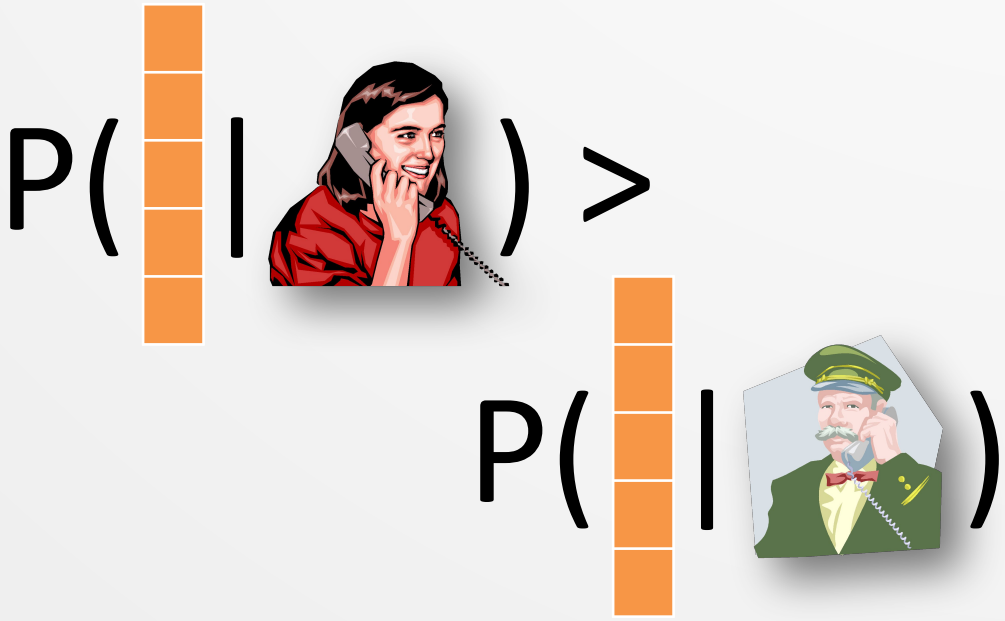
- Speech sounds can cluster. This graph shows vowels, each in their own colour, according to the second two formants.

Classify speakers by cluster attributes

- Similarly, all of the speech produced by one **speaker** will cluster differently in the **Mel space** than speech from another speaker.
  - We can ∴ decide if a given observation comes from one speaker or another.

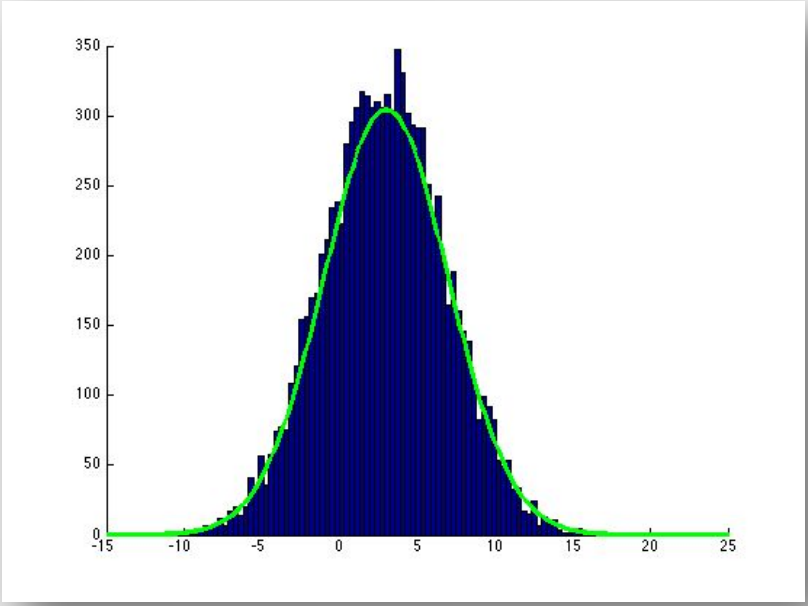
		0	1	…	T
MFCC	1			…	
	2			…	
	3			…	
	…	…	…	…	…
	42			…	

Observation matrix



Fitting continuous distributions

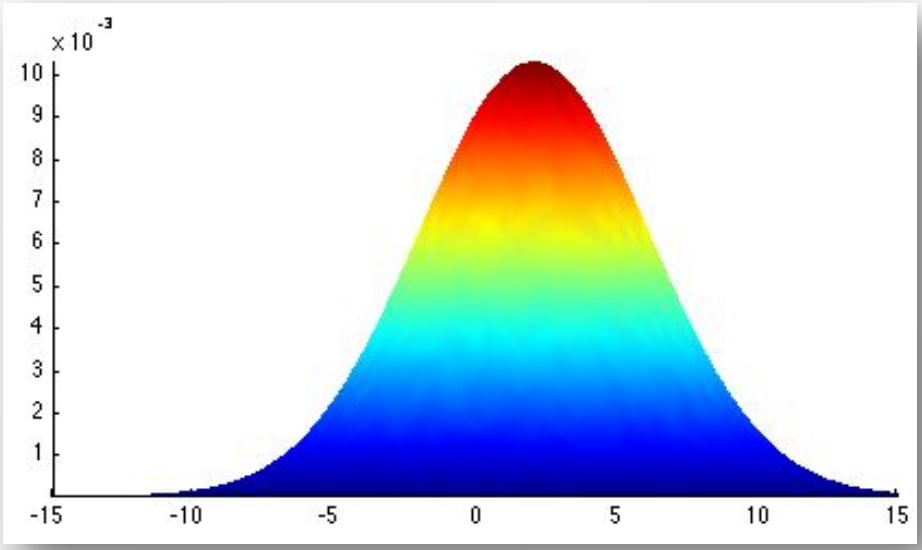
- Since we are operating with **continuous** variables, we need to **fit continuous probability** functions to a **discrete number** of observations.



- If we *assume* the 1-dimensional data in **this histogram** are normally distributed, we can fit a continuous Gaussian function simply in terms of the mean μ and variance σ^2.

(Aside) Univariate (1D) Gaussians

- Also known as **Normal** distributions, $N(\mu, \sigma)$
- $P(x; \mu, \sigma) = \frac{\exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right)}{\sqrt{2\pi}\sigma}$



- The parameters we can modify are $\boldsymbol{\theta} = \langle \boldsymbol{\mu}, \boldsymbol{\sigma}^2 \rangle$
  - $\mu = E(x) = \int x \cdot P(x) dx$ **(mean)**
  - $\sigma^2 = E\left((x-\mu)^2\right) = \int (x-\mu)^2 P(x) dx$ **(variance)**

But we don't have samples for all x…

Maximum likelihood estimation

- Given data $X = \{x_1, x_2, \ldots, x_n\}$, MLE produces an estimate of the parameters $\hat{\theta}$ by maximizing the **likelihood**, $L(X, \theta)$:

$$\hat{\theta} = \underset{\theta}{\operatorname{argmax}}\, L(X, \theta)$$

where $\boldsymbol{L(X, \theta) = P(X; \theta)} = \prod_{i=1}^{n} P(x_i; \theta)$.

- Since $L(X, \theta)$ provides a **surface** over all $\boldsymbol{\theta}$, in order to find the **highest likelihood**, we look at the derivative

$$\frac{\delta}{\delta\theta} L(X, \theta) = 0$$

to see **at which point** the likelihood **stops growing**.

MLE with univariate Gaussians

- Estimate μ:

$$L(X,\mu) = P(X;\mu) = \prod_{i=1}^{n} P(x_i;\theta) = \prod_{i=1}^{n} \frac{\exp\left(-\frac{(x_i-\mu)^2}{2\sigma^2}\right)}{\sqrt{2\pi}\sigma}$$

$$\log L(X,\mu) = -\frac{\sum_i (x_i-\mu)^2}{2\sigma^2} - n\log\left(\sqrt{2\pi}\sigma\right)$$

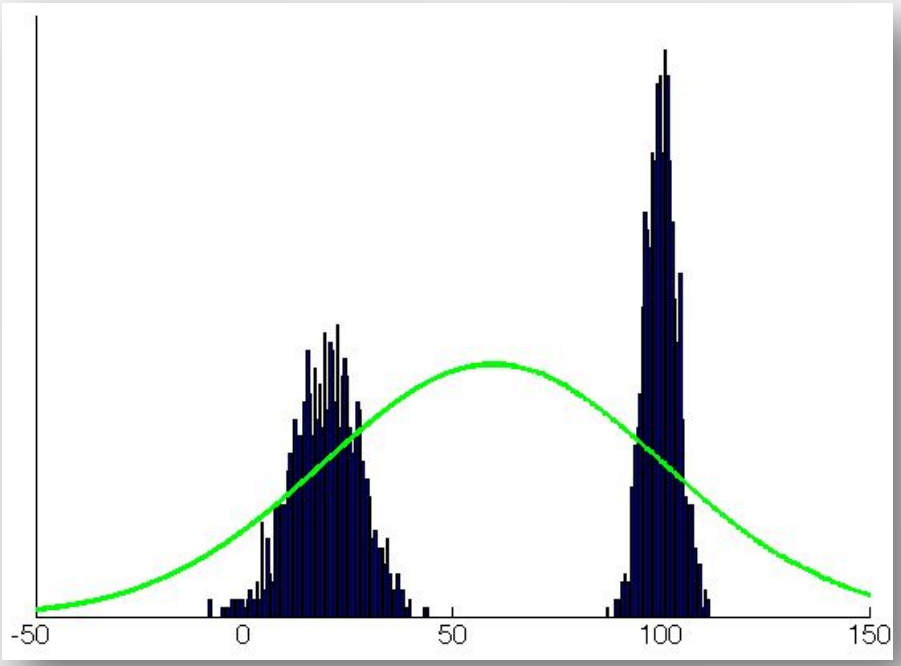
$$\frac{\delta}{\delta\mu}\log L(X,\mu) = \frac{\sum_i (x_i-\mu)}{\sigma^2} = 0$$

$$\mu = \frac{\sum_i x_i}{n}$$

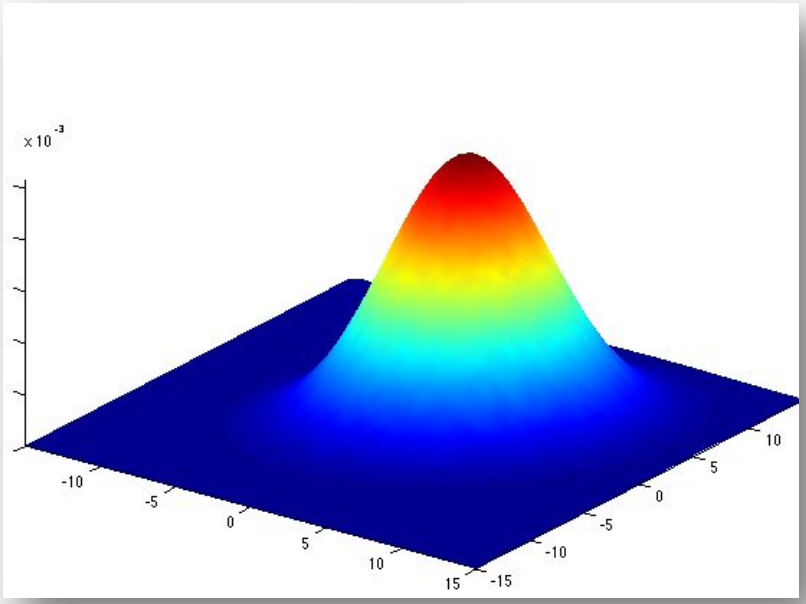
- Similarly, $\sigma^2 = \frac{\sum_i (x_i-\mu)^2}{n}$

Non-Gaussian observations

- Speech data are generally *not* unimodal.
- The observations below are **bimodal**, so fitting one Gaussian would not be representative.



Multivariate Gaussians



- When data is ***d*-dimensional**, the input variable is

$$\vec{x} = \langle x[1], x[2], \ldots, x[d] \rangle$$

the **mean** is

$$\vec{\mu} = E(\vec{x}) = \langle \mu[1], \mu[2], \ldots, \mu[d] \rangle$$

the **covariance matrix** is

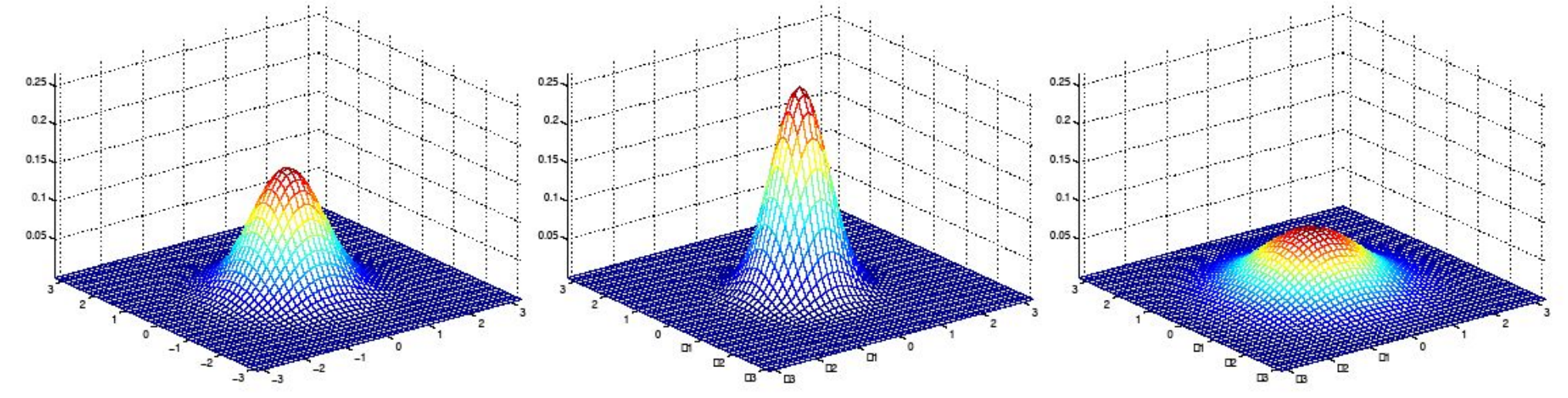
$$\Sigma[i,j] = E(x[i]x[j]) - \mu[i]\mu[j]$$

and

$$P(\vec{x}) = \frac{\exp\left(-\frac{(\vec{x}-\vec{\mu})^{\mathsf{T}}\Sigma^{-1}(\vec{x}-\vec{\mu})}{2}\right)}{(2\pi)^{\frac{d}{2}}\,|\Sigma|^{\frac{1}{2}}}$$

A^{T} is the **transpose** of A
A^{-1} is the **inverse** of A
$|A|$ is the **determinant** of A

Intuitions of covariance



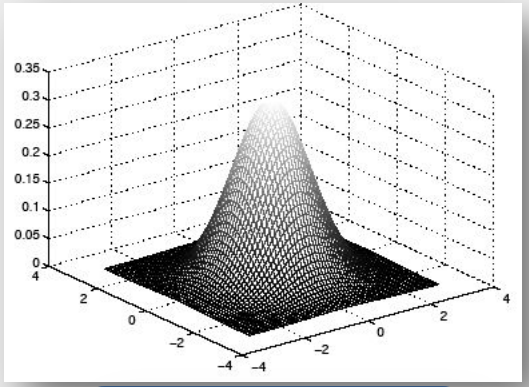
$\mu = [0\ 0]$
$\Sigma = \mathbf{I}$

$\mu = [0\ 0]$
$\Sigma = 0.6\mathbf{I}$

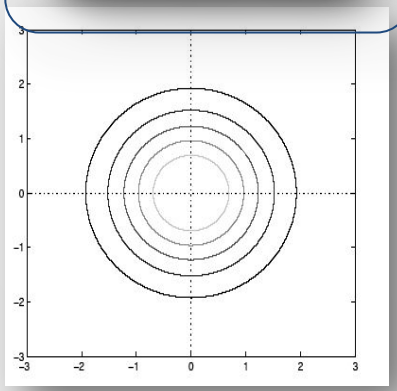
$\mu = [0\ 0]$
$\Sigma = 2.0\mathbf{I}$

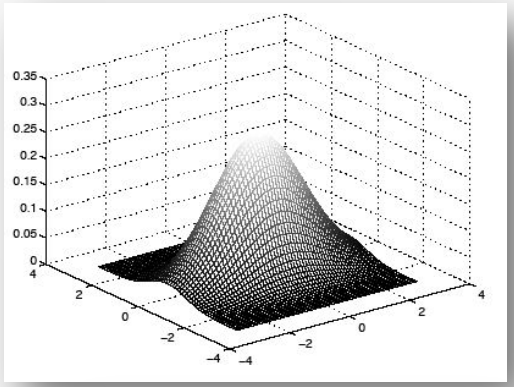
- As values in Σ become larger, the Gaussian spreads out.
- ($\mathbf{I}$ is the identity matrix)

Intuitions of covariance

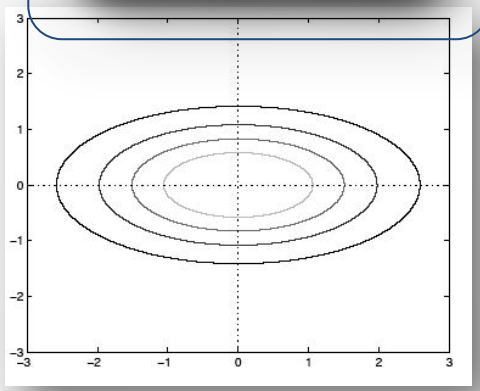


$$\Sigma = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$





$$\Sigma = \begin{bmatrix} 2 & 0 \\ 0 & 0.6 \end{bmatrix}$$

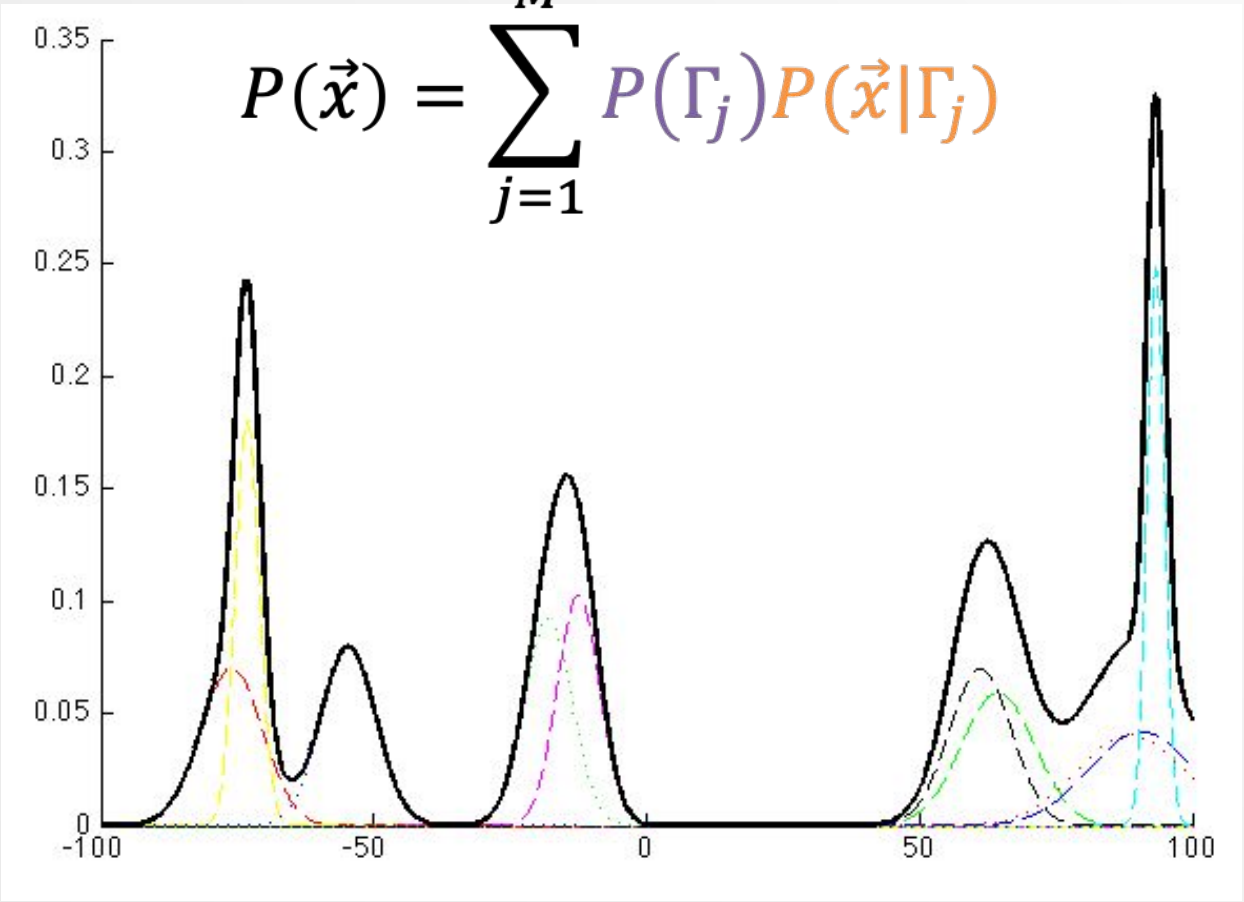


- Different values on the diagonal result in different variances in their respective dimensions

Mixtures of Gaussians

- **Gaussian mixture models (GMMs)** are a **weighted** linear combination of M component Gaussians, $\langle \Gamma_1, \Gamma_2, \ldots, \Gamma_M \rangle$:

$$P(\vec{x}) = \sum_{j=1}^{M} P(\Gamma_j) P(\vec{x}|\Gamma_j)$$



Observation likelihoods

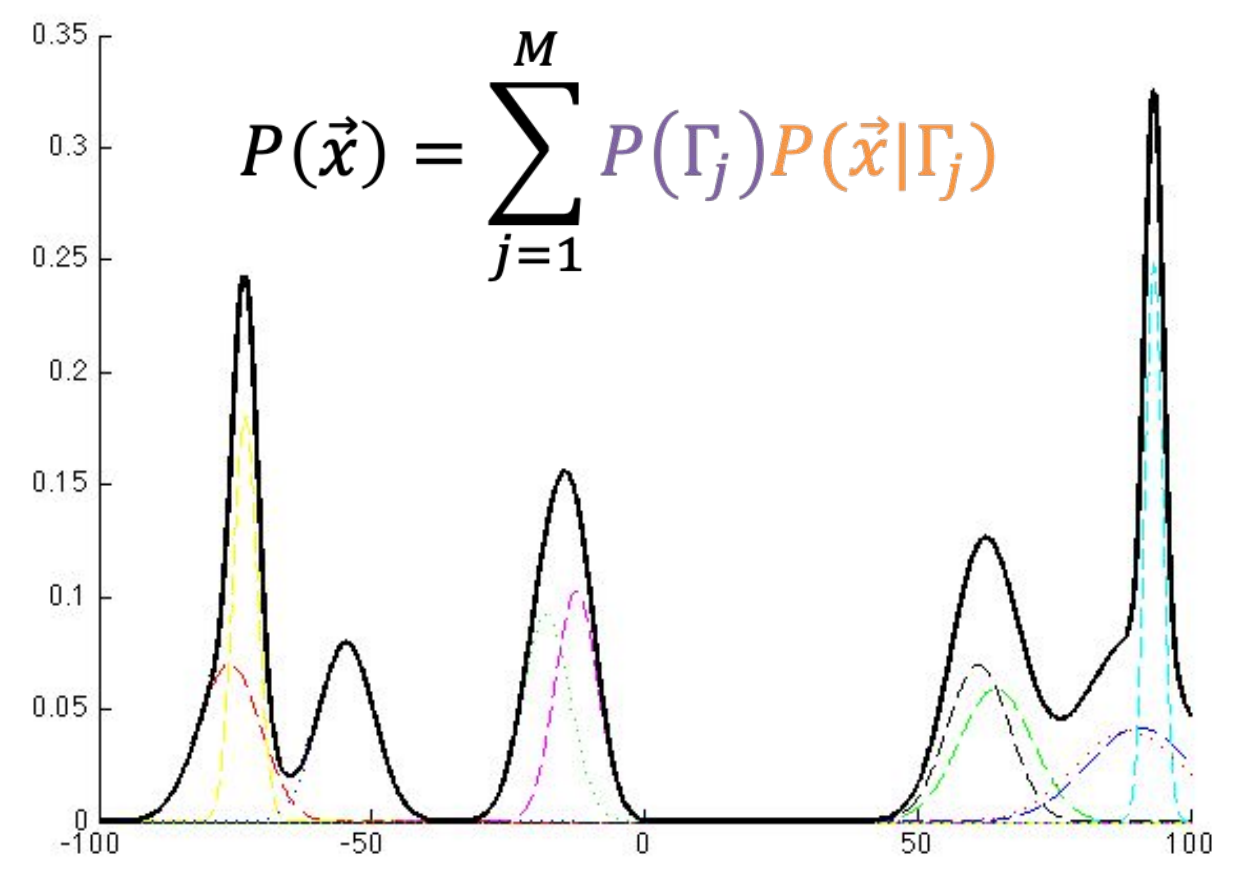
- Assuming MFCC dimensions are independent of one another, the **covariance matrix is diagonal** – i.e., 0 off the diagonal.
- Therefore, the probability of an observation vector given a Gaussian becomes

$$P(\vec{x}|\Gamma_m) = \frac{\exp\left(-\frac{1}{2}\sum_{i=1}^{d}\frac{(x[i]-\mu_m[i])^2}{\Sigma_m[i]}\right)}{(2\pi)^{\frac{d}{2}}\left(\prod_{i=1}^{d}\Sigma_m[i]\right)^{\frac{1}{2}}}$$

- *Imagine* that a GMM first *chooses a Gaussian*, then *emits an observation* from that Gaussian.

Mixtures of Gaussians

- If we knew *which* Gaussian generated each sample (which we don't), then $\langle \overrightarrow{\mu_m}, \Sigma_m \rangle$ could be learned by MLE.
- We must learn $P(\Gamma_j)$ as well.



Expectation-Maximization for GMMs

- Overall idea:
  - First, initialize a set of model parameters.
  - "Expectation": Compute the expected probabilities of observation, given these parameters.
  - "Maximization": Update the parameters to maximize the aforementioned probabilities.
  - Repeat.
- Let's look at the detailed steps in the next a few slides…

Expectation-Maximization for GMMs

- Let $\omega_m = P(\Gamma_m)$ and $b_m(\vec{x_t}) = P(\vec{x_t}|\Gamma_m)$,

'weight'

'component observation likelihood'

$$P_\theta(\vec{x_t}) = \sum_{m=1}^{M} \omega_m b_m(\vec{x_t})$$

where $\theta = \langle \omega_m, \vec{\mu_m}, \Sigma_m \rangle$ for $m = 1..M$

- To estimate θ, we solve $\nabla_\theta \log L(X, \theta) = 0$ where

$$\log L(X, \theta) = \sum_{t=1}^{T} \log P_\theta(\vec{x_t}) = \sum_{t=1}^{T} \log \sum_{m=1}^{M} \omega_m b_m(\vec{x_t})$$

Expectation-Maximization for GMMs

- We **differentiate** the log likelihood function w.r.t . $\mu_m[n]$ and set this to 0 to find the value of $\mu_m[n]$ at which the likelihood stops growing.

$$\frac{\delta \log L(X,\theta)}{\delta \mu_m[n]} = \sum_{t=1}^{T} \frac{1}{P_\theta(\overrightarrow{x_t})} \left[\frac{\delta}{\delta \mu_m[n]} \omega_m b_m(\overrightarrow{x_t}) \right] = 0$$

Expectation-Maximization for GMMs

- The **expectation step** gives us:

$$b_m(\vec{x_t}) = P(\vec{x_t}|\Gamma_m)$$

$$P(\Gamma_m|\vec{x_t};\theta) = \frac{\omega_m b_m(\vec{x_t})}{P_\theta(\vec{x_t})}$$

Proportion of overall probability contributed by m

- The **maximization step** gives us:

~ "number of points explained by m"

$$\widehat{\vec{\mu_m}} = \frac{\sum_t P(\Gamma_m|\vec{x_t};\theta)\vec{x_t}}{\sum_t P(\Gamma_m|\vec{x_t};\theta)}$$

$$\widehat{\Sigma_m} = \frac{\sum_t P(\Gamma_m|\vec{x_t};\theta)\vec{x_t}^2}{\sum_t P(\Gamma_m|\vec{x_t};\theta)} - \widehat{\vec{\mu_m}}^2$$

$$\widehat{\omega_m} = \frac{1}{T}\sum_{t=1}^{T} P(\Gamma_m|\vec{x_t};\theta)$$

Recall from slide 13, MLE wants:

$$\mu = \frac{\sum_i x_i}{n}$$

$$\sigma^2 = \frac{\sum_i (x_i - \mu)^2}{n}$$

Some notes…

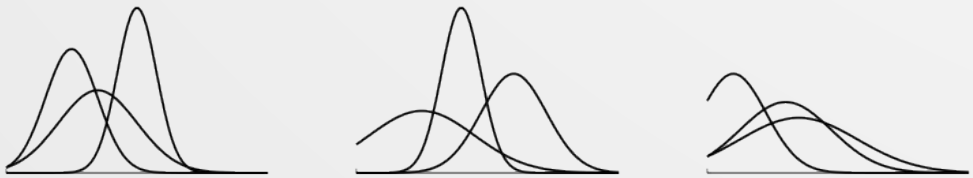
- In the previous slide, the square of a vector, $\vec{a}^2$, is elementwise (i.e., `numpy.multiply`)
  - E.g., $[2,3,4]^2 = [4,9,16]$

- Since Σ is diagonal, it can be represented as a vector.

- Can $\overrightarrow{\widehat{\sigma_m^2}} = \frac{\sum_t P\left(\Gamma_m \middle| \overrightarrow{x_t}; \theta\right) \overrightarrow{x_t}^2}{\sum_t P\left(\Gamma_m \middle| \overrightarrow{x_t}; \theta\right)} - \overrightarrow{\widehat{\mu_m}}^2$ become negative?
  - No.
  - This is left as an exercise, but only if you're interested.

Speaker recognition

- **Speaker recognition**: *n*. the identification of a speaker among several speakers given only acoustics.
- Each **speaker** will produce speech according to **different** probability distributions.
  - We train **a Gaussian mixture model for each speaker**, given annotated data (mapping utterances to speakers).
  - We choose the speaker whose model gives the highest probability for an observation.



Recipe for GMM EM

- For each speaker, we learn a GMM given all T frames of their training data.

1. Initialize:	Guess $\theta = \langle \omega_m, \overrightarrow{\mu_m}, \Sigma_m \rangle$ for $m = 1..M$ either uniformly, randomly, or by *k*-means clustering.
2. E-step:	Compute $b_m(\overrightarrow{x_t})$ and $P(\Gamma_m \mid \overrightarrow{x_t}; \theta)$.
3. M-step:	Update parameters for $\langle \omega_m, \overrightarrow{\mu_m}, \Sigma_m \rangle$ as described on slide 28.