



CSC384: Intro to Artificial Intelligence Knowledge Representation III

- Announcements.
 - Office hours?
- Resolution Proofs.
 - ✓ Part I: Convert to clausal form
 - Part II: Dealing with variables (unification).
 - Part III: Constructing Resolution Proofs.



Unification

- Ground clauses are clauses with no variables in them. For ground clauses we can use syntactic identity to detect when we have a P and $\neg P$ pair.
- What about variables can the clauses
 - $(P(\text{john}), Q(\text{fred}), R(X))$
 - $(\neg P(Y), R(\text{susan}), R(Y))$Be resolved?



Unification.

- Intuitively, once reduced to clausal form, all remaining variables are universally quantified. So, implicitly $(\neg P(Y), R(\text{susan}), R(Y))$ represents clauses like
 - $(\neg P(\text{fred}), R(\text{susan}), R(\text{fred}))$
 - $(\neg P(\text{john}), R(\text{susan}), R(\text{john}))$
 - ...
- So there is a “specialization” of this clause that can be resolved with $(P(\text{john}), Q(\text{fred}), R(X))$



Unification.

- We want to be able to match conflicting literals, even when they have variables. This matching process automatically determines whether or not there is a “specialization” that matches.
- We don't want to over specialize!

Unification.

- $(\neg p(X), s(X), q(\text{fred}))$
- $(p(Y), r(Y))$
- Possible resolvents
 - $(s(\text{john}), q(\text{fred}), r(\text{john})) \{Y=X, X=\text{john}\}$
 - $(s(\text{sally}), q(\text{fred}), r(\text{sally})) \{Y=X, X=\text{sally}\}$
 - $(s(X), q(\text{fred}), r(X)) \quad \{Y=X\}$
- The last resolvent is “**most-general**”, the other two are specializations of it.
- We want to keep the most general clause so that we can use it future resolution steps.

Unification.

- **unification** is a mechanism for finding a “most general” matching.
- First we consider **substitutions**.
 - A substitution is a finite set of equations of the form

$$(V = t)$$

where V is a variable and t is a term not containing V . (t might contain other variables).

Substitutions.

- We can **apply a substitution** σ to a formula f to obtain a new formula $f\sigma$ by simultaneously replacing every variable mentioned in the left hand side of the substitution by the right hand side.

$$p(X, g(Y, Z))[X=Y, Y=f(a)] \rightarrow p(Y, g(f(a), Z))$$

- Note that the substitutions are not applied sequentially, i.e., the first Y is not subsequently replaced by $f(a)$.

Substitutions.

- We can compose two substitutions. θ and σ to obtain a new substitution $\theta\sigma$.

$$\text{Let } \theta = \{X_1=s_1, X_2=s_2, \dots, X_m=s_m\}$$

$$\sigma = \{Y_1=t_1, Y_2=t_2, \dots, Y_k=s_k\}$$

To compute $\theta\sigma$

$$1. S = \{X_1=s_1\sigma, X_2=s_2\sigma, \dots, X_m=s_m\sigma, Y_1=t_1, Y_2=t_2, \dots, Y_k=s_k\}$$

we apply σ to each RHS of θ and then add all of the equations of σ .

Substitutions.

1. $S = \{X_1=s_1\sigma, X_2=s_2\sigma, \dots, X_m=s_m\sigma, Y_1=t_1, Y_2=t_2, \dots, Y_k=s_k\}$
2. Delete any identities, i.e., equations of the form $V=V$.
3. Delete any equation $Y_i=s_i$ where Y_i is equal to one of the X_j in θ .

The final set S is the composition $\theta\sigma$.

Composition Example.

$$\theta = \{X=f(Y), Y=Z\}, \sigma = \{X=a, Y=b, Z=Y\}$$

$$\theta\sigma$$

Substitutions.

- The empty substitution $\varepsilon = \{\}$ is also a substitution, and it acts as an identity under composition.
- More importantly substitutions when applied to formulas are associative:

$$(f\theta)\sigma = f(\theta\sigma)$$

- Composition is simply a way of converting the sequential application of a series of substitutions to a single simultaneous substitution.

Unifiers.

- A **unifier** of two formulas f and g is a substitution σ that makes f and g **syntactically identical**.
- Not all formulas can be unified—substitutions only affect variables.

$$p(f(X),a) \quad p(Y,f(w))$$

- This pair cannot be unified as there is no way of making $a = f(w)$ with a substitution.

MGU.

- A substitution σ of two formulas f and g is a **Most General Unifier (MGU)** if
 1. σ is a unifier.
 2. For every other unifier θ of f and g there must exist a third substitution λ such that

$$\theta = \sigma\lambda$$
- This says that every other unifier is “more specialized than σ ”. The MGU of a pair of formulas f and g is unique up to renaming.

MGU.

$$p(f(X),Z) \quad p(Y,a)$$

1. $\sigma = \{Y = f(a), X=a, Z=a\}$ is a unifier.

$$\begin{aligned} p(f(X),Z)\sigma &= \\ p(Y,a)\sigma &= \end{aligned}$$

But it is not an MGU.

2. $\theta = \{Y=f(X), Z=a\}$ is an MGU.

$$\begin{aligned} p(f(X),Z)\theta &= \\ p(Y,a)\theta &= \end{aligned}$$

MGU.

$$p(f(X),Z) \quad p(Y,a)$$

3. $\sigma = \theta\lambda$, where $\lambda = \{X=a\}$

$$\begin{aligned} \sigma &= \{Y = f(a), X=a, Z=a\} \\ \lambda &= \{X=a\} \\ \theta\lambda &= \end{aligned}$$

MGU.

- The MGU is the “least specialized” way of making clauses with universal variables match.
- We can compute MGUs.
- Intuitively we line up the two formulas and find the first sub-expression where they disagree. The pair of subexpressions where they **first** disagree is called the **disagreement set**.
- The algorithm works by successively fixing disagreement sets until the two formulas become syntactically identical.

MGU.

To find the MGU of two formulas f and g .

1. $k = 0$; $\sigma_0 = \{\}$; $S_0 = \{f, g\}$
2. If S_k contains an identical pair of formulas stop, and return σ_k as the MGU of f and g .
3. Else find the disagreement set $D_k = \{e_1, e_2\}$ of S_k
4. If $e_1 = V$ a variable, and $e_2 = t$ a term not containing V (or vice-versa) then let
 $\sigma_{k+1} = \sigma_k \{V=t\}$ (Compose the additional substitution)
 $S_{k+1} = S_k \{V=t\}$ (Apply the additional substitution)
 $k = k+1$
 GOTO 2
5. Else stop, f and g cannot be unified.

MGU Example 1.

$$S_0 = \{p(f(a), g(X)) ; p(Y, Y)\}$$

MGU Example 2.

$$S_0 = \{p(a, X, h(g(Z))) ; p(Z, h(Y), h(Y))\}$$

MGU Example 3.

$$S_0 = \{p(X, X) ; p(Y, f(Y))\}$$

Non-Ground Resolution

- Resolution of non-ground clauses. From the two clauses

$(L, Q_1, Q_2, \dots, Q_k)$
 $(\neg M, R_1, R_2, \dots, R_n)$

Where there exists σ a MGU for L and M.

We infer the new clause

$(Q_1\sigma, \dots, Q_k\sigma, R_1\sigma, \dots, R_n\sigma)$

Non-Ground Resolution E.G.

- $(p(X), q(g(X)))$
- $(r(a), q(Z), \neg p(a))$

$L=p(X); M=p(a)$
 $\sigma = \{X=a\}$

- $R[1a,2c]\{X=a\} (q(g(a)), r(a), q(Z))$

The notation is important.

- “R” means resolution step.
- “1a” means the **first** (a-th) literal in the first clause i.e. $p(X)$.
- “2c” means the **third** (c-th) literal in the second clause, $\neg p(a)$.
 - 1a and 2c are the “clashing” literals.
- $\{X=a\}$ is the substitution applied to make the clashing literals identical.

Resolution Proof Example

“Some patients like all doctors. No patient likes any quack. Therefore no doctor is a quack.”

Resolution Proof Step 1.

Pick symbols to represent these assertions.

$p(X)$: X is a patient
 $d(x)$: X is a doctor
 $q(X)$: X is a quack
 $l(X,Y)$: X likes Y

Resolution Proof Example

Resolution Proof Step 2.

Convert each assertion to a first-order formula.

- Some patients like all doctors.

F1.

Resolution Proof Example

2. No patient likes any quack

F2.

3. Therefore no doctor is a quack.
Query.

Resolution Proof Example

Resolution Proof Step 3.
Convert to Clausal form.

F1.

F2.

Negation of Query.

Resolution Proof Example

Resolution Proof Step 4.
Resolution Proof from the Clauses.

1. $p(a)$
2. $(\neg d(Y), I(a, Y))$
3. $(\neg p(Z), \neg q(R), \neg I(Z, R))$
4. $d(b)$
5. $q(b)$

Resolution Proof Example