October 14, 2010 University of Toronto

Homework Assignment #2 Due: November 4, 2010 at 6pm

On the cover page of your assignment, you must write **and sign** the following statement: "I have read and understood the homework collaboration policy described in the Course Information handout." Without such a signed statement your homework will not be marked.

- 1. (20 marks) In this question you should prove your assertions using only the logical equivalences of Section 5.6 (i.e. without using truth tables).
 - (a) (5 marks) Prove that $x \land (\neg y \leftrightarrow z)$ is logically equivalent to $((x \to y) \lor \neg z) \to (x \land \neg (y \to z))$
 - (b) (5 marks) Find a CNF formula that is logically equivalent to $\neg y \rightarrow (x \leftrightarrow z)$. Prove your assertion.
 - (c) (10 marks) Classify each formula below as either a tautology, a contradiction or a contingency (satisfiable but not a tautology). Justify your answers.
 - i. $((x \lor y) \to z) \lor (z \to (x \lor y))$ ii. $(x \to y) \land (\neg x \to y)$ iii. $(x \to y) \lor (x \to \neg y)$

Soln

(b)

We will show that the CNF formula $(x \lor y \lor \neg z) \land (\neg x \lor y \lor z)$ is logically equivalent to $\neg y \rightarrow (x \leftrightarrow z)$.

(c) You can justify these with truth tables, or:

1.

$$\begin{array}{ll} ((x \lor y) \to z) \lor (z \to (x \lor y)) & \text{LEQV} \left(\neg (x \lor y) \lor z\right) \lor (\neg z \lor (x \lor y)) & (\to \text{ law}) \\ & \text{LEQV} \left(\neg (x \lor y) \lor (x \lor y)\right) \lor (z \lor \neg z) & (\text{Comm. law}) \end{array}$$

Therefore the formula is logically equivalent to a disjunction of 2 tautologies (namely the tautologies $\neg(x \lor y) \lor (x \lor y)$ and $z \lor \neg z$. Hence it is itself a tautology.

- 2. This formula is a contingency because the assignment (x, y) = (1, 1) satisfies the formula whereas the assignment (x, y) = (1, 0) falsifies it.
- 3.

$$\begin{array}{ll} (x \to y) \lor (x \to \neg y) & \text{LEQV} (\neg x \lor y) \lor (\neg x \lor \neg y)) & (\to \text{ law}) \\ & \text{LEQV} \neg x \lor (y \lor \neg y) & (\text{Idempotency law}) \end{array}$$

Since $(y \lor \neg y)$ is a tautology, so is our formula.

2. (20 marks)

- (a) (10 marks) Prove that $\{\neg, \rightarrow\}$ is a complete set of connectives.
- (b) (10 marks) Prove that $\{\oplus, \lor\}$ is not a complete set of connectives.

Soln

(a) $\{\neg, \&\}$ is a complete set of connectives, so we only need to show that it is possible to implement & using \neg and \rightarrow :

$$\begin{array}{ll} \neg(p \rightarrow \neg q) \\ \text{LEQV } \neg(\neg p \lor \neg q) & \rightarrow law \\ \text{LEQV } \neg \neg p \& \neg \neg q & DeMorgan's \, law \\ \text{LEQV } p \& q & Double \neg \times 2. \end{array}$$

(b) We define the set G of propositional formulas that use only connectives in $\{\oplus, \lor\}$. G is the smallest set such that:

BASIS: Any propositional variable is in G. INDUCTION STEP: If $P_1, P_2 \in G$ then so are $P_1 \oplus P_2, P_1 \lor P_2$.

Consider the truth assignment τ that assigns false to all the propositional variables. That is, $\tau(x) = 0$ for every propositional variable x. For $P \in G$, let S(P) be the predicate:

 $S(P): \tau$ falsifies P.

We use structural induction to prove that S(P) holds for every $P \in G$.

BASIS: P is a propositional variable. Then clearly S(P) holds.

INDUCTION STEP: Let $P_1, P_2 \in G$ for which $S(P_1), S(P_2)$ hold, i.e., τ falsifies both P_1 and P_2 . We must show that S(P) holds for any formula $P \in G$ that can be constructed out of P_1 and P_2 . There are 2 cases to consider:

CASE 1: P is of the form $P_1 \oplus P_2$. Since τ falsifies P_1 and P_2 it will also falsify P by the truth table of \oplus . Hence S(P) holds.

CASE 2: P is of the form $P_1 \vee P_2$. Again, τ falsifies P since both P_1 and P_2 are falsified.

Thus we have proved that any formula that belongs to G is falsified by τ . Now consider the formula $\neg x$ where x is an arbitrary propositional variable. This formula is clearly satisfied by τ . Hence it cannot belong to G, which means that $\{\oplus, \lor\}$ is not a complete set of connectives.

3. (10 marks)

Consider truth assignments containing only the propositional variables x_0, x_1, x_2, x_3 and y_0, y_1, y_2, y_3, y_4 . Every such truth assignment gives a value of 1 (representing true) or 0 (representing false) to each variable. Therefore we can think of a truth assignment τ as determining a 4-bit integer x_{τ} , where the most significant bit is x_3 and the least significant bit is x_0 . In particular, $x_{\tau} = \tau(x_0) + 2\tau(x_1) + 4\tau(x_2) + 8\tau(x_3)$. Similarly the assignment τ determines a 5-bit integer $y_{\tau} = \tau(y_0) + 2\tau(y_1) + 4\tau(y_2) + 8\tau(y_3) + 16\tau(y_4)$.

Write a formula that is satisfied by exactly those truth assignments τ for which $y_{\tau} = x_{\tau} + 1$. You may use any of the Boolean connectives discussed in the notes. Justify your answer.

Hint: You need to express as a formula the condition under which the 5-bit number $y_4y_3y_2y_1y_0$ is one more than the 4-bit number $x_3x_2x_1x_0$. Think of what this formula would have to say about $y_0, ..., y_4$ first in case $x_0 = 0$, then in case $x_0 = 1$ but $x_1 = 0$ and so on and so forth.

Soln A formula that is satisfied whenever $y_{\tau} = x_{\tau} + 1$ is the following:

$$\begin{array}{cccc} \neg x_{0} & \rightarrow & (y_{0} \land (y_{1} \leftrightarrow x_{1}) \land (y_{2} \leftrightarrow x_{2}) \land (y_{3} \leftrightarrow x_{3}) \land \neg y_{4}) \\ & & & \\ & & & \\ & & & \\ & & (x_{0} \land \neg x_{1}) & \rightarrow & (\neg y_{0} \land y_{1} \land (y_{2} \leftrightarrow x_{2}) \land (y_{3} \leftrightarrow x_{3}) \land \neg y_{4}) \\ & & &$$

The formula is a conjunction of 5 subformulas. The first such subformula expresses the fact that when $x_0 = 0$ then y_0 should be 1, y_4 should be 0 and all other bits of y should agree with the corresponding bits of x. This ensures that in this case $y_{\tau} = x_{\tau} + 1$. The second subformula expresses the conditions that should be true for the bits of y in the case that $x_0 = 1$ and $x_1 = 0$. In particular, y_1 should be 1, y_0 and y_4 should be 0 and all other bits should agree with the corresponding bits of x. In a similar fashion, all the other subformulas take care of the cases when x_0 and x_1 are 1 but $x_2 = 0$, or $x_0 = x_1 = x_2 = 1$ and $x_3 = 0$ as well as the case when all bits of x are 1. Note that all possible settings for the bits of x are covered by these 5 cases.

- 4. (12 marks) Consider the first order language of arithmetic described in Section 6.2 and the structures N and Z described on page 152 in the notes. For each sentence below state whether it is true in N, Z, both or neither. Justify your answer by translating each sentence into a statement in precise English about numbers and then explain why that statement is true or false for the natural numbers or for the integers.
 - (a) $\forall x \exists y L(y, x)$
 - (b) $\forall x \ \forall y \ ((L(x,0) \land P(x,x,y)) \rightarrow L(0,y))$
 - (c) $\forall x \exists y \forall z \neg P(y, z, x)$
 - (d) $\exists x \ \forall y (\neg \approx (0, y) \rightarrow L(x, y))$

Soln

(a) The formula says that for any x there exists a y such that y < x. This is clearly true if x, y are integers. However it is not true if they are natural numbers because for x = 0 there is no natural number that is less than 0. Hence the formula is true in \mathcal{Z} but false in \mathcal{N} .

(b) The formula says that for any x and any y, if x < 0 and $y = x^2$, then y is positive. If x, y are integers this is true because if y is the square of a negative number, y is positive. If x, y are natural numbers, then

x < 0 is false hence the condition x < 0 and $x^2 = y$ is always false, which means that the implication is true. Therefore the sentence is true in both Z and N.

(c) This says that for any x we can find a y such that for any z, x is not equal to yz. For x = z = 0, this cannot be true. Hence the sentence is false in both \mathcal{Z} and \mathcal{N} .

(d) The formula says that there exists a number x that is smaller than any nonzero number. If the domain is the integers, this is false as there is no minimum element. If the domain is \mathbb{N} however this is true since any nonzero natural number is greater than 0.

5. (4 marks) Find an equivalent formula F' to

$$F: \exists x R(x, y) \to \exists x (P(x) \lor \neg (\exists y) Q(x, y))$$

such that F' is in PRENEX form and F' has the same free variables as F. Soln:

$\exists x R(x,y) \to \exists x (P(x) \lor \neg (\exists y) Q(x,y))$	
$\operatorname{LEQV} \exists x R(x,y) \to \exists x (P(x) \lor \neg (\exists y) Q(z,y))$	Rename x to z
$\operatorname{LEQV} \exists x R(x,y) \to \exists z (P(z) \lor \neg (\exists u) Q(z,u))$	$Rename \; y \; to \; u$
$\operatorname{LEQV} \exists x R(x,y) \to \exists z (P(z) \lor \forall u \neg Q(z,u))$	$Quantifier \ duality$
$\operatorname{LEQV} \forall x (R(x,y) \to \exists z (P(z) \lor \forall u \neg Q(z,u)))$	$Quantifier\ factoring$
$\operatorname{LEQV} \forall x \exists z (R(x,y) \to P(z) \lor \forall u \neg Q(z,u))$	$Quantifier\ factoring$
$\operatorname{LEQV} \forall x \exists z \forall u (R(x, y) \to P(z) \lor \neg Q(z, u))$	Quantifier factoring

It is also possible to derive:

$$\exists z \forall u \forall x (R(x, y) \to (P(z) \lor \neg Q(z, u)))$$

- 6. (16 marks) For each of the following formulas determine whether it is valid, satisfiable or unsatisfiable. Justify your answer either with a formal proof using logical equivalences and/or by defining appropriate structures.
 - (a) $(\forall x Q(x) \rightarrow \neg \exists y \exists z R(y, z)) \leftrightarrow \exists x \forall y \forall z (Q(x) \rightarrow \neg R(y, z))$ Soln:

$Duality \ of \ Quantifiers$
$Duality \ of \ Quantifiers$
Factoring of Quantifiers
Factoring of Quantifiers $\times 2$

Therefore, since the left hand side is logically equivalent to the right hand side, the formula is valid.

(b) $(\forall x P(x) \to \exists y \neg Q(y)) \to (\forall x Q(x) \to \exists y \neg P(y))$ Soln:

$$\begin{array}{ll} \forall x P(x) \rightarrow \exists y \neg Q(y) \\ \text{LEQV } \neg \forall x P(x) \lor \exists y \neg Q(y) & Arrow \ law \\ \text{LEQV } \exists y \neg Q(y) \lor \neg \forall x P(x) & commutativity \ of \ \lor \\ \text{LEQV } \neg \exists y \neg Q(y) \rightarrow \neg \forall x P(x) & Arrow \ law \\ \text{LEQV } \neg \exists y \neg Q(y) \rightarrow \neg \forall x P(x) & Duality \ of \ Quantifiers \ \times 2 \\ \text{LEQV } \forall y \neg \neg Q(y) \rightarrow \exists x \neg P(x) & Duality \ of \ Quantifiers \ \times 2 \\ \text{LEQV } \forall y Q(y) \rightarrow \exists x \neg P(x) & Double \ \neg \\ \text{LEQV } \forall y Q(y) \rightarrow \exists y \neg P(y) & Rename \ x \ to \ y \\ \text{LEQV } \forall x Q(x) \rightarrow \exists y \neg P(y) & Rename \ y \ to \ x \end{array}$$

Therefore, since the left hand side is logically equivalent to the right hand side, the left hand side logically implies the right hand side, so the formula is valid.

(c) $(\forall x P(x) \rightarrow \neg \forall x Q(x)) \leftrightarrow \exists x \forall y (P(x) \rightarrow \neg Q(y))$ Soln:

This is satisfiable. Consider a simplification of both sides. We can say that:

$$\begin{array}{ll} \forall x P(x) \rightarrow \neg \forall x Q(x) \\ \text{LEQV } \neg \forall x P(x) \lor \neg \forall y Q(y) & \rightarrow & law \\ \text{LEQV } \exists x \neg P(x) \lor \exists y \neg Q(y) & Duality \ of & Quantifiers \end{array}$$

and for the RHS we notice that:

$$\exists x \forall y (P(x) \to \neg \mathbf{Q}(\mathbf{y}))$$

$$\mathsf{LEQV} \ \exists x \forall y (\neg P(x) \lor \neg Q(y)) \to law$$

$$\mathsf{LEQV} \ \exists x \neg P(x) \lor \forall y \neg Q(y) \ Factoring \ quantifiers$$

To show this is satisfiable, we need to find two structures, one that satisfies both, and one that satisfies one but not the other.

Let S_1 be a structure with domain $D^{S_1} = \{a, b\}$ and define $P^{S_1} = \{a, b\}$ and $Q^{S_1} = \{a, b\}$. Both sides are always false, so the bi-implication is always true.

Now let S_2 be a structure with domain $D^{S_2} = \{a, b\}$ and define $P^{S_2} = \{a, b\}$ and $Q^{S_2} = \{a\}$. The left hand side is always true under S_2 , but the right hand side is always false.

(d) $(\forall x (P(x) \to \exists y \neg Q(y))) \to \neg (\forall x Q(x) \to \exists y \neg P(y))$ Soln

Note that:

$$\begin{array}{ll} (\forall x (P(x) \to \exists y \neg Q(y))) \\ \text{LEQV } \forall x (\neg P(x) \lor \exists y \neg Q(y)) & \to law \\ \text{LEQV } (\forall x \neg P(x)) \lor \exists y \neg Q(y) & Quantifier \ factoring \\ \text{LEQV } \neg (\exists x P(x)) \lor \neg \forall y Q(y) & Quantifier \ duality \times 2 \\ \text{LEQV } \neg (\exists x P(x) \& \forall y Q(y)) & DeMorgan's \ law \end{array}$$

but:

$$\begin{array}{ll} \neg(\forall xQ(x) \to \exists y \neg P(y)) \\ \text{LEQV } \neg(\neg\forall xQ(x) \lor \exists y \neg P(y)) & \to law \\ \text{LEQV } \neg(\neg\forall xQ(x) \lor \neg\forall yP(y)) & Quantifier \ duality \\ \text{LEQV } \neg \neg(\forall xQ(x) \& \forall yP(y)) & DeMorgan's \ law \\ \text{LEQV } \forall xQ(x) \& \forall yP(y) & Double \neg \\ \text{LEQV } \forall yQ(y) \& \forall yP(y) & Rename \ x \ to \ y \\ \text{LEQV } \forall yQ(y) \& \forall xP(x) & Rename \ y \ to \ x \end{array}$$

When the left hand side is true, then either there is a y such that $\neg Q(y)$, in which case the right hand side is false, or there is no x such that P(x) in which case the right hand side is false. So the implication is unsatisfiable.