

Groups

September-10-13 10:03 AM

A **binary operation** $*$ on a set S is a map
 $\ast: S \times S \rightarrow S$

Write in infix. $S_1 \ast S_2 = S_3$ instead of $\ast(S_1, S_2) = S_3$

Group

A group G or (G, \cdot) is a non-empty set endowed with a binary operation \cdot satisfying the following properties:

- 1) Associativity
 $g \cdot (h \cdot k) = (g \cdot h) \cdot k \quad \forall g, h, k \in G$
- 2) Identity
 $\exists e \in G$ s.t. $e \cdot g = g \cdot e = g \quad \forall g \in G$
- 3) Inverse
 $\forall g \in G \exists g^{-1} \in G$ s.t. $g \cdot g^{-1} = g^{-1}g = e$

Remarks

- We will often write 1 for e
- Sometimes we will use $+$ as our binary operation, in which case we'll write 0 for e

Notation

$M_n(F)$ = all $n \times n$ matrices over the field F
 $GL_n(F) = \{A \in M_n(F) : \det A \neq 0\}$
 $SL_n(F) = \{A \in M_n(F) : \det A = 1\}$

Order

If $x \in G$, we say the **order** of x is the smallest natural number ≥ 1 n , if it exists, such that $x^n = 1 = e$
 If no such x exists, we say that x has infinite order.

We write $o(x)$ for the order.
 The order of G is just the size of G . i.e., order of $G = |G|$

Conjugates

If $x, y \in G$
 Then $y^{-1}xy$ is called the conjugate of x
 Fact:
 x and $y^{-1}xy$ have the same order

Proof:
 $x^n = 1 \Rightarrow (y^{-1}xy)^n = (y^{-1}xy) \dots (y^{-1}xy) = y^{-1}x^ny = y^{-1}y = 1$

Abelian

A group G is abelian if
 $g \cdot h = h \cdot g \quad \forall g, h \in G$

Dihedral Groups

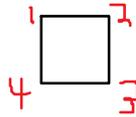
D_n = group of symmetries of a regular n -gon
 Let σ = rotation by $\frac{2\pi}{n}$ radians
 let τ = reflection about L (line through 1)

Relation

The rule $\tau \cdot \sigma \cdot \tau = \sigma^{-1} \Rightarrow \tau \cdot \sigma^i = \sigma^{-i} \cdot \tau$
 is called a relation.
 These relations show that any composition of σ 's and τ 's can be written in one of the forms
 $\sigma^i \cdot \tau$ or σ^i

Examples of Groups

- 1) $\mathbb{Z}, +$, identity = 0
- 2) Field, $+$
- 3) Let F be a field, let $F^* = F \setminus \{0\}$ then (F^*, \cdot) is a group (with multiplication)
- 4) $n \times n$ invertible matrices over a field F with multiplication
 $GL_n(F) = \{A \in M_n(F) : \det A \neq 0\}$
- 5) "Rubik's cube group"
- 6) Rotations/reflections that keep the shape of a square



Can rotate by multiples of 90 degrees or reflect

- 7) S is a non-empty set
 $Aut(S) = \{f: S \rightarrow S : f \text{ is 1-1 and onto}\}$
 binary operation = composition
 $\cdot f \circ g$
 inverse: $f = f^{-1}$
 $id = id(S) = S \quad \forall s \in S$
- 8) $S^1 = \{e^{i\theta} : \theta \in [0, 2\pi)\}$
 binary operator = \cdot
 $e^{i\theta_1} \cdot e^{i\theta_2} = e^{i(\theta_1 + \theta_2 \text{ mod } 2\pi)}$

Facts

For a group (G, \cdot)

- 1) Identity is unique
- 2) Inverses are unique
- 3) $(gh)^{-1} = h^{-1}g^{-1}$
- 4) Cancellation:
 $ax = ay \Rightarrow x = y$
 $xb = yb \Rightarrow x = y$

Why?

- 1) Suppose e_1 and e_2 are identities.
 $e_1 = e_1 \cdot e_2 = e_2$
- 2) Suppose g has two inverses h and k
 $h = h \cdot e = h \cdot (g \cdot k) = (h \cdot g) \cdot k = e \cdot k = k$
- 3) $(gh)h^{-1}g^{-1} = g(hh^{-1})g^{-1} = gg^{-1} = e$

We can speak unambiguously about products
 $g_1g_2g_3 = g_1(g_2g_3) = (g_1g_2)g_3$
 holds for higher n

Example

$G = ((\mathbb{Z}/7\mathbb{Z})^*, \cdot)$
 $(\mathbb{Z}/7\mathbb{Z})^*$ means excluding zeros

What are the orders of

- [1] $\rightarrow 1$
- [2] $\rightarrow 3$
- [3] $\rightarrow 6$
- [4] $\rightarrow 4$
- [5] $\rightarrow 6$
- [6] $\rightarrow 2$

$G = SL_2(\mathbb{R})$

Let $A = \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$

What is the order of A ? 6

$$A^2 = \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix} = \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}$$

$$A^3 = A^2A = \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -I$$

$$A^6 = A^3A^3 = I$$

To find the order more easily, look at the eigenvalues. They should be roots of unity if the element has finite order.

Example

Let G be a group and suppose that every element of G has order 1 or 2. Show that G is abelian

Proof

Let $g, h \in G$

Want to show $gh = hg$

We have $1 = (gh)^2 = ghgh \Rightarrow (gh)^{-1} = gh$

$(gh)^{-1} = h^{-1}g^{-1} = hg$

So $gh = hg \quad \forall g, h \in G \Rightarrow G$ is abelian

Example Dihedral Group

$D_4 =$

Example Dihedral Group

$$D_4 = \square$$

$$|D_4| = 8$$

The vertex 1 can be in 4 places, and 2 can be in 2 places for each.

For D_n , what is $\tau \cdot \sigma \cdot \tau$

$$\tau \cdot \sigma \cdot \tau = \sigma^{n-1} = \sigma^{-1}$$

Notice that

σ has order n

τ has order 2

$$\tau \cdot \sigma^i \cdot \tau = \sigma^{-i}$$

Example

$$\tau \cdot \sigma^2 \cdot \tau \cdot \sigma^3 \cdot \tau \cdot \tau = \sigma^{-2} \cdot \tau \cdot \tau \cdot \sigma^3 \cdot \tau = \sigma \cdot \tau \cdot \sigma = \sigma \cdot \sigma^{-1} \cdot \tau = \tau$$

If we look at all elements in D_n formed by composing σ 's and τ 's we get $n + n = 2n$ elements. So $|D_n| \geq 2n$

Why are these all?

1 can go in n spots. for each, 2 can go in 2 spots. So $|D_n| \leq 2n$

$$\Rightarrow |D_n| = 2n$$

Symmetry

September-12-13 10:00 AM

D_n Dihedral group

A regular n -gon will be represented as a graph

$$D_n = (V, E)$$

$$V = \{1, 2, \dots, n\}$$

$$E = \{\{i, j\} : i, j \in V, \quad i - j \equiv \pm 1 \pmod{n}\}$$

A symmetry of D_n in this setting is a map

$\phi: V \rightarrow V$ that is 1-1 and onto and preserves adjacency

$$\{i, j\} \in E \Leftrightarrow \{\phi(i), \phi(j)\} \in E$$

Lemma 1

Number of symmetries of D_n is $\leq 2n$

Lemma 2

The symmetries

$$\{\sigma^i\}_{i=0}^{n-1}, \{\sigma^i \rho\}_{i=0}^{n-1}$$

are $2n$ distinct symmetries

Presentation

We call

$$\langle \rho, \sigma : \rho^2 = \sigma^n = \text{id}, \quad \rho\sigma = \sigma^{-1}\rho \rangle$$

a presentation of D_n

Symmetry Groups

For $n \geq 1$

$$S_n = \{\sigma : \{1, 2, \dots, n\} \rightarrow \{1, 2, \dots, n\} : \sigma \text{ is 1-1 and onto}\}$$

Notice S_n is a group under composition

We technically should write " $\sigma \circ \tau$ " but we'll write $\sigma\tau$

Note

Disjoint cycles permute

Proof of Lemma 1

$\exists \leq n$ choices for $\phi(1) \in \{1, 2, \dots, n\}$

Say that $\phi(1) = i \in \{1, 2, \dots, n\}$

Then $\phi(2) - i \equiv \pm 1 \pmod{n}$

There are ≤ 2 choices for n

Now by induction on $j = 3, \dots, n$, show that there is at most one choice for $\phi(j)$

So in total there are at most $2n$ symmetries.

■

Last time we constructed two symmetries:

σ, ρ

$$\phi(i) \equiv i + 1 \pmod{n}$$

$$\rho(1) = 1, \rho(2) = n, \dots \text{ (reflection)}$$

Proof of Lemma 2

First, if $\sigma^i = \sigma^j$ for $i \neq j, 0 \leq i, j \leq n-1$

Applying σ^{-i} gives $\sigma^{-i} \circ \sigma^i = \sigma^{-i} \circ \sigma^j \Rightarrow \text{id} = \sigma^{j-i}$ rotation clockwise by $\frac{2\pi(j-i)}{n} \pm \text{id}$

$$1 \neq \sigma^{j-i}(1) = 1 + i - i \pmod{n}$$

Similarly, if $i \neq j, 0 \leq i, j \leq n-1$

$\Rightarrow \sigma^i \rho \neq \sigma^j \rho$ since $\sigma^i \neq \sigma^j$ we can cancel ρ

Finally, if $\sigma^i = \sigma^j \rho$

$$\Rightarrow \sigma^{-j+i} = \rho$$

$$\Rightarrow \sigma^{-j+i}(1) = \rho(1)$$

$$\Rightarrow 1 + i - j \pmod{n} = 1$$

$$\Rightarrow i = j \Rightarrow \rho = \text{id}, \text{ contradiction.}$$

Remarks

- 1) This shows that $|D_n| = 2n$
- 2) This shows that D_n is **generated** as a group by ρ and σ . This means that **every** element of D_n can be expressed as a finite composition (product in group) of elements from $\{\rho, \rho^{-1}, \sigma, \sigma^{-1}\}$
- 3) The group structure can be completely understood via the relations $\rho^2 = \text{id}; \rho^n = \text{id}; \sigma\rho = \rho\sigma^{-1}$

Question

Show that D_n is not abelian for $n \geq 3$

Answer

$\rho\sigma = \sigma^{-1}\rho$. If D_n were abelian, then we would have

$$\rho\sigma = \sigma\rho \Rightarrow \sigma^{-1}\rho = \sigma\rho \Rightarrow \sigma^{-1} = \sigma \Rightarrow \sigma^2 = \text{id}$$

$$\sigma^2 \neq \text{id} \text{ for } n \geq 3$$

D_2 is abelian

$$D_2 = \langle \rho, \sigma : \rho^2 = \sigma^2 = \text{id}, \rho\sigma = \sigma\rho \rangle$$

We will see later that

$$D_2 \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$$

Notes on Symmetry Groups

- $|S_n| = n!$
- Two ways of representing **permutations**

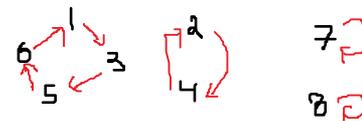
Example $n = 8$

$$1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8$$

$$\sigma:$$

$$1 \rightarrow 2 \rightarrow 3 \rightarrow 4 \rightarrow 5 \rightarrow 6 \rightarrow 7 \rightarrow 8$$

Or could represent in **disjoint cycle notation**



- Representations:

$$1) \sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 3 & 4 & 5 & 2 & 6 & 1 & 7 & 8 \end{pmatrix}$$

$$2) \text{ Disjoint cycle notation: } (1 \ 3 \ 5 \ 6)(2 \ 4)(7)(8)$$

$$\text{Or omit 1-cycles: } (1 \ 3 \ 5 \ 6)(2 \ 4)$$

- Disjoint Cycles Permute

Proof

$i_1, \dots, i_s, j_1, \dots, j_t \in \{1, \dots, n\}$ are pairwise distinct

Let $\sigma = (i_1, \dots, i_s) \leftarrow$ this means that if $k \notin \{i_1, \dots, i_s\} \Rightarrow \sigma(k) = k$

Let $\tau = (j_1, \dots, j_t)$

Define $i_{s+1} := i_1, j_{t+1} := j_1$

$$\text{Look at } \sigma \circ \tau(k) = \begin{cases} i_{a+1} & \text{if } k = i_a \\ j_{b+1} & \text{if } k = j_b = \tau \circ \sigma(k) \\ k & \text{otherwise} \end{cases}$$

- The order of a cycle (i_1, i_2, \dots, i_s) is s
- The order of a set of disjoint cycles is the LCM of the orders of the individual cycles

If $\sigma = \sigma_1 \cdots \sigma_k, \sigma_1, \dots, \sigma_k$ disjoint cycles of length l_1, \dots, l_k , respectively

$$\text{Then } \sigma^n = (\sigma_1 \cdots \sigma_k)^n = \sigma_1^n \cdot \sigma_2^n \cdots \sigma_k^n$$

$$\text{If } n = \text{lcm}(d_1, \dots, d_k) \Rightarrow \sigma_1^n = \sigma_2^n = \dots = \sigma_k^n = \text{id} \Rightarrow \sigma_1^n \cdots \sigma_k^n = \text{id}$$

Show in assignment that $\sigma(\sigma_1 \cdots \sigma_k)$ divides $\text{lcm}(d_1, \dots, d_k)$

But if $1 \leq m = o(\sigma_1, \dots, \sigma_k) < \text{lcm}(d_1, \dots, d_k)$

$\Rightarrow \exists i$ such that d_i does not divide m

Then $\sigma_i^m \neq 1$ and since all the cycles are disjoint, suppose $\sigma_i = (a_1, \dots, a_{d_i})$

then $\exists j, 1 \leq j \leq d_i$ such that $\sigma_i^m(a_j) \neq a_j$

$\tau(a_j) = \sigma_1^m \dots \sigma_i^m \dots \sigma_k^m(a_j) = \sigma_i^m(a_j) \neq a_j$ so $\tau \neq \text{id}$

Linear Groups

September-12-13 11:00 AM

Field

A field is a set $\neq \emptyset$ with two binary operations $+$ and \cdot such that $(F, +)$ is an abelian group with identity 0 and $(F \setminus \{0\}, \cdot)$ is an abelian group with identity 1. Furthermore, $(a + b) \cdot x = a \cdot x + b \cdot x$

Note

$(\mathbb{Z} \setminus \{0\}, \cdot)$ is not a group because $2^{-1} \notin \mathbb{Z} \setminus \{0\}$

$\mathbb{Z}_p = \{[0], \dots, [p-1]\}$ where p is a prime.

Questions

What is the order of $GL_2(\mathbb{Z}_2)$?

$$\left(\begin{array}{cc} \{[0], [1]\} & \{[0], [1]\} \\ \{[0], [1]\} & \{[0], [1]\} \end{array} \right), \quad |GL_2(\mathbb{Z}_2)| \leq 16$$

$$GL_2(\mathbb{Z}_2) = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \right\}$$

So $|GL_2(\mathbb{Z}_2)| = 6$

What is the size of $GL_n(\mathbb{Z}_p)$

If $n = 1$, Answer is $p - 1$

In general, the answer is

$$(p^n - 1)(p^n - p)(p^n - p^2) \dots (p^n - p^{n-1})$$

Proof

An $n \times n$ matrix $A = (\vec{v}_1 \ \vec{v}_2 \ \dots \ \vec{v}_n)$

is invertible \Leftrightarrow columns are linearly independent.

Choose columns one by one, maintaining linear independence.

There are $p^n - 1$ ways of choosing \vec{v}_1 (all but $\mathbf{0}$)

For \vec{v}_2 , can pick anything that is not in $\text{span}(\{\vec{v}_1\})$

$\text{span}(\{\vec{v}_1\})$ consists of the p scalar multiples of \vec{v}_1 ,

so there are $p^n - p$ choices for \vec{v}_2

In general, can pick any \vec{v}_i that is not in $\text{span}(\{\vec{v}_1, \dots, \vec{v}_{i-1}\})$

Since $\vec{v}_1, \dots, \vec{v}_{i-1}$ are all linearly independent,

$$|\text{span}(\{\vec{v}_1, \dots, \vec{v}_{i-1}\})| = p^{i-1}$$

So there are $p^n - p^i$ choices for \vec{v}_i

Quaternion Group

Group Q_8 of order 8

$$Q_8 = \{\pm 1, \pm i, \pm j, \pm k\}$$

$$i^2 = j^2 = k^2 = -1$$

$$ij = k, ji = -k$$

Note: -1 commutes

What is jk ?

$$ji = -k \Rightarrow j^2 i = -jk \Rightarrow -i = -jk \Rightarrow jk = i$$

$$ik = (jk)k = jk^2 = -j$$

$$ki = -j$$

Concrete representation

$$Q_2 \subseteq GL_2(\mathbb{C})$$

$$1 \mapsto \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$-1 \mapsto \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$i \mapsto \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$$

$$j \mapsto \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$k \mapsto \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$$

Homomorphism

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Homomorphism

Let G, H be two groups.

A map $\phi: G \rightarrow H$ is called a **homomorphism** if $\phi(gh) = \phi(g)\phi(h) \forall g, h \Rightarrow \phi(e_G) = e_H$

If $\phi: G \rightarrow H$ is a homomorphism and ϕ is 1-1 and onto then ϕ is called an **isomorphism**. Can be written $G \approx H$
If $\phi: G \rightarrow G$ is an isomorphism, we can also call it an **automorphism** of G .

Circle Group

$$S^1 = \{e^{i\theta} : \theta \in [0, 2\pi)\}$$
$$e^{i\theta} \cdot e^{i\psi} = e^{i(\theta+\psi \text{ mod } 2\pi)}$$

Proposition

Let G, H be groups and let $\phi: G \rightarrow H$ be a homomorphism.

- 1) If G is abelian and ϕ is onto then H is abelian;
- 2) If H is abelian and ϕ is 1-1 then G is abelian.
- 3) If ϕ is an isomorphism then G is abelian $\Leftrightarrow H$ is abelian.

Homomorphisms

Why does $\phi(e_G) = e_H$?

$$e_H \phi(g) = \phi(g) = \phi(e_G g) = \phi(e_G) \phi(g)$$
$$\therefore e_H = \phi(e_G) \text{ (cancel)}$$

Also, $\phi(g^{-1}) = \phi(g)^{-1} \forall g \in G$

$$\text{Why? } e_H = \phi(e_g) = \phi(gg^{-1}) = \phi(g)\phi(g^{-1})$$
$$\Rightarrow \phi(g)^{-1} e_H = \phi(g)^{-1} = \phi(g^{-1})$$

Example 1

Trivial homomorphism

$$\phi: G \rightarrow H$$
$$\phi(g) = e_H \forall g \in G$$

Example 2

$$\phi: G \rightarrow G$$
$$\phi(g) = g \forall g$$

Example 3

$$G = \left\{ \begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix} : n \in \mathbb{Z} \right\}$$
$$\phi: G \rightarrow (\mathbb{Z}, +)$$
$$\begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix} \mapsto n$$
$$\begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & n+m \\ 0 & 1 \end{pmatrix}$$

Example

If G, H are groups, we can make the direct product $G \times H$ into a group by declaring

$$e_{G \times H} = (e_G, e_H)$$
$$(g_1, h_1) \cdot (g_2, h_2) = (g_1 g_2, h_1 h_2)$$

- a) Then $G \times H$ is isomorphic to $\{(g, e_H) : g \in G\}$
- b) $G \times H \approx H \times G$
 $(g, h) \mapsto (h, g)$

Example

$$D_3 \approx S_3 \approx \text{GL}_2(\mathbb{Z}_2) \not\approx \mathbb{Z}_2 \times \mathbb{Z}_3$$

Example

$$\text{Let } (\mathbb{R}_{>0}, \cdot) = \{x \in \mathbb{R} : x > 0\}$$

This is a group under multiplication with identity 1

Let $\mathbb{C}^* = \{\text{All nonzero complex numbers under multiplication}\}$

Claim

$$\mathbb{C}^* \approx (\mathbb{R}_{>0}, \cdot) \times S^1$$

Proof

If $z \in \mathbb{C}$ then we can write z uniquely as $\phi(z) = re^{i\theta}$ is 1-1 and onto
 $z = re^{i\theta}, r > 0, \theta \in [0, 2\pi)$

Example

$$(\mathbb{R}_{>0}, \cdot) \approx (\mathbb{R}, +), \quad x \cdot y \mapsto \log(xy) = \log(x) + \log(y)$$
$$x \mapsto \log(x)$$

$$\text{So } \mathbb{C}^* \approx \mathbb{R} \times S^1$$

Remark

Isomorphism is an equivalence relation

- 1) $G \approx G$
- 2) $G \approx H \Rightarrow H \approx G$
- 3) $G \approx H \ \& \ H \approx K \Rightarrow G \approx K$
 $\phi: G \rightarrow H, \quad \psi: H \rightarrow K \Rightarrow \psi \circ \phi: G \rightarrow K$

Proof of Proposition

3 follows from 1&2

- 1) Assuming G is abelian and ϕ is onto.
Let $h_1, h_2 \in H$. $\exists g_1, g_2$ such that $\phi(g_1) = h_1$ and $\phi(g_2) = h_2$
 $h_1 h_2 = \phi(g_1)\phi(g_2) = \phi(g_1 g_2) = \phi(g_2 g_1) = \phi(g_2)\phi(g_1) = h_2 h_1$
- 2) Assuming H is abelian and ϕ is 1-1.
Let $g_1, g_2 \in G$. Consider $\phi(g_1 g_2) = \phi(g_1)\phi(g_2) = \phi(g_2)\phi(g_1) = \phi(g_2 g_1)$
 $\therefore \phi$ is 1-1, $g_1 g_2 = g_2 g_1 \therefore G$ is abelian

Group Actions

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Group Actions

- G is a group
- X is a nonempty set

A **group action** of G on X is a map $G \times X \rightarrow X$
 $(g, x) = x'$, write $gx = x'$

such that if $g_1, g_2 \in G, x \in X$

- 1) $g_1 \cdot (g_2 \cdot x) = (g_1 g_2) \cdot x$
- 2) $1 \cdot x = x \forall x \in X$

Symmetric Group acting on X

Given a set X , we let

$S_X = \{f: X \rightarrow X : f \text{ is 1-1 and onto}\}$

Then S_X is a group under \circ

Theorem

Let G be a group acting on a nonempty set X .

Then there is a homomorphism

$$\phi: G \rightarrow S_X$$

given by $\phi(g)(x) = g \cdot x$ for $g \in G, x \in X$

Moreover, ϕ is 1-1 $\Leftrightarrow \{g : g \cdot x = x \forall x \in X\} = \{1\}$
 and in this case we say that the action is **faithful**.

Group Actions

Example 1

Trivial action

$$g \cdot x = x \forall g \in G, x \in X$$

Example 2

S_n actions on $\{1, 2, \dots, n\}$

Rule $\sigma \in S_n, \sigma: \{1, 2, \dots, n\} \rightarrow \{1, 2, \dots, n\}$

$$\sigma \cdot i = \sigma(i)$$

$$(\sigma \cdot \tau) \cdot i = \sigma \circ \tau(i) = \sigma(\tau(i)) = \sigma \cdot (\tau \cdot i)$$

Example 3

S_n acts on $\mathcal{P}(\{1, 2, \dots, n\}) =$ power set of $\{1, 2, \dots, n\} =$ all subsets of $\{1, 2, \dots, n\}$

via the rule, $\sigma \cdot \emptyset = \emptyset$

$$\sigma \cdot \{i_1, \dots, i_k\} = \{\sigma(i_1), \dots, \sigma(i_k)\}$$

Example 4

D_n acts on $\{1, 2, \dots, n\}$

look at image of vertex i under symmetry

Example 5: Matrix multiplication

$$GL_n(\mathbb{F}) \text{ acts on } X = \mathbb{F}^n = \left\{ \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} : x_1, \dots, x_n \in \mathbb{F} \right\}$$

via left multiplication

$$A \cdot \vec{v} = (A\vec{v})$$

Example 6

$G, X = G$

$g \cdot x = gx$ (multiplication in the group)

Example 7

$X = G$

$g \cdot x = gxg^{-1}$ (conjugation)

Properties:

$$1 \cdot x = 1x1^{-1} = x$$

$$g_1 \cdot (g_2 \cdot x) = g_1 \cdot (g_2 x g_2^{-1}) = g_1 g_2 x g_2^{-1} g_1^{-1} = (g_1 g_2) x (g_1 g_2)^{-1} = (g_1 g_2) \cdot x$$

Note

If G is a group and $g \in G$ then conjugation by g is an automorphism of G

i.e. the map $\Phi_g: G \rightarrow G, x \mapsto gxg^{-1}$ is an automorphism

To see that Φ_g is an automorphism note that

$$\Phi_g(xy) = gxyg^{-1} = gxg^{-1}gyg^{-1} = \Phi_g(x)\Phi_g(y)$$

Notice that $\Phi_{g^{-1}} \circ \Phi_g(x) = \Phi_{g^{-1}}(gxg^{-1}) = g^{-1}gxg^{-1}g = x = \text{id}(x)$

& $\Phi_g \circ \Phi_{g^{-1}} = \text{id}$ so Φ_g is an automorphism.

Proof of Theorem

Let $g, h \in G$

We want to show that $\phi(gh) = \phi(g)\phi(h)$

Let $x \in X$, then

$$\phi(gh)(x) = (gh) \cdot x = g \cdot (h \cdot x) = g \cdot (\phi(h)(x)) = \phi(g)(\phi(h)(x)) = \phi(g) \circ \phi(h)(x)$$

So $\phi(gh) = \phi(g) \circ \phi(h) \therefore \phi$ is a homomorphism

If $T = \{g: g \cdot x = x \forall x \in X\} \supseteq \{1\}$

$\exists g \neq 1$ But $x = \phi(g)(x) = \phi(1)(x) \forall x \in X$

$\Rightarrow \phi(g) = \phi(1) \Rightarrow \phi$ is not 1-1

If ϕ is not 1-1 $\Rightarrow \exists g, h \in G$ such that $\phi(g) = \phi(h)$ and $g \neq h$

So $\phi(gh^{-1}) = \phi(g) \cdot \phi(h)^{-1} = \text{id}$

$\Rightarrow gh^{-1} \cdot x = x \forall x \in X \Rightarrow gh^{-1} \neq 1, gh^{-1} \in T$

■

Claim

$GL_2(\mathbb{Z}_2) \approx S_3$

$GL_2(\mathbb{Z}_2)$ acts on $\left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right\} \subseteq \mathbb{Z}_2^2$ but can exclude $\begin{bmatrix} 0 \\ 0 \end{bmatrix}$ since it is always mapped to itself.

So we get a homomorphism $\phi: GL_2(\mathbb{Z}_2) \rightarrow S_X \approx S_3$

Why is ϕ 1-1? If $A \in GL_2(\mathbb{Z}_2)$ is s.t. $Ax = x \forall x \in X$

$$\Rightarrow \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \& \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \Rightarrow A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} = I$$

So ϕ is 1-1

Since $|S_3| = |GL_2(\mathbb{Z}_2)| = 6$, ϕ is onto

\therefore it is an isomorphism

Orbits

September-19-13 10:01 AM

Orbit

Suppose that G is a group acting on a set X . Then given $x \in X$, call the set $\{gx : g \in G\} \subseteq X$ the orbit of x and denote it O_x

Proposition

Let $G \curvearrowright X$ "G acts on X"
 If $x_1, x_2 \in X$ then either $O_{x_1} = O_{x_2}$ or $O_{x_1} \cap O_{x_2} = \emptyset$

This says that X is partitioned into a disjoint union of orbits.

Subgroups

Let G be a group, we say that a subset $H \subseteq G$ is a subgroup if it is closed under taking products and inverses (operations from G)

i.e. $h_1 h_2 \in H \Rightarrow h_1^{-1} h_2 \in H$
 $h_1 \in H \Rightarrow h_1^{-1} \in H$

Lagrange's Theorem

Let G be a finite group and let H be a subgroup of G . " $H \leq G$ "
 Then $|H|$ divides $|G|$.

Corollary (Fermat's Little Theorem)

If p is prime and $a \not\equiv 0 \pmod{p}$
 $\Rightarrow a^{p-1} \equiv 1 \pmod{p}$

Coset

In the case that $H \leq G$ and $H \curvearrowright G$ by left multiplication we usually write Hx for O_x and call it the right coset Hx .

Then $G = Hx_1 \cup Hx_2 \cup \dots \cup Hx_d$ if $|G| < \infty$
 In general, any group G is a disjoint union of cosets but the number could be infinite if G is infinite.

A symmetric argument shows that G is a disjoint union of left cosets, xH

We write $[G:H]$ for the number of distinct left cosets = number of distinct right cosets = $\frac{|G|}{|H|}$ if $|G|, |H| < \infty$
 $[G:H]$ - "Index of H in G "

Cyclic Groups

A group G is cyclic if it can be generated by one element.
 In terms of generators & relations:
 $G = \langle x \mid x^n = 1 \rangle$ for some $n \geq 1$
 or
 $G = \langle x \rangle \cong \mathbb{Z}$

Proposition

If G is cyclic then either $G \cong \mathbb{Z}_n$ for some $n \geq 1$ or $G \cong \mathbb{Z}$

Theorem

Let G be a cyclic group and let $H \leq G$. Then H is cyclic.

Orbit Examples

Example 1

S_n acting on $\{1, 2, \dots, n\}$
 Then $O_i = \{1, 2, \dots, n\}$

Example 2

Look at S_3 acting on itself by conjugation
 $g \cdot x = gxg^{-1}$
 What is $O_{(12)}$

S_3	$g \cdot (12)$
id	id $\cdot (12) = (12)$
(12)	(12) $\cdot (12) = (12)(12)(12)^{-1} = (12)$
(23)	(23) $\cdot (12) = (23)(12)(23)^{-1} = (13)$
(13)	(13) $\cdot (12) = (13)(12)(13)^{-1} = (1)(23)$
(1 2 3)	(1 2 3) $\cdot (12) = (1 2 3)(12)(1 2 3)^{-1} = (1)(23)$
(1 3 2)	(1 3 2) $\cdot (12) = (1 3 2)(12)(1 3 2)^{-1} = (13)(2)$

$O_{(12)} = \{(12), (13), (23)\}$

Proof of Proposition

Let $x_1, x_2 \in X$ and suppose $O_{x_1} \cap O_{x_2} \neq \emptyset$
 Then $\exists y \in X$ s.t. $y = g_1 \cdot x_1$ & $y = g_2 \cdot x_2$, $g_1, g_2 \in G$
 We will show that $O_{x_1} \subseteq O_{x_2}$ and by symmetry $O_{x_2} \subseteq O_{x_1} \Rightarrow O_{x_1} = O_{x_2}$

Let $z \in O_{x_1}$
 Then $z = h \cdot x_1$ for some $h \in G$
 $z = (hg_1^{-1}g_2) \cdot x_1 = (hg_1^{-1})(g_1x_1) = (hg_1^{-1})y = (hg_1^{-1}g_2) \cdot x_2 \in O_{x_2}$
 So $O_{x_1} \subseteq O_{x_2}$
 ■

Example Subgroups

Example 1

$G = \mathbb{Z}, +$
 $H = 2\mathbb{Z} = \{2n : n \in \mathbb{Z}\}$

Example 2

$G = D_n = \langle \rho, \tau \mid \rho^n = \tau^n = \text{id}, \rho\tau = \tau\rho \rangle$
 $H = \{1, \rho, \rho^2, \dots, \rho^{n-1}\}$

Example 3

$G = V$ a vector space
 $H = W$, a subspace of V is a subgroup

Example: General Linear Group

$G = \text{GL}_n(\mathbb{R}) = \{\text{all non-invertible real matrices}\}$
 $H = \text{SL}_n(\mathbb{R}) = \{A \in \text{GL}_n \mid \det(A) = 1\}$

Proof of Lagrange's Theorem

Let H act on $X = G$ via left-multiplication: $h \cdot x = hx \in G$, $x \in G$
 If $x \in G$, what is O_x ?
 $O_x = \{h \cdot x : h \in H\}$. What is $|O_x|$? $|O_x| = |H|$. Why?
 $H \curvearrowright O_x$ by $h \curvearrowright h \cdot x$ is 1-1 and onto (since h has an inverse).

We know that G is a disjoint union of orbits. Let's say there are d disjoint orbits making up G .
 Each orbit has size $|H|$ so $|G| = d|H|$. ■

Proof of Fermat's Little Theorem

Let $G = \mathbb{Z}_p^* = \{[1], [2], \dots, [p-1], \cdot\} = (\mathbb{Z}_p \setminus \{[0]\}, \cdot)$
 If $a \in \mathbb{Z}$ and $a \not\equiv 0 \pmod{p}$ then $[a] \in \mathbb{Z}_p^*$

Let $m = \text{order}([a])$ in \mathbb{Z}_p^*
 Then $H := \{[1], [a], \dots, [a^{m-1}]\}$ is a subgroup of \mathbb{Z}_p^*
 Then $|H| = m$ and $|G| = |\mathbb{Z}_p^*| = p - 1$
 so $(p - 1) = md$ for some $d \geq 1$
 Then $[a^{p-1}] = [a^{md}] = [(a^m)^d] = [1^d] = [1] \Rightarrow a^{p-1} \equiv 1 \pmod{p}$

Example

$G = S_4$
 $H \cong S_3$, $H = \{\sigma \in S_4 : \sigma(4) = 4\}$
 $H \leq G$

Find a set of left coset representations.

$S_4 = H\sigma_1 \cup H\sigma_2 \cup H\sigma_3 \cup H\sigma_4$
 $\sigma_1 = \text{id}$, $H\sigma_1 = H$
 $\sigma_2 = (14)$, $H\sigma_2 = H(21)$
 $\sigma_3 = (24)$
 $\sigma_4 = (34)$

Proof of Proposition

Let x be a generator for G .

We always have a homomorphism $\phi: \mathbb{Z} \rightarrow G$, $\phi(n) = x^n$, $n \in \mathbb{Z}$
 $\phi(n+m) = x^{n+m} = \phi(n)\phi(m)$

Case 1

x has infinite order. Then ϕ is onto $\because G$ is cyclic and if x has infinite order
 $\dots, x^{-1}, 1, x, x^2, \dots$ are all distinct $\Rightarrow \phi$ is 1-1

Case 2

x has order $n \geq 1$

Now we make a map $\psi: \mathbb{Z}_n \rightarrow G$

$$\psi([i]) = x^i$$

$$\psi([i] + [j]) = x^{i+j \pmod n} = x^i x^j = \psi([i])\psi([j])$$

Onto: x has order n

1-1: $1, x, \dots, x^{n-1}$ are distinct

Proof of Theorem

Let x generate G , $G = \langle x \rangle$

If $H = \{1\}$ then there is nothing to prove. So assume $H \neq \{1\}$

Then consider $S = \{n \geq 1 : x^n \in H\}$. Then $S \neq \emptyset$ \because if $x^{-i} \in H \Rightarrow (x^{-i})^{-1} \in H \Rightarrow x^i \in H$

Let m = smallest element of S .

Then $x^m \in H$. Claim: $H = \langle x^m \rangle$

Proof of Claim

Suppose $\exists n$ such that $x^n \in H$ and n is not a multiple of m .

WLOG we may assume that $n > 0$ \because $x^n \in H \Leftrightarrow x^{-n} \in H$

By division algorithm: $n = qm + r$, $0 < r < m$

Then $x^r = x^{n-qm} = x^n \cdot (x^m)^{-q} \in H \Rightarrow r \in S$

But this contradicts minimality of m .

■

Groups of Small Order

September-24-13 10:02 AM

Proposition

Let G be a finite group and let $x \in G$. Then $o(x)$ divides $|G|$.

Theorem

Let G be a finite group with the property that every element of G has order 1 or 2. Then $\exists n \geq 1$ such that $G \cong \mathbb{Z}_2^n = \mathbb{Z}_2 \times \mathbb{Z}_2 \times \dots \times \mathbb{Z}_2$
 $= \{(\epsilon_1, \dots, \epsilon_n) : \epsilon_1, \dots, \epsilon_n \in \{0, 1\}\} = \mathbb{Z}_2$
 Group under +
 $(0, \dots, 0)$ is identity

Proof of Proposition

Let $H = \langle x \rangle \leq G$
 Then $H \leq G$ and $|H| = o(x)$
 By Lagrange's Theorem, $|H|$ divides $|G| \Rightarrow o(x) \mid |G|$
 ■

Proof of Theorem

We've shown that G is abelian. We will let '+' denote the operation on G and let 0 denote the identity.

We say that a subset $\{x_1, \dots, x_d\}$ of G is **linearly independent** if $\nexists (\epsilon_1, \dots, \epsilon_d) \in \{0, 1\}^d$, not all zero such that $\epsilon_1 x_1 + \epsilon_2 x_2 + \dots + \epsilon_d x_d = 0$.

Let $\{y_1, \dots, y_n\}$ be a maximally linearly independent subset of G

Claim

- $X = \{\epsilon_1 y_1 + \dots + \epsilon_n y_n : \epsilon_1, \dots, \epsilon_n \in \{0, 1\}\}$ has size 2^n
- $G = X$

Proof

- Suppose that $\epsilon_1 y_1 + \dots + \epsilon_n y_n = \epsilon'_1 y_1 + \dots + \epsilon'_n y_n$, $\epsilon_1, \dots, \epsilon_n, \epsilon'_1, \dots, \epsilon'_n \in \{0, 1\}$

$$\Rightarrow \sum_{i=1}^n (\epsilon_i - \epsilon'_i) y_i = 0$$

$\Rightarrow \epsilon_i = \epsilon'_i \forall i \because \{y_1, \dots, y_n\}$ is linearly independent.

$\Rightarrow X$ has 2^n distinct elements.

- Suppose that $X \neq G$. i.e. $X \subsetneq G$

Pick $z \in G \setminus X$

Show $\{y_1, \dots, y_n, z\}$ is linearly independent.

Proof:

If $\epsilon_1 y_1 + \dots + \epsilon_n y_n + \epsilon z = 0$, $\epsilon_1, \dots, \epsilon_n, \epsilon \in \{0, 1\}$ not all 0.

If $\epsilon = 0$, we get a contradiction $\because y_1, \dots, y_n$ are linearly independent.

If $\epsilon = 1$, $\epsilon_1 y_1 + \dots + \epsilon_n y_n + z = 0 \Rightarrow \epsilon_1 y_1 + \dots + \epsilon_n y_n = z$

Contradiction since $z \notin X$

So $\{y_1, \dots, y_n, z\}$ is linearly independent if $z \in G \setminus X$

But $\{y_1, \dots, y_n\}$ is a maximal linearly independent set. Contradiction.

Conclusion: $G = X$

Now we construct an isomorphism

$$\phi: G \rightarrow \mathbb{Z}_2^n$$

$$\phi(\epsilon_1 y_1 + \dots + \epsilon_n y_n) = (\epsilon_1, \dots, \epsilon_n)$$

This is a homomorphism and 1-1 and onto.

$$G \cong \mathbb{Z}_2^n$$

Groups of Small Order

Order	Groups Up to Isomorphism
1	{1}
2	\mathbb{Z}_2
3	\mathbb{Z}_3
4	$\mathbb{Z}_4, \mathbb{Z}_2 \times \mathbb{Z}_2$
5	\mathbb{Z}_5
6	\mathbb{Z}_6, S_3
7	\mathbb{Z}_7

Order 4

Case I: G has an element x of order 4

Then $G = \{1, x, x^2, x^3\} = \mathbb{Z}_4$ is cyclic

Case II: All elements of G have order < 4

Then all elements have order 1 or 2

$\Rightarrow G \cong \mathbb{Z}_2^m$ for some m

$|G| = 4 \Rightarrow m = 2 \Rightarrow G = \mathbb{Z}_2 \times \mathbb{Z}_2$

Order 6

Since $|G| = 6$ we know that $\exists x \in G$ of order 2

(by homework assignment, $|G|$ even $\Rightarrow G$ has element of order 2)

Let $H = \{1, x\} \leq G$

Let $X =$ set of left cosets of H . So $|X| = 3 = \frac{|G|}{|H|}$

$X = \{H, yH, zH\}$ for some $y, z \in G$

G acts on X by left multiplication:

$$g \cdot yH = (gy)H \in \{H, yH, zH\}$$

Recall that the action $G \curvearrowright X$ gives a homomorphism

$$\phi: G \rightarrow S_X \cong S_3$$

If ϕ is 1-1 $\Rightarrow G \cong S_3$

If ϕ is not 1-1 $\Rightarrow \exists g \neq 1$ in G such that $gH = H, gyH = yH, gzH = zH$

What does $gH = H$ mean?

$$\Rightarrow gH = H$$

$$\Rightarrow g \cdot H \in H$$

$$\Rightarrow g \in H = \{1, x\}$$

$$\text{So } g = x \because g \neq 1$$

So $gyH = yH \Rightarrow xyH = yH \Rightarrow y^{-1}xyH = H$

$\Rightarrow y^{-1}xy \in H = \{1, x\} \Rightarrow y^{-1}xy = x$

(Otherwise $y^{-1}xy = 1 \Rightarrow x = y^{-1}y = 1$ Contradiction)

Notice that $G = \langle x, y \rangle \cong \langle x \rangle$. Define $L = \langle x, y \rangle$
 So $|L| > 2$ and $|L| \mid 6$ (Lagrange)
 So $|L| \in \{3, 6\}$
 But $x \in L$ has order 2 so $2 \mid |L| \Rightarrow |L| = 6 \Rightarrow L = G$
 Gut $xy = yx$ so G is abelian.

Now we have $G \cong S_3$ or G is abelian.
 If G is abelian, we know $\exists x \in G$ of order 2. All elements of G have order in $\{1, 2, 3, 6\}$. If all elements have order 1 or 2

$\Rightarrow G \cong \mathbb{Z}_2^m$ for some m
 Contradiction since $|G| = 6$

So $\exists y \in G$ of order 3 or 6. If $o(y) = 6 \Rightarrow G = \langle y \rangle \cong \mathbb{Z}_6$

If $o(y) = 3$, let $z = xy$. Then $o(z) \in \{1, 2, 3, 6\}$

But $z \neq 1 \because x^{-1} = x$ and $y \neq x$ so $o(z) \in \{2, 3, 6\}$

$z^2 = (xy)^2 = x^2y^2 = y^2 \neq 1 \Rightarrow o(z) \neq 2$

$z^3 = (xy)^3 = x^3y^3 = x^3 = x \neq 1 \Rightarrow o(z) \neq 3$

$\Rightarrow o(z) = 6 \Rightarrow G = \langle z \rangle \cong \mathbb{Z}_6$

Centralizers

September-24-13 10:53 AM

Centralizers

Given a subset $A \subseteq G$, G a group, we define the **centralizer of A in G**

$$C_G(A) := \{g \in G : ga = ag \forall a \in A\}$$

Notation

If $A = \{a\}$, we write $C_G(a)$ for $C_G(\{a\})$

If $A = G$, we write

$Z(G)$ for $C_G(A)$ and we call $C_G(G)$ the **centre** of G

$$\text{So } Z(G) = \{g \in G : ga = ag \forall a \in G\}$$

Proposition 1

If $A \subseteq G$, then $C_G(A)$ is a subgroup of G .

Normalizers

$A \subseteq G$

We define the **normalizer of A in G**

$$N_G(A) := \{g \in G : gag^{-1} \in A \forall a \in A\}$$

Then $C_G(A) \subseteq N_G(A)$

Proposition 2

$N_G(A)$ is a group

Example Centralizer

Let $G = S_3$

Let $A = \{\text{id}, (123)\}$

What is $C_G(A)$?

g	$g(123)$	$(123)g$
id	(123)	(123)
(12)	$(1)(23)$	$(13)(2)$
(13)	$(12)(3)$	$(1)(23)$
(23)	$(13)(2)$	$(12)(3)$
(123)	(132)	(132)
(132)	$(1)(2)(3)$	$(1)(2)(3)$

$$C_G(A) = \{\text{id}, (123), (132)\}$$

Example

$G = \text{GL}_2(\mathbb{R})$

$$A = \left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} : ab \neq 0 \right\}$$

What is $C_G(A)$? $C_G(A) = A$

$$\begin{pmatrix} u & v \\ w & x \end{pmatrix} \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} = \begin{pmatrix} ua & vb \\ wa & xb \end{pmatrix}$$

$$\begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \begin{pmatrix} u & v \\ w & x \end{pmatrix} = \begin{pmatrix} au & av \\ bw & bx \end{pmatrix}$$

$$\text{Need } vb = av \forall a, b \in \mathbb{R} \Rightarrow v = 0$$

$$wa = bw \forall a, b \in \mathbb{R} \Rightarrow w = 0$$

$$\text{so } \begin{pmatrix} u & 0 \\ 0 & x \end{pmatrix} \in C_G(A) \Rightarrow C_G(A) = A$$

Example

If $G = \text{GL}_2(\mathbb{R})$ what is $Z(G)$?

$$Z(G) = \left\{ \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix} : \lambda \neq 0 \right\} \text{ (Exercise)}$$

Proof of Proposition 1

- $1 \in C_G(A) \because 1 \cdot a = a \cdot 1 = a \forall a \in A$
- If $x, y \in C_G(A) \Rightarrow xa = ax$ & $ya = ay \forall a \in A$
 $\Rightarrow (xy)a = x(ya) = x(ay) = (xa)y = (ax)y = a(xy) \forall a \in A$
 $\Rightarrow xy \in C_G(A)$
- Similarly, if $x \in C_G(A) \Rightarrow xa = ax \forall a \in A \Rightarrow a = x^{-1}ax \Rightarrow ax^{-1} = x^{-1}a \forall a \in A$
 $\Rightarrow x^{-1} \in C_G(A)$

$\Rightarrow C_G(A)$ is a subgroup.

Proof of Proposition 2

- $1 \in N_G(A)$
- $x, y \in N_G(A)$ and $a \in A$, $(xy)a(xy)^{-1} = x(ya y^{-1})x^{-1} = xbx^{-1}$ for some $b \in A$
 $xbx^{-1} \in A \Rightarrow xy \in N_G(A)$

- $x \in N_G(A)$, $a \in A$
 $\Rightarrow xax^{-1} = a'$ for some $a' \in A$
 $\Rightarrow a = x^{-1}a'x$

But notice $\{xax^{-1} : a \in A\} = A$

Why? $xa_1x^{-1} = xa_2x^{-1} \Rightarrow a_1 = a_2$

Exercise: finish the proof

So $\forall a' \in A = \{xax^{-1} : a \in A\}$, $\exists a \in A$ s.t. $xax^{-1} = a'$
 $\Rightarrow x^{-1}a'x = a \in A \Rightarrow x^{-1} \in N_G(A)$

Example

Let $G = \{\text{all 1-1 and onto maps from } \mathbb{Z} \text{ to itself}\}$

G is a group under composition

Let

$$f_i(n) = \begin{cases} n+1 & \text{if } n = 2i \\ n-1 & \text{if } n = 2i+1 \\ n & \text{if } n \notin \{2i, 2i+1\} \end{cases}$$

$$\text{Let } h: \mathbb{Z} \rightarrow \mathbb{Z} \text{ } h(n) = n+2$$

$$h \circ f_i \circ h^{-1}(2i+2) = h \circ f_i(2i) = h(2i+1) = 2i+3$$

$$h \circ f_i \circ h^{-1}(2i+3) = h \circ f_i(2i+1) = h(2i) = 2i+2$$

If $n \notin \{2i+2, 2i+3\}$

$$h \circ f_i \circ h^{-1}(n) = n$$

So $h \circ f_i \circ h^{-1} = f_{i+1}$

So if $A = \{f_0, f_1, \dots\}$

Then $h \circ f \circ h^{-1} \in A \forall f \in A$

But $h \circ A \circ h^{-1} \subsetneq A$

Example

$$G = Q_8 = \{\pm 1, \pm i, \pm j, \pm k\}$$

$$ij = k, \quad ji = -k$$

$$i^2 = j^2 = k^2 = -1$$

$$(-1)i = i(-1) = -i$$

$$(-1)j = j(-1) = -j$$

$$(-1)k = k(-1) = -k$$

Let $A = \{\pm i\}$

What is $N_G(A)$? Answer? $G = Q_8$

g	gAg^{-1}
1	$1\{\pm i\}1^{-1} = A$
-1	$-1\{\pm i\}(-1)^{-1} = A$
i	$i\{\pm i\}i^{-1} = A$
-i	$-i\{\pm i\}(-i)^{-1} = A$
j	$j\{\pm i\}j^{-1} = -\{\pm jk\} = \{\mp i\} = A$
-j	$-j\{\pm i\}(-j)^{-1} = A$
k	$k\{\pm i\}k^{-1} = -\{\pm jk\} = \{\mp i\} = A$
-k	$-k\{\pm i\}(-k)^{-1} = A$

Stabilizers & Conjugacy Classes

September-26-13 10:16 AM

Stabilizers

$G \curvearrowright X, gx \rightarrow x'$

If $x \in X$, we define $G_x = \{g \in G : gx = x\}$

Remark 1

$G_x \leq G$ (G_x is a subgroup of G)

Orbit-Stabilizer Theorem

Let G be a group acting on a set X .

If $x \in X$ then $|O_x| = [G : G_x]$

$$O_x = \{g \cdot x : g \in G\}$$

$$[G : G_x] = \text{number of left/right } G_x \text{ cosets in } G$$

Corollary

If G is a finite group acting on a set X and $x \in X$ then $|O_x|$ divides $|G|$

Cauchy's Theorem

Let p be a prime number and let G be a finite group.

If $p \mid |G|$ then G has an element of order p .

Conjugacy Classes

Let G be a group and let $G \curvearrowright G$ by conjugation

$$g \cdot x = gxg^{-1}$$

If $x \in G$, we call $O_x = \{gxg^{-1} : g \in G\}$ the conjugacy class of x and denote it by C_x

Remarks

- 1) If $x \in G$ and $|G| < \infty \Rightarrow |C_x| \mid |G|$
- 2) G is a disjoint union of conjugacy classes.

Proposition

If $g \in G$ then $|C_g| = 1 \Leftrightarrow g \in Z(G)$

Normal Group

Let G be a group.

We say that a subgroup $N \leq G$ is **normal** if

$$gNg^{-1} = N \quad \forall g \in G$$

The following are equivalent

- 1) $N \leq G$ is normal
- 2) $gN = Ng \quad \forall g \in G$
- 3) $N_g(N) = G$ (N_g is normalizer)

$$(1) \Leftrightarrow gNg^{-1} = N \quad \forall g \in G$$

$$\Leftrightarrow N_g(N) = G \Leftrightarrow (3)$$

$$(1) \Leftrightarrow gNg^{-1} = N \quad \forall g \in G$$

$$\Leftrightarrow gN = Ng \quad \forall g \in G$$

$$\Leftrightarrow (2)$$

Theorem

Let G be a group and let $H \leq G$ with $[G : H] = 2$

Then H is **normal** in G . Denote $H \trianglelefteq G$

Remark

- 1) If xH and yH are two left cosets, either $xH = yH$ or $xH \cap yH = \emptyset$

Idea

If $\exists h \in H$ s.t. $xh \in yH, \Rightarrow x \in yHh^{-1} = yH$
 $\Rightarrow xH \subseteq yHH = yH$

Similarly,

$$xH \cap yH \neq \emptyset \Rightarrow yH \subseteq xH \Rightarrow xH = yH$$

Normal Subgroup

$N \trianglelefteq G : N$ is a normal subgroup of G

if any of the following hold

- 1) $xNx^{-1} = N \quad \forall x \in G$
- 2) $xN = Nx \quad \forall x \in G$
- 3) $N_g(N) = G$

Proof of Remark 1

Since $1 \cdot x = 1 \Rightarrow 1 \in G_x$

If $g, h \in G_x \Rightarrow (gh) \cdot x = g(hx) = g \cdot x = x \Rightarrow gh \in G_x$

If $g \in G_x \Rightarrow gx = x \Rightarrow g^{-1}(gx) = g^{-1} \cdot x \Rightarrow 1 \cdot x = g^{-1} \cdot x \Rightarrow g^{-1}x = x$

So $G_x \leq G$. In particular, if $|G| < \infty, |G_x|$ divides $|G|$.

Example

Let $G = S_4$. Let $X = \{1, 2, 3, 4\}$

$$\sigma \cdot i = \sigma(i)$$

What is G_2 ? $G_2 = \{\sigma \in S_4 : \sigma(2) = 2\}$

How big is $|G_2|$? $|G_2| = 6$

What is O_2 ? $O_2 = \{1, 2, 3, 4\}$

$$|O_2| = 4$$

$$|G| = 24$$

$$|G_2| = 6$$

$$|O_2| = \frac{|G|}{|G_2|} = \frac{24}{6} = 4$$

Proof of Orbit-Stabilizer Theorem (Finite)

Let $m = [G : G_x]$ and let $g_1G_x \cup g_2G_x \cup \dots \cup g_mG_x$ be a set of left coset representations

Claim

$$O_x = \{g_1x, g_2x, \dots, g_mx\}$$

This will then give $|O_x| = m = [G : G_x]$

Proof of Claim

Let $y \in O_x$. Then $y = gx$ for some $g \in G$

So $\exists i$ s.t. $g \in g_iG_x$, i.e. $g = g_ih, h \in G_x$

$$\text{So } gx = (g_i \cdot h) \cdot x = g_i(hx) = g_i \cdot x$$

$$\text{So } y \in \{g_1x, \dots, g_mx\}$$

To finish, we must show that if $i \neq j$ then $g_ix \neq g_jx$

We do this by contradiction. Suppose that $g_ix = g_jx$

$$\Rightarrow g_j^{-1}g_ix = x$$

$$\Rightarrow g_j^{-1}g_i \in G_x \Rightarrow g_i \in g_jG_x. \text{ Contradiction.}$$

$$\therefore g_iG_x \cap g_jG_x = \emptyset$$

So g_ix, \dots, g_mx are all distinct $\Rightarrow |O_x| = m$

Proof of Corollary

$$|O_x| = [G : G_x]$$

$$\text{But } |G| = |G_x| \cdot [G : G_x] \Rightarrow |O_x| \mid |G|$$

Proof of Cauchy's Theorem

Let $X = \{(g_1, g_2, \dots, g_p) : g_1g_2 \dots g_p = 1, g_1, \dots, g_p \in G\}$

Then $|X| = |G|^{p-1}$

$$\text{Why? } (g_1, g_2, \dots, g_{p-1}, g_p) \in X \Leftrightarrow g_p = (g_1g_2, \dots, g_{p-1})^{-1}, g_1, \dots, g_p \in G$$

In particular, $p \mid |X|$ since $p \mid |G|$

Let \mathbb{Z}_p act on X via cyclic permutation

$$\text{i.e. } [i] \cdot (g_1, g_2, \dots, g_p) = (g_{1+i}, g_{2+i}, \dots, g_{p+i}) \text{ where subscripts are taken (mod } p)$$

Notice if $(g_1, \dots, g_p) \in X \Rightarrow g_2g_3 \dots g_pp_1 = (g_1^{-1}g_1)(g_2 \dots g_p)g_1 = g_1^{-1}(g_1g_2 \dots g_p)g_1 = g_1^{-1}g_1 = 1$
 $\Rightarrow (g_2, \dots, g_p, g_1) \in X$

So $H = \mathbb{Z}_p$ acts on X .

If $x \in X$ what can we say about $|O_x|$?

$$|O_x| \mid |H| \Rightarrow |O_x| \mid p \Rightarrow |O_x| \in \{1, p\}$$

Recall that X is partitioned into orbits. Also $|X| = |G|^{p-1} \equiv 0 \pmod{p}$

So the number of orbits of size 1 must be a multiple of p since orbits have size 1 or p

When does $x = (g_1, \dots, g_p) \in X$ have an orbit of size 1? When $x = (g, g, \dots, g)$ for some $g \in G$

Notice, we must have $g^p = 1$ by definition of X .

Notice $(1, 1, \dots, 1) \in X$ so there is at least 1 orbit of size 1

Since $p \geq 2$ and the number of orbits of size 1 is a multiple of p

$\exists g \neq 1$ s.t. $(g, g, \dots, g) \in X \Rightarrow g^p = 1$ & $g \neq 1$ ■

Proof of Proposition

$$|C_g| = 1 \Leftrightarrow \{hgh^{-1} : h \in G\} = g \Leftrightarrow hgh^{-1} = g \quad \forall h \in G \Leftrightarrow hg = gh \quad \forall h \in G \Leftrightarrow h \in Z(G)$$

Example Conjugacy Class

Let $G = S_3$. Find the conjugacy classes of G

$$S_3 = \{\text{id}, (12), (23), (13), (123), (132)\}$$

$$C_{\text{id}} = \{\text{id}\}$$

In general, $C_1 = \{1\} \therefore C_1 = \{g \cdot 1 \cdot g^{-1} : g \in G\} = \{1\}$

$$C_{12}$$

$$(12) \in C_{12}$$

$$(123)(12)(123)^{-1} = (1)(23) \in C_{12}$$

$$(132)(12)(132)^{-1} = (13) \in C_{12}$$

$$\text{So } C_{12} = \{(12), (13), (23)\}$$

$$C_{123} = \{(123), (132)\}$$

Example 1

$$G = Q_8$$

$N = \{\pm 1, \pm i\}$ is normal

Why? $N_G(N) = G$

Example 2

$G = S_3$, $N = \{\text{id}, (123), (132)\}$ is normal

$$\Rightarrow \sigma N \sigma^{-1} = N \quad \forall \sigma \in S_3$$

Proof of Theorem

Let $x \in G$

Case 1: $x \in H$

$$\text{Then } xHx^{-1} = Hx^{-1} = H$$

Case 2: $x \notin H$

$$\text{Then } G = H \cup xH = H \cup Hx \Rightarrow xH = Hx \Rightarrow xHx^{-1} = H$$

So in either case, $xHx^{-1} = H \Rightarrow H \trianglelefteq G$

Normal Subgroups Facts

1) If G is abelian & $N \leq G \Rightarrow N \trianglelefteq G$

Why?

$$xNx^{-1} = Nxx^{-1} = N \text{ so abelian}$$

$$\{xyx^{-1} : n \in N\} = \{nxx^{-1} : n \in N\} = \{n : n \in N\} = N$$

2) If $N \leq G$ and $[G:N] = 2 \Rightarrow N \trianglelefteq G$

$$\text{Why? If } x \notin N, G = N \cup xN = N \cup Nx \Rightarrow Nx = xN$$

Groups of Order 6 Revisited

Let $|G| = 6$

By Cauchy's theorem, $\exists x, y \in G$. $o(x) = 2, o(y) = 3$

Let $N = \{1, y, y^2\}$ Then $|N| = 3, [G:N] = \frac{|G|}{|N|} = \frac{6}{3} = 2$

So $N \trianglelefteq G$. Look at $xyx^{-1} = xyx \in N$. So $xyx \in \{y, y^2\}$

Remark: $G = \langle x, y \rangle$ Why? $o(y) = 3$ & $o(x) = 2 \Rightarrow 6 \mid |\langle x, y \rangle| \Rightarrow \langle x, y \rangle = G$

2 Cases

Case 1: $xyx = y \Rightarrow xy = yx$

$\Rightarrow G$ is abelian; check xy has order 6

Case 2: $xyx = y^{-1}$

$$\text{So } G = \langle x, y \mid x^2 = y^2 = 1, xyx = y^{-1} \rangle \cong D_3 \cong S_3$$

Kernel & Quotient Groups

October-01-13 10:18 AM

Kernel

Let G, H be groups and let $\phi: G \rightarrow H$ be a homomorphism. We defined the **kernel** of ϕ to be $\ker(\phi) := \{g \in G \mid \phi(g) = 1_H\}$

Theorem

Let $\phi: G \rightarrow H$ be a homomorphism. Then ϕ is 1-1 if and only if $\ker(\phi) = \{1_G\}$

Proposition

The kernel of a homomorphism is a normal subgroup. i.e. if $\phi: G \rightarrow H$ is a homomorphism, $\ker(\phi) \trianglelefteq G$

Quotient Groups

Let G be a group and let $N \trianglelefteq G$. N must be normal for this construction to work.

We can form a quotient group G/N as follows

- If G is finite, we'll see $|G/N| = \frac{|G|}{|N|}$
- G/N as a set = $\{xN : x \in G\} = \{Nx : x \in G\}$
So $|G/N| = [G:N]$

How do we multiply?

$$(xN) \cdot (yN) = x(Ny)N = x(yN)N = xyNN = xyN$$

Notice that G/N is a group.

The coset $N = 1 \cdot N$ is the identity and $(aN)(a^{-1}) = aa^{-1}N = N$ so $(aN)^{-1} = a^{-1}N$

Proof of Theorem

Suppose that $\ker(\phi) \neq \{1_G\} \Rightarrow \ker(\phi) \not\cong \{1_G\}$
So $\exists x \neq 1_G$ in G such that $\phi(x) = 1_H$
 $\Rightarrow \phi(x) = \phi(1_G) \Rightarrow \phi$ is not 1-1

Suppose that ϕ is not 1-1. $\Rightarrow \exists g, h \in G, g \neq h$ s.t. $\phi(g) = \phi(h)$
 $\Rightarrow \phi(gh^{-1}) = \phi(g)\phi(h^{-1}) = \phi(g)\phi(h^{-1}) = 1_H$
 $\Rightarrow gh^{-1} \neq 1_G$ is in $\ker(\phi)$ so $\ker(\phi) \not\cong \{1_G\}$
■

Note

Recall that if $G \curvearrowright H$

$$\phi: G \rightarrow S_x, \quad g \mapsto \phi_g: X \rightarrow X, \quad \phi_g(x) = gx$$

$$\ker(\phi) = \{g \in G : gx = x \forall x \in X\} = \bigcap_{x \in X} G_x = \text{Intersection of all stabilizers of } G$$

Proof of Proposition

Let $x \in G$ and let $n \in \ker(\phi)$
 $\Rightarrow \phi(xnx^{-1}) = \phi(x)\phi(n)\phi(x^{-1}) = \phi(x)1_H\phi(x^{-1}) = \phi(xx^{-1}) = \phi(1_G) = 1_H$
 $\Rightarrow xnx^{-1} \in \ker(\phi)$
So if $N = \ker(\phi) \Rightarrow xNx^{-1} \subseteq N \Rightarrow N \subseteq x^{-1}Nx = x^{-1}N(x^{-1})^{-1} \subseteq N \Rightarrow N \subseteq xNx^{-1}$
So $xNx^{-1} = N \forall x \in G$
 $\Rightarrow N \trianglelefteq G$

Quotient Group Example 1

$G = \mathbb{Z}, +$
 $N = n\mathbb{Z}, +, \quad n > 1$
 $G/N = \mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}_n = \{[0], [1], \dots, [n-1]\}, \quad [i] = \{j \in \mathbb{Z} : j \equiv i \pmod{n}\}$
In this case, our cosets are
 $i + N = i + n\mathbb{Z} = \{\dots, i-n, i, i+n, i+2n, \dots\} = \{j \in \mathbb{Z} : j \equiv i \pmod{n}\}$
We have n cosets $\{0 + N, \dots, n-1 + N\}$

Quotient Group Example 2

$G = \text{GL}_2(\mathbb{R})$
 $N = \text{SL}_2(\mathbb{R}) = \{A \in \mathcal{M}_2(\mathbb{R}) : \det(A) = I\} \trianglelefteq G$
Why?
Let $\phi: G \rightarrow \mathbb{R}^*, \phi(A) = \det(A)$
 $\phi(AB) = \det(AB) = \det A \det B = \phi(A)\phi(B)$
 $\ker(\phi) = \{A : \phi(A) = 1\} = \text{SL}_2(\mathbb{R})$
What does G/N look like?

Claim: A coset of N is all matrices with a given nonzero determinant.

Why?
For $A \in \text{GL}_2(\mathbb{R}), B \in \text{SL}_2(\mathbb{R})$
 $AN \supset AB \Rightarrow \det AB = \det A \det B = \det A$
Conversely, if $\det C = \det A \Rightarrow C = A(A^{-1}C) \in AN$

So there is a bijection.

Left cosets of $\text{SL}_2(\mathbb{R})$ in $\text{GL}_2(\mathbb{R}) \leftrightarrow$ elements of \mathbb{R}^*
 $A \cdot \text{SL}_2(\mathbb{R}) \leftrightarrow \det A$
 $(A \cdot \text{SL}_2(\mathbb{R}))(B \cdot \text{SL}_2(\mathbb{R})) = AB \text{SL}_2(\mathbb{R})$

First Isomorphism Theorem

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Image

Let $\phi: G \rightarrow H$ be a homomorphism.
Then $\text{im}(\phi) = \{\phi(g): g \in G\} \leq H$

First Isomorphism Theorem

Let $\phi: G \rightarrow H$ be a homomorphism.
Then $G/\ker(\phi) \approx \text{im}(\phi)$

Proposition

Let $H, K \leq G$
Then $HK := \{hk: h \in H, k \in K\}$ has size
 $|HK| = \frac{|H||K|}{|H \cap K|}$

Image Subgroup

If $h_1, h_2 \in \text{im}(\phi) \Rightarrow \exists g_1, g_2 \in G$ s.t. $\phi(g_1) = h_1, \phi(g_2) = h_2$
 $\Rightarrow h_1 h_2 = \phi(g_1)\phi(g_2) = \phi(g_1 g_2) \in \text{im}(\phi)$
 $h_1^{-1} \in \phi(g_1)^{-1} = \phi(g_1^{-1}) \in \text{im}(\phi); 1_H = \phi(1_G) \in \text{im}(\phi)$

Proof of First Isomorphism

Let $N = \ker(\phi)$. So $G/N = \{gN: g \in N\}$
Define $f: G/N \rightarrow \text{im}(\phi)$ by $f(gN) = \phi(g)$

We have to check

- 1) f is well-defined
- 2) f is a homomorphism
- 3) f is 1-1
- 4) f is onto

- 1) f is well-defined

Suppose that $g_1 N = g_2 N \Leftrightarrow g_2^{-1} g_1 N = N \Leftrightarrow g_2^{-1} g_1 \in N = \ker(\phi) \Leftrightarrow \phi(g_2^{-1} g_1) = 1_H \Leftrightarrow \phi(g_2^{-1})\phi(g_1) = 1_H \Leftrightarrow \phi(g_2)^{-1}\phi(g_1) = 1_H \Leftrightarrow \phi(g_1) = \phi(g_2)$
So $g_1 N = g_2 N \Rightarrow \phi(g_1) = \phi(g_2) \Rightarrow f$ is well-defined

- 2) f is a homomorphism

$f(g_1 N g_2 N) = f(g_1 g_2 N) = \phi(g_1 g_2) = \phi(g_1)\phi(g_2) = f(g_1 N)f(g_2 N)$

- 3) f is 1-1. What is the kernel of f ?

$\ker(f) = \{gN: \phi(g) = 1_H\}$ But $\phi(g) = 1_H \Leftrightarrow g \in \ker(\phi) = N$
 $= \{gN: g \in N\} = \{N\} = \text{identity in } G/N$

- 4) f is onto

If $x \in \text{im}(\phi)$
 $\Rightarrow \exists y \in G$ s.t. $x = \phi(y) \Rightarrow x = f(gN) \Rightarrow f$ is onto

G/N is a group

- elements are cosets gN
- multiplication $g_1 N g_2 N = g_1 g_2 N$
- identity $1N = N$
- inverse $(gN)^{-1} = g^{-1}N$

Example

$\text{GL}_n(\mathbb{R})/\text{SL}_n(\mathbb{R}) \cong \mathbb{R}^*$

Example

$\mathbb{C}^*/\mathbb{R}_{>0} \cong S^1 = \{e^{i\theta}: \theta \in [0, 2\pi)\}$

Why?

Define $\phi: \mathbb{C}^* \rightarrow S^1$ by $\phi(z) = \frac{z}{|z|}$ homomorphism

$\ker(\phi) = \left\{z: \frac{z}{|z|} = 1\right\} = \mathbb{R}_{>0}$

Example

$\phi: \mathbb{Z} \rightarrow \mathbb{Z}_n, i \mapsto [i]$

$\ker(\phi) = n\mathbb{Z}$

So $\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}_n$

Example

$\phi: A \times B \rightarrow B, (a, b) \rightarrow b$

$\ker(\pi)\{(a, 1_b): a \in A\} = A \times \{1_B\}$

So $(A \times B)/A \times \{1_B\} \cong B$

Example

$G = S_3, N = \{\text{id}, (1\ 2\ 3), (1\ 3\ 2)\}$

$G/N \cong \mathbb{Z}_2$

Proof of Proposition

$HK = \bigcup_{h \in H} hK$

When is $h_1 K = h_2 K$?

$h_1 K = h_2 K \Leftrightarrow h_2^{-1} h_1 K = K \Leftrightarrow h_2^{-1} h_1 \in K \Leftrightarrow h_2^{-1} h_1 \in K \cap H \because h_1, h_2 \in H$

Notice that $K \cap H \leq H$

Let $h_1(K \cap H), \dots, h_d(K \cap H)$ be the set of left $K \cap H$ cosets in H . What is d ?

$d = [H: K \cap H] = \frac{|H|}{|K \cap H|}$

Claim:

$HK = h_1 K \cup h_2 K \cup \dots \cup h_d K$

Once we have the claim, we see

$|HK| = d|K| = \frac{|H|}{|H \cap K|} |K|$, so we will be done

- 1) If $i \neq j$ then $h_i K \neq h_j K$ since otherwise

$h_i^{-1} h_j \in K \cap H \Rightarrow h_i \in h_j(K \cap H) \Rightarrow h_i(K \cap H) = h_j(K \cap H)$. Contradiction

- 2) Now we'll show that $HK = \bigcup_{i=1}^d h_i K$

It is enough to show that $HK \leq \bigcup_{i=1}^d h_i K \because h_i K \subseteq HK \forall i$

Let $hk \in HK$. Consider $h(H \cap K) = h_i(H \cap K)$ for some i

$$\Rightarrow h_i^{-1}h \in H \cap K \Rightarrow h_i K = hK \Rightarrow hk \in hK = h_i K \subseteq \bigcup_{i=1}^d h_i K$$

2nd & 3rd Isomorphism Theorems

October-03-13 10:21 AM

Proposition

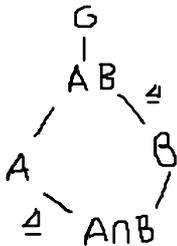
Let G be a group and let H, K be subgroups of G
Then HK is a subgroup of $G \iff HK = KH$

Corollary

If $H, K \leq G$ and $H \subseteq N_G(K) = \{g \in G : gKg^{-1} = K\}$
 $\implies HK$ is a subgroup of G .

2nd Isomorphism Theorem

Let G be a group and let $A, B \leq G$ and suppose that $A \subseteq N_G(B)$
Then $B \trianglelefteq AB$ and $A \cap B \trianglelefteq A$ and $AB/B \cong A/(A \cap B)$



3rd Isomorphism Theorem

Suppose that $H \subseteq K \subseteq G$, $H, K \trianglelefteq G$
Then $H \trianglelefteq K$ and $K/H \trianglelefteq G/H$ and $(G/H)/(K/H) \cong G/K$

Correspondence Theorem

If G is a group, the collection of subgroups of G can be partially ordered w.r.t. inclusion.

Proof of Proposition

Suppose HK is a subgroup.
Then $H, K \subseteq HK \implies KH \subseteq HK \implies HK$ is a group and $K, H \subseteq HK$

If G is finite then $|KH| = \frac{|K||H|}{|K \cap H|} = |HK| \implies KH = HK$

What if G is infinite? Still OK.

Have a bijection $HK \rightarrow KH$ where $x \mapsto x^{-1}$

Suppose that $HK = KH$

- 1) $1 = 1 \cdot 1 \in HK$, so $HK \neq \emptyset$
- 2) If $h_1k_1, h_2k_2 \in HK$ then $(h_1k_1)(h_2k_2) = h_1(k_1h_2)k_2$
 $\implies k_1h_2 \in KH = HK \implies \exists h_3 \in H, k_3 \in K$ s.t. $h_3k_3 = k_1h_2$
 $= (h_1h_3)(k_3k_2) \in HK$
- 3) If $hk \in HK \implies (hk)^{-1} = k^{-1}h^{-1} \in HK = HK$

So HK is a subgroup.

Proof of Corollary

Let $kh \in KH$. $kh = h(h^{-1}kh) \in HK$ since $h^{-1}kh \in K \implies HK \subseteq HK$

If $hk \in HK$. Then $hk = hkh^{-1}h \in KH \implies KH \subseteq HK \implies HK = KH \implies HK$ is a group
In particular $K \trianglelefteq G \implies HK$ is a subgroup.

Proof of 2nd Isomorphism Theorem

To see that $B \trianglelefteq AB$, let $ab \in AB$

Then $(ab)B(ab)^{-1} = (ab)Bb^{-1}a^{-1} = aBa^{-1} = B \implies a \in A \subseteq N_G(B)$
 $\implies ab \in N_{AB}(B) \forall ab \in AB \implies B \trianglelefteq AB$

Since $B \trianglelefteq AB$, we can form the quotient group AB/B

Let $\phi: A \rightarrow AB/B$ be defined by $\phi(a) = aB$

Claim: ϕ is a surjective homomorphism.

Homomorphism: $\phi(a_1a_2) = a_1a_2B = a_1Ba_2B = \phi(a_1)\phi(a_2)$

Onto: If $x \in AB/B \implies x = abB$ for some $a \in A, b \in B$
 $= aB = \phi(a)$ so ϕ is onto.

The identity in AB/B is B

$\ker \phi = \{a \in A : \phi(a) = aB = B\} = \{a \in A : a \in B\} = A \cap B$

So by the 1st isomorphism theorem, $A/\ker \phi \cong \text{im } \phi \implies AB/B \cong A/(A \cap B)$

Proof of 3rd Isomorphism Theorem

To see that $H \trianglelefteq K$ notice that $N_G(H) = G$, $\implies H \trianglelefteq G$

$\implies K \subseteq N_G(H) \implies K = N_K(H) = N_G(H) \cap K \implies H \trianglelefteq K$

Now let's check that $K/H \trianglelefteq G/H$

Consider

$$(gH)(K/H)(gH)^{-1} = (gH)(K/H)g^{-1}H = \{gkg^{-1}H : k \in K\} = \{kH : k \in K\} \implies g \in N_G(K)$$

$$= K/H$$

So $(gH)(K/H)(gH)^{-1} = K/H \forall gH \in G/H \implies K/H \trianglelefteq G/H$

Define $\phi: G/H \rightarrow G/K$ by $\phi(gH) = gK$

Check that this is a homomorphism

1) Well-defined

If $g_1H = g_2H \iff g_2^{-1}g_1 \in H \implies g_2^{-1}g_1 \in K \implies H \subseteq K \implies g_1K = g_2K \implies \phi(g_1H) = \phi(g_2H)$

2) Homomorphism

$$\phi(g_1Hg_2H) = \phi(g_1g_2H) = g_1g_2K = g_1Kg_2K = \phi(g_1)\phi(g_2)$$

Notice if $gK \in G/K \implies gK = \phi(gH)$ so $\text{im}(\phi) = G/K$

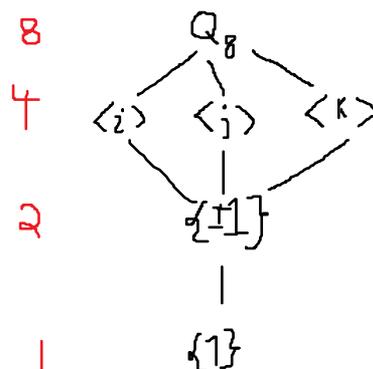
What is $\ker(\phi)$? K is the identity in G/K so

$\ker(\phi) = \{gH : \phi(gH) = K\} = \{gH : gK = K\} = \{gH : g \in K\} = \{kK : k \in K\} = K/H$

So by the 1st isomorphism theorem,

$$(G/H)/\ker(\phi) \cong \text{im}(\phi) \implies (G/H)/(K/H) \cong G/K$$

Example Correspondence Q_8



$N = \{\pm 1\} \trianglelefteq Q_8$

$Q_8/N \cong \mathbb{Z}_2 \times \mathbb{Z}_2$

$$(\pm iN)^2 = (\pm jN)^2 = (\pm kN)^2 = -N = N$$

Conjugacy Class Equation

October-08-13 10:03 AM

Simple Groups

G is simple if its only normal subgroups are $\{1\}$ and G

Theorem

Let G be a finite group and let $x \in G$, then

$$|C_x| = [G : C_G(x)] = \frac{|G|}{|C_G(x)|}$$

What does this mean?

If G is finite, G is the disjoint union of conjugacy classes, say

$$G = C_{g_1} \cup C_{g_2} \cup \dots \cup C_{g_m}$$

$$|G| = |C_{g_1}| + |C_{g_2}| + \dots + |C_{g_m}| = \frac{|G|}{|C_G(g_1)|} + \dots + \frac{|G|}{|C_G(g_m)|}$$

Theorem

If G is a finite group and $\{g_1, \dots, g_m\}$ is a complete set of conjugacy class representatives, i.e.e

$$G = \bigcup_{1 \leq i \leq m} C_{g_i}$$

$$\Rightarrow |G| = \sum_{i=1}^m \frac{|G|}{|C_G(g_i)|}$$

When is $|C_x| = 1$?

$$C_x = \{gxg^{-1} : g \in G\}$$

$$\text{If } |C_x| = 1 \Leftrightarrow C_x = \{x\} \Leftrightarrow gxg^{-1} = x \forall g \in G \Leftrightarrow gx = xg \forall g \in G \Leftrightarrow x \in Z(G)$$

Let g_1, \dots, g_m be a complete set of conjugacy class representatives for G and let

$$g_{k+1}, \dots, g_m \text{ be the elements with } |C_{g_i}| = 1$$

$$\text{Then } |G| = |C_{g_1}| + \dots + |C_{g_k}| + |C_{g_{k+1}}| + \dots + |C_{g_m}|$$

This gives

Class Equation

$$|G| = \frac{|G|}{|C_G(g_1)|} + \dots + \frac{|G|}{|C_G(g_k)|} + |Z(G)|$$

where g_1, \dots, g_k are a set of conjugacy class representatives for the conjugacy classes of size > 1

Theorem 3

Let p be a prime and let G be a group of size p^m for some $m \geq 1$

(G is called a **p-group**)

Then G has a non-trivial centre; i.e. $|Z(G)| = p^l$ for some $l \in \{1, 2, \dots, m\}$

Corollary

Let p be prime and let G be a group of size p^2 . Then G is abelian.

Note, does not apply for higher powers.

$$\left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : a, b, c \in \mathbb{Z}_p \right\}$$

is a non-abelian group of order p^3

Theorem 4

Let G be a finite group and suppose that p is the smallest prime dividing $|G|$. If

$$H \leq G \text{ has index } p \text{ (i.e. } \frac{|G|}{|H|} = p) \text{ then } H \trianglelefteq G.$$

Theorem 5

Let p, q be primes with $q < p$ and suppose that $p \not\equiv 1 \pmod{q}$.

Then if $|G| = pq \Rightarrow G$ is cyclic.

Lattice of Subgroups

If G is a group, the set of subgroups of G has a **partial order** given by \subseteq .

So this gives us a picture of the subgroups of G , where we put bigger subgroups higher and we draw a line to two groups when one contains the other.

Example

$G = \mathbb{Z}_p$ is simple

Why? If $N \trianglelefteq G$ then $|N| \mid |G|$ (Lagrange)

$$\Rightarrow |N| = \{1, p\}$$

- $|N| = 1 \Rightarrow N = \{1\}$
- $|N| = p \Rightarrow N = G$

Conjugacy classes

G acts on itself via conjugation

$$X = G$$

$$g \cdot x = gxg^{-1}$$

$$g(x) = gxg^{-1}$$

$$G \curvearrowright X$$

$$g: X \rightarrow X, \quad g(x) = gx$$

$$1: X \rightarrow X$$

$$(hg)(x) = h \circ g(x) = h(g(x))$$

$O_x = \{g \cdot x \mid g \in G\} = \{gxg^{-1} \mid g \in G\} = C_x =$ conjugacy class of x

orbit-stabilizer theorem

$$|C_x| = |O_x| = [G : G_x] = \frac{|G|}{|G_x|} \text{ if } G \text{ is finite}$$

$$G_x = \{g \in G : g \cdot x = x\} = \{g \in G : gxg^{-1} = x\} = \{g \in G : gx = xg\} = C_G(x)$$

Proof of Theorem 3

By the class equation,

$$|G| = \frac{|G|}{|C_G(g_1)|} + \dots + \frac{|G|}{|C_G(g_k)|} + |Z(G)|$$

where each of $\frac{|G|}{|C_G(g_i)|} > 1$ for $i = 1, \dots, k$

For $i = 1, \dots, k$

$$\frac{|G|}{|C_G(g_i)|} \mid |G| = p^m$$

and since it is > 1 we have

$$\frac{|G|}{|C_G(g_i)|} \equiv 0 \pmod{p} \text{ for } i = 1, \dots, k, \quad \text{and } |G| \equiv 0 \pmod{p}$$

So $|Z(G)| \equiv 0 \pmod{p}$. Since $1 \in Z(G)$, $|Z(G)| \geq 1$

So in fact $|Z(G)| \geq p$. This result follows by Lagrange's theorem.

Proof of Corollary

We just showed that $|Z(G)| \in \{p, p^2\}$

If $|Z(G)| = p^2$ then $G = Z(G) \Rightarrow G$ is abelian.

If $|Z(G)| = p \Rightarrow \exists x \in G$ such that $\langle x \rangle = Z(G)$

Pick $y \in G \setminus Z(G)$

Claim, $G = \langle x, y \rangle$

Let $H = \langle x, y \rangle$, then $H \supseteq Z(G)$

So $|H| > |Z(G)| = p$

But $|H| \mid |G|$ by Lagrange's theorem

$$\Rightarrow |H| = p^2 \Rightarrow H = G$$

Now $xy = yx \because x \in Z(G)$ so $\langle x, y \rangle = G$ is abelian.

Proof of Theorem 4

Let $X = \{x_1H, \dots, x_pH\} =$ set of left cosets

Let $G \curvearrowright X$ via $g \cdot xH \rightarrow gxH$

So this gives a homomorphism $\phi: G \rightarrow S_x = S_p$

By Q1 of assignment 4,

$$\ker \phi = \bigcap_{g \in G} gHg^{-1} \subseteq H$$

So $\ker \phi \subseteq H$ and also $\ker \phi \trianglelefteq G$ \because it is a kernel

So by 1st isomorphism theorem,

$$G/\ker \phi \cong \text{im } \phi \subseteq S_p$$

So

$$|G/\ker \phi| \mid |S_p| = p! = p \times (p-1) \times \dots \times 1$$

$$|G/\ker \phi| \mid |G|, \quad \text{all prime factors of } G \text{ are } \geq p$$

So $|G/\ker \phi| \mid p \Rightarrow |G/\ker \phi| \in \{1, p\}$

But if $|G/\ker \phi| = 1$ then $\ker \phi = G$. Contradiction $\because \ker \phi \subseteq H \subsetneq G$

So $|G/\ker \phi| = p$

$$\Rightarrow \ker \phi = H$$

Why?

$$p = [G : H] \leq [G : \ker \phi] = p \because \ker \phi \subseteq H$$

This means we have equality so $H = \ker \phi$

So $H \trianglelefteq G$ \because it is a kernel

Proof of Theorem 5

By Cauchy's theorem, $\exists x \in G$ of order p .

Let $H = \langle x \rangle \leq G$. (so $|H| = p$)

Notice $[G : H] = \frac{|G|}{|H|} = \frac{pq}{p} = q$, the smallest prime dividing $|G|$

So $H \trianglelefteq G$

By Cauchy's theorem,

$\exists y \in G$ of order q

notice that $G = \langle x, y \rangle$

Why? Let $K = \langle x, y \rangle$

$$\begin{aligned} \text{Then } \begin{cases} \langle x \rangle \leq K \Rightarrow p \mid |K| \\ \langle y \rangle \leq K \Rightarrow q \mid |K| \end{cases} &\Rightarrow pq \mid |K| \Rightarrow |K| = pq \Rightarrow K = G \end{aligned}$$

Since $\langle x \rangle \trianglelefteq G$,

$$yxy^{-1} = x^i \text{ for some } i \in \{1, 2, \dots, p-1\}$$

$$\Rightarrow y^2xy^{-2} = y(yxy^{-1})y^{-1} = yx^iy^{-1} = (yxy^{-1})^i = (x^i)^i = x^{i^2}$$

$$y^3xy^{-3} = x^{i^3}$$

Then $y^qxy^{-q} = x^{i^q}$ but $y^q = 1$

$$\text{Thus } x = y^qxy^{-q} = x^{i^q}$$

$$\Rightarrow i^q \equiv 1 \pmod{p}$$

$$\text{By FLT } i^{p-1} \equiv 1 \pmod{p}$$

Consider \mathbb{Z}_p^* group under \cdot

Look at $[i] \in \mathbb{Z}_p^*$

$$[i]^q = [i^q] = [1] \Rightarrow [i] \text{ has order dividing } q$$

$$[i]^{p-1} = [i^{p-1}] = [1] \Rightarrow [i] \text{ has order dividing } p-1$$

$$\Rightarrow o([i]) \mid \gcd(q, p-1)$$

$$[i] = [1] \Leftrightarrow i \equiv 1 \pmod{p}$$

$$yxy^{-1} = x \Rightarrow xy = yx$$

So G is abelian $\because G = \langle x, y \rangle$ and $xy = yx$

Let $z = xy$

$$\Rightarrow z^{pq} = x^{pq}y^{pq} = 1 \cdot 1 = 1$$

$$z^p = x^py^p = 1 \cdot y^p = y^p \neq 1 \because o(y) = q$$

$$z^q = x^qy^q = x^q \cdot 1 = x^q \neq 1 \because o(x) = p$$

$$\text{So } o(z) = pq \Rightarrow G = \langle z \rangle \cong \mathbb{Z}_{pq}$$

■

Groups of small order up to isomorphism

1	{1}
2	\mathbb{Z}_2
3	\mathbb{Z}_3
4	$\mathbb{Z}_2 \times \mathbb{Z}_2, \mathbb{Z}_4$
5	\mathbb{Z}_5
6	\mathbb{Z}_6, S_3
7	\mathbb{Z}_7
8	TDB
9	abelian TDB
10	\mathbb{Z}_{10}, D_5
11	\mathbb{Z}_{11}
12	TBD
13	\mathbb{Z}_{13}
14	\mathbb{Z}_{14}, D_7

Correspondence Theorem

October-10-13 10:20 AM

Correspondence Theorem

Let G be a group and let $N \trianglelefteq G$.

Then there is a surjective homomorphism

$$\pi: G \rightarrow G/N, \quad \pi(g) = gN$$

which gives a bijective correspondence between the subgroup of G/N and the subgroups of G that contain N .

1) Bijection

$$N \leq K \leq G \rightarrow \pi(K) \leq G/N$$

$$N \leq \pi^{-1}(L) \leq G \leftarrow L \leq G/N$$

2) If $N \leq A \leq B \leq G \Rightarrow \pi(A) \leq \pi(B)$

$$\text{and if } 1 \leq K \leq L \leq G/N \Rightarrow \pi^{-1}(K) \leq \pi^{-1}(L)$$

3) If $N \leq A \leq B \leq G \Rightarrow [B:A] = [\pi(B):\pi(A)]$

4) If $N \leq A \leq G$ then $A \trianglelefteq G \Leftrightarrow \pi(A) \trianglelefteq G/N$

Canonical Surjective

The map $\pi: G \rightarrow G/N, g \mapsto gN$ is called a **canonical surjective**.

$$\pi(gh) = ghN = gN hN = \pi(g)\pi(h)$$

Problem

Let G be a group and let $N \trianglelefteq G$. Show that if G/N is abelian, then all subgroups that contain N are normal in G .

Cayley's Theorem

Let G be a finite group. Then G is isomorphic to some subgroup of S_n for some $n \geq 1$. In fact, we can take $n = |G|$

Correspondence Theorem Example

$$D_6 = \langle \sigma, \rho \mid \sigma^6 = \rho^2 = \text{id}, \quad \sigma\rho = \rho\sigma^{-1} \rangle$$

Subgroups:

Order 12 D_6

Order 6 $\langle \sigma, \sigma^3 \rangle = \langle \sigma \rangle \cong \mathbb{Z}_6, \quad \langle \sigma^2, \rho \rangle = \langle \sigma, \rho \rangle \cong \mathbb{Z}_6$

Order 4 $\langle \rho, \sigma^3 \rangle, \langle \rho\sigma, \sigma^3 \rangle, \langle \rho^2, \sigma^3 \rangle$

Order 3 $\langle \sigma^2 \rangle$

Order 2 $N = \langle \sigma^3 \rho \rangle \langle \rho\sigma \rangle \langle \rho\sigma^2 \rangle \langle \rho\sigma^3 \rangle \langle \rho\sigma^4 \rangle \langle \rho\sigma^5 \rangle$

Order 1 $\{1\}$

D_6 has order 12: $1, \sigma, \sigma^2, \sigma^3, \sigma^4, \sigma^5, \rho, \sigma\rho, \sigma^2\rho, \sigma^3\rho, \sigma^4\rho, \sigma^5\rho$

Proof of Correspondence Theorem

1) If $N \leq K \leq G \Rightarrow \pi(K) \leq G/N$

$$\pi|_K: K \rightarrow G/N, \quad \pi(K) = \text{im}(\pi|_K) \leq G/N \text{ subgroup}$$

$$\text{If } L \leq G/N \text{ then } \pi^{-1}(L) \leq G \text{ and } \pi^{-1}(L) \supseteq N$$

$$\text{Since } \pi^{-1}(\{1\}) = \ker \pi = N$$

$$\text{Notice } a, b \in \pi^{-1}(L) \Leftrightarrow \pi(a), \pi(b) \in L \Rightarrow \pi(ab) = \pi(a)\pi(b) \in L \Rightarrow ab \in \pi^{-1}(L)$$

$$\text{and } \pi(a) \in L \Rightarrow \pi(a)^{-1} \in L \Rightarrow \pi(a^{-1}) \in L \Rightarrow a^{-1} \in \pi^{-1}(L)$$

$$\text{So } L \leq G \text{ and } N \subseteq L$$

If $N \leq K \leq G$ then what is $\pi^{-1}(\pi(K))$?

$$\text{Ans: } \pi^{-1}(\pi(K)) = K$$

$$\text{We have } \pi^{-1}(\pi(K)) \supseteq K \text{ and } \pi(K) \subseteq \pi(K)$$

We want to show that $K \supseteq \pi^{-1}(\pi(K))$

$$\text{If } \pi^{-1}(\pi(K)) \supsetneq K \text{ then we have } N \leq K \subsetneq \pi^{-1}(\pi(K))$$

$$\text{Pick } x \in \pi^{-1}(\pi(K)) \setminus K. \text{ Then } xN \not\subseteq KN = K$$

$$\text{So } \pi(x) = xN \neq kN = \pi(k) \text{ for any } k \in K$$

$$\Rightarrow \pi(L) \supsetneq \pi(K) \text{ but } \pi(L) = \pi(\pi^{-1}(\pi(K))) = \pi(K)$$

Contradiction

Why? $\pi: S \rightarrow T$ onto

$$\text{Claim: } \pi(\pi^{-1}(\pi(U))) = \pi(U)$$

$$\text{If } x \in \pi(U) \Rightarrow x = \pi(u) \text{ for some } u \in U$$

□ ...

$$\text{So } \pi^{-1}(\pi(K)) = K$$

Exercise

$$\text{If } \{1\} \leq K \leq G/N \Rightarrow \pi(\pi^{-1}(K)) = K$$

So this shows that π and π^{-1} induce bijections between subgroups of G that contain N and subgroups of G/N

2) If $N \leq A \leq B \leq G \Rightarrow \pi(A) \leq \pi(B)$

$$\text{If } \{1\} \leq K \leq L \leq G/N \Rightarrow \pi^{-1}(K) \leq \pi^{-1}(L)$$

3) If $N \leq A \leq B \leq G$ and $[B:A] = m \Rightarrow [\pi(B):\pi(A)] = m$

Proof

$$\text{If } [B:A] = m \Rightarrow B = b_1A \cup b_2A \cup \dots \cup b_mA, \text{ disjoint}$$

$$\Rightarrow \pi(B) = \pi(b_1)\pi(A) \cup \pi(b_2)\pi(A) \cup \dots \cup \pi(b_m)\pi(A)$$

$$\text{So } [\pi(B):\pi(A)] \leq m$$

Claim

$$\text{If } i \neq j \Rightarrow \pi(b_i)\pi(A) \neq \pi(b_j)\pi(A)$$

$$\pi(b_i)\pi(A) = \pi(b_j)\pi(A) \Leftrightarrow \pi(b_i)^{-1}\pi(b_j) \in \pi(A) \Leftrightarrow \pi(b_j^{-1}b_i) \in \pi(A) \Leftrightarrow b_j^{-1}b_i \in AN$$

$$\Leftrightarrow b_i \in b_jA \Leftrightarrow b_iA = b_jA$$

$$G \rightarrow G/N$$

$$\mid \leq$$

$$A \rightarrow \pi(A)$$

$$\mid$$

$$N \leftrightarrow \pi(N) = \{N\} \in \text{identity of } G/N$$

4) $N \leq A \trianglelefteq G \Rightarrow \pi(A) = A/N \trianglelefteq G/N$

Criterion for normality. Let $H \leq G$ then $N \trianglelefteq G \Leftrightarrow gHg^{-1} \subseteq H \forall g \in G$

Proof: If $H \trianglelefteq G \Rightarrow$ every $g \in G$ is in normalizer of H

$$\Rightarrow gHg^{-1} = H \Rightarrow gHg^{-1} \subseteq H$$

$$\text{Conversely, if } gHg^{-1} \subseteq H \forall g \in G$$

$$\Rightarrow (g^{-1})H(g^{-1})^{-1} \subseteq H \Rightarrow g^{-1}Hg \subseteq H \Rightarrow H \subseteq gHg^{-1}$$

$$\Rightarrow gHg^{-1} = H \forall g \in G \Rightarrow N_G(H) = G \Rightarrow H \trianglelefteq G$$

Let $gN \in G/N$

$$\text{Then } (gH)\pi(A)(gN)^{-1} = \pi(g)\pi(A)\pi(g^{-1}) = \pi(gAg^{-1}) = \pi(A)$$

$$\text{So } gH \in N_{G/N}(\pi(A)) \forall g \in G \Rightarrow \pi(A) \trianglelefteq G/N$$

$$\text{A similar argument shows that if } K \trianglelefteq G/N \Rightarrow \pi^{-1}(K) \trianglelefteq G$$

Answer to Problem

$$\begin{array}{c} G \twoheadrightarrow G/N \\ | \\ K \twoheadrightarrow \pi(K) \trianglelefteq G/N \because G/N \text{ abelian} \Rightarrow K \trianglelefteq G \\ | \\ N \end{array}$$

Proof of Cayley's Theorem

Let $X = G$ and let G act on X by left multiplication

$$g \cdot G = g \cdot \{g_1, \dots, g_n\} = \{gg_1, gg_2, \dots, gg_n\}$$

This gives a homomorphism

$$\Phi: G \rightarrow S_X \cong S_n$$

What is $\ker \Phi$? $\ker \Phi = \{g \in G : gg_i = g_i \text{ for } i = 1, \dots, n\} = \{1\}$

So Φ is 1-1

So Φ gives an embedding of G and $G \cong \text{im}(\Phi) \leq S_n$

Important part Φ is a **faithful action**.

i.e. $\{g \cdot gx = x \forall x\} = \{x\}$

This action is also **transitive** - this means that there is exactly one orbit

Equivalently, $\forall x, y \in X \Rightarrow \exists g \in G \quad g \cdot x = y$

Symmetric Groups Revisited

October-15-13 10:08 AM

Theorem

Let $n \geq 1$ and let $\sigma \in S_n$. Then C_σ consists of all $\tau \in S_n$ whose disjoint cycle structure is the same as σ 's i.e. if σ has m_i i -cycles for $1 \leq i \leq n$ (disjoint) $\Rightarrow \tau$ has m_i i -cycles for $1 \leq i \leq n$

Theorem (Centre of S_n)

If $n > 2$, then $Z(S_n) = \{id\}$

Theorem

If $n \neq 2, 6$

Then $\text{Aut}(S_n) = \text{Inn}(S_n) \cong S_n$

Remark 1

If $f: G \rightarrow G$ is an automorphism

Then $f(C_g) = \{f(x) : x \in C_g\} = \{f(ygy^{-1}) : y \in G\} =$

$\{f(y)f(g)f(y)^{-1} : y \in G\} = \{xf(g)x^{-1} : x \in G\} = C_{f(g)}$

Remark 2:

If g has order $d \Rightarrow f(g)$ has order d .

Why?

$$f(g)^d = f(g^d) = f(1) = 1$$

So $o(f(g)) \mid o(g)$

But this holds for any automorphism

$$o(f^{-1}(f(g))) \mid o(f(g)) \Rightarrow o(g) \mid o(f(g))$$

$$\Rightarrow o(g) = o(f(g))$$

Corollary

Suppose $f: S_n \rightarrow S_n$ is an automorphism.

Then $f(C_{(1\ 2)}) = C_{(1\ 2)(3\ 4)\dots(2j-1\ 2j)}$ for some $j \geq 1$

What is $|C_{(1\ 2)(3\ 4)\dots(2j-1\ 2j)}|$?

$$j = 1: |C_{(1\ 2)}| = \binom{n}{2}$$

$$j = 2: |C_{(1\ 2)(3\ 4)}| = \frac{\binom{n}{2} \binom{n-2}{2}}$$

$$j = 3: |C_{(1\ 2)(3\ 4)(5\ 6)}| = \frac{\binom{n}{2} \binom{n-2}{2} \binom{n-4}{2}}{3!}$$

$$= \frac{n!}{(n-6)! 3! 2^3}$$

$$|C_{(1\ 2)(3\ 4)\dots(2j-1\ 2j)}| = \frac{n!}{(n-2j)! j! 2^j}$$

Remark 3

If $f: S_n \rightarrow S_n$ is an automorphism and $f(C_{(1\ 2)}) =$

$C_{(1\ 2)(2\ 3)\dots(2j-1\ 2j)}$ for some $j \geq 1$ then

$$|C_{(1\ 2)}| = |C_{(1\ 2)(3\ 4)\dots(2j-1\ 2j)}| \Rightarrow \frac{n!}{(n-2)! 2} = \frac{n!}{(n-2j)! j! 2^j}$$

In particular

$$(*) j! 2^{j-1} = (n-2)(n-3)\dots(n-2j+1)$$

Notice $n \geq 2j$ so

$$(n-2)(n-3)\dots(n-2j+1) \geq (2j-2)!$$

$$(n-2) \geq (2j-2)$$

So equation (*) gives $(2j-2)! \leq j! 2^{j-1} \Rightarrow$

$$(2j-2)(2j-3)\dots(j+1) \leq 2^{j-1}$$

There are $j-2$ terms on LHS

$$\text{If } j \geq 4 \Rightarrow (2j-3)\dots(j+1) \leq 2^{j-1} \Rightarrow 4^{j-2} \leq 2^{j-1} \Rightarrow 2(j-2) \leq j-1 \Rightarrow j \leq 3 \text{ Contradiction.}$$

So conclude that if

$$|C_{(1\ 2)}| = |C_{(1\ 2)(3\ 4)\dots(2j-1\ 2j)}| \Rightarrow j \in \{1, 2, 3\}$$

$j = 2$

$$\text{If } |C_{(1\ 2)}| = |C_{(1\ 2)(3\ 4)}| \Rightarrow \binom{n}{2} = \frac{\binom{n}{2} \binom{n-2}{2}}$$

$$\Rightarrow 2 = \frac{(n-2)(n-3)}{2}$$

$$\Rightarrow 4 = (n-2)(n-3). \text{ No solutions}$$

$j = 3$

$$\text{If } |C_{(1\ 2)}| = |C_{(1\ 2)(3\ 4)(5\ 6)}| \Rightarrow \binom{n}{2} = \frac{\binom{n}{2} \binom{n-2}{2} \binom{n-4}{2}}{6}$$

$$\Rightarrow 24 = (n-2)(n-3)(n-4)(n-5)$$

has a solution only when $n = 6$

$$n \geq 1, \quad S_n = \{\sigma: \{1, \dots, n\} \rightarrow \{1, \dots, n\} \mid \sigma \text{ is 1-1}\}, \quad |S_n| = n!$$

Disjoint cycle notation

e.g. $n = 7$

1 2 3 4 5 6 7

1 2 3 4 5 6 7

$$(1\ 3\ 4)(2)(5\ 6)(7) = (2)(7)(5\ 6)(1\ 3\ 4) = (5\ 6)(1\ 3\ 4)$$

Conjugacy Classes

What is the conjugacy class of a permutation $\sigma \in S_n$?

Let's first consider the case of a single cycle $(a_1 a_2 a_3 \dots a_k)$

What is $\tau(a_1 a_2 \dots a_k) \tau^{-1}$

Let $\sigma = \tau(a_1 a_2 \dots a_k) \tau^{-1}$

What does σ do to $\tau(a_1)$?

$$\tau(a_1 \dots a_k) \tau^{-1}(\tau(a_1)) = \tau(a_1 a_2 \dots a_k)(a_1) = \tau(a_2)$$

In general,

$$\tau(a_1, \dots, a_k) \tau^{-1} \text{ sends } \tau(a_i) = \tau(a_{i+1}) \text{ for } i = 1, \dots, k \text{ where we take } a_{k+1} = a_1$$

If $m \notin \{\tau(a_1), \dots, \tau(a_k)\}$ what is $\tau(a_1, \dots, a_k) \tau^{-1}(m)$?

Answer: it is m

$$\text{So } \tau(a_1, \dots, a_k) \tau^{-1} = (\tau(a_1), \tau(a_2), \dots, \tau(a_k))$$

Proof of Theorem

Suppose σ has s disjoint cycles of lengths $k_1, k_2, \dots, k_s; k_1 + k_2 + \dots + k_s = n$

Write $\sigma = (a_1 a_2 \dots a_{k_1})(a_{k_1+1} \dots a_{k_1+k_2}) \dots (a_{k_1+\dots+k_{s-1}+1} \dots a_{k_1+\dots+k_{s-1}+k_s})$

Let $\tau \in S_n$

Then, as we just showed,

$$\tau \sigma \tau^{-1} = [\tau(a_1 \dots a_{k_1}) \tau^{-1}] [\tau(a_{k_1+1} \dots a_{k_1+k_2}) \tau^{-1}] \dots [\tau(a_{k_1+\dots+k_{s-1}+1} \dots a_{k_1+\dots+k_{s-1}+k_s}) \tau^{-1}]$$

$$= (\tau(a_1) \dots \tau(a_{k_1})) (\tau(a_{k_1+1}) \dots \tau(a_{k_1+k_2})) \dots (\tau(a_{k_1+\dots+k_{s-1}+1}) \dots \tau(a_{k_1+\dots+k_{s-1}+k_s}))$$

Thus $C_\sigma \subseteq \{\text{all permutations with same disjoint cycle structure}\}$

To finish, suppose that $\mu = (b_1 \dots b_{k_1}) \dots (b_{k_1+\dots+k_{s-1}+1} \dots b_{k_1+\dots+k_s})$ has the same cycle structure as σ .

Thus, $\mu = \tau \sigma \tau^{-1}$, where τ sends $a_i \mapsto b_i$ for $1 \leq i \leq n$ so $\mu \in C_\sigma$. Thus the result follows. ■

Example

S_4

Conjugacy class	size
id	1
(1 2)	$\binom{4}{2} = 6$
(1 3 2) & (1 2 3)	$\binom{4}{3} \times 2 = 8$
(1 2)(3 4)	3
(1 2 3 4)	6

Find all normal subgroups of S_4

Remember,

$$N \trianglelefteq G \Rightarrow n \in N \text{ then } gn g^{-1} \in N \quad \forall g \in G; \text{ i.e. } C_n \leq N$$

Assignment Q: N is a union of conjugacy classes

Answer:

$$N \in \{\{1\}, \{1\} \cup C_{(1\ 2)(3\ 4)}, \{1\} \cup C_{(1\ 2)(3\ 4)} \cup C_{(1\ 2)}, S_4\}$$

$$\{id, (1\ 2)(3\ 4), (1\ 3)(2\ 4), (1\ 4)(2\ 3)\} \in \text{Klein 4 subgroup of } S_4$$

Proof of Theorem (Centre of S_n)

Let $n > 2$. Let $\sigma \in Z(S_n)$ and suppose that $\sigma \neq id$.

Then σ has at least one k -cycle for some $k > 1$. Then for any μ with the same cycle structure $\exists \tau$ such that $\tau \sigma \tau^{-1} = \mu$.

But note that there is some $\mu \neq \sigma$ with the same cycle structure

Why?

$$\sigma = (a_1 \dots a_k) (\dots) \dots (\dots)$$

We know $k > 1$

Case 1: $k \geq 3$

$$\mu = (a_1 a_2 \dots a_{k-2} a_k a_{k-1}) (\dots) \dots (\dots)$$

Case 2: $k = 2, n > 2$

$$\sigma = (a_1 a_2) \dots (a_i) \dots \exists a_i \neq a_1, a_2$$

$$\mu = (a_1 a_i) \dots (a_2)$$

So $\tau \sigma \tau^{-1} = \mu \neq \sigma$ and so $\tau \sigma \neq \sigma \tau \Rightarrow \sigma \notin Z(S_n) \Rightarrow Z(S_n) = \{id\}$

Automorphisms of S_n

Recall that if G is a group we have a homomorphism

$$\Phi: G \rightarrow \text{Aut}(G), \quad g \mapsto \Phi_g: G \rightarrow G, \quad \Phi_g(x) = gxg^{-1}$$

$$\ker(\Phi) = \{g: \Phi_g = id\} = \{g: gxg^{-1} = x \quad \forall x \in G\} = \{g \in G : gx = xg \quad \forall x \in G\} = Z(G)$$

So by 1st isomorphism theorem,

$$G/Z(G) \cong \text{Im}(\Phi) =: \text{Inn}(G) \text{ The inner automorphism group of } G$$

If $|\mathcal{C}_{(1\ 2)}| = |\mathcal{C}_{(1\ 2)(3\ 4)(5\ 6)}| \Rightarrow \binom{n}{2} = \frac{n!}{2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 6}$
 $\Rightarrow 24 = (n-2)(n-3)(n-4)(n-5)$
 has a solution only when $n = 6$

$\ker(\Phi) = \{g : \Phi_g = \text{id}\} = \{g : gxg^{-1} = x \ \forall x \in G\} = \{g \in G : gx = xg \ \forall x \in G\} = Z(G)$
 So by 1st isomorphism theorem,
 $G/Z(G) \cong \text{Im}(\Phi) =: \text{Inn}(G)$ The inner automorphism group of G

Combining all of this, we see that if $n \neq 6$ and $f: S_n \rightarrow S_n$ then
 $f(\mathcal{C}_{(1\ 2)}) = \mathcal{C}_{(1\ 2)}$

Fact

\exists an automorphism of S_n that sends $(1\ 2)$ to $(1\ 2)(3\ 4)(5\ 6)$
 Next time, we'll show that if $f: S_n \rightarrow S_n$ sends $\mathcal{C}_{(1\ 2)}$ to $\mathcal{C}_{(1\ 2)}$
 then f is given by conjugation. This will prove the result.

Symmetric Groups Cont.

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Last Time

We showed that if $f: S_n \rightarrow S_n$ and $n \neq 6$ then $f(C_{(1\ 2)}) = C_{(1\ 2)}$, i.e. f takes transpositions to transpositions

Goal

To show that if $n \neq 6 \Rightarrow f$ is **inner** (i.e. \exists a permutation $\tau \in S_n$ such that $f(\sigma) = \tau\sigma\tau^{-1} \forall \sigma \in S_n$)

Remaining Steps

Step 1

Show that if G is a group and $S \subseteq G$ generates G and $f, g \in \text{Aut}(G)$ such that $f(s) = g(s) \forall s \in S \Rightarrow f \equiv g$

Step 2

Show $S = \{(i\ i+1) : i = 1, \dots, n-1\}$ generates S_n

Step 3

Show that if $f \in \text{Aut}(S_n)$ takes transpositions to transpositions then $\exists \tau \in S_n$ such that $f((i\ i+1)) = \tau(i\ i+1)\tau^{-1}$ for $i = 1, 2, \dots, n-1$

Proof of Step 1

Let $x \in G$. We want to show $f(x) = g(x)$

Since S generates G , we can write $x = s_1^{\epsilon_1} s_2^{\epsilon_2} \dots s_k^{\epsilon_k}$, where $s_1, \dots, s_k \in S$, $\epsilon_1, \dots, \epsilon_k \in \{\pm 1\}$

Then $f(x) = f(s_1^{\epsilon_1} \dots s_k^{\epsilon_k}) = f(s_1^{\epsilon_1}) \dots f(s_k^{\epsilon_k}) = f(s_1)^{\epsilon_1} \dots f(s_k)^{\epsilon_k} = g(s_1)^{\epsilon_1} \dots g(s_k)^{\epsilon_k} = g(s_1^{\epsilon_1} \dots s_k^{\epsilon_k}) = g(x)$

Proof of Step 2

Lemma

1) The set of all transpositions generates S_n

Since each $\sigma \in S_n$ is a product of disjoint cycles, it is enough to show we can write any cycle as a product of transpositions.

Aside

$$(i\ j\ k) = (i\ j)(j\ k)$$

Similarly

$$(i_1\ i_2 \dots i_n) = (i_1\ i_2)(i_2\ i_3) \dots (i_{n-1}\ i_n)$$

So every cycle is a product of transpositions. This proves (1)

2) $\{(i\ i+1) : i = 1, \dots, n-1\}$ generates S_n

For (2), it suffices by (1) to show each transposition is a product of transpositions of the form $(i\ i+1)$

$$(i\ i+2) = (i\ i+1)(i+1\ i+2)(i\ i+1)$$

$$(i\ i+3) = (i+2\ i+3)(i\ i+2)(i+2\ i+3)$$

In general, if $i < j, j-i \geq 2 \Rightarrow (i\ j) = (j-1\ j)(i\ j-1)(j-1\ j)$

So by induction on $j-i$ we can write each transposition as a product of elements from $\{(k\ k+1), k = 1, \dots, n-1\}$

Proof of Step 3

Proposition

Let $f: S_n \rightarrow S_n$ be an automorphism that takes transpositions to transpositions.

Then $\exists \tau \in S_n$ such that $f((i\ i+1)) = \tau(i\ i+1)\tau^{-1}$ for $i = 1, \dots, n-1$

Before we begin, note that $\tau(i\ i+1)\tau^{-1} = (\tau(i), \tau(i+1))$

Proof of Proposition

Since f takes transpositions to transpositions,

$$f((1\ 2)) = (a_1\ a_2) \text{ for some } a_1, a_2 \in \{1, \dots, n\} \text{ and}$$

$$f((2\ 3)) = (a_3\ b) \text{ for some } a_3, b \in \{1, \dots, n\}$$

$$(1\ 2)(2\ 3) \neq (2\ 3)(1\ 2) \text{ so } f((1\ 2)(2\ 3)) \neq f((2\ 3)(1\ 2)) \text{ since } f \text{ is 1-1}$$

$$\Rightarrow f((1\ 2))f((2\ 3)) \neq f((2\ 3))f((1\ 2)) \Rightarrow (a_1\ a_2)(a_3\ b) \neq (a_3\ b)(a_1\ a_2) \text{ so } \{a_1, a_2\} \cap \{a_3, b\} \neq \emptyset$$

WLOG $a_2 = b$ so $f((1\ 2)) = (a_1\ a_2)$, $f((2\ 3)) = (a_2\ a_3)$, a_1, a_2, a_3 pairwise distinct $\because f$ is 1-1

Similarly, $f((3\ 4)) = (a_3\ a_4)$ for some a_4 with a_1, a_2, a_3, a_4 pairwise distinct.

Continuing in this manner we see that $\exists a_1, \dots, a_n$ pairwise distinct such that

$$f((i\ i+1)) = (a_i\ a_{i+1}) \text{ for } i = 1, 2, \dots, n-1$$

Let $\tau: \{1, \dots, n\} \rightarrow \{1, \dots, n\}$ be given by $\tau(i) = a_i \forall i$

So τ is a permutation of $\{1, \dots, n\}$ and $\tau(i\ i+1)\tau^{-1} = (\tau(i)\ \tau(i+1)) = (a_i\ a_{i+1}) = f((i\ i+1))$

■

Theorem

If $n \neq 6$ and $f: S_n \rightarrow S_n$ is an automorphism then $\exists \tau \in S_n$ such that $f(\sigma) = \tau\sigma\tau^{-1} \forall \sigma \in S_n$, i.e. f is inner

Proof of Theorem

We showed last time that $f(C_{(1\ 2)}) = C_{(1\ 2)}$. By step 3, $\exists \tau \in S_n$ such that

$$f((i\ i+1)) = \tau(i\ i+1)\tau^{-1} \text{ for } i = 1, \dots, n-1$$

By step 2,

$S = \{(i\ i+1) : i = 1, \dots, n-1\}$ generates S_n

Define $g(\sigma) = \tau\sigma\tau^{-1}$ then $f(s) = g(s) \forall s \in S$ and so by step 1, $f \equiv g$, i.e. $f(\sigma) = g(\sigma) = \tau\sigma\tau^{-1} \forall \sigma \in S_n$

So f is inner. ■

Corollary

If $n \neq 2, 6$ then $\text{Aut}(S_n) \cong \text{Inn}(S_n) \cong S_n$

Proof of Corollary

If $n \neq 6$, we have shown $\text{Aut}(S_n) = \text{Inn}(S_n)$

We showed that for a group G , $\text{Inn}(G) \cong G/Z(G)$ (we showed for $n \neq 2$, $Z(S_n) = \{\text{id}\}$)

So if $n \neq 2$, $\text{Inn}(S_n) \cong S_n/\{\text{id}\} \cong S_n$

Structure Theorem

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Finitely Generated

Saying that A is finitely generated means $\exists k \geq 1$ and $x_1, \dots, x_k \in A$ such that every $a \in A$ can be written as $a = m_1x_1 + \dots + m_kx_k, m_1, \dots, m_k \in \mathbb{Z}$

Structure Theorem for Finitely Generated Abelian Groups

- Let A be a finitely generated abelian group. Then \exists a nonnegative integer r and a finite abelian group T such that $A \cong \mathbb{Z}^r \times T$
- Let $|T| = n = p_1^{i_1} p_2^{i_2} \dots p_k^{i_k}, p_1, \dots, p_k$ prime. Then $T \cong T_1 \times T_2 \times \dots \times T_k$ where T_j is an abelian group of size $p_j^{a_j}$
- If p is prime and B is an abelian group of order p^m then $B \cong \mathbb{Z}_{p^{l_1}} \times \mathbb{Z}_{p^{l_2}} \times \dots \times \mathbb{Z}_{p^{l_s}}$ for some $s \geq 1$ with $l_1 \leq l_2 \leq \dots \leq l_s$ and $l_1 + l_2 + \dots + l_s = m$. Moreover, s and $l_1 \leq l_2 \leq \dots \leq l_s$ are unique.

Corollary to STFFGAG

If G is a group of size p^2 then $G \cong \mathbb{Z}_{p^2}$ or $G \cong \mathbb{Z}_p \times \mathbb{Z}_p$

Lemma

Let $(A, +)$ be an abelian group of order mn with $\gcd(m, n) = 1$. Then $A \cong B \times C$ with B, C abelian and $|B| = m$ and $|C| = n$.

Note

Recall that any cyclic group C has the property that either $C \cong \mathbb{Z}$ or $\exists n \geq 1$ such that $C \cong \mathbb{Z}_n$

Weak Structure Theorem for Finitely Generated Abelian Groups

Let A be an abelian group. Then A is isomorphic to a finite product of cyclic groups. More specifically, $\exists r, s \geq 0$ and $n_1, \dots, n_s \geq 1$ not necessarily distinct such that $A \cong \mathbb{Z}^r \times \mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2} \times \dots \times \mathbb{Z}_{n_s}$

Moreover, if $A \cong \mathbb{Z}^{r'} \times \mathbb{Z}_{n'_1} \times \mathbb{Z}_{n'_2} \times \dots \times \mathbb{Z}_{n'_s}$ then $r = r'$. We call r the **rank** of A .

Strong Version of Structure Theorem

Let A be a finitely generated abelian group. Then $\exists r \geq 0, s \geq 0, p_1^{n_1}, \dots, p_s^{n_s}$, prime powers, such that $A \cong \mathbb{Z}^r \times \mathbb{Z}_{p_1^{n_1}} \times \dots \times \mathbb{Z}_{p_s^{n_s}}$

Chinese Remainder Theorem

If m_1, \dots, m_k are pairwise relatively prime, i.e. $i \neq j \Rightarrow \gcd(m_i, m_j) = 1$ and $a_1, \dots, a_k \in \mathbb{Z}$. Then $\exists x \in \mathbb{Z}$ such that $x \equiv a_1 \pmod{m_1}$
 $x \equiv a_2 \pmod{m_2}$
 \vdots
 $x \equiv a_k \pmod{m_k}$

Example

What are the abelian groups of order 72?

$$72 = 2^3 \cdot 3^2$$

If T is abelian of order 72 then by (2), $T \cong T_1 \times T_2, |T_1| = 2^3, |T_2| = 3^2$

by (3), $T_1 \cong \mathbb{Z}_8, \mathbb{Z}_2 \times \mathbb{Z}_4, \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$

$$T_2 \cong \mathbb{Z}_9, \mathbb{Z}_3 \times \mathbb{Z}_3$$

So $T \cong$ one of $\mathbb{Z}_8 \times \mathbb{Z}_9, \mathbb{Z}_8 \times \mathbb{Z}_3 \times \mathbb{Z}_3, \mathbb{Z}_2 \times \mathbb{Z}_4 \times \mathbb{Z}_9, \mathbb{Z}_2 \times \mathbb{Z}_4 \times \mathbb{Z}_3 \times \mathbb{Z}_3, \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_9, \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_3 \times \mathbb{Z}_3$

How many (up to isomorphism) abelian group of order p^5 are there?

Answer: 7

$$\mathbb{Z}_{p^5}, \quad \mathbb{Z}_p \times \mathbb{Z}_{p^4}, \quad \mathbb{Z}_p \times \mathbb{Z}_p \times \mathbb{Z}_{p^3}, \quad \mathbb{Z}_p \times \mathbb{Z}_p \times \mathbb{Z}_p \times \mathbb{Z}_{p^2},$$

$$\mathbb{Z}_p \times \mathbb{Z}_p \times \mathbb{Z}_p \times \mathbb{Z}_p \times \mathbb{Z}_p, \quad \mathbb{Z}_p \times \mathbb{Z}_{p^2} \times \mathbb{Z}_{p^2}, \quad \mathbb{Z}_{p^2} \times \mathbb{Z}_{p^3}$$

Proof of Lemma

Let $B = \{a \in A : ma = 0\}$ and $C = \{a \in A : na = 0\}$

Claim:

$B \cap C = \{0\}$. Why? If $a \in B \cap C, a \in B \Rightarrow ma = 0, a \in C \Rightarrow na = 0$

$$a \in B \cap C \Rightarrow \gcd(m, n)a = 0 \Rightarrow a = 0$$

Next we have $A = B + C$

Why? Since $\gcd(m, n) = 1 \exists c, d$ such that $cm + dn = 1$

So if $a \in A \Rightarrow a = (dn + cm)a = dna + cma \in B + C$

So $A = B + C \therefore B \cap C = \{0\} \Rightarrow A = B \oplus C \cong B + C$

Proof of Weak Structure Theorem

Let x_1, \dots, x_m be a generating set for A . We prove this by induction on m .

Base Case: $m = 1$

$A = \langle x_1 \rangle$ is cyclic. We showed before that cyclic groups are either \mathbb{Z} or $\mathbb{Z}_n, n \geq 1$

Induction

Assume the results holds whenever $m < k$ and suppose that $A = \langle x_1, \dots, x_k \rangle$

Let $\mathcal{G} = \{\langle y_1, \dots, y_k \rangle \subseteq A, A = \langle y_1, \dots, y_k \rangle\}$

Case 1

x_1, \dots, x_k are \mathbb{Z} -linearly independent

that is, if $n_1x_1 + \dots + n_kx_k = 0, n_1, \dots, n_k \in \mathbb{Z}$ then $n_1 = n_2 = \dots = n_k = 0$

In this case we claim that $A \cong \mathbb{Z}^k$

Proof of Claim

Define $\phi: \mathbb{Z}^k \rightarrow A$ by $\phi((n_1, \dots, n_k)) = n_1x_1 + \dots + n_kx_k \in A$

Notice that ϕ is a homomorphism.

$$\phi((n_1, \dots, n_k) + (m_1, \dots, m_k)) = \phi(n_1 + m_1, \dots, n_k + m_k)$$

$$= (n_1 + m_1)x_1 + \dots + (n_k + m_k)x_k$$

$$= (n_1x_1 + \dots + n_kx_k) + (m_1x_1 + \dots + m_kx_k)$$

$$= \phi(n_1, \dots, n_k) + \phi(m_1, \dots, m_k)$$

Note: x_1, \dots, x_k generates A . If $a \in A \Rightarrow \exists n_1, \dots, n_k \in \mathbb{Z}$ such that

$$a = \phi(n_1, \dots, n_k) = n_1x_1 + \dots + n_kx_k$$

Notice that $(n_1, \dots, n_k) \in \ker \phi \Leftrightarrow \phi((n_1, \dots, n_k)) = 0 \Leftrightarrow n_1x_1 + \dots + n_kx_k = 0$

$$\Leftrightarrow n_1, \dots, n_k = 0$$

So $\ker \phi = \{(0, \dots, 0)\} \Rightarrow \phi$ is 1-1

So ϕ is a bijection hence isomorphism $\Rightarrow A \cong \mathbb{Z}^k$

Case 2

x_1, \dots, x_k are \mathbb{Z} -linearly dependent. i.e. $\exists c_1, \dots, c_k \in \mathbb{Z}$ not all 0 such that

$$c_1x_1 + \dots + c_kx_k = 0$$

Define $c(x_1, \dots, x_k) + 0$ to be the smallest positive $c_i \neq 0$ that appears in some relation among x_1, \dots, x_k

$c(x_1, \dots, x_k) =$ smallest positive integer C such that $\exists c_1, \dots, c_k \in \mathbb{Z}$ and

$$c_1x_1 + \dots + c_kx_k = 0 \text{ and } c_i = C \text{ for some } i$$

Example

Suppose $\mathbb{Z}^3, x_1 = (2, 4, 6), x_2 = (3, 6, 9), x_3 = (5, 10, 15)$

$$x_3 - x_2 - x_1 = 0 \Rightarrow c(x_1, x_2, x_3) = 1$$

Let $N = \min\{c(y_1, \dots, y_k) : \{y_1, \dots, y_k\} \in \mathcal{G}\}$

Then $\exists (y_1, \dots, y_k) \in \mathcal{G}$ and $c_1, \dots, c_k \in \mathbb{Z}$ with $c_1 = N$ such that

$$c_1y_1 + c_2y_2 + \dots + c_ky_k = 0$$

Claim

$$N | c_1, \dots, N | c_k$$

Proof of Claim

By the division algorithm, we can write

$$c_i = Nq + c'_i, 0 \leq c'_i < N \text{ for } i = 1, \dots, k$$

So $c_1y_1 + c_2y_2 + \dots + c_ky_k = 0$

$$\Rightarrow Ny_1 + (Nq_2 + c'_2)y_2 + \dots + (Nq_k + c'_k)y_k = 0$$

$$\Rightarrow N(y_1 + q_2y_2 + \dots + q_ky_k) + c'_2y_2 + \dots + c'_ky_k = 0$$

$$\text{Let } z_1 = (y_1 + q_2y_2 + \dots + q_ky_k)$$

$$z_2 = y_2$$

$$z_3 = y_3$$

$$\dots$$

$$z_k = y_k$$

$$\Rightarrow Nz_1 + c'_2z_2 + \dots + c'_kz_k = 0$$

If one of c'_2, \dots, c'_k is nonzero then $c(z_1, \dots, z_k) < N$ which contradicts minimality of N . We have that $c'_2 = \dots = c'_k = 0 \Rightarrow Nz_1 = 0$

Have $A = \langle z_1, z_2, \dots, z_k \rangle$ and $Nz_1 = 0$

We claim that

$$A \cong \langle z_1 \rangle \times \langle z_2, \dots, z_k \rangle, \quad \langle z_1 \rangle \cong \mathbb{Z}_N$$

Once we have this we are done since by the induction hypothesis, $\langle z_2, \dots, z_k \rangle$, which is generated by a set of size $k - 1 < k$ is a product of cyclic groups and $\langle z_1 \rangle$ is cyclic $\Rightarrow A$ is isomorphic to a product of cyclic groups.

Let $B = \langle z_1 \rangle \subseteq A$

Let $C = \langle z_2, \dots, z_k \rangle$

Then $B + C = \langle z_1, z_2, \dots, z_k \rangle = A$

Notice that $B \cap C = (0)$. To see this, if $a \in B \cap C, a \neq 0$

$$a \in B \Rightarrow a = rz_1, \quad r \in \{1, 2, \dots, N-1\}$$

$$\because a \neq 0, r \neq 0 \text{ and } r < N \therefore Nz_1 = 0$$

$$a \in C \Rightarrow \exists d_2, \dots, d_k \in \mathbb{Z} \text{ such that } d_2z_2 + \dots + d_kz_k = a$$

$$\Rightarrow rz_1 = d_2z_2 + \dots + d_kz_k \Rightarrow rz_1 - d_2z_2 - \dots - d_kz_k = 0 \text{ but } 0 < r < N$$

This contradicts minimality of N . So $B \cap C = (0)$

Now we have an isomorphism

$$\Psi: B \times C \rightarrow A, \quad \Psi((b, c)) = b + c$$

• Homomorphism

$$\begin{aligned} \Psi((b_1, c_1) + (b_2, c_2)) &= \Psi((b_1 + b_2, c_1 + c_2)) = (b_1 + b_2) + (c_1 + c_2) \\ &= (b_1 + c_1) + (b_2 + c_2) = \Psi((b_1, c_1)) + \Psi((b_2, c_2)) \end{aligned}$$

• Onto $A = B + C \therefore B + C = \langle z_1, \dots, z_k \rangle = A$

• 1-1 If $\Psi((b, c)) = 0 \Leftrightarrow b + c = 0 \Leftrightarrow b = -c \Leftrightarrow b_1 - c \in B \cap C = (0) \Leftrightarrow b = c = 0$

So $\ker \Psi = ((0, 0)) \Rightarrow \Psi$ is 1-1

So $A \cong B \times C \cong \langle z_1 \rangle \times \langle z_2, \dots, z_k \rangle$

■

to finish, we need to show that rank is an invariant. i.e. if

$$A \cong \mathbb{Z}^{r_1} \times \mathbb{Z}_{n_1} \times \dots \times \mathbb{Z}_{n_s} = \mathbb{Z}^{r_2} \times \mathbb{Z}_{m_1} \times \dots \times \mathbb{Z}_{m_k}$$

Then $\overline{r_1} \equiv \overline{r_2} \pmod{\dots} (= \text{rank}(A))$

Proof

Suppose WLOG $r_1 > r_2$

$$\text{Let } \phi: \mathbb{Z}^{r_1} \times \mathbb{Z}_{n_1} \times \dots \times \mathbb{Z}_{n_s} \rightarrow \mathbb{Z}^{r_2} \times \mathbb{Z}_{m_1} \times \dots \times \mathbb{Z}_{m_k}$$

be an isomorphism.

$$\text{Let } e_1 = (1, 0, 0, \dots, 0) \times (0, \dots, 0)$$

$$e_2 = (0, 1, \dots, 0) \times (0, \dots, 0)$$

$$e_{r_1} = (0, 0, \dots, 0, 1) \times (0, \dots, 0)$$

Notice that e_1, \dots, e_{r_1} are \mathbb{Z} -linearly independent.

$$\phi(e_1) = (\vec{v}_1, t_1), \quad \vec{v}_1 \in \mathbb{Z}^{r_2}, \quad t_1 \in T = \mathbb{Z}_{m_1} \times \dots \times \mathbb{Z}_{m_k}$$

...

$$\phi(e_k) = (\vec{v}_{r_1}, t_{r_1}), \quad \vec{v}_{r_1} \in \mathbb{Z}^{r_2}, \quad t_{r_1} \in T$$

$$\mathbb{Z}^{r_2} \subseteq \mathbb{Q}^{r_2}$$

Then $r_1 > r_2$ so $\vec{v}_1, \dots, \vec{v}_{r_1}$ are linearly dependent.

So $\exists c_1, \dots, c_{r_1} \in \mathbb{Q}$ not all 0 such that

$$c_1 \vec{v}_1 + \dots + c_{r_1} \vec{v}_{r_1} = 0. \text{ Let } D = \text{common denominator for } c_1, \dots, c_{r_1}$$

$$\Rightarrow (Dc_1) \vec{v}_1 + \dots + (Dc_{r_1}) \vec{v}_{r_1} = 0$$

$$\text{Let } 0 \neq x = (Dc_1)e_1 + \dots + (Dc_{r_1})e_{r_1}$$

$$\phi(x) = Dc_1 \phi(e_1) + \dots + Dc_{r_1} \phi(e_{r_1}) = Dc_1 (\vec{v}_1, t) + \dots + Dc_{r_1} (\vec{v}_{r_1}, t_{r_1}) =$$

$$(Dc_1 \vec{v}_1 + \dots + Dc_{r_1} \vec{v}_{r_1}, Dc_1 t_1 + \dots + Dc_{r_1} t_{r_1}) = (\vec{0}, t) \text{ for some } t \in T$$

Since T is a finite group, t has finite order so $\exists M \geq 1$ such that $Mt = 0$.

$$\text{So } \phi(Mx) = M\phi(x) = M(\vec{0}, t) = (\vec{0}, 0)$$

But $Mx = (MDc_1)e_1 + \dots + (MDc_{r_1})e_{r_1} \neq 0$ and ϕ is 1-1, a contradiction.

So $r_1 = r_2$

Proof of Strong Structure Theorem

We already have that

$$A \cong \mathbb{Z}^t \times \mathbb{Z}_{n_1} \times \dots \times \mathbb{Z}_{n_t}$$

So it is enough to show that if $n > 1$ then \mathbb{Z}_n is the product of cyclic groups of prime power order.

$$\text{Write } n = p_1^{t_1} \dots p_s^{t_s}$$

Claim $\mathbb{Z}_n \cong \mathbb{Z}_{p_1^{t_1}} \times \dots \times \mathbb{Z}_{p_s^{t_s}}$

We make a map

$$\phi: \mathbb{Z}_n \rightarrow \mathbb{Z}_{p_1^{t_1}} \times \dots \times \mathbb{Z}_{p_s^{t_s}}, \quad \phi([x]) = ([x]_{p_1^{t_1}}, \dots, [x]_{p_s^{t_s}})$$

Notice that ϕ is well-defined.

$$\text{If } [x]_n = [y]_n \Leftrightarrow x \equiv y \pmod{n} \text{ But } n = p_1^{t_1} \dots p_s^{t_s}$$

$$\Leftrightarrow n \mid (x - y) \Rightarrow p_i^{t_i} \mid (x - y) \Rightarrow x \equiv y \pmod{p_i^{t_i}} \Rightarrow [x]_{p_i^{t_i}} = [y]_{p_i^{t_i}}$$

Next Class

Key tool: Chinese Remainder Theorem

Says if m_1, \dots, m_t are pairwise relatively prime and if $a_1, \dots, a_t \in \mathbb{Z} \Rightarrow \exists x \in \mathbb{Z}$ such that $x \equiv a_i \pmod{m_i}$ for $i = 1, \dots, t$

Last time, we constructed a map $\phi: \mathbb{Z}_n \rightarrow \mathbb{Z}_{p_1^{t_1}} \times \dots \times \mathbb{Z}_{p_t^{t_t}}$

$$[x]_n \mapsto ([x]_{p_1^{t_1}}, \dots, [x]_{p_t^{t_t}})$$

where $[x]_m$ is the equivalence class of x in \mathbb{Z}_m ; i.e. $[x]_m = \{i : i \equiv x \pmod{m}\}$
Last time we showed this map is well-defined.

Notice that ϕ is a homomorphism

$$\begin{aligned}\phi([x]_n + [y]_n) &= \phi([x + y]_n) + ([x + y]_{p_1^{i_1}}, \dots, [x + y]_{p_t^{i_t}}) \\ &= ([x]_{p_1^{i_1}} + [y]_{p_1^{i_1}}, \dots, [x]_{p_t^{i_t}} + [y]_{p_t^{i_t}}) = ([x]_{p_1^{i_1}}, \dots, [x]_{p_t^{i_t}}) + ([y]_{p_1^{i_1}}, \dots, [y]_{p_t^{i_t}}) \\ &= \phi([x]_n) + \phi([y]_n)\end{aligned}$$

Notice that given $([a_1]_{p_1^{i_1}}, \dots, [a_t]_{p_t^{i_t}}) \in \mathbb{Z}_{p_1^{i_1}} \times \dots \times \mathbb{Z}_{p_t^{i_t}}$

by CRT $\exists x \in \mathbb{Z}$ such that $x \equiv a_j \pmod{p_j^{i_j}}$ for $j = 1, \dots, t$

$$\text{So } \phi([x]_n) = ([x]_{p_1^{i_1}}, \dots, [x]_{p_t^{i_t}}) = ([a_1]_{p_1^{i_1}}, \dots, [a_t]_{p_t^{i_t}})$$

So ϕ is onto. Since $|\mathbb{Z}_n| = n = p_1^{i_1} \dots p_t^{i_t} = |\mathbb{Z}_{p_1^{i_1}} \times \dots \times \mathbb{Z}_{p_t^{i_t}}|$

we see ϕ must be 1-1 also and have it is an isomorphism. ■

Rings

October-29-13 10:15 AM

Ring

A ring R is a set equipped with two binary operations $+, \times: R \times R \rightarrow R$
Denote $\times(r_1, r_2) = r$ as $r_1 \times r_2 = r$
Such that the following hold:

- $(R, +)$ is an abelian group under addition, we let $0 \in R$ denote the (additive) identity and $-r$ denote the inverse in R
- \times is associative; i.e. $(rx)t = r(st) = rst \quad \forall r, s, t \in R$
This allows us to unambiguously write the product r_1, \dots, r_n

We will assume that our rings have identity 1_R
i.e. $\exists 1 = 1_R \in R$ s.t. $1r = r1 = r \quad \forall r \in R$

- Distributivity
 $r(a + b) = ra + rb, \quad (a + b)r = ar + br$

Commutativity

A ring is commutative if $ab = ba \quad \forall a, b \in R$

Division Ring

More generally, we call a ring D a division ring if $D^* = D \setminus \{0\}$ is a group under multiplication.

Proposition

Let R be a ring. Then we have

- $0a = a0 = 0 \quad \forall a \in \mathbb{R}$
- $(-a)b = a(-b) = -ab \quad \forall a, b \in R$
- $(-a)(-b) = ab$
- The multiplicative identity is unique

Zero divisor

Let R be a ring.

We say that r is a zero divisor if $\exists 0 \neq s \in R$ such that either $rs = 0$ or $sr = 0$ (or both)

Units

Let R be a ring. We say that $r \in R$ is a unit if $\exists s \in R$ such that $sr = rs = 1$.
We denote the set of units in R by R^* . Notice that R^* is a group under multiplication.

Cartesian Product

If R, S are rings, we can make a new ring $R \times S$

$$\begin{aligned} (r_1, s_1) + (r_2, s_2) &= (r_1 + r_2, s_1 + s_2) \\ (r_1, s_2) \times (r_2, s_2) &= (r_1 r_2, s_1 s_2) \\ 1_{R \times S} &= (1_R, 1_S) \\ 0_{R \times S} &= (0_R, 0_S) \end{aligned}$$

Proposition

$$(R \times S)^* = R^* \times S^*$$

Nilpotent

An element r of a ring R is called nilpotent if $\exists n \geq 1$ such that $r^n = 0$

Example Rings

$R = \mathbb{Z}$ is a ring

A field F is a ring, where $F^* = F \setminus \{0\}$ is an abelian group under \times

$$H = \{a + bi + cj + dk : a, b, c, d \in \mathbb{R}\}$$

$$(a + bi + cj + dk)(a - bi - cj - dk) = a^2 + b^2 + c^2 + d^2$$

So $(a + bi + cj + dk)^{-1}$

$$= \frac{a}{a^2 + b^2 + c^2 + d^2} - \frac{b}{a^2 + b^2 + c^2 + d^2}i - \frac{c}{a^2 + b^2 + c^2 + d^2}j - \frac{d}{a^2 + b^2 + c^2 + d^2}k$$

$\mathbb{R}[t]$ all polynomials with real coefficients

$\mathcal{C}(\mathbb{R}) = \{f: \mathbb{R} \rightarrow \mathbb{R} : f \text{ is continuous}\}$

$$(f \cdot g)(x) = f(x)g(x)$$

$$(f + g)(x) = f(x) + g(x)$$

$R = M_2(\mathbb{R})$ is a non-commutative ring

But it is "close" to being commutative

Recall that R is commutative if $xy - yx = 0 \forall x, y \in R$

Similarly, $M_2(\mathbb{R})$, while not commutative, satisfies the identity

$$(Wagner's Identity) z(xy - yx)^2 - (xy - yx)^2 z = 0 \quad \forall x, y, z$$

Proof of Proposition

- $0 \cdot a = (0 + 0) \cdot a = 0 \cdot a + 0 \cdot a \Rightarrow 0 = 0 \cdot a$
Similarly, $a \cdot 0 = 0$
- $0 = (a - a) \cdot b = ab + (-a)b$ So $(-a)b = -ab$
Similarly, for the other side
- $(-a)(-b) = -1 \cdot a(-b) = (-1)(-ab) = (-1)(-1)ab = ab$
Since $0 = (1 - 1)(-1) = 1 \cdot (-1) + (-1)(-1) = -1 + (-1)(-1) \Rightarrow 1 = (-1)(-1)$
- Suppose that x is another multiplicative identity. Then $xa = a \forall a \in R$
 $x = x \cdot 1 = 1 \Rightarrow x = 1$

Example Zero Divisor

$$R = \mathbb{Z}_n, \quad [a] + [b] = [a + b], \quad [a] \cdot [b] = [ab]$$

$$\mathbb{Z}_6: [2][3] = [6]$$

So $[2], [3]$ are 0-divisors

$$M_2(\mathbb{R}): r = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, s = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \quad rs = sr = 0$$

$$r = \begin{pmatrix} 1 & 1 \\ 2 & 2 \end{pmatrix}, \quad s = \begin{pmatrix} 1 & 1 \\ -1 & -1 \end{pmatrix}, \quad rs = 0, \quad sr = \begin{pmatrix} 3 & 3 \\ -3 & -3 \end{pmatrix} \neq 0$$

So s only works on one side. s is still a 0-divisor

Let V = real vector space with basis e_1, e_2, e_3, \dots such that each element is a linear combination of finitely many basis vectors.

Let $\mathcal{T}(V)$ = all linearly transformations $T: V \rightarrow V$

Then $\mathcal{T}(V)$ is a ring

$$(T + S)(\vec{v}) = T\vec{v} + S\vec{v}, \quad TS(\vec{v}) = T(S(\vec{v}))$$

Let $T: V \rightarrow V$ be the forward shift $Te_1 = e_2, Te_2 = e_3, Te_3 = e_4, \dots$

$$S: V \rightarrow V \text{ back shift: } Se_1 = 0, Se_2 = e_1, Se_3 = e_2, \dots,$$

$$Ue_1 = s_1, \quad Ue_2 = 0, Ue_3 = 0$$

$$UTe_i = U(e_{i+1}) = 0$$

$$STe_i = S(e_{i+1}) = e_i \quad \forall i \Rightarrow ST = I_V \text{ identity}$$

T has a left inverse but cannot have a right inverse. Why? Suppose $TM = I_V$

$$U(TM) = UI_V = U \text{ but } (UT)M = 0M = 0 \text{ contradiction}$$

$$UT = 0 \text{ and } U \neq 0 \text{ so } T \text{ is a zero divisor but } \nexists M \in \mathcal{T}(V), M \neq 0 \text{ such that } TM = 0$$

$$\text{Why? If } TM = 0 \Rightarrow (ST)M = S0 = 0 \Rightarrow I_V M = 0 \Rightarrow M = 0^{-1}$$

R^* a group

Notice if r_1, r_2 are units $\Rightarrow \exists s_1, s_2$ such that $s_1 r_1 = r_1 s_1 = r_2 s_2 = s_2 r_2$

$$\text{So } (r_1 r_2)(s_2 s_1) = r_1 (r_2 s_2) s_1 = r_1 s_1 = 1$$

And similarly, $(s_2 s_1)(r_1 r_2) = 1$ so $r_1, r_2 \in \mathbb{R}^* \Rightarrow r_1, r_2 \in \mathbb{R}^*$

(R^*, \times) is associative. R is associative under \times .

Obviously $1 \in R^* \quad 1 \cdot 1 = 1 \cdot 1 = 1$

If $r \in R^* \Rightarrow \exists s \in R$ such that $rs = sr = 1$ so $s = r^{-1}$ and $r = s^{-1}$ so $s \in R^*$

Example Sets of Units

$$\mathbb{Z}^* = \{\pm 1\} \cong \mathbb{Z}_2$$

Why? If $n \in \mathbb{Z}$ and $\exists m \in \mathbb{Z}$ such that $nm = mn = 1$ then $n, m = \pm 1$

$$\mathbb{Z}[i]^* = (a + bi)(a - bi) = a^2 + b^2$$

$$(a + bi)^{-1} = \frac{a}{a^2 + b^2} - \frac{b}{a^2 + b^2}i \Rightarrow (a, b) \in \{(0, 1), (1, 0), (0, -1), (-1, 0)\}$$

$$\mathbb{Z}[i]^* = \{1, -1, i, -i\} \cong \mathbb{Z}_4$$

$$\mathbb{Z}_5 = \{[0], [1], [2], [3], [4]\}$$

$$\mathbb{Z}_5^* = \{[1], [2], [3], [4]\} = \langle [2] \rangle \cong \mathbb{Z}_4$$

$$R = M_2(\mathbb{Z}_2) \Rightarrow R^* = \text{GL}_2(\mathbb{Z}_2) \cong S_3$$

Proof of Proposition

$(r_1, s_1) \in (R \times S)^* \Leftrightarrow \exists (r_2, s_2) \in R \times S$ such that

$$(r_2, s_2)(r_1, s_1) = (r_1, s_1)(r_2, s_2) = (1_R, 1_S)$$

$$\Leftrightarrow (r_2 r_1, s_2 s_1) = (r_1 r_2, s_1 s_2) = (1_R, 1_S)$$

$$\Leftrightarrow r_2 r_1 = r_1 r_2 = 1_R \text{ \& } s_2 s_1 = s_1 s_2 = 1_S$$

$$\Leftrightarrow r_1 \in R^*, \quad s_1 \in S^*$$

So $(r, s) \in (R \times S)^* \Leftrightarrow r \in R^* \ \& \ s \in S^* \Leftrightarrow (r, s) \in R^* \times S^*$

Example

$$(\mathbb{Z} \times \mathbb{Z})^* \cong \mathbb{Z}^* \times \mathbb{Z}^* \cong \mathbb{Z}_2 \times \mathbb{Z}_2$$

Example Nilpotent Elements

$R \in \mathbb{Z}_4, r = [2]$ is nilpotent since $[2]^2 = [4] = [0]$

Ring Properties & Defs.

October-31-13 10:00 AM

Idempotent

Let R be a ring. We say that $e \in R$ is idempotent if $e^2 = e$

Theorem (Jacobson)

We won't prove this

Let R be a ring and suppose that for each $r \in R$, $\exists n = n(r) \geq 2$ such that $r^n = r$. Then R is commutative.

Integral Domain

A commutative ring R is called an integral domain if the only zero divisor in R is 0.

That is, if $r, s \in R, r \neq 0, s \neq 0 \Rightarrow rs \neq 0$

Assignment

Prove that if R is a finite integral domain then R is a field.

Remark

If R is an integral domain $\Rightarrow 0$ and 1 are the only idempotents in R .

Characteristic

Let R be a ring.

We say that R has characteristic $n \geq 2$ if

$$1 + 1 + 1 + \dots + 1 = n \cdot 1 = 0$$

(n 1's)

and if $0 < d < n$, $1 + 1 + \dots + 1 = d \cdot 1 \neq 0$

(d 1's)

In other words, $o(1) = n$ in the group $(R, +)$

If $\nexists n \geq 2$ s.t. $1 + \dots + 1 = n \cdot 1 = 0$ then we say R has characteristic 0.

Proposition 1

Let R be an integral domain. Then either R has characteristic 0 or characteristic p , p prime

Proposition 2

Let R be a finite integral domain (from assignment \Rightarrow field)

Then \exists prime p such that $\text{char}(R) = p$ and $|R| = p^d$

Subrings

Let R be a ring and let $S \subseteq R$

Then S is a subring of R if

- $(S, +)$ is a subgroup of $(R, +)$
- S is closed under multiplication i.e. if $s_1, s_2 \in S \Rightarrow s_1 s_2 \in S$
- $1_R \in S$ is the identity of S

So S is a subset that is also a ring with $+, \times$ and $1_S = 1_R$

Centre of a Ring

Let R be a ring.

We define the centre of R

$$Z(R) = \{z \in R : zr = rz \forall r \in R\}$$

- Notice $Z(R)$ is a subring of R
- $Z(R)$ is a commutative ring.
- If D is a division ring then $Z(D)$ is a field

why? $zr = rz \Leftrightarrow rz^{-1} = z^{-1}r$

Ideals

Let R be a ring.

We say that $I \subseteq R$ is a **left ideal** of R if

- $(I, +)$ is a subgroup of $(R, +)$ under $+$
- If $r \in R$ and $\lambda \in I$ then $r\lambda \in I$

Similarly if

- Same
- If $r \in R$ and $\lambda \in I$ then $\lambda r \in I$

we say I is a **right ideal**.

An **ideal** (2-sided ideal) I is a subset that is both a left and a right ideal.

Write $I \trianglelefteq R$

Simple Ring

A R is called **simple** if (0) and R are the only **ideals** of R .

Theorem 1

Let R be a simple commutative ring.

Then R is a field. Conversely, a field is simple and commutative.

Theorem 2

Let D be a division ring.

Then $M_n(D)$ is simple.

Example Idempotent Elements

$0^2 = 0$ and $1^2 = 1$ so 0 and 1 are idempotent

$\mathbb{Z}_2 \times \mathbb{Z}_2$, $(0,1)$ is idempotent

$R = M_2(\mathbb{R})$, $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ is idempotent

Proof of Remark

Suppose $e^2 = e$. Then $e^2 - e = e(e - 1) = 0 \Rightarrow e = 0$ or $e = 1$

Example

\mathbb{Z}_n is an integral domain iff n is prime.

Why? If n is composite, $n = ab$, $1 < a, b < n$ then $[a][b] = [n] = [0]$
 $[a], [b] \neq [0]$

If n is prime p and $[a], [b] \in \mathbb{Z}_p$, $[a] \neq 0, [b] \neq 0 \Rightarrow ab \neq 0 \pmod{p} \Rightarrow [a][b] = [ab] \neq [0]$

Example Characteristic

$R = \mathbb{Z}_n$ has characteristic n

$$[1] + \dots + [1] = n \cdot [1] = [n] = [0]$$

$\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}, \mathbb{H}$ have characteristic 0

Proof of Proposition 1

Suppose $\text{char}(R) = n \geq 2$

If n is not prime, $n = ab$, $1 < a, b < n$

$$\text{Then } 0 = (1 + \dots + 1) = \underbrace{(1 + \dots + 1)}_{n \text{ times}} \underbrace{(1 + \dots + 1)}_{a \text{ times}} \underbrace{(1 + \dots + 1)}_{b \text{ times}}$$

So if R is an integral domain, either $1 + \dots + 1 = 0$ or $1 + \dots + 1 = 0$
 $\underbrace{1 + \dots + 1}_{a \text{ times}} \underbrace{1 + \dots + 1}_{b \text{ times}} = 0$

But this contradicts the fact that $\text{char}(R) = n$

Proof of Proposition 2

Let $|R| = m$

then $1, 1 + 1, 1 + 1 + 1, \dots, 1 + \dots + 1$ cannot all be different
 $\underbrace{1 + \dots + 1}_{m \text{ times}}$

So $\exists i < j$ such that $1 + \dots + 1 = 1 + \dots + 1 \Rightarrow 1 + \dots + 1 = 0 \Rightarrow \text{char}(R)$ is finite
 $\underbrace{1 + \dots + 1}_{i \text{ times}} \underbrace{1 + \dots + 1}_{j \text{ times}} \underbrace{1 + \dots + 1}_{j - i \text{ times}}$

So $\exists p$ prime such that $\text{char}(R) = p$

Now we can regard R as a \mathbb{Z}_p -vector space.

If $r, s \in R$ and $a, b \in \mathbb{Z}_p$ then

$$ar + bs = \underbrace{r + \dots + r}_a + \underbrace{s + \dots + s}_b = ar + bs$$

$$pr = 0, ps = 0$$

We say a subset r_1, \dots, r_k is linearly independent if

$$c_1 r_1 + \dots + c_k r_k = 0, \quad c_1, \dots, c_k \in \mathbb{Z}_p \Rightarrow c_1 = \dots = c_k = 0$$

As with vector spaces, we can pick a maximal linearly independent set and it will be a basis for R .

Let $\beta = \{r_1, \dots, r_d\}$ be a basis for R ($d < \infty$ since $|R| < \infty$)

Then $R = \{c_1 r_1 + \dots + c_d r_d, c_1, \dots, c_d \in \mathbb{Z}_p\}$

So $|R| = \underbrace{p \cdot p \cdot \dots \cdot p}_d = p^d$

Example Subrings

$$R = \mathbb{R}, \quad S = \mathbb{Q}$$

$$R = M_n(\mathbb{C}), \quad S = M_n(\mathbb{R})$$

$$R = M_n(\mathbb{R}), \quad S = \text{upper triangle real matrices}$$

$$R = \mathbb{R}[t], \quad S = \mathbb{R}$$

Example Ideals

Example 1

$$R = \mathbb{Z}$$

$$I = n\mathbb{Z}$$

Then I is an ideal of \mathbb{Z} . Why?

$$\text{If } na, nb \in I \Rightarrow na \pm nb = n(a \pm b) \in I$$

$$\text{If } na \in I, \text{ and } m \in \mathbb{Z} \Rightarrow m(na) = n(ma) \in I$$

Example 2

$$R = M_2(\mathbb{R})$$

$$I = \left\{ \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} : a, b \in \mathbb{R} \right\}$$

I is a right ideal but not a left ideal

$$\begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} \begin{pmatrix} x & y \\ w & z \end{pmatrix} = \begin{pmatrix} ax + bw & ay + bz \\ 0 & 0 \end{pmatrix} \in I$$

$$\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \notin I$$

Proof of Theorem 1

Let R be a simple commutative ring and let $x \in R \setminus \{0\}$

$$\text{Let } I = \{rx : r \in R\}$$

Notice that I is an ideal.

Why? $r_1 x, r_2 x \in I$, $r_1 x \pm r_2 x = (r_1 \pm r_2)x \in I$ so $(I, +)$ is a subgroup

If $a \in R$ and $rx \in I \Rightarrow arx = (ar)x \in I$, $rx a = (ar)x \in I$

Also, $1 \cdot x \in I$, $1 \cdot x \neq 0$ so $I \not\supseteq (0)$

Since R is simple, $I = R$

Theorem 2

Let D be a division ring.
Then $M_n(D)$ is simple.

Remark

- If R is a ring and $a \in R$ then
 $Ra = I = \{ra : r \in R\}$ is a left ideal
 $aR = J = \{ar : r \in R\}$ is a right ideal
 $RaR = \{\text{all finite sums of the form } ras, r, s \in R\}$ is a 2-sided ideal.

Proposition

Let R be a ring and let $a \in R$. Then

$$RaR = \left\{ \sum_{j=1}^m r_j a s_j : m \geq 1, r_1, \dots, r_m, s_1, \dots, s_m \in R \right\}$$

is an ideal and is the smallest ideal that contains a .

Remark

If I, J are left ideals of R

$$\Rightarrow I + J = \{x + y : x \in I, y \in J\} \text{ is a left ideal of } R$$

Similarly for right ideals and ideals.

Why? Check that $I + J$ is a group and if $x \in I, y \in J$ and $r \in R$ then

$$r(x + y) = rx + ry \in I + J$$

Why? $r_1 x, r_2 x \in I, r_1 x \pm r_2 x = (r_1 \pm r_2)x \in I$ so $(I, +)$ is a subgroup

If $a \in R$ and $rx \in I \Rightarrow arx = (ar)x \in I, rxa = (ar)x \in I$

Also, $1 \cdot x \in I, 1 \cdot x \neq 0$ so $I \supseteq (0)$

Since R is simple, $I = R$

So $1 \in I \Rightarrow \exists r \in R$ s.t. $1 = rx = xr$ so $x^{-1} = r$

So R is a field.

Conversely, if R is a field and if I is a nonzero ideal of R

$\exists r \neq 0$ in I . So $r^{-1} \cdot r \in I \Rightarrow 1 \in I \Rightarrow r \cdot 1 \in I \forall r \in R \Rightarrow I = R \Rightarrow R$ is simple.

Proof of Theorem 2

Let E_{ij} = matrix with zeros everywhere except 1 at i -th row and j -th column.

$$E_{ij}E_{kl} = \delta_{j,k}E_{il} = \begin{cases} E_{il} & \text{if } j = k \\ 0 & \text{otherwise} \end{cases}$$

Suppose that $I \trianglelefteq M_n(D)$ (is an ideal of) and that $I \neq (0)$

Since $I \neq (0), \exists \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix} \in I, \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix} \neq 0$

$$\begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix} = \sum_{1 \leq i, j \leq n} a_{ij}E_{ij}$$

Since

$$A = \sum_{1 \leq i, j \leq n} a_{ij}E_{ij} = \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix} \neq 0, \quad \exists i_0, j_0 \text{ s.t. } a_{i_0 j_0} \neq 0$$

$$E_{ki_0}AE_{j_0l} = E_{ki_0} \left(\sum_{i=1}^n \sum_{j=1}^n a_{ij}E_{ij} \right) E_{j_0l} = \sum_{i=1}^n \sum_{j=1}^n a_{ij}E_{ki_0}E_{ij}E_{j_0l} = \sum_{j=1}^n a_{i_0 j}E_{kj}E_{j_0l} = a_{i_0 j_0}E_{kl}$$

Since I is an ideal,

$$a_{i_0 j_0}E_{kl} = E_{ki_0}AE_{j_0l} \in I \forall k, l$$

$$\begin{pmatrix} a_{i_0 j_0}^{-1} & & \\ & \ddots & \\ & & a_{i_0 j_0}^{-1} \end{pmatrix} a_{i_0 j_0}E_{kl} = E_{kl} \in I \forall k, l$$

$$\Rightarrow E_{1,1} + E_{2,2} + \dots + E_{n,n} = \text{identity} \in I \text{ So } 1_{M_n(D)} \in I \Rightarrow I = M_n(D)$$

Proof of Proposition

- RaR is a subgroup under +:

$$\sum_{j=1}^m r_j a s_j, \quad \sum_{l=1}^n r'_l a s'_l \in RaR \Rightarrow \text{sum } r_1 a s_1 + \dots + r_m a s_m + r'_1 a s'_1 + \dots + r'_n a s'_n$$

$$\text{If } \sum_{j=1}^n r_j a s_j \in I \text{ and } x \in R$$

$$x \left(\sum_{j=1}^n r_j a s_j \right) = \sum_{j=1}^n (x r_j) a s_j \in RaR$$

$$\left(\sum_{j=1}^n r_j a s_j \right) x = \sum_{j=1}^n r_j a (s_j x) \in RaR$$

- Proof that RaR is minimal: exercise

Ideals of \mathbb{Z}

What are the ideals of \mathbb{Z} ? Answer: $I \trianglelefteq \mathbb{Z} \Leftrightarrow I = n\mathbb{Z}$ for some $n \in \mathbb{Z}$.

Proof

If $I = (0) \Rightarrow I = 0\mathbb{Z}$

If $I \neq (0) \exists n \neq 0$ in I and since $n, -n \in I$, we have a positive integer in I .

Let d be the smallest integer in I .

Claim: $I = d\mathbb{Z}$.

Why?

$I \supseteq d\mathbb{Z}$ and if $\exists k \in I \setminus d\mathbb{Z}$ then write $k = dq + r, 0 < r < d$

Then $r = k + d(-q) \in I$ but this contradicts the minimality of d .

So $I = d\mathbb{Z}$.

Quotient Rings

November-05-13 10:04 AM

Let R be a ring and let $I \trianglelefteq R$ (ideal)
 $I \trianglelefteq R$ means $(I, +)$ is a subgroup of $(R, +)$ and if $x \in I$ & $r \in R \Rightarrow rx, xr \in I$
 I is an ideal \Leftrightarrow if $x, y \in I \Rightarrow x + y \in I$ & $x \in I, r \in R \Rightarrow xr, rx \in I$

Quotient Ring

Let $I \trianglelefteq R$ be a proper ideal of R

We can form a quotient ring, R/I as follows:

We say $r_1 \sim r_2 \Leftrightarrow r_1 - r_2 \in I$. Then \sim is an equivalence relation.

Transitivity

$$r_1 \sim r_2, \quad r_2 \sim r_3 \\ r_1 - r_2 \in I, r_2 - r_3 \in I \Rightarrow (r_1 - r_2) + (r_2 - r_3) = r_1 - r_3 \in I \Rightarrow r_1 \sim r_3$$

Equivalence Classes

Given $r \in R$, we let $[r] = \{s \in R : r \sim s\} = r + I$

This is the **equivalence class** of R .

As a set, $R/I = \{[r] : r \in R\}$

Addition and multiplication are defined as one would expect. Namely,

$$[r_1] + [r_2] = [r_1 + r_2] \\ [r_1] \cdot [r_2] = [r_1 r_2] \\ 1_{R/I} = [1]$$

Well-Defined

Suppose that $r_1 \sim s_1$ and $r_2 \sim s_2$

Want to show $[r_1 + r_2] = [s_1 + s_2]$

Notice $(r_1 + r_2) - (s_1 + s_2) = (r_1 - s_1) + (r_2 - s_2) \in I$

$$\Rightarrow r_1 + r_2 \sim s_1 + s_2 \\ \Rightarrow [r_1 + r_2] = [s_1 + s_2]$$

Want to show $[r_1 r_2] = [s_1 s_2]$

$$[r_1 r_2] = [s_1 s_2] \Leftrightarrow r_1 r_2 - s_1 s_2 \in I \Leftrightarrow r_1 r_2 - r_1 s_2 + r_1 s_2 - s_1 s_2 \in I$$

$$\Leftrightarrow r_1(r_2 - s_2) + (r_1 - s_1)s_2 \in I$$

Last holds since $r_1, (r_2 - s_2), (r_1 - s_1), s_2 \in I$

Finally, $[1] \cdot [r] = [r] \cdot [1] = [r]$

So $[1]$ is an identity. Associativity and distributive rules com from R .

$$[r]([s] \cdot [t]) = [r][st] = [(rs)t] = [rs][t] = ([r][s])[t]$$

Quotient Rings

Example

$$R = \mathbb{Z}, \quad I = n\mathbb{Z}, \quad n \geq 2$$

$$R/I = \mathbb{Z}/n\mathbb{Z} = \mathbb{Z}_n$$

$$[a] = \{b \in \mathbb{Z} : a \equiv b \pmod{n}\} = \{b \in \mathbb{Z} : a - b \in n\mathbb{Z}\}$$

Example

$R = \mathbb{R}[x]$ = all polynomials with real coefficients

$I = \{p(x)(x^2 + 1) : p(x) \in \mathbb{R}[x]\} = R(x^2 + 1)$ is an ideal

What is R/I ? We'll show that R/I "looks like" \mathbb{C}

Why?

Remark 1

If $p(x) \in \mathbb{R}[x] \Rightarrow \exists a, b \in \mathbb{R}$ such that

$$[p(x)] = [ax + b]$$

Why?

$$p(x) = (x^2 + 1)q(x) + r(x), \text{ where } \deg(r) < 2$$

Polynomial division algorithm

$$\Rightarrow p(x) - r(x) = q(x)(x^2 + 1) \in I$$

$$\Rightarrow [p(x)] = [r(x)] = [ax + b]$$

+ and \times

$$[a + bx] + [c + dx] = [(a + c) + (b + d)x]$$

$$[a + bx][c + dx] = [ac + (ad + bc)x + bdx^2]$$

$$= [ac + (ad + bc)x + bd(x^2 + 1) - bd] = [(ac - bd) + (ad + bc)x]$$

"Looks like" \mathbb{C} . $(a + bi)(c + di) = (ac - bd) + (ad + bc)i$

$$(a + bi) + (c + di) = (a + c) + (b + d)i$$

Homomorphism

November-05-13 10:29 AM

Homomorphism

Let R, S be rings.

Se say that a map $f: R \rightarrow S$ is a (ring) homomorphism if

$$\begin{aligned} f(x+y) &= f(x) + f(y) \quad \forall x, y \in R \\ f(xy) &= f(x)f(y) \quad \forall x, y \in R \\ f(1_R) &= 1_S \end{aligned}$$

Note:

The last condition does not follow automatically from the first two.
For example $f(x) = 0 \quad \forall x \in R$

Remark 1

$$f(0_R) = 0_S$$

Remark 2

$$\ker(f) = \{r \in R : f(r) = 0\}$$

$\ker(f)$ is an ideal of R .

Why? If $x, y \in \ker(f) \Rightarrow f(x+y) = f(x) + f(y) = 0_S + 0_S = 0_S \Rightarrow x+y \in \ker(f)$

$$\begin{aligned} \text{If } x \in \ker(f), r \in R \Rightarrow f(rx) &= f(r)f(x) = f(r)0_S \Rightarrow rx \in \ker(f) \\ \Rightarrow f(xr) &= f(x)f(r) = 0_S f(r) = 0_S \Rightarrow xr \in \ker(f) \end{aligned}$$

Remark 3

$$f \text{ is 1-1} \Leftrightarrow \ker(f) = \{0\}$$

Why? Look at f has a group homomorphism of $(R, +)$ to $(S, +)$

$$\text{Then } f \text{ is 1-1,} \Rightarrow \ker(f) = (0_S)$$

Proposition

If $f: R \rightarrow S$ is a homomorphism, then $\text{im}(f) = \{f(r) : r \in R\} \subseteq S$ is a subring of S .

Isomorphism

$f: R \rightarrow S$ is an isomorphism if it is a homomorphism that is 1-1 and onto.

If $f: R \rightarrow R$ is a homomorphism, we call it an endomorphism

If it is an isomorphism, we call it an automorphism.

Proposition

- 1) If $f: R \rightarrow S$ is an isomorphism, $f^{-1}: S \rightarrow R$ is an isomorphism
- 2) If $f: R \rightarrow S$ and $g: S \rightarrow T$ are homomorphisms, $g \circ f: R \rightarrow T$ is a homomorphism.

Proof on Assignment

First Isomorphism Theorem

Let R, S be rings and let $f: R \rightarrow S$ be a homomorphism.

Then $R/\ker(f) \cong \text{im}(f) \subseteq S$

Proof of Proposition

- 1) $(\text{im}(f), +)$ is a subgroup of $(S, +)$ because
 $f: (R, +) \rightarrow (S, +)$ is a group homomorphism and $\text{im}(f)$ is a subgroup.
- 2) If $x, y \in \text{im}(f) \Rightarrow \begin{matrix} x = f(r_1) \\ y = f(r_2) \end{matrix} \Rightarrow xy = f(r_1)f(r_2) = f(r_1r_2) \in \text{im}(f)$
- 3) $1_S = f(1_R)$

First Isomorphism Theorem

Before we prove this, consider

$$R = \mathbb{R}[x], \quad S = \mathbb{C}$$

$$f: \mathbb{R}[x] \rightarrow \mathbb{C}, \quad f(p(x)) = p(i) \text{ homomorphism}$$

$$\ker(f) = \mathbb{R}[x](x^2 + 1)$$

Proof of First Isomorphism Theorem

Define $F: R/\ker(f) \rightarrow \text{im}(f)$

$$F([r]) = f(r)$$

Notice that if $[r] = [s]$ then $r - s = x \in \ker(f)$

$$\begin{aligned} \Rightarrow f(r - s) &= f(x) = 0, \quad f(r - s) = f(r) - f(s) \Rightarrow f(r) = f(s) \\ \Rightarrow F([r]) &= F([s]) \end{aligned}$$

Now we'll check that F is a homomorphism

- 1) $F([r] + [s]) = F([r+s]) = f(r+s) = f(r) + f(s) = F([r]) + F([s])$
- 2) $F([r][s]) = F([rs]) = f(rs) = f(r)f(s) = F([r])F([s])$
- 3) $F([1_R]) = f(1_R) = 1_S$

Notice F is onto.

$$\text{If } x \in \text{im}(f) \Rightarrow \exists r \in R \text{ s.t. } x = f(r) = F([r]) \text{ so } x \in \text{im}(F)$$

To show F is 1-1

$$F([r]) = 0 \Leftrightarrow f(r) = 0 \Leftrightarrow r \in \ker(f) \Leftrightarrow [r] = [0]$$

So $\ker(F) = \{[0]\} \Rightarrow F$ is 1-1. The result follows.

Examples

Example 1

$$\text{Let } R = \mathbb{R}[x], \quad I = \mathbb{R}[x](x - 7)$$

What is R/I ? $R/I \cong \mathbb{R}$

Why? Consider $f: \mathbb{R}[x] \rightarrow \mathbb{R}, \quad f(p(x)) = p(7)$

$$f(p(x) + q(x)) = (p+q)(7) = p(7) + q(7) = f(p(x)) + f(q(x))$$

$$f(p(x)q(x)) = p(7)q(7) = f(p(x))f(q(x))$$

$$f(1) = 1$$

$$f \text{ is onto, } \ker(f) = I$$

$$\text{So } \text{im}(f) = \mathbb{R} \cong R/I$$

Example 2

$$R = \mathbb{Q}[x], \quad I = R(x^2 - 2)$$

What is R/I ?

$$R/I \cong \mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\}$$

$$f: R \rightarrow \mathbb{Q}[\sqrt{2}] \text{ by } f(p(x)) = p(\sqrt{2}).$$

Then f is onto and $\ker(f) = I$

Example 3

$$R = \mathbb{R}[x], \quad I = R(x^3 - x) = Rx(x-1)(x+1)$$

Then $R/I \cong \mathbb{R} \times \mathbb{R} \times \mathbb{R}$

Why? $f: \mathbb{R}[x] \rightarrow \mathbb{R} \times \mathbb{R} \times \mathbb{R}$

$$f(p(x)) = (p(0), p(1), p(-1)), \quad f \text{ is a homomorphism}$$

$$p(x) \in \ker(f) \Leftrightarrow p(0) = p(1) = p(-1) = 0 \Leftrightarrow x(x-1)(x+1) | p(x)$$

$$\Leftrightarrow p(x) \in I$$

f is onto. Given $(a, b, c) \in \mathbb{R} \times \mathbb{R} \times \mathbb{R}$,

$$\text{Let } p(x) = -a(x^2 - 1) + \frac{b}{2}x(x+1) + \frac{c}{2}x(x-1)$$

$$f(p(x)) = (p(0), p(1), p(-1)) = (a, b, c)$$

Example 4

Let $R = \mathcal{C}([0, 1]) = \{f: [0, 1] \rightarrow \mathbb{R} : f \text{ is continuous}\}$

$$(f+g)(x) = f(x) + g(x)$$

$$(fg)(x) = f(x)g(x)$$

$$1_R = \text{constant function } 1$$

$$\text{Let } I = \left\{ f \in R : f\left(\frac{1}{2}\right) = 0 \right\}$$

What is R/I ? $R/I \cong \mathbb{R}$

Proof

Consider $\Psi: \mathcal{C}([0, 1]) \rightarrow \mathbb{R}, \quad \Psi(f) = f\left(\frac{1}{2}\right)$

Ψ is onto because constant map $\lambda \cdot 1 \rightarrow \lambda$

$$\ker \Psi = I$$

$$\text{So } R/I \cong \mathbb{R}$$

Example 5

For each $\alpha \in [0, 1]$

$$\text{Let } I_\alpha = \{f \in \mathcal{C}([0, 1]) : f(\alpha) = 0\}$$

If $I \subseteq \mathcal{C}([0, 1])$ and $I \subsetneq \mathcal{C}([0, 1]) \Rightarrow \exists \alpha \in [0, 1]$ such that $I \subseteq I_\alpha$

Proof

Suppose $I \subseteq \mathcal{C}([0, 1])$ and $\nexists \alpha$ such that $I \subseteq I_\alpha$

- 1) Then $\forall \alpha \in [0, 1], \exists f_\alpha \in I$ such that $f_\alpha(\alpha) \neq 0$

2) For each $\alpha \exists$ an $\epsilon_\alpha > 0$ such that $f_\alpha(x) \neq 0$ for $x \in (\alpha - \epsilon_\alpha, \alpha + \epsilon_\alpha)$

3) (Fact) $\bigcup_{\alpha \in [0,1]} (\alpha - \epsilon_\alpha, \alpha + \epsilon_\alpha) \supseteq [0, 1]$

So $\exists \alpha_1, \dots, \alpha_n$ such that

$$\bigcup_{i=1}^n (\alpha_i - \epsilon_{\alpha_i}, \alpha_i + \epsilon_{\alpha_i}) \supseteq [0, 1]$$

4) Let $g = \sum_{i=1}^n f_{\alpha_i}^2 \in I$, $g(\beta) \neq 0 \forall \beta \in [0, 1]$

5) So $h(x) := \frac{1}{g(x)}$ is continuous on $[0, 1]$
So $h \cdot g = 1 \in I \Rightarrow I \in \mathcal{C}([0, 1])$

$$\text{Let } I = \bigcup_{\alpha \in T} I_{\alpha}$$

Claim

I is a proper ideal of R .

Proof

(ideal) if $x, y \in I \Rightarrow \exists \alpha, \beta$ s. t. $x \in I_{\alpha}$ & $y \in I_{\beta}$.

Since this is a chain, either $I_{\alpha} \subseteq I_{\beta}$ or $I_{\beta} \subseteq I_{\alpha}$. WLOG $I_{\alpha} \subseteq I_{\beta}$

so $x, y \in I_{\beta} \Rightarrow x + y \in I_{\beta} \subseteq I$

Similarly, $x \in I, r \in R \Rightarrow x \in I_{\alpha} \Rightarrow rx, xr \in I_{\alpha} \subseteq I$

Notice if I were not proper then $1 \in I \Rightarrow 1 \in I_{\alpha}$ for some $\alpha \in T \Rightarrow 1_{\alpha} \notin P$ contradiction.

So I is proper.

So by Zorn's Lemma, P has a maximal element which by definition of P , is a maximal ideal.

Ideals

November-12-13 10:04 AM

Maximal Ideals

$I \trianglelefteq R$ is maximal if
 $I \subsetneq R$ and if $J \trianglelefteq R$ with $I \subsetneq J \Rightarrow J = R$

(Zorn's Lemma) \Rightarrow Maximal ideals exist

Claim

In fact, we can say more.
If $J \trianglelefteq R$ is proper then \exists a maximal ideal I that contains J

$M \trianglelefteq R$ is maximal $\Leftrightarrow R/M$ is simple
and if R is commutative $\Leftrightarrow R/M$ is a field

Prime Ideals

Let R be a ring and let $P \triangleleft R$ be a proper ideal of R .
We say that P is a **prime ideal** if whenever $a, b \in R$ are such that
 $ab \in P \forall x \in R \Rightarrow a \in P$ or $b \in P$

In the case that R is commutative, the definition becomes simpler:

$$ab \in P \forall x \in R \Leftrightarrow ab \in P$$
$$\text{Take } x = 1 \Rightarrow ab \in P \Rightarrow abx \in P \forall x \in R$$

If R is commutative and $P \trianglelefteq R$ we say that P is a **prime ideal**
if $ab \in P \Rightarrow a \in P$ or $b \in P$

Comments

For now, R is commutative.

? • Any maximal ideal is a prime ideal.
Why? Let $M \trianglelefteq R$ be maximal and suppose M is not prime.
Then $\exists a, b \in R \setminus M$ but $ab \in M$
So $Ra + M = R \Rightarrow \exists x \in R$ and $m_1 \in M$ such that $xa = m_1 = 1$
and $Rb + M = R \Rightarrow \exists y \in R$ and $m_2 \in M$ s.t. $yb + m_2 = 1$
Multiply $(xa + m_1)(yb + m_2) = 1 \cdot 1 = 1$
 $\Rightarrow xyab + xam_2 + ybm_1 + m_1m_2 = 1$
 $\quad \quad \quad \text{M} \quad \quad \text{M} \quad \quad \text{M} \quad \quad \text{M}$
Contradiction.

Note

No symbol for normal subgroup but not equal to. Using \triangleleft

Theorem

Let R be a commutative ring and let $P \triangleleft R$ be a proper ideal.
Then P is a prime ideal $\Leftrightarrow R/P$ is an integral domain.

Remark

If P is prime and $a_1 a_2 \cdots a_n \in P \Rightarrow a_i \in P$ for some i .

Remark

R commutative
If $x \in R$ and $x^n \in P \Rightarrow x \in P$
(Take $a_1 = a_2 = \cdots = a_n = x$)

Notation

If $a_1, \dots, a_k \in R$ we'll write (a_1, \dots, a_k) to denote the ideal generated by
 a_1, \dots, a_k
 $Ra_1R + \cdots + Ra_kR$ (if R commutative $= Ra_1 + \cdots + Ra_k$)

Proof of Claim

Consider the ring $S = R/J$
We know \exists a maximal ideal $M \trianglelefteq S$
By the correspondence theorem, if $f: R \rightarrow R/J = S, r \rightarrow [r] = r \cdot J$

We have $I = f^{-1}(M)$ is an ideal that contains J .

$$R = f^{-1}(S) \leftarrow S \trianglelefteq S$$
$$I = f^{-1}(M) \leftarrow M \trianglelefteq S$$

By correspondence, I is a maximal ideal and it contains J

Example Ideals

$M_2(\mathbb{C}), P = (0)$ is a prime ideal
If $A, B \in M_2(\mathbb{C})$ and $AXB = 0 \forall X \in M_2(\mathbb{C}) \Rightarrow A = 0$ or $B = 0$

$$E_{ij} = \sum_{k,l} A_{ik} X_{kl} B_{lj} = 0$$

For $X_{kl} = \delta_{kk_0} \delta_{ll_0}, E_{ij} = A_{ik_0} B_{l_0j} \Rightarrow A_{ik_0} = 0$ or $B_{l_0j} = 0$

Example

$R = \mathbb{Z}$
What are the prime ideals?
• $p\mathbb{Z}, p$ prime
If $a, b \in \mathbb{Z}$ and $ab \in p\mathbb{Z} \Leftrightarrow p|ab \Rightarrow p|a$ or $p|b \Rightarrow a \in p\mathbb{Z}$ or $b \in p\mathbb{Z}$
If $n > 2$ is not prime, write $n = ab, 1 < a, b < n$. So $ab \in n\mathbb{Z}$ but $a \notin n\mathbb{Z}, b \notin n\mathbb{Z} \Rightarrow n\mathbb{Z}$ is not prime.
• \mathbb{Z} is not a prime ideal because it is not proper
• $0 \cdot \mathbb{Z} = \{0\}$ is prime. If $ab = 0 \Rightarrow a = 0$ or $b = 0$

Example

(0) is **not** a prime ideal of $\mathbb{Z} \times \mathbb{Z}$
 $a = (1, 0) \notin (0), b = (0, 1) \notin (0)$
but $ab = (1, 0)(0, 1) = (0, 0) = (0)$

Proof of Theorem

Suppose that P is a prime ideal and suppose that $[a], [b] \in R/P$
and $[a] \cdot [b] = 0, a, b \in R$
Then $[ab] = [0] \Rightarrow ab - 0 \in P \Rightarrow ab \in P \Rightarrow a \in P$ or $b \in P \Rightarrow [a] = [0]$ or $[b] = [0] \Rightarrow R/P$ is an integral domain.

Conversely, suppose that $P \triangleleft R$ is not prime.
Then $\exists a, b \in R$ such that $a \notin P, b \notin P$ but $ab \in P$
 $[a] \neq [0]$ in $R/P, [b] \neq [0]$ in R/P
 $[a][b] = [ab] = 0$ in R/P
So R/P is not an integral domain.

Proof of Remark

Proof by induction on n .
Base case. $n = 2$: Holds.
Let $a = (a_1 \cdots a_{n-1}), b = a_n$ if $b \notin P \Rightarrow a \in P \Rightarrow a_1 \cdots a_{n-1} \in P \Rightarrow a_i \in P$ for some i by inductive hypothesis.

Example generating ideals

If $m, n \in \mathbb{Z} \setminus \{0\}$ then $(m, n) = (\gcd(m, n)) = \gcd(m, n)\mathbb{Z}$
 $(12, 8) = 12\mathbb{Z} + 8\mathbb{Z} = 4\mathbb{Z}$

Polynomial, Group, Matrix Rings

November-12-13 10:44 AM

Polynomial Ring

Let R be a ring.

We write $R[x] = \{r_0 + r_1x + \dots + r_mx^m : m \geq 0, r_1, \dots, r_m \in R\}$

Multiplication

$$(r_0 + r_1x + \dots + r_mx^m)(s_0 + s_1x + \dots + s_nx^n) = r_0s_0 + (r_0s_1 + r_1s_0)x + (r_0s_2 + r_1s_1 + r_2s_0)x^2 + \dots + r_ms_nx^{n+m}$$

Addition

$$(r_0 + \dots + r_mx^m) + (s_0 + \dots + s_nx^n) = (r_0 + s_0) + \dots + (r_{\max(n,m)} + s_{\max(n,m)})x^{\max(n,m)}$$

$$\text{Where } r_i = 0 \forall i > m, s_i = 0 \forall i > n$$

This is called the polynomial ring over R in one variable.

So $x \in Z(R[x]) : rx = xr$ (r_0)($1x$) = ($1 \cdot x$) r_0

In general, $S = R[x], s[y]$ we write $S[y] = R[x, y]$

More generally, $R[x_1, \dots, x_n] = ((R[x_1])[x_2]) \dots [x_n]$

Proposition

Let $R = F$ be a field.

Then every ideal of $F[x]$ is generated by a single element.

Group Ring

Let R be a ring.

The group ring of G over R is

$$R[G] = \{\sum_{g \in G} r_g \cdot g \mid r_g \in R, r_g = 0 \text{ for all but finitely many } g \in G\}$$

Multiplication

$$\left(\sum_{g \in G} r_g g\right) \left(\sum_{h \in G} s_h h\right) = \sum_{k \in G} \left(\sum_{g \in G} r_g s_{g^{-1}k}\right) k$$

$$(r \cdot g)(s \cdot h) = (rs)gh$$

Matrix Ring

R is a ring, $n \geq 1$

$$\text{Then } M_n(R) = \left\{ \begin{pmatrix} r_{11} & \dots & r_{1n} \\ \vdots & \ddots & \vdots \\ r_{n1} & \dots & r_{nn} \end{pmatrix} : r_{ij} \in R \right\}$$

$$(r_{ij}) + (s_{ij}) = (r_{ij} + s_{ij})$$

$$(r_{ij}) \cdot (s_{ij}) = (t_{ij}), \quad t_{ij} = \sum_{k=1}^n r_{ik}s_{kj}$$

Some Rings

A few rings related to polynomial rings

1) Laurent Polynomials

$$R[x, x^{-1}] = \left\{ \sum_{i=-M}^n r_i x^i : M, n \geq 0, r_i \in R \right\}$$

2) $R[[x]]$ formal power series

$$R[[x]] = \left\{ \sum_{n=0}^{\infty} r_n x^n : r_0, r_1, \dots \in R \right\}$$

$$(r_0 + r_1x + r_2x^2 + \dots)(s_0 + s_1x + s_2x^2 + \dots) = r_0s_0 + (r_0s_1 + r_1s_0)x + (r_2s_0 + r_1s_1 + r_0s_2)x^2 + \dots$$

Example

$$R = \mathbb{Z}, \quad \mathbb{Z}[[x]], \quad \sum_{n=0}^{\infty} n! x^n \in \mathbb{Z}[[x]]$$

Proof of Proposition

Let $I \trianglelefteq F[x]$. If $I = (0)$ the result holds.

If $I \neq (0)$, $\exists p(x) \in I$ of smallest possible degree.

Claim

$$I = (p(x)) = p(x)F[x]$$

Proof

Suppose that $\exists q(x) \in I \setminus (p(x))$.

Then $\deg(q) \geq \deg(p)$ by minimality of p .

$$q(x) = p(x)a(x) + r(x) \text{ where } r(x) = 0 \text{ or } \deg(r(x)) < \deg(p(x))$$

So $r(x) \in I : r(x) = q(x) - p(x)a(x) \in I$

If $r(x) \neq 0 \Rightarrow \deg(r(x)) < \deg(p(x))$

$$\therefore r(x) \in I \text{ this contradicts minimality of } \deg(p)$$

So $r(x) = 0 \Rightarrow q(x) = p(x)a(x) \in (p(x))$. Contradiction

So $I = (p(x))$

Example Group Rings

$$G = \mathbb{Z}_2 = \langle x \mid x^2 = 1 \rangle$$

$$\mathbb{C}[G] = \{a \cdot 1 + b \cdot x \mid a, b \in \mathbb{C}\} \cong \mathbb{C}[x]/(x^2 - 1)$$

$$(a + bx)(c + dx) = ac + adx + bcx + bdx^2 = (ac + bd) + (ad + bc)x$$

$$f: \mathbb{C}[x] \rightarrow \mathbb{C}[G], \quad x \mapsto x$$

Question

If R is a ring and G and H are groups,

Is it true that if $R[G] \cong R[H]$ as rings $\Rightarrow G \cong H$ as groups?

No

$$G = \mathbb{Z}_4, \quad H = \mathbb{Z}_2 \times \mathbb{Z}_2$$

$$\text{Claim: } \mathbb{C}[G] \cong \mathbb{C}[H] \cong \mathbb{C} \times \mathbb{C} \times \mathbb{C} \times \mathbb{C}$$

$$G = \langle x \mid x^4 = 1 \rangle \cong \mathbb{Z}_4$$

$$H = \langle u, v \mid u^2 = 1, v^2 = 1, uvv = vu \rangle \cong \mathbb{Z}_2 \times \mathbb{Z}_2$$

Let's show that $\mathbb{C}[H] \cong \mathbb{C} \times \mathbb{C} \times \mathbb{C} \times \mathbb{C}$

$$\mathbb{C}[H] = \{a + bu + cv + duv \mid a, b, c, d \in \mathbb{C}\}$$

$$\text{Let } \pi_1: \mathbb{C}[H] \rightarrow \mathbb{C}, \pi_2: \mathbb{C}[H] \rightarrow \mathbb{C}$$

$$a + bu + cv + buv \mapsto a + b + c + d, \quad a + bu + cv + duv \mapsto a + b - c - d$$

$$\pi_3(a + bu + cv + duv) = a - b + c - d$$

$$\pi_4(a + bu + cv + duv) = a - b - c + d$$

$$\text{Let } \pi: \mathbb{C}[H] \rightarrow \mathbb{C} \times \mathbb{C} \times \mathbb{C} \times \mathbb{C}$$

$$\pi(x) \mapsto (\pi_1(x), \pi_2(x), \pi_3(x), \pi_4(x))$$

$$\pi(1) = (1, 1, 1, 1)$$

$$\pi(u) = (1, 1, -1, -1)$$

$$\pi(v) = (1, -1, 1, -1)$$

$$\pi(uv) = (1, -1, -1, 1)$$

$$\begin{vmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & 1 & -1 \\ 1 & -1 & -1 & 1 \end{vmatrix} \neq 0$$

so π is onto and since $\dim(\mathbb{C}[H]) = \dim \mathbb{C}^4 = 4$ and π is a linear transform $\Rightarrow \pi$ is 1-1 and onto

Field of Fractions

November-14-13 10:03 AM

Field of Fractions

Let R be a commutative integral domain. We will construct a field F ($= \text{Frac}(R)$), called the field of fractions of R .
Let $\mathcal{R} = \{(a, b) : a \in R, b \in R \setminus \{0\}\}$. We put an equivalence relation \sim on \mathcal{R} by declaring that $(a, b) \sim (c, d) \Leftrightarrow ad = bc$

Claim

\sim is an equivalence relation.

We define $F =$ field of fractions of R to be \mathcal{R}/\sim
 $[(a, b)] = \{(c, d) \in \mathcal{R} : (a, b) \sim (c, d)\}$

$$[(a, b)] + [(c, d)] \Leftrightarrow \frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd} = [(ad + bc, bd)]$$

$$[(a, b)] \cdot [(c, d)] = [(ac, bd)]$$

Notice $[(0, 1)] = 0_F$
 $[(0, 1)] + [(a, b)] = [(a \cdot 1 + b \cdot 0, b \cdot 1)] = [(a, b)]$
 $[(1, 1)] = 1_F, [(1, 1)][(a, b)] = [(a, b)]$

Notice F is a field.

If $[(a, b)] \neq 0_F \Leftrightarrow a \neq 0 \Rightarrow [(b, a)] \in F$

So $[(a, b)] \cdot [(b, a)] = [(1, 1)] = 1_F$

So every nonzero element has an inverse.

Now that we've done this, we write $\frac{a}{b}$ for $[(a, b)] \in F$ and we have

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}, \quad \frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}$$

Chinese Remainder Theorem

Integer Version

If m_1, \dots, m_k are integers ≥ 1 with $\gcd(m_i, m_j) = 1$ for $i \neq j$ and

$a_1, \dots, a_k \in \mathbb{Z}$

$\Rightarrow \exists x \in \mathbb{Z}$ s.t. $x \equiv a_1 \pmod{m_1}, \dots, x \equiv a_k \pmod{m_k}$

General Ring Version

Let R be a ring and let I_1, \dots, I_k be ideals of R and suppose that I_1, \dots, I_k are pairwise **comaximal** (i.e. $I_i + I_j = R$ when $i \neq j$)

$$\text{Then } R / \left(\bigcap_{i=1}^k I_k \right) \cong R/I_1 \times R/I_2 \times \dots \times R/I_k$$

General

R ring

- $I_1, \dots, I_k \triangleleft R$
- $I_i + I_j = R$ for $i \neq j$

$$\text{Then } R / \left(\bigcap_{i=1}^k I_i \right) \cong R/I_1 \times \dots \times R/I_k$$

Remark

If $I \triangleleft R$, we'll write $[r]_I$ for the equivalence class for r in R/I .

If $I \triangleleft J \triangleleft R$. Then we have a "forgetful" surjective homomorphism

$$\pi: R/I \rightarrow R/J, \quad \pi([r]_I) = [r]_J$$

This is well-defined

$$[r]_I = [s]_I \Leftrightarrow r - s \in I \Rightarrow r - s \in J \because I \subseteq J \Rightarrow [r]_J = [s]_J$$

So $\pi([r]_I) = \pi([s]_I)$

Proof of Claim

Reflexivity

$$(a, b) \sim (a, b) \Leftrightarrow ab = ba, \text{ which is true}$$

Symmetry

$$(a, b) \sim (c, d) \Leftrightarrow ad = bc \Leftrightarrow cb = da \Leftrightarrow (c, d) \sim (a, b)$$

Transitivity

If $(a, b) \sim (c, d)$ and $(c, d) \sim (e, f)$

$$ad = bc \Rightarrow adf = bcf = bde \Rightarrow (af - bc)d = 0$$

$$\because d \neq 0 \text{ and } R \text{ is an integral domain, } af = bc \Rightarrow (a, b) \sim (e, f)$$

Example

$$R = \mathbb{Z}, \quad \text{Frac}(R) = \mathbb{Q}$$

$$R = \mathbb{R}[t], \quad \text{Frac}(R) = \mathbb{R}(t) = \left\{ \frac{p(t)}{q(t)} : p(t), q(t) \in \mathbb{R}[t], q(t) \neq 0 \right\}$$

$$R = \mathbb{Z}[i] = \{a + bi, a, b \in \mathbb{Z}\}$$

$$\text{Frac}(R) = \mathbb{Q}[i] = \{c + di : c, d \in \mathbb{Q}\}$$

$$a, b, e, f \in \mathbb{Z}, e, f \neq 0$$

$$\frac{a + ib}{e + if} = \frac{(a + ib)(e - if)}{e^2 + f^2} = \frac{ae + bf}{e^2 + f^2} + \frac{be - af}{e^2 + f^2}i \in \mathbb{Q}[i]$$

Example General CRT

$n = p_1^{i_1} \dots p_k^{i_k}$, p_1, \dots, p_k distinct primes.

$$\Rightarrow \mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}/p_1^{i_1}\mathbb{Z} \times \mathbb{Z}/p_2^{i_2}\mathbb{Z} \times \dots \times \mathbb{Z}/p_k^{i_k}\mathbb{Z}$$

$$\text{or } \mathbb{Z}_n \cong \mathbb{Z}_{p_1^{i_1}} \times \dots \times \mathbb{Z}_{p_k^{i_k}}$$

$$I_1 = p_1^{i_1}\mathbb{Z}, I_2 = p_2^{i_2}\mathbb{Z}, \dots, I_k = p_k^{i_k}\mathbb{Z}$$

$$I_1 \cap I_2 \cap \dots \cap I_k = n\mathbb{Z}$$

$$\text{So } \mathbb{Z}_n \cong \mathbb{Z}_{p_1^{i_1}} \times \dots \times \mathbb{Z}_{p_k^{i_k}}$$

Example 2

Let $p(x) = (x - \lambda_1)(x - \lambda_2) \dots (x - \lambda_k) \in \mathbb{R}[x]$, $\lambda_1, \dots, \lambda_k$ are distinct real numbers

Then $\mathbb{R}[x]/(p(x)) \cong \mathbb{R} \times \mathbb{R} \times \dots \times \mathbb{R}$, k times

Why? Let $I_i = (x - \lambda_i) \in (x - \lambda_i)\mathbb{R}[x]$

$\mathbb{R}[x] = I_1 + I_j$. Why?

$$(x - \lambda_i) + (x - \lambda_j) = \lambda_j - \lambda_i \in I_i + I_j \Rightarrow 1 \in I_i + I_j \Rightarrow I_i + I_j = \mathbb{R}[x]$$

Notice that $I_1 \cap I_2 \cap \dots \cap I_k = (p(x)) = p(x)\mathbb{R}[x]$

Why? $q(x) = I_k \Leftrightarrow (x - \lambda_k)|q(x)$

$$\Rightarrow q(x) = I_1 \cap I_2 \cap \dots \cap I_k \Leftrightarrow (x - \lambda_1)(x - \lambda_2) \dots (x - \lambda_k)|q(x) \Leftrightarrow p(x)|q(x) \Leftrightarrow q(x) \in (p(x)) = p(x)\mathbb{R}[x]$$

So CRT

$$\mathbb{R}[x]/(p(x)) = \mathbb{R}[x]/(I_1 \cap I_2 \cap \dots \cap I_k) \cong \mathbb{R}[x]/(x - \lambda_1) \times \dots \times \mathbb{R}[x]/(x - \lambda_k)$$

To finish, if $\lambda \in \mathbb{R}$ we have a surjective homomorphism $\phi: \mathbb{R}[x] \rightarrow \mathbb{R}$, $\phi(q(x)) = q(\lambda)$

ker $\phi = \{q(x) : q(\lambda) = 0\} = (x - \lambda)\mathbb{R}[x]$

So $\mathbb{R}[x]/(x - \lambda) \cong \mathbb{R}$

$$\therefore \mathbb{R}[x]/(p(x)) \cong \mathbb{R} \times \mathbb{R} \times \dots \times \mathbb{R}, \quad k \text{ times}$$

Proof of Chinese Remainder Theorem

$$\text{Let } L = \bigcap_{i=1}^k I_i \triangleleft R$$

Then $L \subseteq I_1, I_2, \dots, I_k$

So \exists a surjective homomorphism $\pi_i: R/L \rightarrow R/I_i$, $[r]_L \mapsto [r]_{I_i}$

We define a homomorphism

$$\phi: R/L \rightarrow R/I_1 \times R/I_2 \times \dots \times R/I_k$$

$$\text{by } \phi([r]_L) = ([r]_{I_1}, [r]_{I_2}, \dots, [r]_{I_k})$$

To show that ϕ is an isomorphism, must check that ϕ is 1-1 and onto.

To see that ϕ is 1-1, let's find ker ϕ

So $[r]_L$ is in ker $\phi \Leftrightarrow \phi([r]_L) = ([r]_{I_1}, \dots, [r]_{I_k}) = (0, \dots, 0)$

$$\Leftrightarrow [r]_i = 0 \forall i \in \{1, \dots, k\} \Leftrightarrow r \in I_i \forall i \in \{1, \dots, k\} \Leftrightarrow r \in \bigcap_{i=1}^k I_i = L \Leftrightarrow [r]_L = [0]_L$$

So ker $\phi = \{[0]_L\} \Rightarrow \phi$ is 1-1

We now show that ϕ is onto.

Claim:

$$\exists u_1, u_2, \dots, u_k \in R \text{ such that } \phi([u_i]_L) = (0, 0, \dots, 0, 1, 0, \dots, 0) \text{ 1 in position } i$$

Once we have the claim, we are done because if

$$([r_1]_{I_1}, [r_2]_{I_2}, \dots, [r_k]_{I_k}) \in R/I_1 \times R/I_2 \times \dots \times R/I_k$$

$$\text{Then } \phi([r_1 u_1 + r_2 u_2 + \dots + r_k u_k]_L) = \phi([r_1 u_1]_L) + \phi([r_2 u_2]_L) + \dots + \phi([r_k u_k]_L) = ([r_1]_{I_1}, \dots, [r_k]_{I_k})$$

$$\text{Notice that } \phi([r_i u_i]_L) = \phi([r_i]_L)\phi([u_i]_L) = ([r_i]_{I_1}, [r_i]_{I_2}, \dots, [r_i]_{I_k}) \cdot (0, 0, \dots, 0, 1, 0, \dots, 0) = (0, 0, \dots, 0, [r_i]_{I_i}, 0, \dots, 0)$$

Proof of Claim

Let's see how to construct u_1 .

Notice that $I_1 + I_2 = R \Rightarrow \exists x_2 \in I_1, y_2 \in I_2$ s.t. $x_2 + y_2 = 1 \Rightarrow y_2 = 1 - x_2$

$$I_1 + I_3 = R \Rightarrow \exists x_3 \in I_1, y_3 \in I_3$$
 s.t. $x_3 + y_3 = 1 \Rightarrow y_3 = 1 - x_3$

\vdots

$I_1 + I_k = R \Rightarrow \exists x_k \in I_1, y_k \in I_k \text{ s.t. } x_k + y_k = 1 \Rightarrow y_k = 1 - x_k$
 Let $u_1 = y_2 y_3 \dots y_k = (1 - x_2)(1 - x_3) \dots (1 - x_k)$
 Then $\phi([u_1]_L) = ([u_1]_{I_1}, [u_1]_{I_2}, \dots, [u_1]_{I_k})$
 Notice $u_1 = y_2 y_3 \dots y_j \dots y_k, j \geq 2$
 $\Rightarrow u_i \in I_j \forall j \geq 2 \because I_j \text{ is an ideal and } y_j \in I_j$
 $\Rightarrow [u_i]_{I_j} = 0 \text{ for } j = 2, \dots, k$
 Also, $[u_1]_{I_1} = [(1 - x_2)(1 - x_3) \dots (1 - x_k)]_{I_1} = [(1 - x_2)]_{I_1} \cdot [(1 - x_3)]_{I_2} \cdots [(1 - x_k)]_{I_k}$
 Notice $x_i \in I_1$ for $i = 2, \dots, k$
 so $1 \equiv 1 - x_i \pmod{I_1} \forall i$
 $\Rightarrow [1 - x_i]_{I_1} = [1]_{I_1}$
 So $\phi([u_1]_L) = ([1]_{I_1}, [0]_{I_2}, \dots, [0]_{I_k})$
 By symmetry, we can construct u_2, \dots, u_k .
 The result follows.

PIDs and UFDs

November-19-13 10:02 AM

From Now On

R is a commutative integral domain

Principal Ideal Domain

Let R be a commutative integral domain. We say that R is a **principal ideal domain** (PID) if for every ideal $I \trianglelefteq R$, $\exists f \in I$ s.t. $I = Rf = (f)$

Irreducible & Prime

In general, if R is a commutative integral domain and $f \in R$ is nonzero and not a unit, we say that f is **irreducible** if f cannot be written as a product of $a \cdot b$ with neither a nor b a unit. We say that f is **prime** if $(f) = Rf$ is a prime ideal.

Example

If $R = \mathbb{Z}$, n is irreducible $\Leftrightarrow n$ is a prime number or $-$ a prime number.

If $R = \mathbb{Z}$, n is prime $\Leftrightarrow n = \pm p$, p prime

Remark

If f is prime then f is irreducible.

Remark 2

In a PID, we have irreducible \Leftrightarrow prime.

Unique Factorization Domain

Let R be a commutative integral domain. We say that R is a unique factorization domain

- 1) If every nonzero, non-unit $r \in R$ can be written as a product of irreducible elements $r = f_1 \dots f_k$
- 2) If $r = f_1 \dots f_k = g_1 \dots g_j$ are two factorizations into irreducibles then $k = j$ and after a suitable permutation of g_1, \dots, g_k we have $f_i = u_i g_i$, u_i is a unit for $i = 1, \dots, k$

Wilson's Theorem

p prime, $p > 2 \Rightarrow (p-1)! \equiv -1 \pmod{p}$

Example PIDs

- $R = \mathbb{Z}$ is a PID
- If F is a field $\Rightarrow F[x]$ is a PID
- (assignment) $R = \left\{ \frac{a}{2^b}, a \in \mathbb{Z}, b \geq 0 \right\}$ is a PID
- A field F is a PID \rightarrow only has two ideals, $(0), F = F \cdot 1$
- $R = \mathbb{Z}[i]$ is a PID

Proof that $\mathbb{Z}[i]$ is a PID

Let $I \trianglelefteq \mathbb{Z}[i]$.

If $I = (0)$, there is nothing to show, $I = 0\mathbb{Z}[i]$

So assume that $I \neq (0)$

Given $a + ib \in \mathbb{Z}[i]$, define $a^2 + b^2 = |a + ib|^2$ to be the norm of $a + ib$

Pick $a + ib$ nonzero in I with smallest possible norm.

We claim that $I = (a + ib) = (a + ib)\mathbb{Z}[i]$

Why?

Let $c + id \in I$. Then let $x + iy = \frac{c+id}{a+ib} \in \mathbb{C}$

Pick $m + in \in \mathbb{Z}[i]$ that is closest to $x + iy$.

Then $|(x + iy) - (m + in)| \leq \frac{1}{\sqrt{2}}$

$$\Rightarrow \left| \frac{c + id}{a + ib} - (m + in) \right| \leq \frac{1}{\sqrt{2}}$$

$$\Rightarrow |c + id - (m + in)(a + ib)| \leq \frac{|a + ib|}{\sqrt{2}} = \sqrt{\frac{a^2 + b^2}{2}}$$

So if $e + fi = c + id - (m + in)(a + ib) \in I$ and $|e + fi| = \sqrt{c^2 + f^2} \leq \sqrt{\frac{a^2 + b^2}{2}}$

\Rightarrow norm of $e + fi = e^2 + f^2 \leq \frac{1}{2}$ norm of $a + ib$

Since $a + ib \in I$ is a nonzero element with smallest norm and norm of $e + fi$ is smaller

$\Rightarrow e + fi = 0 \Rightarrow c + id = (a + ib)(m + in) \Rightarrow c + id \in (a + ib) \Rightarrow I = (a + ib)$

Proof of Remark

Suppose that f is prime. Let $f = ab$ with neither a nor b a unit. $\Rightarrow ab \in (f)$

$\therefore (f)$ is prime, $ab \in (f) \Rightarrow a \in (f)$ or $b \in (f)$

WLOG $a \in (f) \Rightarrow a = fu$

$f = ab = fub \Rightarrow f(1 - ub) = 0 \Rightarrow b$ is a unit. Contradiction.

Example

If $R = \{a_0 + a_2 t^2 + a_3 t^3 + \dots + a_m t^m : m \geq 2, a_0, a_2, \dots, a_m \in \mathbb{Q}\}$

t^2 and t^3 are irreducible in R

But (t^2) is not a prime ideal: $t^3 \cdot t^3 = t^6 \in (t^2)$, but $t^3 \notin (t^2)$

Proof of Remark 2

Let $J \trianglelefteq R$ with $I \trianglelefteq J \trianglelefteq R$

Since R is a PID, $\exists a \in R$ s.t. $J = (a)$

So $I = (f) \subseteq (a) = J \Rightarrow f = ab$ for some $b \in R$

$\therefore f$ is irreducible either a or b is a unit

Case I: a is a unit

$$\Rightarrow J = aR \supseteq a(a^{-1}R) = R$$

Case II: b is a unit

$$\Rightarrow J = I \text{ because } I = fR = a(bR) = aR = J$$

So I is maximal $\Rightarrow J = (f)$ is a prime ideal $\Rightarrow f$ is prime

Example Unique Factorization

in \mathbb{Z} UFD, $6 = 2 \cdot 3 = (-3)(-2) = (-2)(-3)$

Example

Let's look at $\mathbb{Z}[i]$. Want to show $p \equiv 1 \pmod{4} \Rightarrow p = a^2 + b^2$

$$(p-1)! = 1 \cdot 2 \cdot 3 \cdots (p-1)$$

$$= (1 \cdot (p-1))(2 \cdot (p-2))(3 \cdot (p-3)) \cdots \left(\left(\frac{p-1}{2} \right) \left(p - \left(\frac{p-1}{2} \right) \right) \right)$$

$$\equiv (-1)(-4)(-9) \cdots \left(- \left(\frac{p-1}{2} \right)^2 \right) \equiv (-1)^{\frac{p-1}{2}} \left(\left(\frac{p-1}{2} \right)! \right)^2$$

$$\equiv -1 \pmod{p}$$

So if $p \equiv 1 \pmod{4}$

$$\Rightarrow \left(\left(\frac{p-1}{2} \right)! \right)^2 \equiv -1 \pmod{p}$$

$$\Rightarrow \left(\left(\frac{p-1}{2} \right)! \right)^2 + 1 \equiv 0 \pmod{p}$$

Let $N = \left(\frac{p-1}{2} \right)!$. Then $p | (N^2 + 1) \Rightarrow p | (N + i)(N - i)$ in $\mathbb{Z}[i]$

This shows that p is not prime in $\mathbb{Z}[i]$

If p were prime we would have either $N + i$ or $N - i \in (p) = p\mathbb{Z}[i]$

But this is impossible.

$$\text{If } N + i \cdot i = p(c + di) = pc + pdi$$

$$\Rightarrow pd = 1. \text{ Contradiction}$$

Since $\mathbb{Z}[i]$ is a PID and p is not prime.

So p is not irreducible

$$\begin{aligned} \Rightarrow p &= (a + ib)(c + di) \\ \text{Take modulus squared} \\ \Rightarrow p^2 &= (a^2 + b^2)(c^2 + d^2) \end{aligned}$$

Since p is a prime in \mathbb{Z} (not in $\mathbb{Z}[i]$), $a^2 + b^2 \in \{1, p, p^2\}$

Case I: $a^2 + b^2 = 1 \Rightarrow a + ib \in \{\pm 1, \pm i\}$. All units, contradiction

Case II: $a^2 + b^2 = p^2 \Rightarrow c^2 + d^2 = 1 \Rightarrow c + id \in \{\pm 1, \pm i\}$ Contradiction

Case III is only one allowed

$$\boxed{a^2 + b^2 = p}$$

Notice if $p \equiv 3 \pmod{4}$

$$p \neq a^2 + b^2 \pmod{4} \quad (0,1) \pmod{4} + (0,1) \pmod{4}$$

$$\boxed{2 = 1^2 + 1^2}$$

So this characterizes the sum of squares

Noetherian Ring

November-21-13 10:03 AM

Ascending Chains

Let R be a commutative ring.

If I_1, I_2, I_3, \dots are ideals of R with $I_1 \subseteq I_2 \subseteq I_3 \subseteq I_4 \subseteq \dots$

then we call I_1, I_2, \dots an **ascending chain** of ideals. We say that an ascending chain $I_1 \subseteq I_2 \subseteq I_3 \subseteq \dots$ **terminate** if $\exists n \geq 1$ s.t. $I_n = I_{n+1} = I_{n+2} = \dots$

We say that a ring R satisfies the **ascending chain condition** (A.S.C.) on ideals if **every** chain of ideals terminates.

Noetherian Ring

A ring R is noetherian if it satisfies A.S.C on ideals

Theorem

If R is a PID then R is noetherian.

Theorem

A ring R is noetherian \Leftrightarrow whenever \mathcal{S} is a nonempty collection of ideals of R \exists a maximal element of \mathcal{S} w.r.t. \supseteq

Note

We will use these ideas to prove that a PID is a UFT.

$\{\text{field}\} \subseteq \{\text{PID}\} \subseteq \{\text{UFD}\} \subseteq \{\text{commutative integral domain}\}$

Lemma

Let R be a PID and let r be nonzero and not a unit in R .

Then $\exists s \geq 1$ and irreducible elements $f_1, \dots, f_s \in R$ s.t. $r = f_1 f_2 \dots f_s$

Theorem

A PID is a UFD

Example

$R = \mathbb{Z}$ is noetherian.

Why?

Suppose $I_1 \subsetneq I_2 \subsetneq I_3 \subsetneq I_4 \subsetneq \dots$

$$n_2\mathbb{Z} \subsetneq n_3\mathbb{Z} \subsetneq n_4\mathbb{Z}, \quad n_1, n_2, n_3, \dots \geq 0$$

$$n\mathbb{Z} \subsetneq m\mathbb{Z} \Leftrightarrow m|n \text{ so } n_2 > n_3 > n_4 > \dots$$

So we have an infinite sequence of decreasing positive integers. This is impossible. Contradiction

Example

$R = F$, a field is noetherian

Why?

$$I \subseteq R \Rightarrow I = (0) \text{ or } I = R$$

Proof of Theorem

Let $I_1 \subseteq I_2 \subseteq I_3 \subseteq I_4$ be a chain of ideals of R .

$$\text{Let } J = \bigcup_{n=1}^{\infty} I_n \subseteq R$$

Since R is a PID, $\exists r \in R$ s.t. $R = (r) = rR$

$$r \in \bigcup_{n=1}^{\infty} I_n \Rightarrow \exists m \geq 1 \text{ s.t. } r \in I_m \Rightarrow I_m \supseteq J \Rightarrow J = I_{m+1} = I_{m+2} = \dots$$

Proof of Theorem

Suppose that R is noetherian and let \mathcal{S} be a nonempty set of ideals. Let $I \in \mathcal{S}$.

If I is maximal in \mathcal{S} , we are done.

If not, $\exists I_2 \in \mathcal{S}$ s.t. $I_2 \supsetneq I_1$

If I_2 is maximal in \mathcal{S} , we're done. Otherwise $\exists I_3 \in \mathcal{S}$ s.t. $I_3 \supsetneq I_2 \supsetneq I_1$

Continuing in this manner, we either produce a maximal element of \mathcal{S} or we

produce a non-terminating ascending chain: $I_1 \subsetneq I_2 \subsetneq I_3 \subsetneq I_4 \subsetneq \dots$

$\therefore R$ is noetherian, the latter cannot occur.

Other direction: Suppose that every non-empty set of ideals has a maximal

element and let $I_1 \subseteq I_2 \subseteq I_3 \subseteq I_4 \subseteq \dots$ be a chain. Let $\mathcal{S} = \{I_1, I_2, I_3, \dots\}$

By assumption, $\exists I_n \in \mathcal{S}$ s.t. I_n is maximal. So $I_n = I_{n+1} = I_{n+2} = \dots$

Proof of Lemma

Suppose not.

Let $\mathcal{S} = \{xR : x \text{ is not a unit, } x \neq 0 \text{ and } x \text{ doesn't factor into irreducibles}\}$

Then $\mathcal{S} \neq \emptyset$, since a PID is noetherian, $\exists r \in R$ s.t. $rR \in \mathcal{S}$ is a maximal element.

So R doesn't factor into irreducibles, in particular, r is not irreducible

(otherwise $s = 1$, $f_1 = r$ is a factorization of r)

So $\exists a, b \in R$ a, b non-units such that $r = ab$ ($(r) \subsetneq (a), (b)$)

Claim

$(r) \subsetneq (a)$ and $(r) \subsetneq (b)$

We'll show that $(r) \subsetneq (a)$. Notice $r = ab \in (a) \Rightarrow r \in (a)$

In integral domain so can cancel r in $r = rub$

So if $(r) = (a) \Rightarrow a \in (r) \Rightarrow a = ru \Rightarrow r = ab = rub \Rightarrow 1 = ub \Rightarrow b$ is a unit.

Contradiction. $\Rightarrow (r) \subsetneq (a)$

Similarly, $(r) \subsetneq (b)$

Now $(r) = rR$ is a maximal element of \mathcal{S} and since $(a), (b)$ are bigger, we see they

cannot be in \mathcal{S} . $\therefore aR, bR \notin \mathcal{S}$ by definition of \mathcal{S} , a and b factor into irreducibles,

$$a = f_1 \dots f_s, \quad b = f_{s+1} \dots f_t$$

$\Rightarrow r = ab = f_1 f_2 \dots f_s f_{s+1} \dots f_t$. Contradiction. So $\mathcal{S} = \emptyset$ and everything factors into irreducibles.

Proof of Theorem

Let $r \in R$ be a nonzero, non-identity element that does not factor uniquely.

Say $r = f_1 \dots f_m = g_1 \dots g_n$ f_i irreducible, g_i irreducible.

Among all elements r with non-unique factorizations as above, pick one with $\min(m, n)$ minimal.

Notice that $(f_1) = f_1 R$ is a prime ideal $\because f_1$ is irreducible and irred. \Leftrightarrow prime in a PID.

Notice that $r = f_1 \dots f_m \in (f_1)$ so $g_1 g_2 \dots g_n \in (f_1)$

$\because (f_1)$ is prime $\Rightarrow \exists i$ s.t. $g_i \in (f_1)$

By relabeling, we may assume that $g_1 \in (f_1)$

$\Rightarrow g_1 \in f_1 R \Rightarrow \exists a \in R$ s.t. $g_1 = f_1 a$

$\because g_1$ is irreducible, a must be a unit so $g_1 = f_1 a$

So $r = f_1 f_2 \dots f_m = (f_1 a) g_2 \dots g_m \Rightarrow r = f_2 \dots f_m = (a g_2) \dots g_m$

By minimality of $\min(m, n)$, r factors uniquely so $m - 1 = n - 1$ and f_2, \dots, f_m is up to permuting and multiplication by units, $(a g_2), g_3, \dots, g_n$

i.e. after relabeling g_i again we have $f_i = g_i u_i$, $i \geq 3$

$$f_2 = (a g_2) u_2 = g_2 (a u_2). \quad a u_2 \text{ is a unit. The result follows.}$$

Euclidean Domains

November-21-13 10:58 AM

Euclidean domains (Norm)

A Euclidean domain (ED) is a commutative integral domain R with a function $N: R \rightarrow \{0, 1, 2, \dots\}$ called the **norm** such that

- 1) $N(0) = 0$;
- 2) $N(ab) \geq N(a)$ when $b \neq 0$
- 3) If $a, b \in R, b \neq 0$ then $\exists q, r \in R$ s.t. $a = qb + r$ and $N(r) < N(b)$ or $r = 0$.

Proposition

Let R be a ED then the Euclidean algorithm holds in R .

Corollary

ED \Rightarrow PID

Examples

Example

$R = \mathbb{Z}, N(n) = |n|$

$R = F[x], F$ is a field, $N(p(x)) = \deg(p(x))$

Example

$R = F$ is a field, $N(a) = 0 \forall a \in F$

Example

$R = \mathbb{Z}[i]$ is a ED

$N(a + ib) = a^2 + b^2$

$a + ib = (c + id)(n + im) + (r + is)$

$|r + is| \leq \frac{|c + id|}{\sqrt{2}}$

So $N(r + is) \leq \frac{N(c+id)}{2}$

Proposition

Step 1

$a, b \in R, a = q_1b + r_1 \Rightarrow N(r_1) < N(b)$ or $r_1 = 0$

Step 2

$b = q_2r_1 + r_2, \quad N(r_2) < N(r_1)$ or $r_2 = 0$

$r_1 = q_3r_2 + r_3, \quad N(r_3) < N(r_2)$ or $r_3 = 0$

...

$r_{n-1} = q_{n+1}r_n + r_{n+1}, \quad r_{n+1} = 0$

So \exists some largest i s.t. $r_i \neq 0, r_{i-1} = q_{i+1}r_i + 0$

This r_i is called the gcd of a and b . Notice that $r_i \in (a, b)$

Why? Induction, $r_1 = a - q_1b \in (a, b)$

$r_2 = b - q_2r_1 \in (a, b)$

...

Also, $r_i | a$ and $r_i | b$. Why? Induction in the reverse direction.

So $(r_i) = (a, b)$

Why? $r_i \in (a, b) \Rightarrow (r_i) \subseteq (a, b)$

$r_i | a \Rightarrow a \in (r_i), \quad r_i | b \Rightarrow b \in (r_i) \Rightarrow (a, b) \subseteq (r_i)$

So $(r_i) = (a, b)$

Proof of Corollary

If $I \subseteq R, I \neq (0), R$ is a ED

Pick $x \neq 0$ in I with $N(x)$ minimal.

Claim: $I = (x)$

If $a \in I \Rightarrow a = qx + r$

$a, x \in I \Rightarrow r \in I, \quad \text{so } N(r) \text{ not } < N(x) \Rightarrow r = 0 \Rightarrow a \in (x)$

Irreducibility in UFDs

November-26-13 10:02 AM

Associates

Let R be a UFD. We say that $f, g \in R \setminus \{0\}$ are associates if $\exists u \in R^* = \text{units of } R \text{ s.t. } f = gu$

UFD

Another way of stating the UFD property is:

If $r \in R$ is nonzero and not a unit then

- 1) r factors into irreducibles f_1, \dots, f_s
- 2) If $r = f_1 \cdots f_s = g_1 \cdots g_t \Rightarrow s = t$ and after relabelling the g_i we have f_i and g_i are associates.

GCDs and LCMs

If r and s are nonzero elements of $R \exists$ irreducible elements f_1, \dots, f_m and units u_1 and u_2 s.t.

$$r = u_1 f_1^{l_1} \cdots f_m^{l_m}$$

$$s = u_2 f_1^{j_1} \cdots f_m^{j_m}$$

Where $l_k, j_k \geq 0$

We define a **gcd** of r and s to be: $f_1^{\min(l_1, j_1)} \cdots f_m^{\min(l_m, j_m)}$

and an **lcm** of r and s to be: $f_1^{\max(l_1, j_1)} \cdots f_m^{\max(l_m, j_m)}$

gcd is not unique, but if a and b are two gcds of r and s then $a \mid b$ and $b \mid a$ so $a = ub, u$ unit.

Notes

In general, π prime $\Rightarrow \pi$ irreducible.

We showed prime \Leftrightarrow irreducible in a PID. In fact, we have

Theorem

Let R be a UFD and let $r \in R$. Then r is irreducible iff r is prime.

Lemma

Let R be a UFD and let $\pi \in R$ be irreducible (= prime).

If $p(x), q(x) \in R[x]$ are such that $\pi \mid p(x)q(x)$

then either $\pi \mid p(x)$ or $\pi \mid q(x)$.

Note

Saying $\pi \in R$ divides $a(x) = a_0 + a_1x + \cdots + a_mx^m$ in $R[x]$

means $a(x) = \pi b(x)$ for some $b(x) \in R[x]$

$$\Rightarrow a(x) = \pi(b_0 + b_1x + \cdots + b_nx^n) = (\pi b_0) + (\pi b_1)x + \cdots + (\pi b_n)x^n$$

Gauß's Lemma (Gauss's Lemma)

Let R be a UFD and let F be the field of fractions of R .

If $p(x) \in R[x]$ is reducible in $F[x]$ then $p(x)$ is reducible in $R[x]$.

What does this mean?

$p(x)$ reducible in $F[x] \Leftrightarrow p(x) = a(x)b(x), a(x), b(x) \in F[x]$ neither one is a unit

$p(x)$ reducible in $R[x] \Leftrightarrow p(x) = c(x)d(x), c(x), d(x) \in R[x]$ neither one a unit.

Primitive

Let $p(x) = p_0 + p_1x + \cdots + p_mx^m \in R[x]$ be a nonzero polynomial.

We say that $p(x)$ is primitive if whenever $a \neq 0, a \mid p_0, \dots, a \mid p_m \Rightarrow a$ is a unit.

Goal

R is a UFD $\Rightarrow R[x]$ is a UFD

Corollary

R is a UFD $\Rightarrow R[x_1, \dots, x_n]$ is a UFD

Corollary

$\mathbb{Z}[x]$ is a UFD and it is not a PID

So $\text{ED} \subsetneq \text{PID} \subsetneq \text{UFD}$

Won't prove inequality part of $\text{ED} \subsetneq \text{PID}$

$$\text{Example: } \mathbb{Z} \left[\frac{1+\sqrt{-19}}{2} \right]$$

Criterion for Irreducibility

Proposition

Let R be a UFD and let $p(x) \in R[x]$ be a non-constant polynomial.

Then $p(x)$ is irreducible in $R[x]$ **if and only if** $p(x)$ is primitive **AND** $p(x)$ is irreducible in $F[x]$.

F is the field of fractions of R .

So we're now ready to prove the ultimate theorem.

Theorem

Let R be a UFD. Then $R[x]$ is a UFD.

Goal

If R is a UFD $\Rightarrow R[x]$ is a UFD $\Rightarrow R[x][y]$ is a UFD $\Rightarrow \dots \Rightarrow R[x_1, \dots, x_n]$ is a UFD.

Proof of Theorem

Already know prime \Rightarrow irreducible.

It suffices to show that if $f \in R$ is irreducible then f is prime.

So suppose f is irreducible but $(f) \in fR$ is not a prime ideal.

$\exists a, b \in R$ neither a nor b in (f) , s.t. $ab \in (f) \Rightarrow \exists s \in R$ s.t. $ab = fs$

Now we use that R is a UFD: factor $a = g_1 \cdots g_k, b = h_1 \cdots h_l$ and $s = t_1 \cdots t_m$ all irreducible. So we have two factorizations of ab

$$ab = g_1 \cdots g_k h_1 \cdots h_l = f \cdot t_1 \cdots t_m$$

By uniqueness, $\exists i$ s.t. f is an associate of either g_i or h_i .

WLOG, f is an associate of g_i . So $g_i = fv, v$ a unit.

$$a = g_1 \cdots g_{i-1} g_i g_{i+1} \cdots g_k = g_i g_1 \cdots g_{i-1} g_{i+1} \cdots g_k = f v g_1 \cdots g_{i-1} g_{i+1} \cdots g_k \in (f)$$

This is a contradiction since $a \notin (f)$

So f is prime. ■

Now we'll prove the last theorem of the course.

Theorem

Let R be an UFD. Then $R[x]$ is a UFD.

Strategy

If R is a UFD $\Rightarrow R$ is an integral domain $\Rightarrow R$ has a field of fractions F .

$$F = \left\{ \frac{r}{s} \mid r, s \in R, s \neq 0 \right\}$$

Notice we have an injective ring homomorphism $i: R \rightarrow F, i(r) = \frac{r}{1}$

Henceforth we identify R with its image in F and we write $R \subseteq F$.

Key idea: $R[x] \subseteq F[x]$

Remark

If S is a commutative integral domain then $S[x]^* = S^*$

Why?

$$s_0 + s_1x + \cdots + s_mx^m \in S[x]^*, s_m \neq 0$$

$$\Rightarrow \exists a_0 + a_1x + \cdots + a_nx^n \in S[x], a_n \neq 0 \text{ s.t.}$$

$$1 = (s_0 + s_1x + \cdots + s_mx^m)(a_0 + a_1x + \cdots + a_nx^n)$$

Notice: If $m + n > 0$ the coefficient of x^{m+n} on the LHS = 0, on RHS = $s_m a_n \neq 0$.

Contradiction

$$\text{So } m + n = 0 \Rightarrow m = n = 0 \Rightarrow s_0 a_0 = a_0 s_0 = 1 \Rightarrow s_0 \in S^*$$

Conversely, if $s \in S^* \Rightarrow \exists t \in S^* \text{ s.t. } st = ts = 1 \Rightarrow s$ is also a unit in $S[x]$

Proof of Lemma

Write $p(x) = p_0 + p_1x + \cdots + p_mx^m, q(x) = q_0 + q_1x + \cdots + q_nx^n$

We assume that $\pi \mid p(x)q(x)$.

Suppose that $\pi \nmid p(x)$ and $\pi \nmid q(x)$.

Then \exists some smallest $i_0 \geq 0$ s.t. $\pi \nmid p_{i_0}$ and \exists some smallest $j_0 \geq 0$ s.t. $\pi \nmid q_{j_0}$

We have that $\pi \mid p(x)q(x) = (p_0 + p_1x + \cdots + p_mx^m)(q_0 + q_1x + \cdots + q_nx^n)$, so π divides every coefficient of the product.

In particular, π divides the coefficient of $x^{i_0+j_0}$, which is:

$$p_0q_{i_0+j_0} + p_1q_{i_0+j_0-1} + \cdots + p_{i_0-1}q_{j_0+1} + p_{i_0}q_{j_0} + p_{i_0+1}q_{j_0-1} + \cdots + p_{i_0+j_0}q_0$$

π divides p_0, \dots, p_{i_0-1} and π divides q_0, \dots, q_{j_0-1} so π divides every term in the sum except

possibly $p_{i_0}q_{j_0}$. π divides the whole sum, so $\pi \mid p_{i_0}q_{j_0}$.

π is prime $\Rightarrow \pi \mid p_{i_0}$ or $\pi \mid q_{j_0}$. Contradiction.

The result follows.

Proof of Gauß's Lemma

Suppose that $p(x)$ is reducible in $F[x]$.

Then $p(x) = a(x)b(x), a(x), b(x) \in F[x]$, neither one a unit.

Write $a(x) = \frac{a_0}{s_0} + \frac{a_1}{s_1}x + \cdots + \frac{a_m}{s_m}x^m, a_i, s_i \in R, s_i \neq 0, m > 0$

Write $b(x) = \frac{b_0}{t_0} + \frac{b_1}{t_1}x + \cdots + \frac{b_n}{t_n}x^n, b_i, t_i \in R, t_i \neq 0, n > 0$

$$\text{Let } A = s_0 \cdots s_m, B = t_0 \cdots t_n$$

Then $Aa(x) \in R[x]$ and $Bb(x) \in R[x]$

$$\text{So } ABp(x) = ABa(x)b(x) = (Aa(x))(Bb(x))$$

$$\text{Let } f(x) := Aa(x), g(x) := Bb(x)$$

Factor AB into irreducibles: $AB = \pi_1 \cdots \pi_k, \pi_i$ not necessarily distinct.

Notice that $\pi_1 \mid AB \Rightarrow \pi_1 \mid ABp(x) \Rightarrow \pi_1 \mid f(x)g(x)$

By our Lemma, $\pi_1 \mid f(x)$ or $\pi_1 \mid g(x)$ (divides in $R[x]$)

Suppose $\pi_1 \mid f(x)$. Then $f(x) = \pi_1 f_1(x), f_1(x) \in R[x], g_1(x) = g(x)$

$$\text{So } ABp(x) = f(x)g(x) \Rightarrow \pi_1 \pi_2 \cdots \pi_k p(x) = f(x)g(x) \Rightarrow \pi_2 \cdots \pi_k p(x) = f_1(x)g_1(x)$$

Continuing in this manner, we get a factorization for

$$p(x) = f_k(x)g_k(x), f_k, g_k \in R[x]$$

Also, $\deg f_k = \deg f = m > 0$ and $\deg g_k = \deg g = n > 0$ so neither are units.

■

Example of Primitive Elements

$$R = \mathbb{Z}$$

$$4 + 12x + 6x^2 \text{ is not primitive.}$$

$$3 + 2x + 11x^2 \text{ is primitive.}$$

Proof of Proposition

If $p(x)$ is reducible in $F[x] \Rightarrow p(x)$ is reducible in $R[x]$ (Gauß's Lemma)

In other words,

If $p(x)$ is irreducible in $R[x] \Rightarrow p(x)$ is irreducible in $F[x]$.

Also, $p(x)$ must be primitive, because if not $\exists a \in R$ not a unit, that divides $p(x)$ in $R[x]$. i.e. $p(x) = aq(x)$. Contradiction.

So we've shown:

$$p(x) \text{ irreducible in } R[x] \Rightarrow \text{primitive and irreducible in } F[x].$$

Now we have to show the converse.

Suppose that $p(x)$ is not irreducible.

Then $p(x) = a(x)b(x)$ with neither $a(x)$ nor $b(x)$ in $R[x]^* = R^*$

If $a(x)$ and $b(x)$ both have degree ≥ 1 then $p(x)$ is reducible in $F[x]$ because $a(x), b(x) \notin F[x]^* = F^*$

So we may assume that $\deg(a)$ or $\deg(b)$ is zero, i.e. one is constant.

WLOG we may assume that $a(x) = a \in R$

So $p(x) = a \cdot b(x)$. Notice $a = a(x) \notin R[x]^* = R^*$

So a is not a unit in R^*

$p(x) = a(b_0 + b_1x + \dots + b_nx^n) = ab_0 + ab_1x + ab_nx^n$. So a divides every coefficient of $p(x)$ and a is not a unit so $p(x)$ is not primitive.

So we get the converse.

Proof of Theorem

The proof has two parts:

Part 1: Show every nonzero element of $R[x]$ factors into irreducibles

Part 2: Use the fact that $F[x]$ is a UFD to show that the factorization in $R[x]$ is unique up to permuting associate factors.

Proof of Part 1

We'll do this by induction on degree. Let $p(x) \in R[x]$, $p(x) \neq 0$. p has degree d .

Base Case: $d = 0$

Then $p(x) = r \neq 0$ in R

Since R is a UFD, $r = u\pi_1 \dots \pi_k$, $u \in R^* = R[x]^*$, π_1, \dots, π_k irreducible in R .

Notice π_1, \dots, π_k are irreducible in $R[x]$ and $u \in R[x]^*$

Induction

Now suppose all nonzero elements of degree $< d$ factor into irreducibles and consider the case when $\deg p(x) = d$

Case 1: $p(x) \in R[x]$ irreducible. Then we're done: $p(x) = p(x)$

Case 2:

Write $p(x) = Cp_0(x)$, $p_0(x)$ primitive.

Then $C \in R$, so it factors into irreducibles (base case)

If $p_0(x)$ is irreducible, then done.

If $p_0(x)$ is reducible then $p_0(x) = a(x)b(x)$ and $\deg a(x), b(x) > 0$

So $\deg(x), b(x) < d$

By induction hypothesis, they both factor into irreducibles.

So $p(x) = Ca(x)b(x)$ ■ (part 1)

Proof of Part 2

Let $0 \neq p(x) = p_0 + p_1x + \dots + p_dx^d \in R[x]$

Let $C = \text{gcd}$ for p_0, p_1, \dots, p_d

Then $p(x) = Cq(x)$, $q(x)$ is primitive.

Now suppose that we have two factorizations into irreducibles.

$p(x) = Cq(x) = \pi_1 \dots \pi_s f_1(x) \dots f_s(x) = \pi'_1 \dots \pi'_t g_1(x) \dots g_t(x)$

$f_1(x) \dots f_s(x)$ primitive and $\deg \geq 1$

$g_1(x) \dots g_t(x)$ primitive and $\deg \geq 1$

So that means that $C = \pi_1 \dots \pi_s = (u\pi'_1) \dots (\pi'_t)$

So $s = t$ and after permuting π_i is an associate of π'_i

So it is enough to consider the factorization $f_1(x) \dots f_s(x) = g_1(x) \dots g_t(x)$

Since each f_i is irreducible in $R[x]$, it is irreducible in $F[x]$

Since each g_i is irreducible in $R[x]$, it is irreducible in $F[x]$

So consider $r(x) \in R[x] \subseteq F[x]$

$$r(x) = f_1(x) \dots f_s(x) = g_1(x) \dots g_t(x)$$

Since $F[x]$ is a UFD, we have $s = t$ and after permuting we have $f_i(x)$ and $g_i(x)$ are associates in $F[x]$ for $i = 1, \dots, s$

So $\exists u_i \in F[x]^* = F^*$ s.t. $f_i(x) = u_i g_i(x)$

So $u_i \in F = \text{field of fractions}$ so $\exists a_i, b_i \in R$, $b_i \neq 0$ s.t. $u_i = \frac{a_i}{b_i}$

So $b_i f_i(x) = a_i g_i(x)$

Let $h_i(x) = b_i f_i(x) = a_i g_i(x)$

Both b_i and a_i are gcds of coefficients of $h_i(x)$

So $\exists v_i \in R^*$ s.t. $a_i = b_i v_i \Rightarrow u_i = \frac{a_i}{b_i} = v_i \in R^*$

So $f_i(x) = g_i(x)v_i \Rightarrow f_i$ and g_i are associates in $R[x]$

So the factorization is unique. ■

Note

If R has nonzero nilpotent elements, we do not have $R[x]^* = R^*$

e.g. $R = \mathbb{Z}_4$

$$\begin{aligned} \mathbb{Z}_4[x]: ([1] + [2]x)([1] + [2]x) &= [1] + [2]x + [2]x + [4]x = [1] \\ \Rightarrow \mathbb{Z}_4[x]^* &\not\cong \mathbb{Z}_4^* \end{aligned}$$