# CSC373 Fall'20 Final Assessment Solutions Date: December 18, 2020

# Q1 [10 Points] Find the Single Attendee

There are 2n + 1 attendees at a party, which includes *n* couples and a single person. At the end of the party, all the attendees form a line in which each person stands next to their partner, except for the single person, who stands somewhere in the line. As an example, for n = 3, the seven attendees could be standing in the order  $(A_1, A_2, B_1, B_2, C, D_1, D_2)$ , where  $(A_1, A_2)$ ,  $(B_1, B_2)$ , and  $(D_1, D_2)$  are couples and *C* is single.

Your job is to find the position of the single person (this would be 5 in the above example). But you don't know which ones are partners. All you can do is ask questions of the form "Are the *i*-th and *j*-th people in the line partners?" Design a divide-and-conquer algorithm for this problem which finds the position of the single person by asking  $O(\log n)$  questions. Justify your answer.

# Solution to Q1

Suppose A is the array of attendees. The key idea is to compare two attendees about half-way in the array. Suppose we compare A[n] and A[n+1] and they are a couple. If n is odd, then  $A[1 \dots n+1]$  is of even length, which means it must contain (n + 1)/2 couples and not the singleton. So we can search  $A[n + 2 \dots 2n + 1]$  for the singleton. Similarly, if n is even, then  $A[1 \dots n + 1]$  is of odd length, which means it must contain n/2 couples and the singleton. So we can search  $A[1 \dots n - 1]$  for the singleton (since we already know that A[n] and A[n + 1] are not the singleton). Similar conclusions hold if A[n] and A[n+1] are not a couple. Also, note that we are careful to always call our algorithm on an array of odd length that contains the singleton.

Algorithm 1: Find-the-Singleton

```
Input: Array A of length 2n + 1
1 if n = 0 then
\mathbf{2}
  return 1
3 end
4 if If A[n] and A[n+1] are a couple then
     // Search the second half if n is odd and the first half if n is even.
     return (n+1)+Find-the-Singleton(A[n+2...2n+1]) if n is odd and
\mathbf{5}
      Find-the-Singleton(A[1 \dots n-1]) if n is even
6 else
     // Search the second half if n is even and the first half if n is odd.
     return n+Find-the-Singleton(A[n+1...2n+1]) if n is even and
7
      Find-the-Singleton(A[1 \dots n]) if n is odd
8 end
```

For the worst-case number of questions, note that solving a list of length 2n + 1 requires solving a list of length at most n + 1 and a single additional question. Hence, we have the recurrence relation

 $T(2n+1) \leq T(n+1) + 1$ , which, by the master theorem, gives us  $T(n) = O(\log n)$ .

# Q2 [15 Points] Event Planner

There are *n* events, each takes one unit of time. Each event *i* will provide a profit of  $g_i$  dollars if it is started at or before time  $t_i$ , but will provide zero profit if it is not started by time  $t_i$  (so there is no point in scheduling event *i* unless it can be scheduled to start by time  $t_i$ ). Here,  $g_i, t_i \ge 0$  and  $t_i$  may *NOT* be an integer. An event can start as early as time 0 and no two events can be running simultaneously. The goal is to feasibly schedule a subset of the events to maximize the total profit.

(a) [2.5 Points] Prove that there exists an optimal schedule OPT in which every event that is scheduled is scheduled to start at an integral time. Note that in such a solution, each event i is either scheduled to start by time  $|t_i|$  or not scheduled at all.

(b) [5 Points] Design an efficient greedy algorithm which only schedules events at integral start times. [Hint: Let  $T = \max_i |t_i|$ . Think about which event you would schedule to start at time T.]

(c) [5 Points] Prove that your algorithm always returns an optimal solution.

(d) [2.5 Points] Analyze the worst-case running time of your algorithm. Explicitly state the data structures that your algorithm uses.

# Solution to Q2

(a) Consider any optimal schedule OPT' which schedules a subset of the events S and each  $i \in S$  is scheduled to start at  $s'_i$ . Next, consider the schedule OPT which also schedules the same set of events S but schedules each  $i \in S$  to start at  $s_i = \lfloor s'_i \rfloor$ .

Since  $s_i \leq s'_i$  for each  $i \in S$ , we know that each event in S is still scheduled profitably. Thus, OPT has the same profit as OPT' and it only schedules events to start at integral times. It remains to show that no two events are overlapping in OPT. But since events start at integral times and run for one unit of time, this is equivalent to proving that no two events have the same starting time under OPT.

To see this, consider any two events  $i, j \in S$ . Since OPT' is feasible,  $[s'_i, s'_i + 1)$  and  $[s'_j, s'_j + 1)$  must not overlap. This directly implies that  $\lfloor s'_i \rfloor \neq \lfloor s'_j \rfloor$ , i.e.,  $s_i \neq s_j$ , as required.

(b) We sort the events by their start deadlines and then, in a single pass, divide them into blocks  $E_0, \ldots, E_T$  such that for each  $k \in \{0, 1, \ldots, T\}$ ,  $E_k = \{i : k \le t_i < k+1\}$ . Note that events in  $E_k$  are profitable if started at time k or earlier but not if started at time k+1 or later. And note that T is the latest time at which we can start an event profitably.

Only events in  $E_T$  can be scheduled at time T. Among them, we schedule the most profitable one at time T. Then, we consider the unscheduled events in  $E_T$  along with the events in  $E_{T-1}$ , and schedule the most profitable among them at time T - 1. We continue doing this until we reach time 0. This is explained in the algorithm below.

At time k, to find the most profitable event among the unscheduled events in  $E_{k+1}, \ldots, E_T$  along with the events in  $E_k$ , we maintain a priority queue of events from which we can find the most

profitable one and delete the scheduled one quickly.

$\mathbf{A}$	lgorithn	n 2:	Greedy-Event-Scheduling
--------------	----------	------	-------------------------

1 Sort the events so that  $t_1 \leq \ldots \leq t_n$ **2**  $T \leftarrow \max_i |t_i| = |t_n|$ **3** Divide the events into buckets  $E_0, \ldots, E_T$  such that  $E_k = \{i : k \le t_i < k+1\}$  for each  $k \in \{0, 1, \dots, T\}$ 4  $Q \leftarrow$  empty priority queue **5** for  $t = T, T - 1, \dots, 0$  do Add all events in  $E_t$  to Q with their profit as the key 6 7 if Q is empty then continue 8 9 end  $i \leftarrow \text{most profitable event in } Q$ 10 Schedule event *i* to start at time  $s_i = t$ 11 Delete event i from Q1213 end

(c) We say that a schedule is integral if it only schedules events at integral start times. Part (a) shows that there exists an optimal schedule that is integral. We say that two integral schedules *match* at time t if either both schedule the same event at t or both do not schedule any event at t. The *match level* of two integral schedules is the smallest t for which they match at time t.

Let G denote our greedy schedule. Among all optimal integral schedules, let OPT be the one with the smallest match level with G. If this match level is 0, then the greedy schedule is optimal, so we are done. Otherwise, suppose this match level is t + 1. Consider time t. There are three possibilities:

- 1. OPT schedules nothing at time t while G schedules some event i. Then, i must be scheduled at some other time in OPT, otherwise scheduling i at time t would not cause any conflicts and increase the profit, which is impossible. Now, changing the start time of i to t produces an integral optimal schedule OPT' which has the same profit (event i remains profitable when started at time t because G schedules it at time t) and has match level t with G, a contradiction.
- 2. *OPT* schedules some event *i* at time *t* while *G* schedules nothing. Since *G* and *OPT* match at times  $t+1, \ldots, T$ , *i* must be unscheduled when the greedy algorithm reaches iteration for time *t*. Since *i* is profitable if scheduled at *t*, *G* cannot schedule nothing at time *t*, a contradiction.
- 3. OPT schedules some event i at time t while G schedules a different event j. Since G and OPT match at times  $t + 1, \ldots, T$ , neither have i or j scheduled after time t. Further, since i must be unscheduled at the iteration for time t in G, but it schedules event j, the profit of j must be at least as much as the profit of i. So if OPT doesn't schedule j, then we can replace i by j at time t in OPT, and if OPT does schedule j at an earlier time, then we can swap the starting times of i and j. Note that j still remains profitable since it has the same starting time as in OPT, and i only moves early so remains profitable as well. In either case, we have a new integral optimal schedule with match level t with G, a contradiction.
- (d) Sorting and grouping the events by the floor of their start time (Lines 1-3) takes  $O(n \log n)$

time. Creating the buckets then takes O(n + T) time. The loop runs for O(T) iterations, and in each iteration, finding the most profitable event and deleting the scheduled event from the priority queue takes  $O(\log n)$  time. Hence, the total running time is  $O((n + T) \log n)$ , which is not polynomial in the input length because T can be quite large.

However, we can slightly modify the algorithm such that we only create and store non-empty buckets, and every time we have an empty Q in Line 7, we reduce t directly to the time of the next non-empty bucket. Thus, we can reduce the number of iterations to the order of the number of events scheduled, which is O(n). This reduces the running time to  $O(n \log n)$ , which is polynomial. While the loop runs for O(T) steps as stated, we can slightly modify it to skip over all the consecutive trivial steps (i.e. steps in which Q is empty in Line 7), thus

# Q3 [15 Points] Protect the Paintings Again

Recall the question about protecting paintings from midterm 1. A corridor of a museum is represented by the interval [a,b] (with a < b) and contains valuable paintings. There are n guards stationed along the corridor. Guard i can protect the interval  $[s_i, f_i]$ , where  $a \le s_i \le f_i \le b$ . We say that a subset of guards  $P \subseteq \{1, \ldots, n\}$  is acceptable if the guards in P already collectively protect the entire corridor, i.e.,  $\bigcup_{i \in P} [s_i, f_i] = [a, b]$ . Assume that the set of all guards  $\{1, \ldots, n\}$  is acceptable, so there is at least one acceptable set.

In the midterm, we designed a greedy algorithm for finding an acceptable subset P of minimum cardinality |P|. Instead, suppose that each guard i has an associated non-negative cost  $c_i$ . Design a dynamic programming solution for finding an acceptable subset P with the smallest total cost  $\sum_{i \in P} c_i$ . For full credit, your solution must run in  $O(n^2)$  time and space.

[Hint: Consider the set of all the "breakpoints":  $\{a, b, s_1, f_1, s_2, f_2, \ldots, s_n, f_n\}$ . Suppose the distinct breakpoints in the ascending order are  $a = p_1 < p_2 < \ldots < p_m = b$  for some m. It may be useful to think of a subproblem where you want to cover the sub-interval  $[p_1, p_j]$  using only some of the guards. Do not forget to bound the maximum number of distinct breakpoints m in terms of n.]

(a) [5 Points] Define an array storing the necessary information from subproblems. Clearly define what each entry means and how you would compute the desired solution given this array.

(b) [5 Points] Write a Bellman equation and briefly justify its correctness.

(c) [2.5 Points] In what order would you compute the entries in a bottom-up implementation?

(d) [2.5 Points] Analyze the worst-case running time and space complexity of your algorithm.

#### Solution to Q3

(a) Sort the guards such that  $f_1 \leq \ldots \leq f_n$ . Further, as the hint suggests, sort the distinct breakpoints such that  $a = p_1 < \ldots < p_m = b$ . Now, for  $0 \leq i \leq n$  and  $1 \leq j \leq m$ , define OPT[i, j] to be the smallest cost needed to cover  $[a, p_j]$  (with j = 1, i.e., [a, a] considered trivially covered) using only the first *i* guards in the sorted order.

To reconstruct the optimal solution, we look at the Bellman equation below and define S[i, j] to be Y if guard i is used, N if guard i is not used, and  $\perp$  in the first two edge cases.

Then, to construct the final solution, we start  $P = \emptyset$ , i = n, j = m. Then, until  $S[i, j] = \bot$ , we do the following:

- If S[i, j] = Y, then  $P \leftarrow P \cup \{i\}, i \leftarrow i 1, j \leftarrow k$  (where  $p_k = s_i$ ).
- If S[i, j] = N, then  $i \leftarrow i 1$ .

At the end, we return P.

(b) The Bellman equation is as follows.

$$\begin{array}{ll} (OPT[i,j],S[i,j]) = \\ \begin{cases} (0,\bot) & \text{if } j = 1, \\ (\infty,\bot) & \text{if } j \geq 2, i = 0, \\ (OPT[i-1,j],N) & \text{if } j \geq 2, i \geq 1, p_j \notin [s_i,f_i], \\ (OPT[i-1,j],N) & \text{if } j \geq 2, i \geq 1, p_j \in [s_i,f_i], OPT[i-1,j] < c_i + OPT[i-1,k], \text{ where } p_k = s_i, \\ (c_i + OPT[i-1,k],Y) & \text{if } j \geq 2, i \geq 1, p_j \in [s_i,f_i], OPT[i-1,j] \geq c_i + OPT[i-1,k], \text{ where } p_k = s_i. \end{cases}$$

Note that choosing guard *i* can only be helpful if  $p_j \in [s_i, f_i]$ : if  $p_j < s_i$ , then the guard doesn't cover any useful portion, and if  $p_j > f_i$ , then due to the sorted order, none of guards  $1, \ldots, i$  can cover point  $p_j$  (so our recursive solution will keep calling *OPT* with one smaller *i* until it reaches i = 0 and returns  $\infty$ ). If choosing guard *i* can be helpful, then we want to consider both choosing guard *i* (in which case we only have interval  $[a, s_i]$  left to be covered) and not choosing guard *i* (in which case we still need to cover  $[a, p_i]$  with only guards  $1, \ldots, i - 1$ ).

(c) Since (OPT[i, j], S[i, j]) only depends on  $OPT[i-1, \cdot]$ , we compute them in the following order: loop over i = 0, ..., n, and for each i, loop over j = 1, ..., m.

(d) Since there are at most 2n breakpoints, we have m = O(n). Hence, both arrays require  $O(n^2)$  space. Further, computing each array entry requires O(1) times given previous entries. Hence, the worst-case running time is  $O(n^2)$  as well.

#### Q4 [15 Points] Divide the Workload

You are the CEO of a company which employs n workers to perform m tasks. Each worker i is supposed to work a total of  $w_i$  hours and each task j requires a total of  $t_j$  hours of work. Assume that  $\sum_{i=1}^{n} w_i = \sum_{j=1}^{m} t_j$ . The floor supervisor has come up with an ideal work schedule represented as matrix A, where row i represents worker i, column j represents task j, and  $A_{i,j}$  is the number of hours worker i will spend on task j. Matrix A has the property that the sum along each row iis exactly  $w_i$  and the sum along each column j is exactly  $t_j$ .

There is just one problem. The floor supervisor has taken the liberty of using fractional values for  $A_{i,j}$ -s, forgetting the recent company policy that a worker must spend an *integral* number of hours on a task. Luckily, all the  $w_i$ -s and  $t_j$ -s are integral. Your goal is to prove that it is always possible to "round" matrix A into some matrix B while preserving the row and column sums (i.e. set each  $B_{i,j}$  to be either  $\lfloor A_{i,j} \rfloor$  or  $\lceil A_{i,j} \rceil$  such that each row i of B still sums to  $w_i$  and each column j of B still sums to  $t_j$ ). The example below shows such a rounding of a  $3 \times 3$  matrix.

$$A = \begin{bmatrix} 2.6 & 0 & 0.4 & | & 3 \\ 0.8 & 2.9 & 1.3 & | & 5 \\ 1.6 & 0.1 & 5.3 & | & 7 \\ \hline & 5 & 3 & 7 & | & \end{bmatrix} \longrightarrow B = \begin{bmatrix} 2 & 0 & 1 & | & 3 \\ 1 & 3 & 1 & | & 5 \\ 2 & 0 & 5 & | & 7 \\ \hline & 5 & 3 & 7 & | & \end{bmatrix}$$

(a) [2.5 Points] Consider the matrix A' obtained by replacing each entry of A with its fractional part (e.g. replacing 1.3 with 0.3, 2.6 with 0.6, 0.1 with 0.1, etc). First, argue that A' must also have *integral* row and column sums. Next, argue that if A' can be rounded while preserving the row and column sums, then A can be as well.

(b) [10 Points] Note that each  $A'_{i,j} \in [0, 1]$ ; hence, rounding it means setting it to either 0 or 1 (except, if  $A'_{i,j} \in \{0, 1\}$  then the rounding must not change its value). Using network flow techniques, show that A' can be rounded while preserving row and column sums. Justify your answer.

[Hint: Construct a network with integral edge capacities, use A' to construct a max flow with fractional flow values on edges, and then use the integrality property of the Ford-Fulkerson algorithm (i.e. that it finds a max flow in which each edge carries an integral amount of flow).]

(c) [2.5 Points] What is the worst-case running time of the naïve Ford-Fulkerson on your network?

# Solution to Q4

(a) Let F be the matrix where  $F_{i,j} = \lfloor A_{i,j} \rfloor$ . Then, A' = A - F. Since row/column sums of both A and F are integral, the row/column sums of A' are also integral.

If A' can be rounded into B' while preserving the row/column sums, then note that B = F + B' gives a rounding of A: it has the same row/column sums as that of F + A' = A and each of its entries is either  $\lfloor A_{i,j} \rfloor + 0$  or  $\lfloor A_{i,j} \rfloor + 1$  (except it must be equal to  $\lfloor A_{i,j} \rfloor = A_{i,j}$  if  $A_{i,j}$  is an integer, i.e., if  $A'_{i,j} = 0$ ).

(b) Construct a network as follows.

- Add a source node s and a target node t.
- Add a vertex  $r_i$  for each row *i* and a vertex  $c_j$  for each column *j*.
- Add an edge  $s \to r_i$  for each *i* with capacity equal to the sum of row *i* in A'.
- Add an edge  $c_j \to t$  for each j with capacity equal to the sum of column j in A'.
- Add a unit-capacity edge  $r_i \to c_j$  for each (i, j) with  $A'_{i,j} > 0$  (i.e. those entries which can be rounded to 1).

Consider the following flow f. Every  $s \to r_i$  and  $c_j \to t$  edge is saturated, and  $f_{r_i \to c_j} = A'_{i,j}$  for each (i, j). Note that because the capacities of  $s \to r_i$  and  $c_j \to t$  edges are the sums of entries of row i and column j in A', flow conservation constraints are satisfied. Edge capacity constraints are also trivially satisfied. Hence, f is a valid flow. Further, since all edges leaving s are saturated, it must be a max flow. Hence, max flow value is  $\sum_{i,j} A'_{i,j}$ .

However, since the network has edges of integral capacity, the Ford-Fulkerson algorithm must return a flow  $f^*$  with integral flow values on edges. Define B' such that  $B'_{i,j} = 1$  if  $f^*_{r_i \to c_j} = 1$  and  $B'_{i,j} = 0$ otherwise. Then, due to the construction of the network, B' must be a rounding of A'. (c) The network in part (b) has at most  $n+m+n \cdot m = O(n \cdot m)$  edges, n+m+2 = O(n+m) nodes, and max flow value of  $\sum_{i,j} A'_{i,j} \leq n \cdot m$ . Hence, the worst-case running time of the Ford-Fulkerson algorithm is  $O(n^2m^2)$ .

#### Q5 [15 Points] Linear Programming

(a) [5 Points] Convert the following linear program to the standard form. You only need to write the final answer; no justification is needed.

$$\begin{array}{ll} \max & 3x + 5y + 2z \\ \text{s.t.} & 5y + 10z \leq 3 - 2x \\ & 2x \leq 2 - 3y - z \\ & x, y \geq 0, z \in \mathbb{R} \end{array}$$

(b) [5 Points] Write the dual of the linear program from part (a). You do *not* need to write this in the standard form and no justification is needed.

(c) [5 Points] Consider the optimization problem from part (a), but change the objective function to maximizing f(x, y, z), where

$$f(x, y, z) = \begin{cases} 3x + 5y, & \text{if } z \ge 0, \\ 3x + 5y + 2z, & \text{if } z < 0. \end{cases}$$

Note that f(x, y, z) is not linear, and hence, the new optimization problem is not linear as well. Nonetheless, show that it can be converted into an equivalent *linear* program. Provide this equivalent linear program in its standard form and justify the equivalence.

## Solution to Q5

(a)

$$\begin{array}{ll} \max & 3x + 5y + 2z' - 2z'' \\ \text{s.t.} & 2x + 5y + 10z' - 10z'' \leq 3 \\ & 2x + 3y + z' - z'' \leq 2 \\ & x, y, z', z'' \geq 0 \end{array}$$

(b) Both the dual of the original LP and the dual of the LP in the standard form would be acceptable in this part.

Dual of the original LP:

min 
$$3a + 2b$$
  
s.t.  $2a + 2b \ge 3$   
 $5a + 3b \ge 5$   
 $10a + b = 2$   
 $a, b \ge 0$ 

In the dual of the standard form LP, the 10a+b=2 constraint would be replaced by two constraints:  $10a+b \ge 2$  and  $-10a-b \ge -2$ .

(c) We can use the trick from part (a) where we replace the unrestricted z by z' - z'' with non-negative variables z' and z'', and then optimize the linear objective function 3x + 5y - 2z''.

$$\begin{array}{ll} \max & 3x + 5y - 2z'' \\ \text{s.t.} & 2x + 5y + 10z' - 10z'' \leq 3 \\ & 2x + 3y + z' - z'' \leq 2 \\ & x, y, z', z'' \geq 0 \end{array}$$

The idea is that if the optimal solution of the original program has  $z \ge 0$ , then the corresponding optimal solution in the new LP will set z'' = 0, making the objective 3x + 5y. And if the optimal solution of the original program has z < 0, then the corresponding optimal solution in the new LP will set z'' = -z, making the objective 3x + 5y - 2z'' = 3x + 5y + 2z.

Formally, we can show that the optimal values of the two programs are equal by showing that each is at least the other. If (x, y, z) is an optimal solution of the original program, then note that (x, y, z', z'') is a feasible solution of the new LP with the same objective value, where, if  $z \ge 0$  then z' = z and z'' = 0, and if z < 0, then z' = 0 and z'' = -z. Similarly, if (x, y, z', z'') is an optimal solution of the new LP, then (x, y, z = z' - z'') is a feasible solution of the original program. To claim that it has the same objective value, we need to show that z'' > 0 implies z' = 0. This is true because if both are positive, then reducing both by a small amount  $\delta$  yields a feasible solution with a better objective value, a contradiction.

# Q6 [20 Points] SAT

Recall that a CNF formula  $\varphi = C_1 \wedge \ldots \wedge C_m$  is a conjunction of clauses, where each clause is a disjunction of (any number of) literals. Recall the NP-complete problem SAT.

# SAT:

**Input:** A CNF formula  $\varphi$ .

**Question:** Does  $\varphi$  have a satisfying assignment?

Now, consider the following two variants of it.

# **TripleSAT:**

**Input:** A CNF formula  $\varphi$ .

**Question:** Does  $\varphi$  have at least *three* different satisfying assignments?

# TwoThirdsSAT:

**Input:** A CNF formula  $\varphi$ .

**Question:** Is there an assignment satisfying at least two-thirds (2/3) of the clauses of  $\varphi$ ?

- (a) [3 Points] Prove that TripleSAT is in NP.
- (b) [7 Points] Prove that TripleSAT is NP-hard through a reduction from SAT.
- (c) [3 Points] Prove that TwoThirdsSAT is in NP.

(d) [7 Points] Prove that TwoThirdsSAT is NP-hard through a reduction from SAT.

## Solution to Q6

(a) We can provide, as advice, three different satisfying assignments of  $\varphi$ .

(b) Given an instance  $\varphi$  of SAT, construct an instance  $\varphi'$  of TripleSAT by adding two fresh variables  $x_1, x_2$  and adding a clause  $(x_1 \lor x_2)$ . Note that satisfying assignments of  $\varphi'$  are formed by taking satisfying assignments of  $\varphi$  and appending  $(x_1, x_2) = (T, T)$ , (T, F), or (F, T). Hence, the number of satisfying assignments of  $\varphi'$  is exactly three times the number of satisfying assignments of  $\varphi$ , which implies that  $\varphi'$  has at least three satisfying assignments if and only if  $\varphi$  has a satisfying assignment, implying that both instances have the same answer.

(c) We can provide, as advice, an assignment that satisfies at least two-thirds of the clauses of  $\varphi$ .

(d) Given an instance  $\varphi$  of SAT with *n* variables and *m* clauses, construct an instance  $\varphi'$  of TwoThirdsSAT by adding *m* fresh variables  $x_1, \ldots, x_m$  and 2m clauses  $x_1, \bar{x}_1, \ldots, x_m, \bar{x}_m$ . Note that  $\varphi'$  has 3m clauses and every assignment satisfies exactly *m* of the 2m newly added clauses. Hence, an assignment of  $\varphi'$  satisfies at least 2m of its clauses if and only if the corresponding assignment of  $\varphi$  satisfies all its *m* clauses. Hence, both instances have the same answer.

# Q7 [15 Points] Sabotage!

There is an undirected graph G = (V, E), where nodes in V are servers and edges in E are cables running between pairs of servers. A set of k > 2 servers  $S = \{v_1, \ldots, v_k\} \subseteq V$  is trying to collaboratively solve a problem and you want to sabotage this!

Specifically, you want to remove a subset of edges  $T \subseteq E$  such that all nodes in S become disconnected from one another (i.e. there is no path left between any two of them). You want |T| to be as small as possible. Consider the following greedy algorithm.

Algorithm 3: Greedy-Sabotage

1 for i = 1, ..., k do

- 2 Let  $E_i$  be the smallest subset of edges we need to remove to disconnect  $v_i$  from every other node in S. (It turns out that  $E_i$  can be computed efficiently, but do not worry about this.)
- 3 end

4 Remove the union of k-1 smallest  $E_i$ -s (i.e. the union of all but the largest  $E_i$ ).

(a) [5 Points] Prove that Greedy-Sabotage returns a feasible solution T (i.e. removing the set of edges T it returns will indeed disconnect every pair of nodes in S).

(b) [10 Points] Prove that Greedy-Sabotage achieves a 2 - 1/k approximation ratio. For partial credit, prove a slightly weaker approximation ratio of 2.

[Hint: Let  $T^*$  denote the optimal solution. For each  $i \in \{1, \ldots, k\}$ , let  $V_i \subseteq V$  denote the set of nodes in the connected component containing  $v_i$  (but no other node in S) that remains after removing  $T^*$ . Can you relate the number of edges in  $T^*$  with one endpoint in  $V_i$  with  $|E_i|$ ?]

# Solution to Q7

(a) Let T be the set of edges removed by Greedy-Sabotage. Consider any two nodes  $a, b \in S$ . Since T is the union of k-1 of the  $E_i$ -s, we have that either  $E_a \subseteq T$  or  $E_b \subseteq T$ . Hence, either a or b must be disconnected from all other nodes in S after removal of T, which implies that a and b are not connected to each other after removal of T. Hence, Greedy-Sabotage returns a feasible solution.

(b) Let  $E_i^*$  be the number of edges in  $T^*$  with one endpoint in  $V_i$ . Since removing  $E_i^*$  disconnects  $V_i$  from the rest of the graph, it also disconnects  $v_i$  from the other nodes in S. Since  $E_i$  is the smallest set that does this, we have  $|E_i^*| \ge |E_i|$ .

Note that  $2T^* \ge \sum_i |E_i^*| \ge \sum_i |E_i|$ , where the first transition holds because each edge in  $T^*$  is counted at most twice (once for each endpoint) in the sum on the RHS. Since T omits the  $E_i$  with the largest cardinality (which must have cardinality at least  $(1/k) \sum_i |E_i|$ ), we have that  $T \le (1-1/k) \cdot \sum_i |E_i|$ . Hence,  $2(1-1/k) \cdot T^* \ge T$ , which means our greedy algorithm achieves an approximation factor of 2 - 2/k (which is actually better than 2 - 1/k).