

## Lecture 6: Secret-key Encryption and Digital Signature

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**Date:** 23 October, 2023**Disclaimer:** *These notes have not been subjected to the usual scrutiny reserved for formal publications. They may be distributed outside this class only with the permission of the Instructor.***Recap** Last class we talked about:**Pseudo-random Function:** functions that seem indistinguishable to a computationally bounded attacker.**Secret-key Encryption:**

$$\begin{aligned} \text{KeyGen}(1^n) &\rightarrow \text{Key } sk \\ \text{Enc}(sk, m) &\rightarrow \text{Ciphertext } c \\ \text{Dec}(sk, c) &\rightarrow m \end{aligned}$$

This is also known as "Symmetric-key Encryption" because for both side the key SK is pre-shared(identical).

**Two properties for secret-key encryption:**

- Correctness:

$$\forall m, \Pr_{sk \leftarrow \text{KeyGen}(1^n), c \leftarrow \text{Enc}(sk, m)} [\text{Dec}(sk, c) = m] = 1$$

- Security (Multi-message):  $\forall (m_{0,1}, m_{1,1}), \dots (m_{0,q}, m_{1,q})$  for any polynomial  $q$ :

$$\begin{aligned} sk \leftarrow \text{KeyGen}(1^n), \{\text{Enc}(sk, m_{0,1}), \dots, \text{Enc}(sk, m_{0,q})\} &\approx_c \\ sk \leftarrow \text{KeyGen}(1^n), \{\text{Enc}(sk, m_{1,1}), \dots, \text{Enc}(sk, m_{1,q})\} & \end{aligned}$$

The Multi-message Secure Encryption is also known as "Left-Right Encryption" because the encryptions of the left and right messages should be computationally indistinguishable.

**Good Exercise:** Suppose we are playing the following game between Adversary and Challenger:

$$\begin{aligned} & \text{Adv} \quad \text{Challenger}, sk \leftarrow \text{KeyGen}(1^n) \\ & \text{Adv} \xrightarrow{(m_{0,1}, m_{1,1}), \dots (m_{0,q}, m_{1,q})} \text{Challenger} \\ & \text{Adv} \xleftarrow{\{\text{Enc}(sk, m_{b,i})\} \quad i \in [1, q]} \text{Challenger}, b \leftarrow \{0, 1\} \\ & \text{Adv} \xrightarrow{b'} \text{Challenger}, \text{ and we want } \Pr[b' = b] \leq \frac{1}{2} + \text{negl} \end{aligned}$$

Note the fact that  $\Pr[b' = b] \leq \frac{1}{2} + \text{negl}$  is equivalent to the security property above.

**What do we have so far?** Based on what we did in the past few lectures, we have the following transformations:

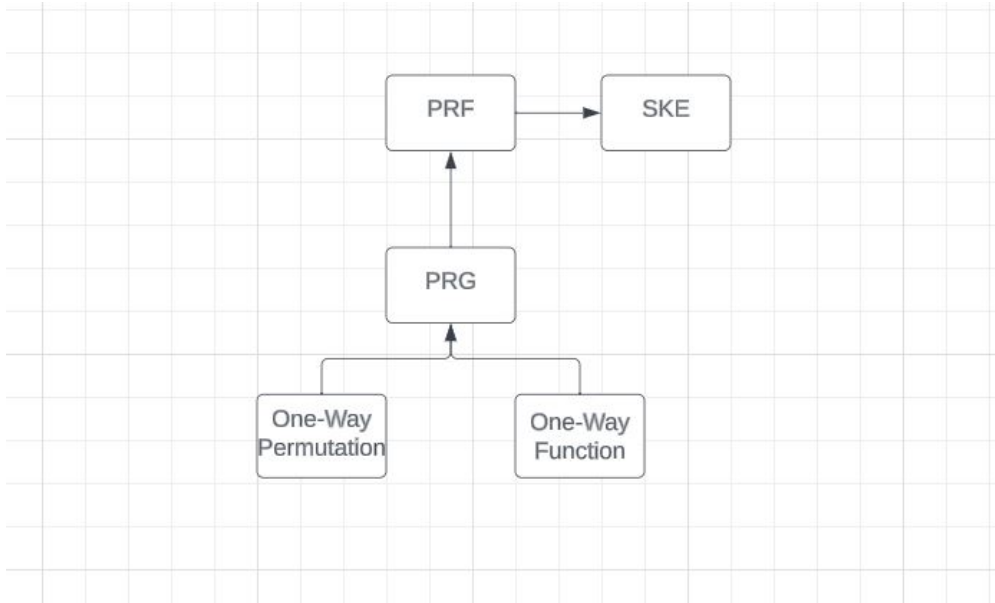


Figure 6.1: Our Transformation Tree So Far

## 6.1 Secret-key Encryption (Multi-message):

**Secret-key Encryption:** (Setup, Eval) be a PRF.

- $KeyGen(1^n)$ :  $k \leftarrow \text{Setup}(1^n)$ ,  $sk = k$
- $Enc(sk, m)$ :  $m \in \{0, 1\}^n$ ,  $r \leftarrow \{0, 1\}^n$ ,  $c = (r, \text{Eval}(k, r) \oplus m)$
- $Dec(sk, c)$ :  $c = (c_1, c_2)$ , where  $c_1 = r$ ,  $c_2 = \text{Eval}(k, r) \oplus m$ , output  $c_2 \oplus \text{Eval}(k, c_1)$

**Proof of Correctness:** We can tell this from the decryption method where it outputs:  $c_2 \oplus \text{Eval}(k, c_1)$  then the Eval() term got cancelled out because it's been XORed by itself and we can obtain the message  $m$ . Therefore, as long as we have the pre-shared key, we are able to retrieve the message  $m$ , the encryption method is correct.

**Proof of Security:** We will prove this using Hybrid Argument.

- Left Hybrid ( $LH$ ):

$sk \leftarrow \text{KeyGen}(1^n)$

$\text{Enc}(sk, m_{0,1}) \dots \text{Enc}(sk, m_{0,q})$

Then:

$k \leftarrow \text{Setup}(1^n), \quad r_1 \leftarrow \{0, 1\}^n, \quad r_2 \leftarrow \{0, 1\}^n, \quad \dots$

$(r_1, \text{Eval}(k, r_1) \oplus m_{0,1}), \quad (r_2, \text{Eval}(k, r_2) \oplus m_{0,2}), \quad \dots$

Here the only primitive is the PRF.

It guarantees that its output is computationally indistinguishable from the output of a RF

- $H_1$ :

$r_1 \leftarrow \{0, 1\}^n, \dots, r_q \leftarrow \{0, 1\}^n$

$y_1, \dots, y_q$  sampled conditioned on  $y_i = y_j$  if  $r_i = r_j$

$(r_1, y_1 \oplus m_{0,1}), \dots, (r_q, y_q \oplus m_{0,q})$

Suppose  $LH$  and  $H_1$  are distinguishable, that is:

$\exists D$ , s.t.  $|\Pr[D(LH) = 1] - \Pr[D(H_1) = 1]| = \mu(n)$ , which is non-negligible

We can then construct  $D'$  that breaks PRF:

1.  $D'$  randomly samples  $r_1, \dots, r_q \in \{0, 1\}^n$
2.  $D'$  queries the oracle on  $O(r_1), \dots, O(r_q)$ , denoted as  $s_1, \dots, s_q$
3.  $D'$  outputs  $D((r_1, s_1 \oplus m_{0,1}), \dots, (r_q, s_q \oplus m_{0,q}))$

Note the probability that  $D'$  distinguishes between the two outputs of  $O(\cdot)$  is the same as the probability that  $D$  distinguish between  $LH$  and  $H_1$ . (When  $D'$  uses  $\text{Eval}()$ , it is the same case as  $LH$ , and when it uses  $f(\cdot)$ ,  $f \in F_n$ , it is the same case as  $H_1$ .)

- $H_2$ : Suppose  $\exists i, j$  s.t.  $r_i = r_j$ , we abort.

Note that fix some  $i, j$ :  $\Pr[r_i = r_j] = \frac{1}{2^n}$ , then  $\Pr[\exists i, j \text{ s.t. } r_i = r_j] \leq \frac{q^2}{2^n}$ , where  $q$  is a poly(), which indicates that  $H_1$  and  $H_2$  are computationally indistinguishable.

- $H_3$ :

$r_1 \leftarrow \{0, 1\}^n, \dots, r_q \leftarrow \{0, 1\}^n$

$y_1, \dots, y_q$  sampled conditioned on  $y_i = y_j$  if  $r_i = r_j$

$(r_1, y_1 \oplus m_{1,1}), \dots, (r_q, y_q \oplus m_{1,q})$

Note that  $H_3$  is identically distributed to  $H_2$  since each  $y_1, \dots, y_q$  are sampled uniformly and independently.

- $H_4$ : Revert the change made in  $H_2$ . Via a similar argument, we can show that  $H_3$  and  $H_4$  are indistinguishable.

- $H_5$ : Switch to  $\text{Eval}(k,r)$ , then we can tell that:  $H_5 \approx_c$  Right Hybrid

From above, for each step, the consecutive pair of Hybrids are computationally indistinguishable, so at the end we can get Left Hybrid  $\approx_c$  Right Hybrid, which is then a contradiction to our assumption, the proof is done.

## 6.2 Digital Signature

This can be used to check the integrity of the data.

### Motivation/Real-life Example:

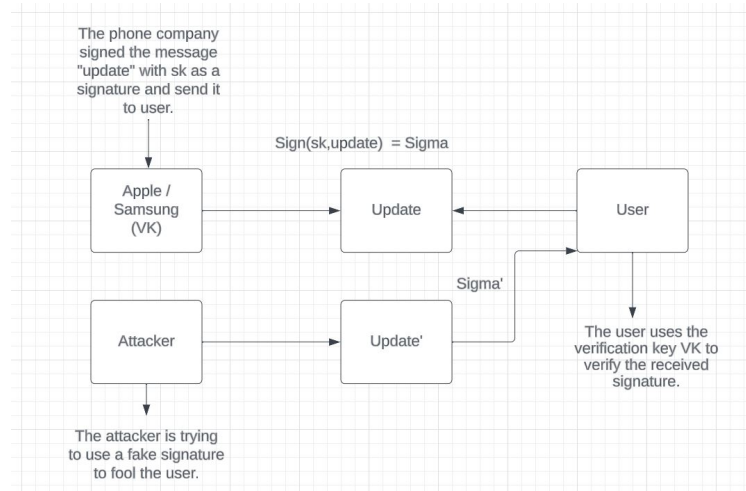


Figure 6.2: Real-life Example for Digital Signature

### Functions:

$$\begin{aligned} \text{KeyGen}(1^n) &\rightarrow (sk, vk) \\ \text{Sign}(sk, m) &\rightarrow \sigma \\ \text{Verify}(vk, (m, \sigma)) &\rightarrow \text{accept/reject} \end{aligned}$$

We require the signature scheme to satisfy two properties: namely, correctness and security.

**Correctness:** This requires that  $\text{Verify}(vk, (m, \sigma))$  will all signatures  $\sigma$  that are properly generated using  $sk$ . And the probability that it will accept a correct signature is 1.

**Security:** To prove this, consider the following game:

The challenger generates a pair of keys:  $sk$  and  $vk$ , it gives the adversary  $vk$ , but keeps the  $sk$  secret. The adversary can now make signing queries, where it send a message  $m$  to the challenger, and the challenger returns the signature of the message. After  $q$  number of queries, the adversary tries to produce a new valid signature on a new message. The adversary wins the game if it can produce a valid signature on a new

message without access to the sk.

$\text{Adv} \xleftarrow{vk} \text{Challenger}, (sk, vk) \leftarrow \text{KeyGen}(1^n)$   
 $\text{Adv} \xrightarrow{m_1} \text{Challenger}$   
 $\text{Adv} \xleftarrow{\sigma_1} \text{Challenger}, \sigma_1 \leftarrow \text{Sign}(sk, m_1)$   
 .....  
 $\text{Adv} \xrightarrow{m_q} \text{Challenger}$   
 $\text{Adv} \xleftarrow{\sigma_q} \text{Challenger}, \sigma_q \leftarrow \text{Sign}(sk, m_q)$   
 $\text{Adv} \xrightarrow{(m^*, \sigma^*), m^* \notin \{m_1 \dots m_q\}} \text{Challenger}, \text{if } \text{Verify}(vk, (m^*, \sigma^*)) = \text{accept}, \text{Adv wins.}$

To show security, we need to prove that for any PPT adversary  $A$ , we have  $\Pr[\text{Adv wins}] \leq \text{negl}(n)$

**One-time Signature( $q = 1$ ):** We will start with a weaker version where we only require security to hold as long as  $q = 1$ . We call such a signature scheme to be one-time secure signature.

- Let  $\{f_n : \{0, 1\}^n \rightarrow \{0, 1\}^n\}$  be a one-way function.
- $\text{KeyGen}(1^n)$ : sample a  $2 * n$  matrix where each entry is  $x_{i,b} \leftarrow \{0, 1\}^n$

$$\begin{bmatrix} x_{1,0} & \dots & x_{n,0} \\ x_{1,1} & \dots & x_{n,1} \end{bmatrix} = sk, \begin{bmatrix} f_n(x_{1,0}) & \dots & f_n(x_{n,0}) \\ f_n(x_{1,1}) & \dots & f_n(x_{n,1}) \end{bmatrix} = vk \quad (6.1)$$

- $\text{Sign}(sk, m \in \{0, 1\}^n)$ :  $m = (m_1, \dots, m_n), \sigma = (x_{1,m_1}, \dots, x_{n,m_n})$
- $\text{Verify}(vk, (m, \sigma))$ :  $f_n(\sigma_i) = vk_{i,m_i}$  for all  $(\sigma_1, \dots, \sigma_n)$

**Proof of One-time Security:** Consider the following game, note that we are only able to query once instead of  $q$  times as above.

$\text{Adv} \xleftarrow{vk} \text{Challenger}$   
 $\text{Adv} \xrightarrow{m} \text{Challenger}$   
 $\text{Adv} \xleftarrow{\sigma} \text{Challenger}$   
 $\text{Adv} \xrightarrow{(m^*, \sigma^*)} \text{Challenger } m^* \neq m$

Suppose we have  $B$  that knows  $f_n(x)$ :  $B$  will play the challenger role and try to invert  $f_n(x)$ , and we will use this to break one-wayness of  $f_n(x)$

$i^* \in \{1, \dots, n\}, b^* \in \{0, 1\}, vk_{i^*, b^*} = f(x), B \xrightarrow{vk} \text{Adv}$   
 $B \xleftarrow{m} \text{Adv}$ , if  $m_{i^*} = b^*$  abort 1  
 $B \xrightarrow{\sigma} \text{Adv}$   
 $B \xleftarrow{(m^*, \sigma^*)} \text{Adv}$ , if  $m_{i^*}^* \neq b^*$  abort 2  
 if not  $\sigma_{i^*}^*$  is a pre-image of  $f(x)$

**Normal game:** By contrast, if a normal game is played:  $\text{Adv} \xrightarrow{(m^*, \sigma^*)} \text{Challenger}$ , now suppose  $\Pr[\text{Adv wins}] = \mu(n)$ , which is non-negligible.

$H_1$ :

$\text{Adv} \xleftarrow{vk} \text{Challenger}$ ,  $i^* \in \{1, \dots, n\}, b^* \in \{0, 1\}$   
 $\text{Adv} \xrightarrow{m} \text{Challenger}$ , if  $m_{i^*} = b^*$  abort 1  
 $\text{Adv} \xleftarrow{\sigma} \text{Challenger}$ , note that  $\sigma$  doesn't give any information of  $i^*$   
 $\text{Adv} \xrightarrow{(m^*, \sigma^*)} \text{Challenger}$ , if  $m_{i^*}^* \neq b^*$  abort 2

The probability  $\Pr[\text{Adv wins in } H_1] = \frac{1}{2} \times \frac{1}{n} \times \mu(n) = \frac{\mu(n)}{2n}$ , because  $i^* \in \{1, \dots, n\}, b^* \in \{0, 1\}$ . We can use the adversary in  $H_1$  to invert the one-way function by embedding the one-way function challenge at position  $(i^*, b^*)$ . This is a contradiction.