## Lecture 3: Proof of Goldreich-Levin Theorem

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In the last class, we argued that if $\left\{h_{n}\right\}_{n \in \mathbb{N}}$ is a hard-core predicate for $\left\{f_{n}\right\}_{n \in \mathbb{N}}$, then $\left\{f_{n}\right\}_{n \in \mathbb{N}}$ is a one-way function. This shows that one-way functions are necessary for the existence of hard-core predicates. In this lecture, we will show that they are sufficient.

Theorem 3.1 (Goldreich-Levin [GL89]) If one-way functions (OWFs) exist, then there $\exists\left\{g_{n}, h_{n}\right\}_{n \in \mathbb{N}}$ s.t. and $\left\{h_{n}\right\}_{n \in \mathbb{N}}$ is a hard-core predicate for $\left\{g_{n}\right\}_{n \in \mathbb{N}}$.

### 3.1 Proof of Theorem 3.1

Let $f=\left\{f_{n}\right\}_{n \in \mathbb{N}}$ be a one-way function where

$$
f_{n}:\{0,1\}^{k(n)} \longrightarrow\{0,1\}^{m(n)}, \forall n \in \mathbb{N}
$$

Let's define another family of functions $g=\left\{g_{n}\right\}_{n \in \mathbb{N}}$ where

$$
g_{n}=\{0,1\}^{2 k(n)} \rightarrow\{0,1\}^{k(n)+m(n)}, \forall n \in \mathbb{N}
$$

where input to $g_{n}$ is split in 2 parts $x$ and $r$, each consisting of $k(n)$ bits. We use $\left(x_{1}, \ldots, x_{k(n)}\right)$ to denote the bit representation of $x$ and $\left(r_{1}, \ldots, r_{k(n)}\right)$ denote the bit representation of $r$.

We define $g_{n}$ in the following way:

$$
g_{n}(x, r)=f_{n}(x) \| r, \forall n \in \mathbb{N}, \text { where } \| \text { represents concatenation operation }
$$

We also define $h=\left\{h_{n}\right\}_{n \in \mathbb{N}}$ in the following way:

$$
h_{n}(x, r)=\langle x, r\rangle, \forall n \in \mathbb{N}, \text { where }\langle x, r\rangle \text { represents }\left(\sum_{i=1}^{k(n)} x_{i} \cdot r_{i}\right) \bmod 2
$$

We will now prove that $h$ is a hard-core predicate for $g$. A necessary condition for this to happen is that $g$ is one-way. Let's verify that this is indeed the case.

Claim 3.2 $g$ is one-way if $f$ is one-way.


Figure 3.1: Construction of inverter for $f_{n}$ to prove the one-wayness of $g_{n}$.

Proof: Suppose by contradiction that $g$ is not a OWF. This means that there exists a non-uniform PPT $\mathcal{A}$ that can invert $g$ with non-negligible probability. We will use $\mathcal{A}$ to design an inverter $\mathcal{B}$ for $f$.

The construction of $\mathcal{B}$ is given in Figure 3.1. On input $\left(1^{k(n)}, f_{n}(x)\right)$, $\mathcal{B}$ samples $r$ randomly. It passes $\left(1^{2 k(n)}, f_{n}(x) \| r\right)$ to $\mathcal{A}$. $\mathcal{A}$ outputs $\left(x^{\prime}, r\right)$ and $\mathcal{B}$ outputs $x^{\prime}$.

It can be easily verified that the probability that $\mathcal{B}$ inverts $f$ is at least the probability that $\mathcal{A}$ inverts $g$, which is assumed to be non-negligible. This contradicts the one-wayness of $f$.

We just verified that $g$ is a one-way function. But this doesn't still prove that $h$ is a hard-core predicate for $g$. Assume for the sake of contradiction that $h$ is not a hard-core predicate. This means that there exists a nuPPT $\mathcal{A}$ and polynomial $p$ such that for infinitely many $n$, we have:

$$
\operatorname{Pr}_{(x, r) \leftarrow\{0,1\}^{2 k(n)}}\left[\mathcal{A}\left(1^{2 k(n)}, f_{n}(x) \| r\right)=h_{n}(x, r)\right] \geq \frac{1}{2}+\frac{1}{p(n)}
$$

We will use $\mathcal{A}$ to design an inverter $\mathcal{B}$ for $f$.

### 3.1.1 Easy Case

Let's first consider the case where $\mathcal{A}$ predicts $h$ with probability 1 :

$$
\operatorname{Pr}_{(x, r) \leftarrow\{0,1\}^{2 k(n)}}\left[\mathcal{A}\left(1^{2 k(n)}, f(x) \| r\right)=h_{n}(x, r)\right]=1
$$

We will design $\mathcal{B}$ as follows. Let $e_{i}=(0,0,0, \ldots, 0,1,0, \ldots, 0)$ be a vector of length $k(n)$ that has 1 in the $i$-th position. If we pass a value $f_{n}(x) \| e_{i}$ to $\mathcal{A}, \mathcal{A}$ would always correctly compute the value of $i$-th bit of $x$ correctly due to the fact that $\mathcal{A}$ is always correct. We can pass $e_{1}, \ldots, e_{k(n)}$ through $\mathcal{A}$ to compute each bit of $x$. This inverter always succeeds and this contradicts the one-wayness of $f$.

### 3.1.2 Non-Trivial Case

Let's now weaken the requirements that $\mathcal{A}$ predicts $h$. Specifically, let us consider the case where

$$
\operatorname{Pr}_{(x, r) \leftarrow\{0,1\}^{2 k(n)}}\left[\mathcal{A}\left(1^{2 k(n)}, f_{n}(x) \| r\right)=h_{n}(x, r)\right] \geq \frac{3}{4}+\frac{1}{p(n)}
$$

for infinitely many $n$.
The previous approach does not work anymore due to the fact that inverter $\mathcal{A}$ might fail on some of the instances of $f_{n}(x)$ and $r=e_{i}$, giving false information about $x$, therefore, $x$ will be inverted incorrectly.

To solve this we define a set $\operatorname{Good}_{n}$, which is:

$$
\operatorname{Good}_{n}=\left\{\left.x \in\{0,1\}^{k(n)}\right|_{r \leftarrow\{0,1\}^{k(n)}}\left[\mathcal{A}\left(1^{2 k(n)}, f_{n}(x) \| r\right)=h_{n}(x, r)\right] \geq \frac{3}{4}+\frac{1}{2 p(n)}\right\}
$$

Claim 3.3 $\operatorname{Pr}_{x \leftarrow\{0,1\}^{k(n)}}\left[x \in \operatorname{Good}_{n}\right] \geq \frac{1}{2 p(n)}$

## Proof:

$$
\begin{aligned}
\frac{3}{4}+\frac{1}{p(n)} \leq & \operatorname{Pr}_{x, r}\left[\mathcal{A} \text { predicts } h_{n}\right] \\
= & \operatorname{Pr}_{x}\left[x \in \operatorname{Good}_{n}\right] \cdot \operatorname{Pr}_{r}\left[\mathcal{A} \text { predicts } h_{n} \mid x \in \operatorname{Good}_{n}\right] \\
& \quad+\underset{x}{\operatorname{Pr}\left[x \notin \operatorname{Good}_{n}\right] \cdot \operatorname{Pr}\left[\mathcal{A} \text { predicts } h_{n} \mid x \notin \operatorname{Good}_{n}\right]} \\
\leq & \operatorname{Pr}_{x}\left[x \in \operatorname{Good}_{n}\right]+\operatorname{Pr}_{r}\left[\mathcal{A} \text { predicts } h_{n} \mid x \notin \operatorname{Good}_{n}\right] \\
\leq & \operatorname{Pr}_{x}\left[x \in \operatorname{Good}_{n}\right]+\frac{3}{4}+\frac{1}{2 p(n)}
\end{aligned}
$$

This shows that $\operatorname{Pr}_{x}\left[x \in \operatorname{Good}_{n}\right] \geq \frac{1}{2 p(n)}$.
We now try to mimic the procedure from the easy case of the theorem. For that, we use the fact that $\langle x, r\rangle \oplus\left\langle x, r \oplus e_{i}\right\rangle=\left\langle x, r \oplus r \oplus e_{i}\right\rangle=x_{i}$. Note that if $r$ is randomly generated, $r \oplus e_{i}$ is also random, despite being correlated to $r$.

This property of inner product allows us to try to probabilistically invert $i$-th bit of $x$ by trying multiple $r$ values, for each of them performing 2 queries $\langle x, r\rangle,\left\langle x, r \oplus e_{i}\right\rangle$ to the inverter, taking XOR of the answers and doing a majority vote afterwards.

If $x \in \operatorname{Good}_{n}$, each query with randomly chosen $r$ errs with probability $\frac{1}{4}-\frac{1}{2 p(n)}$. Due to the union bound, probability that both queries are correct is $1-\left(\frac{1}{4}-\frac{1}{2 p(n)}\right) \cdot 2=\frac{1}{2}+\frac{1}{p(n)}>\frac{1}{2}$.

We can model each attempt with a random variable $Z_{j}, j=1 \ldots m$ ( $m$ is yet to be estimated) that takes the value 1 iff $x_{i}$ obtained through the above process is correct. Therefore, $\operatorname{Pr}\left[Z_{j}=1\right] \geq \frac{1}{2}+\frac{1}{p(n)}$. Let $Z=\sum_{i=1}^{m} Z_{i}$.

$$
\begin{gathered}
\mathbb{E}[Z]=\left(\frac{1}{2}+\frac{1}{p(n)}\right) \cdot m=\frac{m}{2}+\frac{m}{p(n)} \\
\operatorname{Pr}\left[Z \leq \frac{m}{2}\right] \leq \operatorname{Pr}\left[|Z-E(Z)| \geq \frac{m}{p(n)}\right]=\leq 2 e^{\frac{-2\left(\frac{m}{p(n)}\right)^{2}}{m}}
\end{gathered}
$$

For $m=n \cdot p(n)^{2}$, the probability of being wrong on $i$-th bit is $\leq 2 e^{-2 n}$.
Therefore, the probability that we don't err in computing any $x_{i}$ :

$$
\operatorname{Pr}\left[\text { inverter succeeds } \mid x \in \operatorname{Good}_{n}\right] \geq 1-2 n e^{-2 n}
$$

Hence,

$$
\begin{aligned}
\operatorname{Pr}[\text { inverter succeeds }] & \geq \operatorname{Pr}\left[x \in \operatorname{Good}_{n}\right] \cdot \operatorname{Pr}\left[\text { inverter succeeds } \mid x \in \operatorname{Good}_{n}\right] \\
& \geq \frac{1}{2 p(n)} \cdot\left(1-2 n e^{-2 n}\right)
\end{aligned}
$$

where RHS is non-negligible.

## References

[GL89] Oded Goldreich and Leonid A. Levin. A hard-core predicate for all one-way functions. In David S. Johnson, editor, Proceedings of the 21st Annual ACM Symposium on Theory of Computing, May 14-17, 1989, Seattle, Washington, USA, pages 25-32. ACM, 1989.

