### CSC 2419: Lattice-based Cryptography

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# Lecture 2: Learning with Errors and Public-key Encryption

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## 2.1 Recap

Last lecture we have been introduced with Short Integer Solution (SIS) and saw that the average-case hardness of SIS implies existence of Collision Resistant Hash Function (CRHF). It is known that CRHF gives One way functions (OWF), and OWF implies lots other important cryptographic features such as Pseudorandom Generators, Pseudorandom Functions, Digital Signatures etc.

Today we will see another computationally hard problem, namely *Learning with errors (LWE)* and construction of a *Public Key Encryption (PKE) scheme* based on the average-case hardness of LWE problem

# 2.2 Learning With Errors (LWE)

**Definition 2.1** (*B-Bounded distribution*) Let  $B \in \mathbb{R}_{\geq 0}$ . A distribution over integers  $\chi$  is called B-Bounded if  $\Pr_{e \leftarrow \chi}[|e| \leq B] = 1$ 

We now define the LWE problem, namely the search variant  $\mathsf{Search\text{-}LWE}_{n,m,q,B}$  parameterized by  $n,m,q,B\in\mathbb{N}$ 

**Definition 2.2** (Search-LWE<sub>n,m,q,B</sub>) Let  $n,m \in \mathbb{N}$  be the dimension,  $q \in \mathbb{N}$  the modulus, and  $B \in \mathbb{N}$  be the bound on the error. The search LWE problem is defined as follows:

- Given:  $(\mathbf{A}, \mathbf{b}^T := \mathbf{s}^T \mathbf{A} + \mathbf{e}^T)$  where
  - 1.  $\mathbf{A} \stackrel{\$}{\leftarrow} \mathbb{Z}_q^{n \times m}$
  - 2.  $\mathbf{s} \stackrel{\$}{\leftarrow} \mathbb{Z}_q^n$  (The "secret")
  - 3.  $\mathbf{e} \leftarrow \chi^m \ (The \ "error")$
- Find: s such that  $||\mathbf{b}^T \mathbf{s}^T \mathbf{A}|| \le B$

Remark 2.3 We highlight some remarks regarding the LWE problem.

• Why error? If we don't consider the error vector  $\mathbf{e}$  as part of the LWE sample, we observe that an adversary can use Gaussian elimination to find  $\mathbf{s}$  from input  $\mathbf{b}^T = \mathbf{s}^T \mathbf{A}$ . Therefore, the error is necessary for the LWE problem to be hard.

• Uniqueness of solution and parameter paradigm. Since A is a random matrix,  $\mathbf{s}^T A$  is a random code word as a random matrix has full rank with very high probability[?]. Since  $\mathbf{s}^T A \in \mathbb{Z}_q^m$  and  $\mathbf{s}^T \in \mathbb{Z}_q^n$ , for a sufficiently large m and q, the code space  $C \gg \mathbb{Z}_q^n$ . Since the code is random, this results in the codes being "well" distributed such that the hamming distance of the codeword is large enough. In this paradigm, for B much smaller than the hamming distance, we have a unique solution with high probability. This paradigm of parameters are interesting and find many cryptographic applications. We refer to q/B as the modulus-to-noise ratio of the distributions, and study the LWE problem in the paradigm where modulus-to-noise ratio is sub-exponential i.e.  $q/B \leq 2^{n^c}$ .

We also consider the decision variant of the Search-LWE problem as Decision-LWE<sub>n,m,q,B</sub>.

**Definition 2.4** (Decision-LWE<sub>n,m,q,B</sub>) Let  $n,m \in \mathbb{N}$  be the dimension,  $q \in \mathbb{N}$  the modulus, and  $B \in \mathbb{N}$  be the bound on the error. The decision LWE problem is defined as follows:

• Given: Distribution  $\mathcal{D}_b$  for a random coin  $b \stackrel{\$}{\leftarrow} \{0,1\}$  where the distributions are defined as follows:

$$\begin{array}{ccc} & \underline{\mathcal{D}_0} & & \underline{\mathcal{D}_1} \\ \mathbf{A} \overset{\$}{\leftarrow} \mathbb{Z}_q^{n \times m} & & \mathbf{A} \overset{\$}{\leftarrow} \mathbb{Z}_q^{n \times m} \\ \mathbf{e} \overset{\$}{\leftarrow} \chi^m & & \mathbf{b}^T \overset{\$}{\leftarrow} \mathbb{Z}_q^m \\ \mathbf{S}^T \overset{\$}{\leftarrow} \mathbb{Z}_q^n & & \mathbf{Output} \ (\mathbf{A}, \mathbf{b}^T) \end{array}$$

• Find: the distribution from which the instance  $(\mathbf{A}, \mathbf{b}^T)$  is sampled from i.e. b.

**Definition 2.5 (Average-case hardness of** Decision-LWE) For parameters  $n, m, q, B = \operatorname{poly}(\lambda)$  with  $\lambda \in \mathbb{N}$  as the security parameter, Decision-LWE<sub>n,m,q,B</sub> is hard if for all Probabilistic Polytime (PPT) adversary A,  $\exists$  a negligible function  $\operatorname{negl}(\cdot)$  such that  $\forall \lambda \in \mathbb{N}$ ,

$$|\Pr_{(\mathbf{A},\mathbf{b}^T)\leftarrow\mathcal{D}_0}[\mathcal{A}(\mathbf{A},\mathbf{b}^T)=1] - \Pr_{(\mathbf{A},\mathbf{b}^T)\leftarrow\mathcal{D}_1}[\mathcal{A}(\mathbf{A},\mathbf{b}^T)=1]| \leq \mathsf{negl}(\sec$$

Average-case hardness of decision-LWE. For specific parameters, spefically when  $mB \ll q$ , the average-case hardness of  $dlwe_{n,m,q,B}$  reduces to the average-case hardness of  $SlS_{n,m,q}$  problem. This result is highlighted in Theorem 2.6. Note that this reductions results in the blowup of the "error" by a factor of m. In more general parameter paradigms, there has been a long line of work [Reg05, Pei09, BLP+13] which have proved the hardness of Decision-LWE problem assuming the hardness of  $\alpha$  – GapSVP problem. We highlight this reslut in Theorem 2.8. Please refer to the survey by Peikert [Pei16] for more details.

**Theorem 2.6** Decision-LWE<sub>n,m,q,B</sub> is at least as hard as  $SIS_{n,m,q}$  given that  $B \ll q$ .

**Proof:** We briefly sketch the reduction, where an adversary for the LWE problem  $\mathcal{A}_{\mathsf{LWE}}$  invokes the adversary for the SIS problem  $\mathcal{A}_{\mathsf{SIS}}$ .  $\mathcal{A}_{\mathsf{LWE}}$ , given an instance  $(\mathbf{A}, \mathbf{b}^T)$  computes  $\mathbf{e}^T \leftarrow \mathcal{A}_{\mathsf{SIS}}(\mathbf{A})$  such that  $||\mathbf{e}||_{\infty} = 1^1$ . Subsequently, the adversary computes  $\langle \mathbf{b}^T, \mathbf{e} \rangle$ . If  $\mathbf{b}^T = \mathbf{s}^T \mathbf{A} + \mathbf{e}_1^T$ , then  $\langle \mathbf{b}^T, \mathbf{e} \rangle = \mathbf{s}^T \mathbf{A} \mathbf{e} + \langle \mathbf{e}_1^T, \mathbf{e} \rangle = \langle \mathbf{e}_1^T, \mathbf{e} \rangle$ , such that  $||\mathbf{b}^T||_{\infty} \leq mB$  i.e a low-norm vector. On the other hand, if  $\mathbf{b}^T \stackrel{\$}{\sim} \mathbb{Z}_q^m$ , then  $\langle \mathbf{b}^T, \mathbf{e} \rangle$  is uniformly random.

<sup>&</sup>lt;sup>1</sup>The proof works for a more general variant of the SIS problem, where the solution has a low norm.

**Remark 2.7** Decision-LWE problem and SIS problem are equivalent (given quantum reductions).

Theorem 2.8 (([Reg05, Pei09, BLP+13], simplified)) If  $\alpha$  – GapSVP is hard in the worst case then Decision-LWE is hard in average case for some  $\alpha = O(\text{poly}(n)\frac{q}{R})$ 

**Remark 2.9** The hardness of  $\alpha$  – GapSVP is well studied for  $\alpha = 2^{n^{\epsilon}}$ , which translates to the modulus-to-noise ratio  $q/B \leq 2^{n^{\epsilon}}$ , which makes this parameter interesting for cryptographic applications.

#### 2.2.1 Search to Decision for LWE

We have already seen some results concerning the hardness of decision-LWE problem. We now look at the hardness of search-LWE, which we will see can be based on the hardness of decision-LWE.

**Theorem 2.10** Search-LWE<sub>n,m,q,B</sub> is atleast as hard as Decision-LWE<sub>n,m,q,B</sub>

**Proof Idea:** We define an adversary  $\mathcal{A}_{\mathsf{Search-LWE}}$ , which, when given access to adversary for the decision LWE problem  $\mathcal{A}_{\mathsf{Decision-LWE}}$ , can solve the  $\mathsf{Search-LWE}$  problem in polynomial time. Given the instance  $(\mathbf{A}, \mathbf{b}^T)$  to  $\mathcal{A}_{\mathsf{Search-LWE}}$ , the adversary initialises  $\mathbf{s}^T := \mathbf{0}$  where  $\mathbf{s}^T \in \mathbb{Z}_q^n$  and computes the following: for each  $i \in [n]$ ,

- For each  $g \in \mathbb{Z}_q$ :
  - 1. Sample  $\mathbf{c}_i^T \stackrel{\$}{\leftarrow} \mathbb{Z}_q^m$

2. Set 
$$\mathbf{B} = \begin{bmatrix} \mathbf{0} \\ \vdots \\ \mathbf{c}_i^T \\ \vdots \\ \mathbf{0} \end{bmatrix} \in \mathbb{Z}_q^{n \times m}$$
  $(i^{th} \text{ row is } c_i)$ 

3. Compute 
$$b \leftarrow \mathcal{A}_{\mathsf{Decision-LWE}}(\mathbf{A} + \mathbf{B}, \mathbf{b}^T + g \cdot \mathbf{c}_i^T)$$
. Set  $\mathbf{s}_i = g$  if  $b = 0$ 

Clearly the above algorithm works in poly(n) time. We will give the intuition of the correctness:

If 
$$\mathcal{A}_{\mathsf{Decision-LWE}}(\mathbf{A} + \mathbf{B}, \mathbf{b}^T + g \cdot \mathbf{c}_i^T) \to 0$$
, then

$$\mathbf{b}^T + g \cdot \mathbf{c}_i^T = \mathbf{s}^T (\mathbf{A} + \mathbf{B}) + \mathbf{e}_1^T$$

Also, from the properties of SIS problem,

$$\mathbf{b}^{T} + g \cdot \mathbf{c}_{i}^{T} = \mathbf{s}^{T} \mathbf{A} + \mathbf{e}^{T} + g \cdot \mathbf{c}_{i}^{T}$$

$$\implies g \cdot \mathbf{c}_{i}^{T} = \mathbf{s}^{T} \mathbf{B} + (\mathbf{e}_{1}^{T} - \mathbf{e}^{T})$$

$$= \mathbf{s}^{T} \mathbf{B} + \mathbf{e}_{2}^{T}$$

where 
$$||\mathbf{e}_2^T||_{\infty} \le ||\mathbf{e}_1^T||_{\infty} + ||\mathbf{e}||_{\infty} \le 2B$$

Note that  $\mathbf{s}^T \mathbf{B}$  is a random codeword with a large hamming distance. Therefore, for error with low norm B, the above equation has a unique solution i.e.  $g = \mathbf{s}_i$ .

To prove the validity of the reduction, we also need to show that when  $g \neq \mathbf{s}_i$ , then the distribution received by  $\mathcal{A}_{\mathsf{Decision-LWE}}$  is uniformly random. To see this, observe that

$$\mathbf{b}^T + g \cdot \mathbf{c}_i^T = \mathbf{s}^T \underbrace{(\mathbf{A} + \mathbf{B})}_{\text{uniform}} + \mathbf{e}^T + \underbrace{(g - \mathbf{s}_i)\mathbf{c}_i^T}_{\text{independent from } \mathbf{A} + \mathbf{B}}$$

Now note the distribution over  $\mathbf{A} + \mathbf{B}$  is uniform. Furthermore note that the distribution over  $c_i$  is independent of  $(\mathbf{A} + \mathbf{B})$  (since  $\mathbf{A}$  is uniform). So  $\mathbf{b}^T + g \cdot \mathbf{c}_i^T$  is also uniformly distributed and independent of  $\mathbf{A} + \mathbf{B}$ . Note that this reduction works for the case when  $\mathcal{A}_{\text{Decision-LWE}}$  is a perfect distinguisher. Please refer to [Reg05, Section 4] for the case where  $\mathcal{A}_{\text{Decision-LWE}}$  is an imperfect distinguisher.

#### 2.2.2 Normal form LWE

Up until now, we have looked at search and decision variants of LWE where the secret  $\mathbf{s} \stackrel{\$}{\subset} \mathbb{Z}_q^n$ . It would be interesting to study the problem in a different paradigm, namely where the secret is sampled from a different distribution, as this allows for cryptographic primitives with hardness based on a wider class of hardness assumptions. In this section, we study the **Normal Form** of LWE, where the secret  $\mathbf{s}^T$  is sampled from the same low-norm distribution  $\chi^n$  as the error.

**Definition 2.11 (Normal-form** Decision-LWE<sub>n,m,q,B</sub>) Let  $n, m \in \mathbb{N}$  be the dimension,  $q \in \mathbb{N}$  the modulus, and  $B \in \mathbb{N}$  be the bound on the error. The Normal-Form LWE problem is defined as follows:

• Given: Distribution  $\mathcal{D}_b$  for a random coin  $b \stackrel{\$}{\leftarrow} \{0,1\}$  where the distributions are defined as follows:

$$\begin{array}{ccc} \underline{\mathcal{D}_0} & \underline{\mathcal{D}_1} \\ \mathbf{A} \overset{\$}{\Leftarrow} \mathbb{Z}_q^{n \times m} & \mathbf{A} \overset{\$}{\Leftarrow} \mathbb{Z}_q^{n \times m} \\ \mathbf{e} \overset{\$}{\Leftarrow} \chi^m & \mathbf{b}^T \overset{\$}{\Leftarrow} \mathbb{Z}_q^m \\ \mathbf{s}^T \overset{\$}{\Leftarrow} \chi^n & \mathbf{Output} \ (\mathbf{A}, \mathbf{s}^T \mathbf{A} + \mathbf{e}^T) & \mathbf{Output} \ (\mathbf{A}, \mathbf{b}^T) \end{array}$$

• Find: the distribution from which the instance  $(\mathbf{A}, \mathbf{b}^T)$  is sampled from i.e. b.

We now study the hardness of Normal-form Decision-LWE i.e. Normal-LWE. Notably, the hardness of Normal-LWE can be based on the hardness of standard dlwe. This result is stated in Theorem 2.12

Theorem 2.12 Hardness of Decision-LWE implies hardness of Decision-LWE with normal form<sup>2</sup>

**Proof:** Let  $\mathcal{A}_{\mathsf{Decision-LWE}}$  be a PPT adversary for the Decision-LWE problem. We define an adversary  $\mathcal{A}_{\mathsf{Normal-LWE}}$  for the Normal-LWE problem with access to  $\mathcal{A}_{\mathsf{Decision-LWE}}$ . On input  $(\mathbf{A}, \mathbf{b}^T)$ , the adversary computes the following:

1. Let 
$$A = \underbrace{\left[\underbrace{A_1}_{\mathbb{Z}_q^{n \times n}} || \underbrace{A_2}_{\mathbb{Z}_q^{n \times (m-n)}}\right]}_{\mathbb{Z}_q^{n \times (m-n)}}$$
 (Note  $A_1$  is invertible with high probability as it is uniformly random)

 $<sup>^2{\</sup>rm One}$  can extend this proof for search version

2. Let 
$$\mathbf{b}^T = (\underbrace{\mathbf{b}_1^T}_{\chi^n} || \underbrace{\mathbf{b}_2^T}_{\chi^{m-n}})$$

- 3. Set  $\tilde{\mathbf{A}} = -\mathbf{A}_1^{-1}\mathbf{A}_2$ ,  $\tilde{\mathbf{b}}^T = \mathbf{b}_1^T\tilde{\mathbf{A}} \mathbf{b}_2^T$
- 4. Output  $\mathcal{A}_{\mathsf{Decision-LWE}}(\tilde{\mathbf{A}}, \tilde{\mathbf{b}}^T)$

Observe that if  $\mathbf{b}^T = \mathbf{s}^T \mathbf{A} + \mathbf{e}^T$  for a uniformly sampled secret  $\mathbf{s}^T$ , then

$$\begin{split} \tilde{\mathbf{b}}^T &= \mathbf{b}_1^T \tilde{\mathbf{A}} - \mathbf{b}_2^T \\ &= (\mathbf{s}^T \mathbf{A}_1 + \mathbf{e}_1^T) \tilde{\mathbf{A}} - \mathbf{s}^T \mathbf{A}_2 - \mathbf{e}_2^T \\ &= \underbrace{\mathbf{e}_1^T}_{\text{new secret}} \tilde{\mathbf{A}} + \underbrace{(-\mathbf{e}_2^T)}_{\text{new error}} \end{split}$$

Here, the new secret is sampled from the distribution  $\chi^m$ . On the other hand, if  $\mathbf{b}^T \stackrel{\$}{\leftarrow} \mathbb{Z}_q^m$ , then  $\mathbf{b}_2^T$  is uniformly random and independent of  $\mathbf{b}_1^T \tilde{\mathbf{A}}$ , resulting in a uniformly random  $\tilde{\mathbf{b}}$ .

# 2.3 Public-Key encryption

Suppose Alice want to send a message to Bob, but the communication can be seen by a third party Charlie. To hide the underlying message in the communication from Charlie, Alice can use a cryptographic primitive called Public-Key Encryption(PKE) to encrypt the message and send the encrypted message to Bob such that only a party having the corresponding secret key can decrypt and read the message. We define the primitive in Definition ??

**Definition 2.13 (Public Key Encryption(PKE))** *PKE consists of tuple of PPT algorithms* (KeyGen, Enc, Dec) *where:* 

- 1.  $\mathsf{KeyGen}(1^{\lambda}) \to (\mathsf{pk}, \mathsf{sk})$ : The key generation algorithm outputs public-secret key tuple  $(\mathsf{pk}, \mathsf{sk})$
- 2.  $\mathsf{ct} := \mathsf{Enc}(\mathsf{pk}, \mu; r)$ : On input the public key  $\mathsf{pk}$ , message  $\mu \in \{0, 1\}$ , and randomness r, the encryption algorithm returns a ciphertext  $\mathsf{ct}$
- 3. Dec(sk, ct): On input the secret key sk and the ciphertext ct, the decryption algorithm returns a bit  $\mu' \in \{0, 1\}$ .

The PKE scheme satisfies the following properties:

• Correctness: For security parameter  $\lambda \in \mathbb{N}$ ,  $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{KeyGen}(1^{\lambda})$ , and for  $\mu \in \{0, 1\}$ , the following holds true:

$$\Pr[\mathsf{Dec}(\mathsf{sk},\mathsf{Enc}(\mathsf{pk},\mu)) = \mu] = 1$$

• IND-CPA Security: For security parameter  $\lambda \in \mathbb{N}$ ,  $(\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{KeyGen}(1^{\lambda})$ , and PPT adversary  $\mathcal{A}$ , there exists a negligible function  $\mathsf{negl}(\cdot)$  such that

$$|\Pr[\mathcal{A}(\mathsf{pk},\mathsf{ct}_0)=1] - \Pr[\mathcal{A}(\mathsf{pk},\mathsf{ct}_1)=1]| \le 1/2 + \mathsf{negl}(\lambda)$$

where  $\mathsf{ct}_b = \mathsf{Enc}(\mathsf{pk}, b; r).$  In other words, no PPT adversary can distinguish between encryption of 0 and 1 with more than negligible probability.

### 2.3.1 PKE from LWE

Now we will discuss the PKE (for one bit message) construction from LWE given in [Reg05]. Given n, m, q with  $m > n \log q$  and  $\mu \in \{0, 1\}$ , we define the PKE scheme as follows:

- 1. KeyGen(1<sup>\lambda</sup>): Sample  $\mathbf{A} \stackrel{\$}{\sim} \mathbb{Z}_q^{n \times m}$ ,  $\mathbf{s} \stackrel{\$}{\sim} \mathbb{Z}_q^n$ ,  $\mathbf{e}^T \leftarrow \chi^m$ , set  $\mathsf{pk} = (\mathbf{A}, \mathbf{b}^T := \mathbf{s}^T \mathbf{A} + \mathbf{e}^T)$  and  $\mathsf{sk} = \mathbf{s}$
- 2.  $\operatorname{Enc}(\operatorname{pk}, \mu; \mathbf{r} \stackrel{\$}{\leftarrow} \{0, 1\}^m)$ : Compute  $\operatorname{ct} := (\mathbf{Ar} \mod q, < \mathbf{b}^T, \mathbf{r} > +\mu(q/2))$
- 3.  $\operatorname{Dec}(\mathbf{s},\operatorname{ct})$ : Parse  $\operatorname{ct}=(\operatorname{ct}_1,\operatorname{ct}_2)$  and compute  $\mu':=\operatorname{ct}_2-<\mathbf{s}^T,\operatorname{ct}_1>$ , output 0 if  $|\mu|<\frac{q}{4}$  and 1 otherwise.

We now show that the above scheme is a valid public key encryption.

Correctness: Note that

$$\mu' := \mathsf{ct}_2 - \mathbf{s}^T \mathsf{ct}_1$$

$$= \langle \mathbf{b}^T, \mathbf{r}^T \rangle + \mu(q/2) - \mathbf{s}^T \mathbf{A} \mathbf{r}$$

$$= \mu(q/2) + \mathbf{e}^T \mathbf{r}$$

$$\leq mB + \mu(q/2)$$

So if  $\mu = 0$ , then  $x \le mB$ , So if  $B \le q/4m$  we are done. If  $\mu = 1$ , then for the same value of B we are done.

**Security:** We want to show that the adversary cannot distinguish between ciphertexts for 1 and 0. In other words, we want to show that the following indistinguishability relation holds:

$$(\mathbf{A}, \mathbf{b}^T, (\mathbf{Ar} \mod q, <\mathbf{b}^T, \mathbf{r}>) \approx_c (\mathbf{A}, \mathbf{b}^T, (\mathbf{Ar} \mod q, <\mathbf{b}^T, \mathbf{r}>+q/2)$$

.

From the **hardness of LWE** we know  $(\mathbf{A}, \mathbf{b}^T, \mathbf{Ar} \mod q, < \mathbf{b}^T, \mathbf{r} >) \approx_c (\mathbf{A}, \tilde{\mathbf{b}}^T, \mathbf{Ar} \mod q, < \tilde{\mathbf{b}}^T, \mathbf{r} >)$  where  $\tilde{\mathbf{b}}^T \stackrel{\$}{\leftarrow} \mathbb{Z}_q^m$ . If we consider the matrix  $\tilde{\mathbf{A}} = \mathbf{A} || \mathbf{b}$ , then the distribution is  $(\tilde{\mathbf{A}}, \tilde{\mathbf{Ar}} \mod q)$  where  $\tilde{\mathbf{A}}$  is uniformly random. Using the *Leftover Hash Lemma* (Lemma 2.14), we conclude that  $(\tilde{\mathbf{A}}, \tilde{\mathbf{Ar}} \mod q) \approx_s (\tilde{\mathbf{A}}, \mathbf{u}^T)$  where  $\mathbf{u}^T$  is a random vector. Similarly, it can be shown that  $(\mathbf{A}, \mathbf{b}^T, (\mathbf{Ar} \mod q, < \mathbf{b}^T, \mathbf{r} > +q/2) \approx_c (\tilde{\mathbf{A}}, \mathbf{u}^T)$ .

Lemma 2.14 (Leftover Hash Lemma(LHL)) for  $m \ge 2n \log q$ ,  $(A, Ar) \approx_s (A, u)^3$ 

### References

[BLP+13] Zvika Brakerski, Adeline Langlois, Chris Peikert, Oded Regev, and Damien Stehlé. Classical hardness of learning with errors. In *Proceedings of the Forty-Fifth Annual ACM Symposium on Theory of Computing*, STOC '13, page 575–584, New York, NY, USA, 2013. Association for Computing Machinery.

<sup>&</sup>lt;sup>3</sup>All the notations follows from the previous arguments. For detailed version of the lemma check: https://en.wikipedia.org/wiki/Leftover hash lemma

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