

# Polynomial Shape from Shading

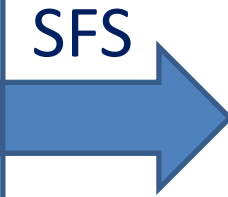
Ady Ecker and Allan Jepson

University of Toronto

# Shape from shading (SFS)



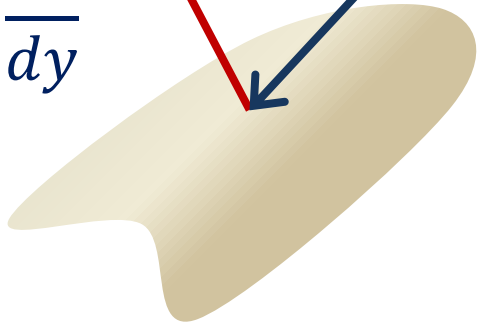
Single 2D image



3D surface

# Standard Lambertian SFS

$$\mathbf{N} = \begin{pmatrix} -p \\ -q \\ 1 \end{pmatrix}$$
$$p = \frac{dz}{dx}, q = \frac{dz}{dy}$$

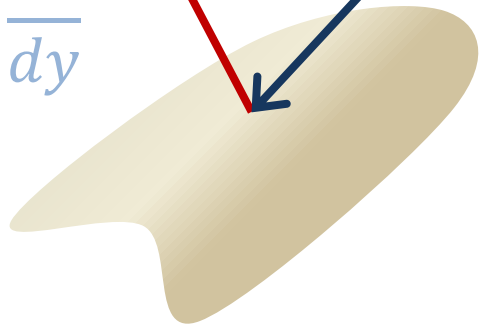


$$\mathbf{L} = (a, b, c)$$
$$\|\mathbf{L}\| = 1$$

$$I = \frac{\mathbf{L} \cdot \mathbf{N}}{\|\mathbf{N}\|} = \frac{-ap - bq + c}{\sqrt{1 + p^2 + q^2}}$$

# Polynomial form

$$\mathbf{N} = \begin{pmatrix} -p \\ -q \\ 1 \end{pmatrix}$$
$$p = \frac{dz}{dx}, q = \frac{dz}{dy}$$



$$\mathbf{L} = (a, b, c)$$
$$\|\mathbf{L}\| = 1$$

$$I = \frac{\mathbf{L} \cdot \mathbf{N}}{\|\mathbf{N}\|} = \frac{-ap - bq + c}{\sqrt{1 + p^2 + q^2}}$$

$$(1 + p^2 + q^2)I^2 - (-ap - bq + c)^2 = 0$$

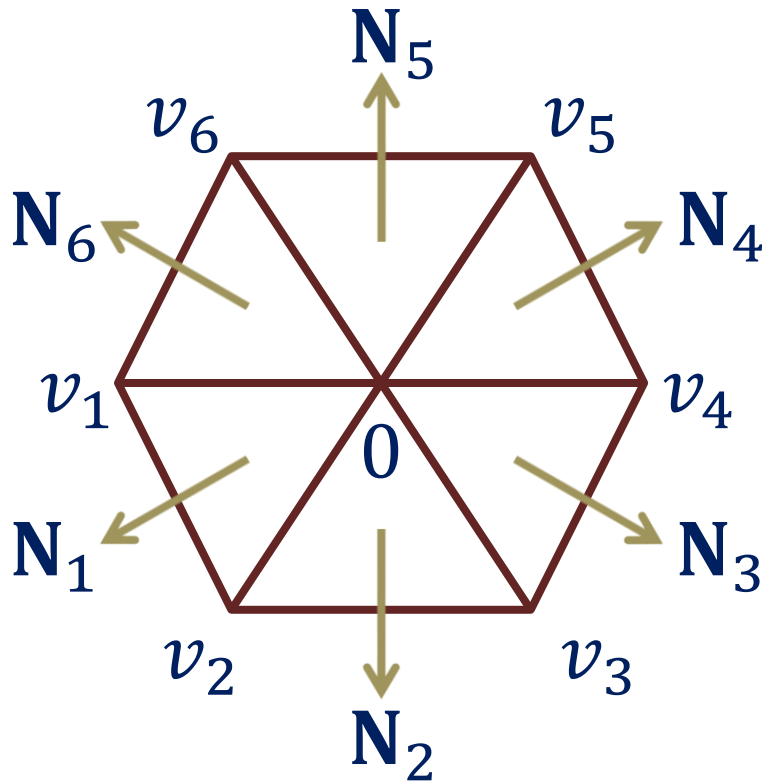
$$-ap - bq + c \geq 0$$



# Outline

- Advantages of the polynomial form
  - Small systems are solvable
  - Exact line search
  - Semidefinite programming (SDP) relaxation
- Shading ambiguities
  - Visualization of ambiguous solutions

# SFS of a polyhedron



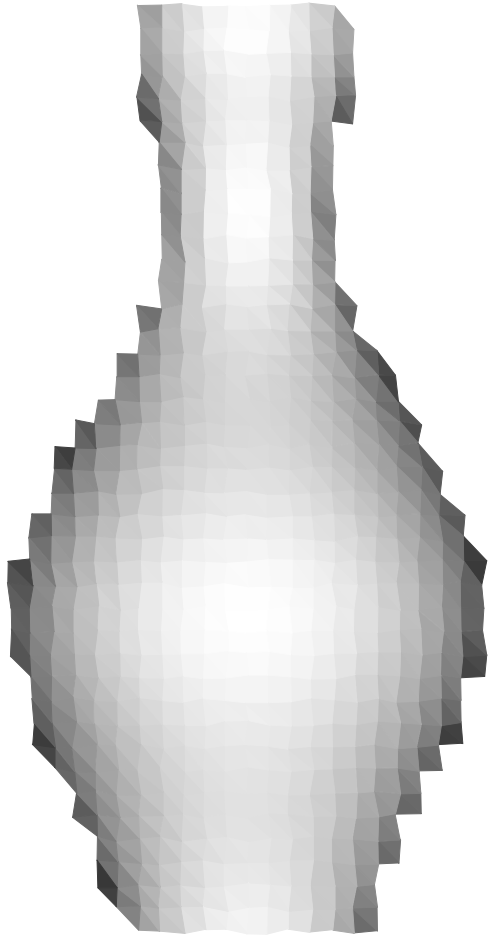
$$-p_i = \frac{y_i z_{i+1} - y_{i+1} z_i}{x_i y_{i+1} - x_{i+1} y_i}$$

$$-q_i = \frac{x_{i+1} z_i - x_i z_{i+1}}{x_i y_{i+1} - x_{i+1} y_i}$$

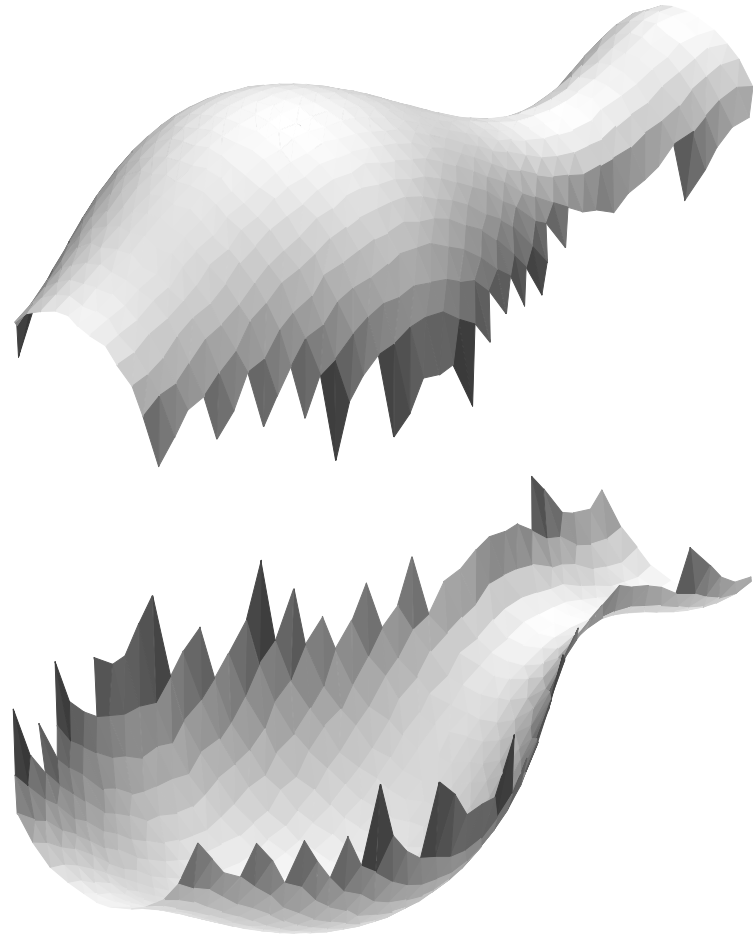
- A small polynomial system in the unknowns  $z_1, \dots, z_6$  around a vertex
- All solutions to generic systems can be found by polynomial solvers (e.g. HOM4PS-2.0)

# SFS of a polyhedron

Synthetic input



Computed solutions



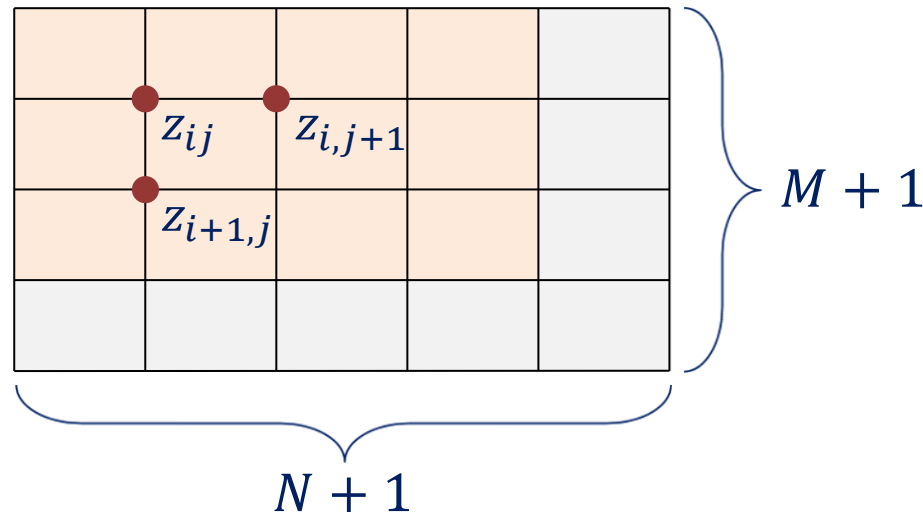
- Unfortunately, the method is sensitive to noise

# SFS on a grid

$$p_{ij} = z_{i+1,j} - z_{ij}, \quad q_{ij} = z_{i,j+1} - z_{ij}$$

$$r_{ij} = (1 + p^2 + q^2)I^2 - (-ap - bq + c)^2$$
$$= \mathbf{z}^T \mathbf{A}_{ij} \mathbf{z} + \mathbf{e}_{ij}^T \mathbf{z} + h_{ij}$$

*minimize  $\|\mathbf{r}\|^2 + \lambda(\text{smoothness term})$*

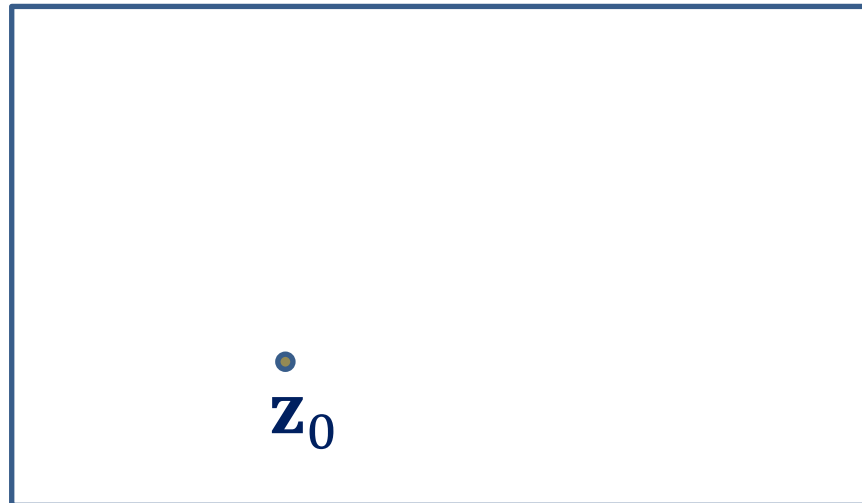


# Conjugate gradient with exact line search

$$r_{ij} = \mathbf{z}^T \mathbf{A}_{ij} \mathbf{z} + \mathbf{e}_{ij}^T \mathbf{z} + h_{ij}$$

*minimize  $\|\mathbf{r}\|^2 + \lambda(\text{smoothness term})$*

- Use line search on  $\mathbf{z} = \mathbf{z}_0 + \alpha \mathbf{d}$
- Results in a quartic minimization in  $\alpha$
- Can be solved in closed form

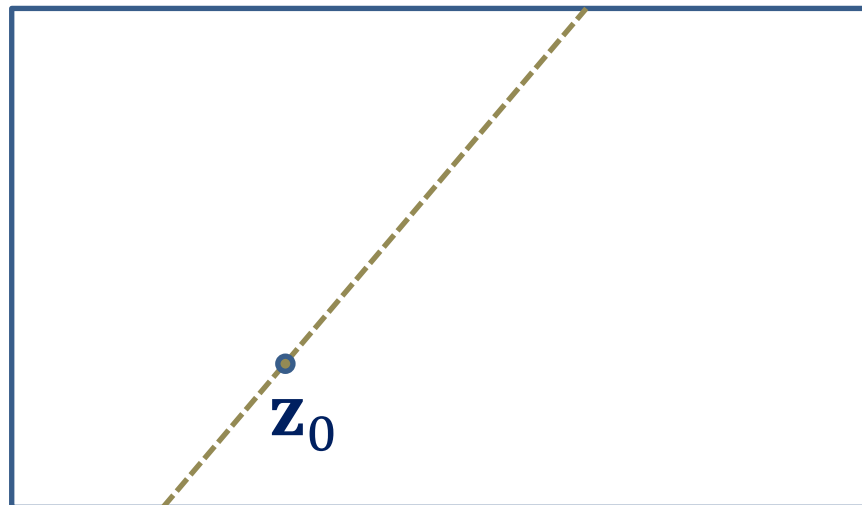


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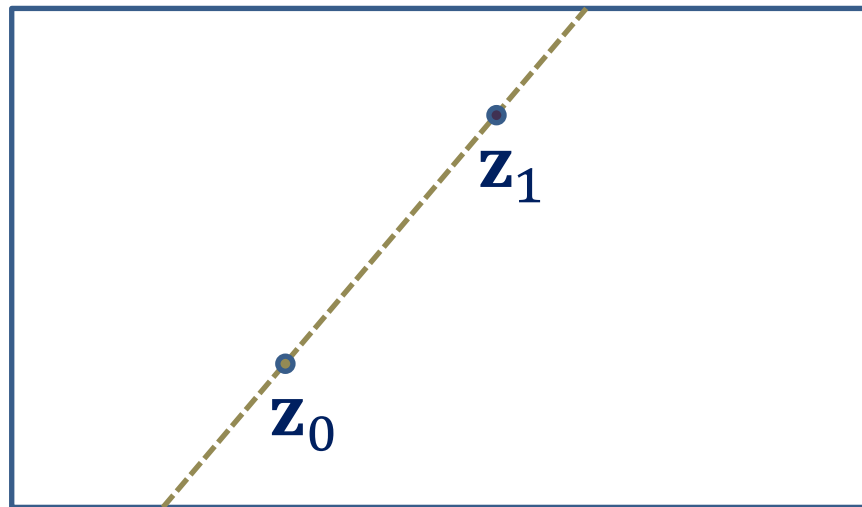


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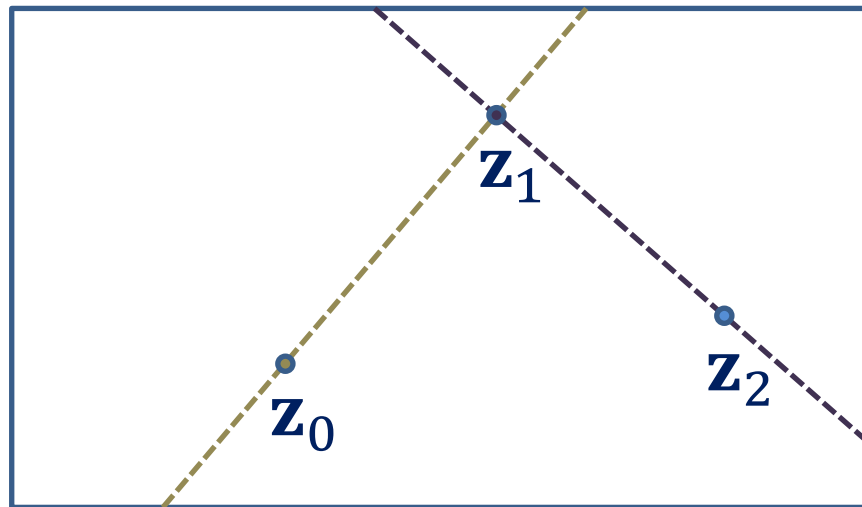


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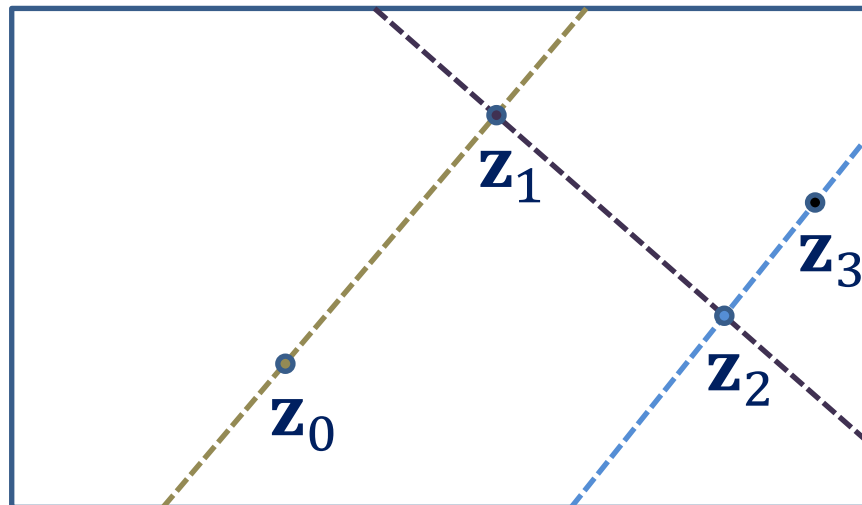


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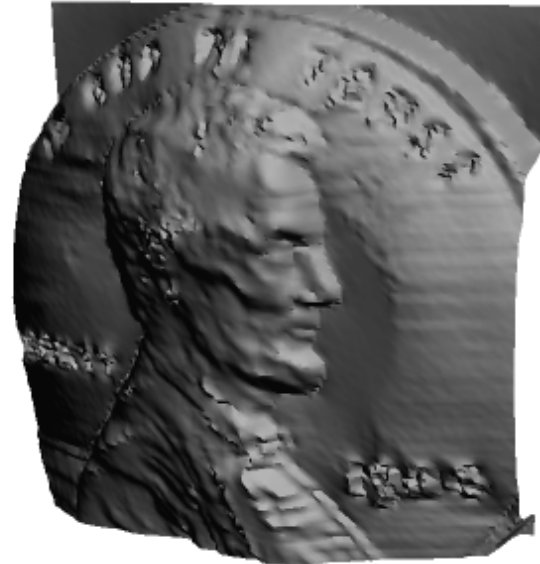


# Result

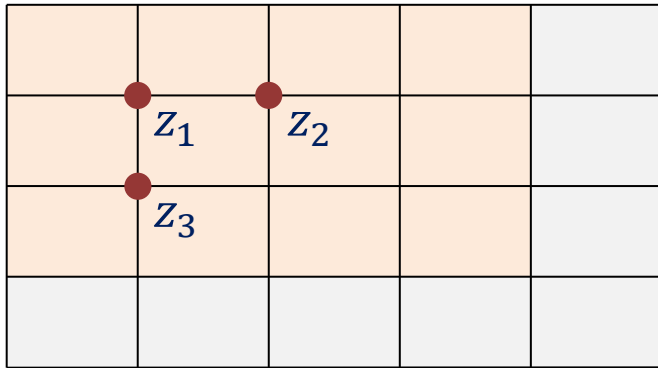
input  
image  
and  
surface



output  
image  
and  
surface



# SDP relaxation

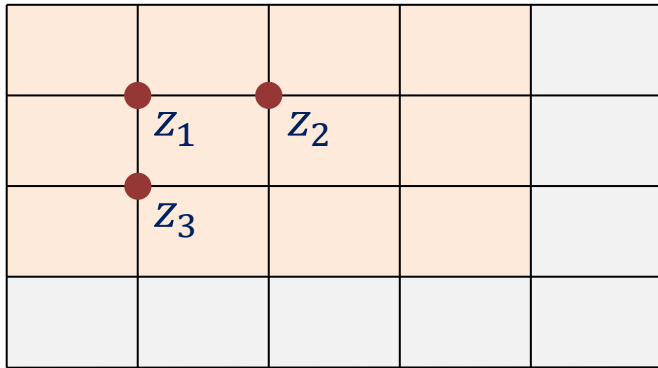


$$p = z_3 - z_1, \quad q = z_2 - z_1$$

$$r = (1 + p^2 + q^2)I^2 - (-ap - bq + c)^2$$

ideally  $r = 0$

# SDP relaxation



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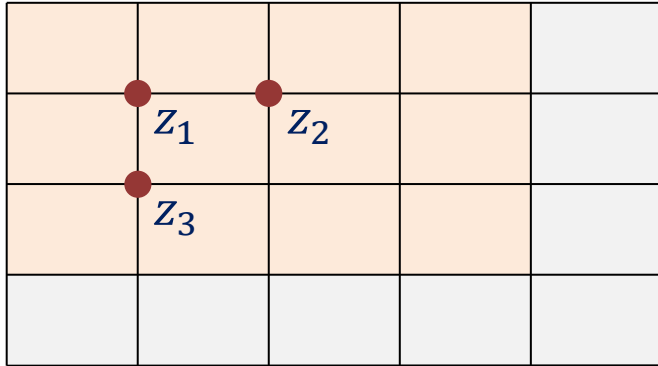
ideally  $r = 0$

Each element of  $\mathbf{M}$  represents a product between the corresponding monomials

$\mathbf{M}_{kl}$  are the new variables

	1	$z_1$	$z_2$	$z_3$	$z_1^2$	$z_1z_2$	$z_1z_3$	$z_2^2$	$z_2z_3$	$z_3^2$
1	<div style="text-align: center; margin-top: 20px;"> <math>\mathbf{M}_{kl}</math> </div>									
$z_1$										
$z_2$										
$z_3$										
$z_1^2$										
$z_1z_2$										
$z_1z_3$										
$z_2^2$										
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# SDP relaxation



$$p = z_3 - z_1, \quad q = z_2 - z_1$$

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ideally  $r = 0$

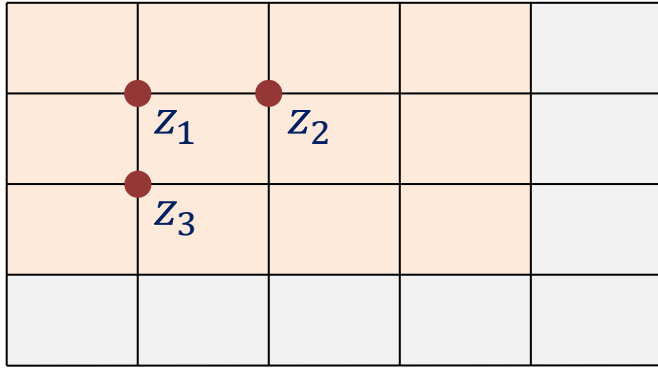
Each element of  $\mathbf{M}$  represents a product between the corresponding monomials

Some entries are equal

	1	$z_1$	$z_2$	$z_3$	$z_1^2$	$z_1z_2$	$z_1z_3$	$z_2^2$	$z_2z_3$	$z_3^2$
1	1									
$z_1$										
$z_2$										
$z_3$										
$z_1^2$										
$z_1z_2$										
$z_1z_3$										
$z_2^2$										
$z_2z_3$										
$z_3^2$										

$\mathbf{M}_{kl}$

# SDP relaxation



$$p = z_3 - z_1, \quad q = z_2 - z_1$$

$$r = (1 + p^2 + q^2)I^2 - (-ap - bq + c)^2$$

ideally  $r = 0$

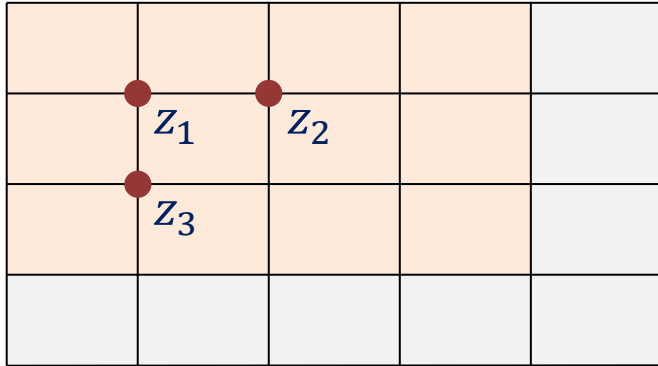
**M** should be symmetric positive-semidefinite

$$\mathbf{M} \succeq 0$$

$$\begin{array}{c}
 1 \quad z_1 \quad z_2 \quad z_3 \quad z_1^2 \quad z_1z_2 \quad z_1z_3 \quad z_2^2 \quad z_2z_3 \quad z_3^2 \\
 \left[ \begin{array}{cccccccccc}
 1 & & & & & & & & & \\
 z_1 & & & & & & & & & \\
 z_2 & & & & & & & & & \\
 z_3 & & & & & & & & & \\
 z_1^2 & & & & & & & & & \\
 z_1z_2 & & & & & & & & & \\
 z_1z_3 & & & & & & & & & \\
 z_2^2 & & & & & & & & & \\
 z_2z_3 & & & & & & & & & \\
 z_3^2 & & & & & & & & & 
 \end{array} \right]
 \end{array}$$

$\mathbf{M}_{kl}$

# SDP relaxation



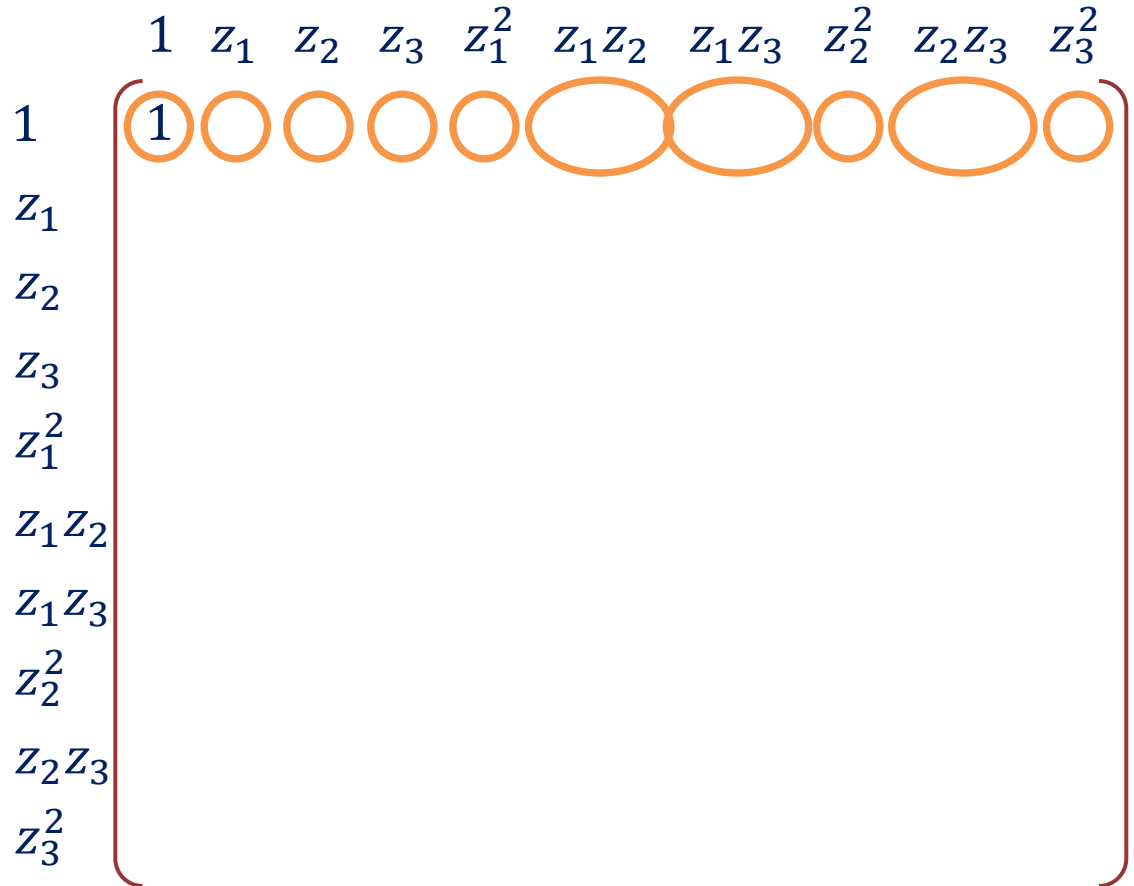
$$p = z_3 - z_1, \quad q = z_2 - z_1$$

$$r = (1 + p^2 + q^2)I^2 - (-ap - bq + c)^2$$

ideally  $r = 0$

Write the quadratic constraints as linear combinations of elements of  $\mathbf{M}$

$$-\varepsilon \leq \mathbf{U} \bullet \mathbf{M} \leq \varepsilon$$



# SDP relaxation

*minimize*  $\sum \text{trace}(\mathbf{M}_{ij}) + G \cdot \varepsilon$  s.t.  
 $\mathbf{M}_{ij} \succcurlyeq 0$  + *linear equality and  
inequality constraints on*  $\mathbf{M}_{ij}$





# Advantages of SDP relaxations

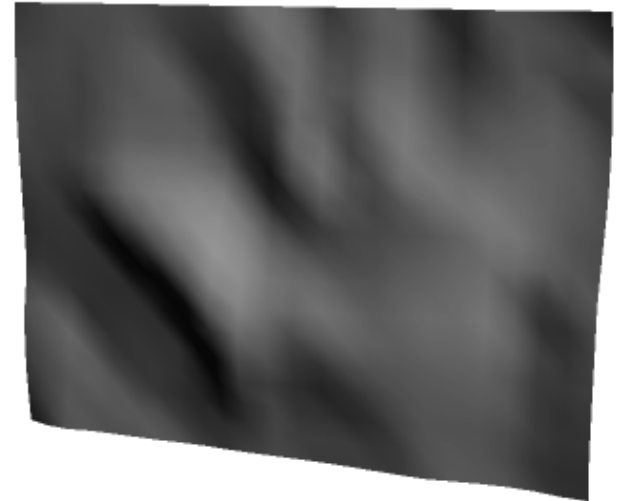
- Don't rely on an initial guess, boundary conditions or singular points
- Convex optimization
- Easy to integrate different types of constraints

# Results

iterative  
(48×64)



SDP  
(18×24)

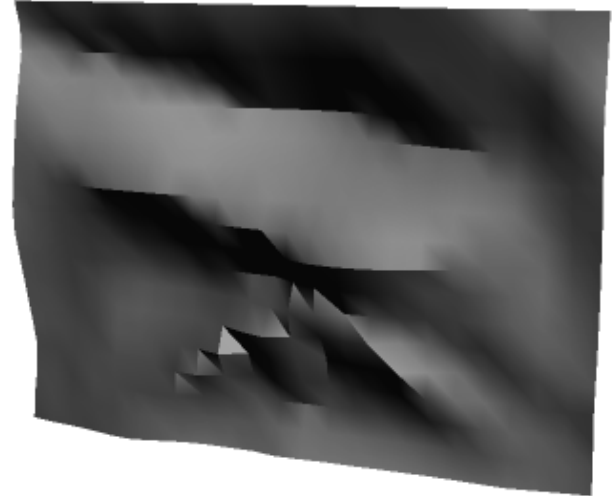


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iterative  
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SDP  
(18×24)

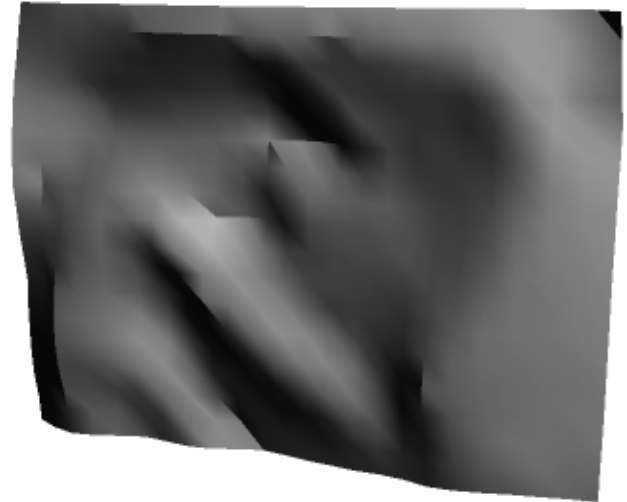


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iterative  
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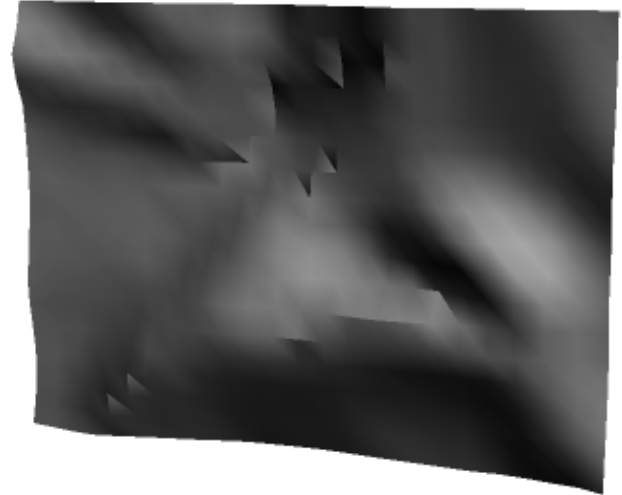
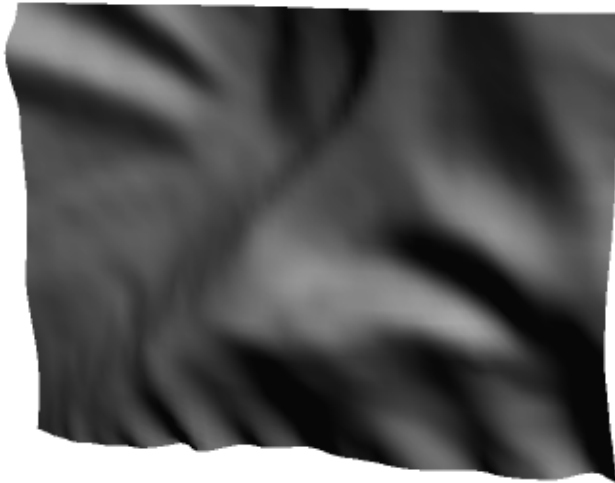


# Results

iterative  
(48×64)



SDP  
(18×24)



# SDP – room for improvements

- Relaxation is not tight
- Slow, applicable to very small images
- Regularization terms
- Solution extraction scheme

# Shading ambiguities

- Known to exist in the continuous case
- For the discrete case, we show that the implicit function theorem implies the existence of a manifold of solutions (subject to conditions)

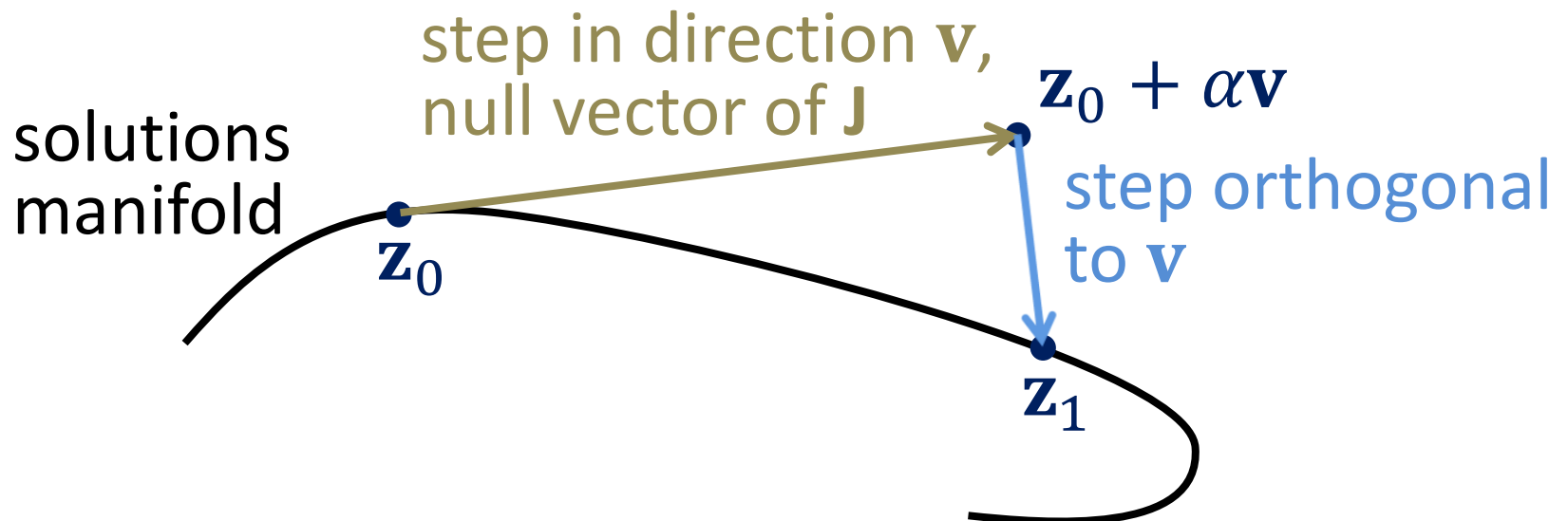


# Visualizing SFS ambiguities

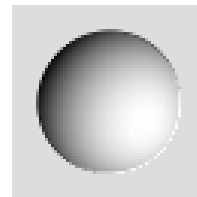
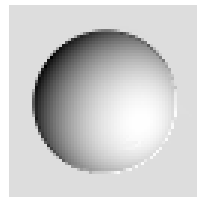
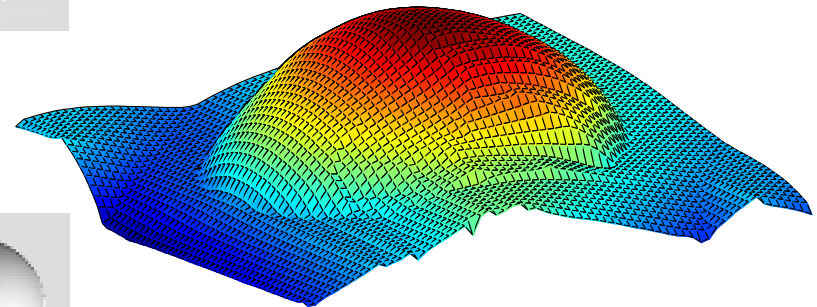
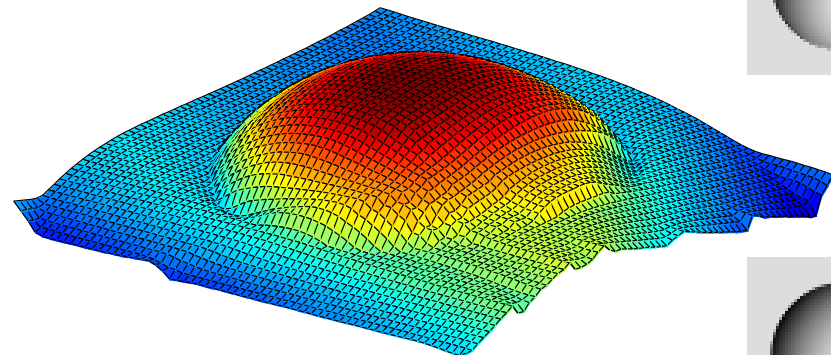
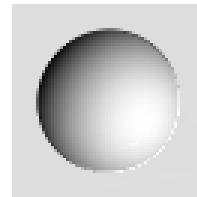
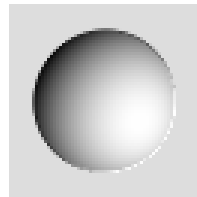
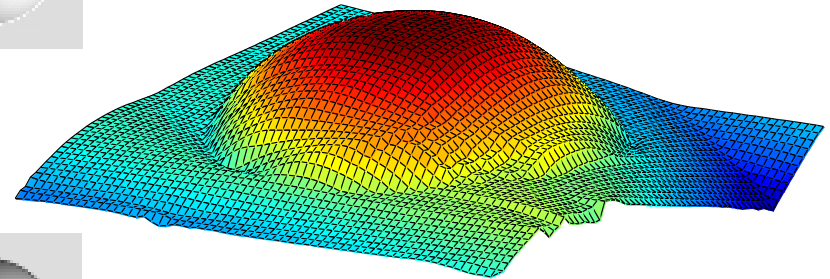
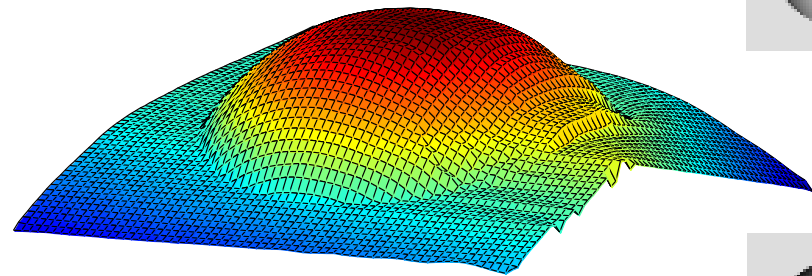
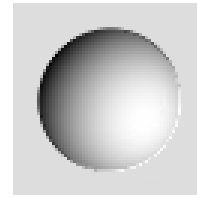
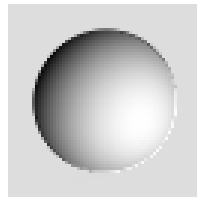
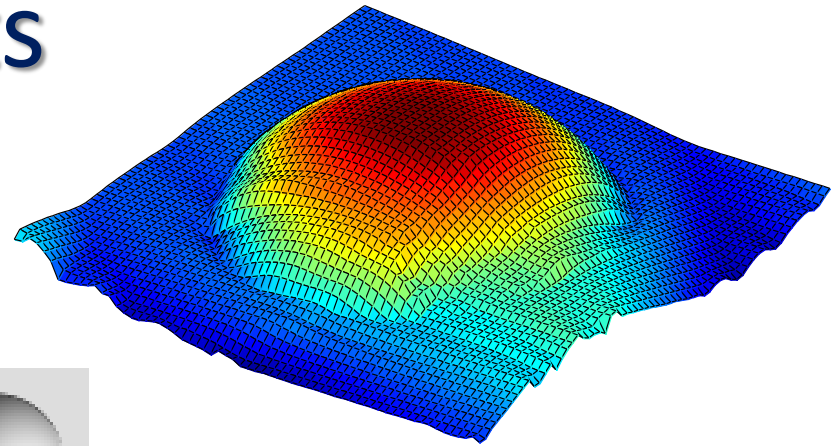
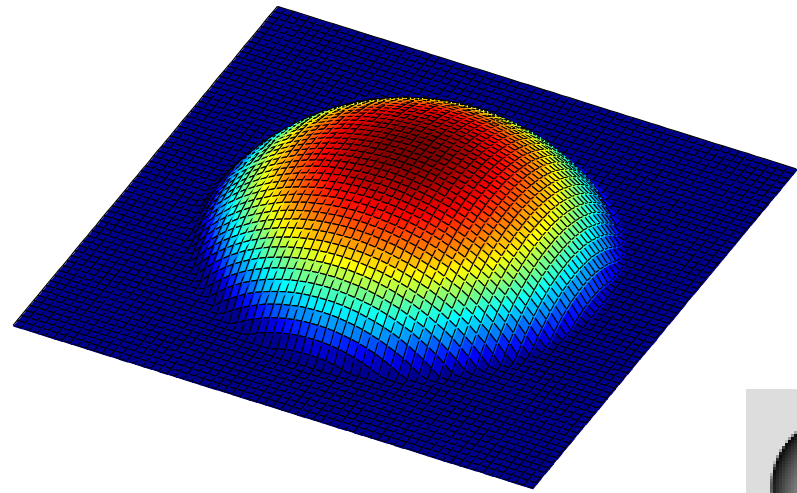
$$\|\mathbf{r}(\mathbf{z}_0 + \mathbf{v})\|^2 \approx \underbrace{\|\mathbf{r}(\mathbf{z}_0)\|^2}_0 + \underbrace{2\mathbf{r}^T \mathbf{J} \mathbf{v}}_0 + \mathbf{v}^T \mathbf{J}^T \mathbf{J} \mathbf{v}$$

$\mathbf{J} = \left[ \frac{d\mathbf{r}}{d\mathbf{z}}(\mathbf{z}_0) \right]$  is the Jacobian of  $\mathbf{r}$  at  $\mathbf{z}_0$

On the extended grid  $\mathbf{J}$  is  $MN \times (M + 1)(N + 1)$ , with at least  $M + N + 1$  null vectors



# Results



What shape is this?







# References

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