## Intelligence: Artificial and Human

#### **SMC199H1F**

Seminar: Tuesday 10am-12pm AH 105 Tutorials: Tuesday 5-6 pm AH 306

## CSC199H1F

Tuesday 2-4pm CR 103 Tuesday 4-5 pm CR 103

## Instructors: Prof. Gerald Penn <u>gpenn@cs.utoronto.ca</u> Office Hours : F 12-2 Office: PT283 (6 King's College Road)

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## **Course Description:**

This course engages the history, assumptions, and aspirations of computer science, and AI in particular. It invites both humanities and computer science students to inquire into such questions as: What is human intelligence, and how close are we to replicating it? How productive (or reductive) is the brain-computer analogy? What are the ethical challenges posed by AI systems (on workers, on society, on the environment) and should we — can we? — put a hold on "progress"? Is Silicon Valley the seat of a new techno-religion, and if so, what implications does this have for research incentive and funding? What are the historical roots of computer science, robotics, and AI, and what can they teach us about today's research priorities? What insight (or inspiration) can we get from works of science fiction about the future of human-AI interaction? Assignments will ensure that in addition to wrestling with these questions, students acquire the fundamental reading, writing, and research skills they will need to succeed throughout their undergraduate studies and beyond.

## **Course Objectives:**

In an age increasingly shaped by the exigencies of AI and deep learning research, a humanistic perspective on intelligent technologies will help the next generation of students remain in control of their field, and take it in new and exciting directions. Reciprocally, computer literacy, along with a deeper appreciation for the ways computer scientists identify and solve problems, should be part of any humanistic education, along with traditional reading, writing, and oral expression skills. Writing algorithms has started to become an essential skill outside of the computing sciences, although not as an end unto itself; even among computer science undergraduates, knowledge of computer programming will not guarantee employment — let alone a fulfilling career — in a competitive market-place where humans must compete with AI technologies. To be

deployed to its fullest potential, computational thinking must be both subjected to critical inquiry and informed by culture. We believe that the best way to accomplish this is to integrate an introduction to computational thinking with a rigorous survey of the philosophy and history behind it. This course proposes just that: a collaborative experiment between fledging computer scientists and humanists.

## **Rules and Expectations:**

- **Attendance**: This course interlaces lectures with discussions based on assigned readings. Attendance *and* participation are *mandatory*. Students will need to provide adequate justification for missing class. Failure to do so will result in penalties on the participation grade.
- **Work ethics:** By default, classes will take place **in person**. A Zoom link will be provided in the event we need to meet online. When attending class remotely, students are expected to be properly attired, awake, and ready to turn their video cameras and microphones on for discussion. We also strongly recommend that you **print out your readings** so as to be able to quickly flip through material as the seminar unfolds.
- **Cate submission policy:** Late submission of assignments will be penalized by a half-grade per day (i.e., 2-3%). For instance, a B+ paper submitted 2 days late would thus receive a B-. Please submit on time using the Quercus page.
- Plagiarism policy: The University of Toronto treats cases of academic misconduct very seriously. Beware in particular of plagiarism, which includes copy-pasting, paraphrasing, and appropriating someone else's words or ideas without properly acknowledging them (or, for that matter, doing so with your own work) as well as the use of unauthorized writing aids. Consult the University of Toronto's *Code of Behaviour on Academic Matters* for more information: <a href="http://www.governingcouncil.utoronto.ca/Assets/Governing+Council+Digital+Assets/Policies/PDF/ppjun011995.pdf">http://www.governingcouncil.utoronto.ca/Assets/Governing+Council+Digital+Assets/Policies/PDF/ppjun011995.pdf</a>. For a thorough guide on what constitutes plagiarism (pay special attention to inadequate paraphrases): <a href="http://usingsources.fas.harvard.edu/what-constitutes-plagiarism">http://usingsources.fas.harvard.edu/what-constitutes-plagiarism</a>

## **Evaluation:**

Two-Sentence Summaries: 10% (10 x 1% each; must be submitted in class) Problem Set: 10% Bibliography: 10% Book review proposal: 5% Book review draft: 15% Final book review: 30% Attendance and participation: 20%

\*\*To ensure your paper matches university standards, we require that you use the "Essay Checklist: Click Your Way to an A" tool offered by the Kelly Library when formatting, revising, and submitting your first and final drafts. You can access it here: <u>http://kl-smc.site/cl/index.html</u> \*\*

#### **Required Text:**

Birkenstein Cathy and Gerald Graff. *They Say / I Say: The Moves That Matter in Persuasive Writing*. New York: W.W. Norton & Co., 2007.

## For bibliographical references and essay writing tool, consult:

- McKibbin, Joan and Margot Northey. *Making Sense: A Student's Guide to Research and Writing*. 8th ed. Oxford: Oxford University Press, 2015.
- Turabian, Kate L. A Manual for Writers of Research Papers, Theses, and Dissertations, 8th edition. Chicago: Chicago University Press, 2013.

You can also consult the Chicago Manual of Style online, via the library website.

## **Class Schedule**

# INTRODUCTIONS (and a touch of literary criticism)

#### WEEK 1: What is (Artificial) Intelligence? (Jan. 9)

- ▶ Various perspectives, audiences, and genres
- ▶ What is the object of the computing sciences?

Tutorial: Introductions, discussion, further questions (about syllabus and assignments)

## ► ► Assignment for this week:

Watch *A.rtificial I.mmortality: The AI Documentary*, directed by Ann Shin (2021; Toronto: Fathom Film, 2021), DVD/Streaming. Come ready to discuss their respective treatment of AI in class.

Meghan O'Gieblyn, "Ghost in the Cloud: Transhumanism's SimulationTheology," *N*+1, Issue 18, Spring 2017, https://www.nplusonemag.com/issue-28/essays/ghost-in-the-cloud/ (accessed January 6, 2024)

INTRODUCTIONS (and a touch of philosophy)

## WEEK 2: What is (Human) Intelligence? (Jan. 16)

- ▶ Intelligence, the mind, the soul, and consciousness
- ▶ Thinking, reasoning, learning

**Tutorial:** Introductions and discussion (what's a thesis)

► ► Readings for this week:

Richard Grant, "Do Trees Talk to Each Other?," *Smithsonian.com*, March 2018 https://www.smithsonianmag.com/science-nature/the-whispering-trees-180968084/

Georges Louis Leclerc de Buffon, *Natural History*, 10 vol., trans. James Smith Barr (London: 1807), 6: 122-130.

Cathy Birkenstein and Gerald Graff, *They Say / I Say: The Moves That Matter in Persuasive Writing* (New York: W.W. Norton & Co., 2007), 1-15 ("Introduction"), 163-66 ("I take your point: Entering Class Discussions").

► Assignment for this week: Write your instructors a *formal email*. In this email, you should introduce yourself and request a mock appointment (or a real one, as you wish). Make sure to provide a specific reason for this appointment, as well as a set of potential meeting times and whether you would rather meet in person or over Zoom. Provide several meeting time options, to maximize the chance one of us will be available.

## \*\*Two-Sentence Summary due in class (Grant)\*\*

# INTRODUCTIONS (and a touch of history)

## WEEK 3: Intelligence, Measurable? (Jan. 23)

Theories of intelligence

▶ IQ-testing and its implications

Tutorial: Modes and Genres of Academic Writing

## ► ► Readings for this week:

J. Philipp Rushton and Arthur R. Jensen, "Thirty Years of Research on Race Differences in Cognitive Ability," *Psychology, Public Policy, Law* 11, no. 2 (2005): 235-294. [Read sections 1, 2, and 15.]

Jackson, John P. Jr., "Arthur Jensen, Evolutionary Biology, and Racism," *History of Psychology*. Advanced online publication, 28 July 2022. <u>https://doi.org/10.1037/hop0000221</u>

Henry D. Schlinger, "The Myth of Intelligence," *The Psychological Record* 53, no.1 (2003): 15-32.

Birkenstein and Graff, 18-29 ("Starting with What Others Are Saying").

## \*\*Two-Sentence Summary due in class (Schlinger)\*\*

## THE HISTORICAL LENS

## WEEK 4: The Long History of Computation (Jan. 30)

- ▶ Leibniz and the deep roots of computer science
- ▶ The computer before the computer

Tutorial: Gathering Sources: Visit of the Kelly Library

## ► ► Readings for this week:

- Jonathan Gray, "'Let us Calculate!': Leibniz, Llull, and the Computational Imagination," *The Public Domain Review*, <u>https://publicdomainreview.org/2016/11/10/let-us-calculate-leib-niz-llull-and-computational-imagination/</u>
- G. W. Leibniz, *Dissertation on the Art of Combinations* 1666 (Selection), https://www.math.ucla.edu/~pak/hidden/papers/Quotes/Leibniz-Arte-Combinatoria.pdf

Birkenstein and Graff, 30-41 ("The Art of Summarizing") and 173-83 ("Reading for the Conversation").

## \*\* Two-Sentence Summary due in class (Gray) \*\* \*\*Bibliography due Friday, Feb. 2nd \*\*

## THE HISTORICAL LENS

#### WEEK 5: Who Invented the Computer (and Why)? (Feb. 6)

- ▶ Ada Lovelace and the Babbage Engine
- ▶ Mathematical theories of computing

Tutorial: Professional Ethics, Part 1

#### ► ► Readings for this week:

George Boole, "An Investigation of the Laws of Thought on Which are Founded the Mathematical Theories of Logic and Probabilities (1854)," in H. R. Lewis, *Ideas That Created the Future: Classic Papers of Computer Science* (Cambridge, MA: MIT Press, 2021), 27-44.

Eugene Eric Kim and Betty Alexandra Toole, "Ada and the First Computer," *Scientific American* 280, no. 5 (May 1999): 76-81.

Birkenstein and Graff, 42-51 ("The Art of Quoting").

## \*\* Two-Sentence Summary due in class (Kim & Toole) \*\*

#### THE HISTORICAL LENS

## WEEK 6: Crucibles of AI Research (Feb. 13)

- ▶ Alan Turing, his Test, and his Predictions
- ▶ The Dartmouth Conference: Laying out the Research Program

Tutorial: Screening of: American Experience, "Code Breaker," directed by Chana Gazit (2021).

Readings for this week:

A. M. Turing, "Computing Machinery and Intelligence," Mind 49, no. 236 (Oct. 1950): 433-460. J. McCarthy et al., A Proposal for the Dartmouth Summer Research Project on Artificial Intelligence. August 31, 1955. Available online: http://jmc.stanford.edu/articles/dartmouth/dartmouth.pdf

Birkenstein and Graff, 55-67 ("Three ways to Respond").

## \*\*Two-Sentence Summary due in class (Turing) \*\* \*\*Problem Set due Friday Feb. 16\*\*

### WEEK 9: Reading Week (Feb. 20)

No class.

## THE HISTORICAL LENS

## WEEK 7: Norbert Wiener's Cybernetics (Feb. 27)

- Humans and Machines in the Age of Information
- ▶ AI, games, and geopolitics

Tutorial: What is evidence? What is a proof?

## ► ► Readings for this week:

Norbert Wiener, The Human Use of Human Beings [originally published in 1950] (London: Free Association Book, 1989), 15-27 [Ch. 1; feel free to read the preface tool.

Norbert Wiener, "Cybernetics and Psychopathology," in Cybernetics, or Control and Communication in the Animal and the Machine, 2nd ed. (Cambridge, MA: MIT Press, 1961), ch. 7. Birkenstein and Graff, 68-77 ("Distinguishing What You Say from What They Say").

#### \*\*Two-Sentence Summary due in class (Wiener's "Cybernetics and Psychopathology") \*\*

## THE PHILOSOPHICAL LENS

## WEEK 8: Philosophy, Science, and Computation (Mar. 5)

System analysis and the architecture of complexity

Intelligence and decision making

Tutorial: J.O.'s Dojo: Stress management

## ► ► Readings for this week:

Herbert A. Simon, "The Architecture of Complexity," Proceedings of the American Philosophical Society 106, no 6 (December 1962): 467-482.

Birkenstein and Graff, 92-101 ("Saying Why It Matters").

## \*\*Two-Sentence Summary due in class (Simon) \*\* \*\*Book Report Proposal due Mar. 8\*\*

## THE PHILOSOPHICAL LENS

## WEEK 10: What Is It Like to Work For Google? (Mar. 12)

Labour and the environment

▶ The academic and the corporate world

Tutorial: What it is like to work for Gerald: Lab visit

### ► ► Readings for this week:

Bruce Berman, "The Computer Metaphor: Bureaucratizing the Mind," *Science as Culture* 1, no. 7 (1989): 7-42.

Kate Crawford and Vladan Joler, "Anatomy of an AI System," 2018. Available online: https://anatomyof.ai

Birkenstein and Graff, 78-91 ("Skeptics May Object")

## \*\*Two-Sentence Summary due in class (Berman or Crawfold & Joler)\*\*

## THE PHILOSOPHICAL LENS

## Week 11: Should We Pursue AI? If So, How? (Mar. 19)

- Ethical perspectives
- Legal perspectives

Tutorial: Professional Ethics, part 2

## ► ► Readings for this week:

Vincent C. Müller, "Ethics of Artificial Intelligence and Robotics," *The Stanford Encyclopedia of Philosophy* (Summer 2021 Edition), Edward N. Zalta, ed., Available online: <u>https://plato.stanford.edu/archives/sum2021/entries/ethics-ai</u>

David J. Gunkel, The Machine Question: Critical Perspectives on AI, Robots, and Ethics (Cambridge, MA: MIT Press, 2012), 1-14.

Birkenstein and Graff, 105-120 ("Connecting the parts")

## \*\*Two-Sentence Summary due in class (Gunkel)\*\*

## THE LITERARY LENS

## WEEK 12: Is the Brain a Computer? (Mar. 26)

- Metaphors and computer science
- Scientific theories as metaphors

Tutorial: Opening your mind to close reading (poetry analysis workshop)

## ► ► Readings for this week:

Robert Epstein, "The Empty Brain," *Aeon*, 18 May 2016, <u>https://aeon.co/essays/your-brain-does-not-process-information-and-it-is-not-a-computer</u>

Blake Richards, "Yes, the Brain is a Computer... No, it's not a metaphor," *Medium: The Spike*, 1 Oct. 2018, <u>https://medium.com/the-spike/yes-the-brain-is-a-computer-11f630cad736</u>

Birkenstein and Graff, 184-201 ("Entering Conversation about Literature").

## \*\*Two-Sentence Summary due in class (Epstein or Richards) \*\* \*\*Book Report Draft due Mar. 29\*\*

## THE LITERARY LENS

#### WEEK 13: Singularity and the Future of AI (Apr. 2)

- ▶ The future of intelligence, artificial and human
- ▶ Science fiction: what can it teach us?

**Tutorial**: The joys (and the pain) of writing (and rewriting)

#### Readings for this week:

Isaac Asimov, "The Last Question," *Science Fiction Quarterly* 4, no. 5 (November 1956), 7-15. Yuval Noah Harari, "Homo Sapiens is an Obsolete Algorithm': Yuval Noah Harari on How

Data Could Eat the World," Wired, 1 Sept. 2016, Accessed 28 July, 2022,

https://www.wired.co.uk/article/yuval-noah-harari-dataism

Birkenstein and Graff, 139-59 ("Using the Templates to Revise").

*Optional:* Watch (or rewatch) a science fiction movie that features some kind of brain-computer interface, consciousness upload, brain hacking, etc. Come ready to discuss.

## \*\*Make up Two-Sentence Summary due in class (Harari) \*\*

## **\*\*Final Book Review Due April. 7\*\***

## **Assignment Descriptions:**

## **Two-Sentence Summaries:**

As undergraduate students, you are responsible for preparing weekly readings. This means you are expected not only to keep up with the readings assigned in the syllabus, but also to annotate them, have them with you during class (preferably in print), and stand ready to discuss them with us and with your peers. To help you prepare, we ask that you submit 10 two-sentence reading summaries in response to the assigned readings (NB: you should still read *all* the readings, not just those you summarize!). Assignments must be submitted in print, in class. Due dates can be found on the class schedule.

What's a Two-Sentence Summary? We are glad you asked! The short of it is that this assignments requires you 1) to excerpt two sentences from one of your readings (one sentence capturing the thesis of the author, the other sentence striking you as discussion worthy); 2) write two short paragraph to justify your choice (one paragraph for each excerted sentence); and 3) formulate a question about or in response to the reading. Please refer to the detailed instructions circulated on the first class (also available on Quercus), and follow them scrupulously. Only complete assignment will receive a full mark.

*Pay especially attention to formatting and use the instruction sheet as your template.* Make sure the document your submit in class includes a heading with the submission date, your name, your main instructor's name, the course code, and a title. Do not include this heading in the "heading" box of your word processor; save that space for page numbers, which you will have to include in any assignment running longer than a single page. Be mindful of grammar and spelling mistakes. Aim for clarity, concision, and precision. Every response you submit will earn you 1 mark, for a maximum of 10 marks for the whole term. Incomplete or poorly formatted assignments will receive a .05; botched or missing assignments, a 0.

#### Formatting Instructions:

Double-spaced, 1 inch margins, Times New Roman 12, page numbers in upper right corner (if longer than a page). Use the "short heading" template provided with the sample summary.

## **Problem Set**

Instructions on how to answer the Problem Set will be given in class, along with the questionnaire. The deadline you see on the class schedule will likely move. You will have about two weeks to complete it at home, from the moment we officially introduce the assignment. This assignment is worth 10% of your final grade.

## **Bibliography**:

Any research project requires gathering, analyzing, and acknowledging sources. Gathering sources help you frame your inquiry, i.e., figure out what has been written on your topic, and where you think you can make a useful contribution to the conversation. Analyzing them not only provides you with information and insight, but also helps you support your argument, offer argumentative counterpoints, or even serve as the starting point of an entire paper. Academic writing standards

require that you keep track of these sources, through proper citations and with the help of a bibliography or work cited list. For this assignment, you are asked to pick a topic of your choice (so long as it relates to AI from a historical, a philosophical, or literary-critical standpoint) and build a working bibliography using Chicago Style (Note and Bibliography) instructions. *Your bibliography should comprise 10 items*, including *at least 2 scholarly monographs/books*, *2 scholarly articles*, *1 book chapter or article from an anthology/edited volume, and 1 scholarly encyclopedia or dictionary entry*. You should list items *in alphabetical order by author's last name*, but make sure to *identify the category to which each source belongs at the end of every entry*. You can use digital versions of material normally published in print (e.g., ebooks or scholarly articles found in an online database), but should avoid web pages altogether, unless they are hosted by academic institutions. You will receive marks for adequately formatting your entries (pay attention, for instance, to *hanging indentation*), as well as for choosing materials that meet academic standards. This assignment is worth 10% of your final grade.

## Formatting Instructions:

Double-spaced, 1 inch margins, Times New Roman 12, page numbers in upper right corner. Use the "short heading" template of the two-sentence summary instruction. Read the Chicago Style -- Footnote-Bibliography instructions carefully: <u>https://www.chicagomanualofstyle.org/tools\_cita-tionguide/citation-guide-1.html</u>.

## Main Assignment: Academic Book Review

Your main assignment this term will be to write an academic book review, i.e., a critical summary of a scholarly monograph (subject to our approval, and fitting with the themes explored in this course). Book reviews are essential to the academic profession, particularly in the humanities and the social sciences. Indeed, few scholars can keep up with the literature of their own fields, let alone with that of adjacent fields, without other experts digesting it for them. Since most of your classes will require that you engage meaningfully with the thoughts of other scholars, learning to summarize and evaluate a complex, book-length argument will serve you well. The assignment is broken down into three steps:

## 1) Book Review Proposal:

We ask that you submit a *one page proposal* in which you state which monograph you intend to review (pick one from the list we will provide you). Treat this assignment as if it were another reading summary assignment (see above), by answering all the questions you can answer at this early stage and by telling us why you picked this book. Please provide an academic justification, not a touchy-feely narrative of how you came across the source. We recommend you make an appointment or contact us by email if you have any doubts or concerns. This assignment is worth 5% of your final grade.

## Formatting Instructions:

Double-spaced, 1 inch margins, Times New Roman 12, page numbers in upper right corner (if longer than a page). Use the "short heading" template provided with the sample summary. Citations, if you include any, should be formatted in Chicago style (the Notes and Bibliography variant).

## Additional Tips for Chicago Style (Notes and Bibliography variant):

Journal, magazine, newspaper, and book titles should be *italicized*. No quotation marks.
The titles of articles published within journals, magazines, or newspapers should be in quotation marks (""); so should the title of book chapters.

3) Punctuation should come before quotation marks, and quotation marks before footnote calls. A footnote call is a superscript digit that refers the reader to the appropriate note at the bottom of the page). It should be placed at the very end of the sentence. E.g.: Turing argues that his reformulation of the question "can machines think?" has "the advantage of drawing a fairly sharp line between the physical and the intellectual capacities of man."<sup>1</sup>

4) If you cite or paraphrase a passage from a source, you need to use footnotes. Pay attention to their formatting. The example at the bottom of this page gives you a template for scholarly articles published in academic journals. The format varies depending on the kind of source you are citing. You should refer back to the following guide: <u>https://www.chicagomanu-alofstyle.org/tools\_citationguide/citation-guide-1.html</u>.

## 2) Book Review, First Draft:

By now, you should have read your monograph at least once and taken notes on the side. In order to ensure you are on the right track, we ask that you submit an early draft of your final book review with a *formal cover page* and a short *bibliography* (if appropriate). This draft should be about 1000 words in length, and modeled after the kind of book reviews one encounters in academic journals (examples will be discussed in class). It should begin with an introductory paragraph stating the title of the book and the author's name (i.e., your topic) and restate its thesis and/or purpose (i.e., your thesis statement). A few words about where this book fits in the literature is also advisable; most likely, the author is explicit about it in his or her introduction. The following paragraphs of your review should summarize the argument (chapter by chapter if it there are only a handful, or else by thematic "clusters"), and then discuss the argument's strengths and weaknesses, with supporting evidence taken from the text or from the scholarly literature (other than other book reviews). When you cite, make sure to follow Chicago Style instructions. Finally, your conclusion should provide, to the extent you can, an overall assessment of the work's merits. Tell us whether the author achieved his or her stated goal, and to what audience you would recommend the book. Try to submit as polished a draft as you can; our feedback will be all the more helpful. This assignment is worth 15% of your final grade.

## Formatting Instructions:

Double-spaced, 1 inch margins, Times New Roman 12, page numbers in upper right corner. Use the "formal cover page" template provided in the "Essay Checklist: Click your Way to an A" module linked on the syllabus and offered by the Kelly Library. All citations should be formatted in Chicago style (Notes and Bibliography variant).

## 3) Book Review, Final Draft:

Writing well and clearly requires practice. Based on the editorial feedback we provided on your first draft, revise your review and resubmit. Note that we expect more than a spelling clean up: you may need to revise the substance or the structure of your paper, do a little more research or

<sup>&</sup>lt;sup>1</sup> A. M. Turing, "Computing Machinery and Intelligence," *Mind* 49, no. 236 (Oct. 1950): 434.

analysis to support your claims, or else work on improving your grammar and your style. Pay attention to format too: at this stage, we reserve the right to refuse papers that do not meet our requirements. Before submitting, we strongly recommend you go through the "Essay Checklist: Click your Way to an A" module linked on the syllabus and offered by the Kelly Library. This assignment is worth 30% of your final grade.

## Formatting Instructions:

Double-spaced, 1 inch margins, Times New Roman 12, page numbers in upper right corner. Use the "formal cover page" template provided in the "Essay Checklist." All citations should be formatted in Chicago style (Notes and Bibliography).

## Attendance and participation:

Normally, a seminar takes place in a small room, where faculty and students sit around a table to have an in-depth conversation about a set of readings. Expect being asked both open and pointed questions about the readings. 20% of your grade will be determined by your record of attendance and by the quality of your participation throughout the term (this includes not only the main seminar time, but also the tutorial, unless we specify otherwise). We understand that some of you are shy, and that the seminar environment can be intimidating; yet learning to overcome public speaking anxiety is an important part of your university education. We also know that some of you are, on the contrary, very keen to speak up; if this is your case, your challenge will be to give others their share of the spotlight. Either way, rest assured that we will do our utmost to make our classroom conducive to friendly discussion.

**Online Participation (if necessary):** As it stands, our course is scheduled to take place entirely in person. If this change during the term due to an emergency, we will let you know. In order to reproduce the pedagogical benefits of the seminar setting in an online classroom environment, it is imperative that every student be equipped with the AV hardware and software needed to participate in class discussion.