

# Exploring Compositional High Order Pattern Potentials for Structured Output Learning

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## Abstract

When modeling structured outputs such as image segmentations, prediction can be improved by accurately modeling structure present in the labels. A key challenge is developing tractable models that are able to capture complex high level structure like shape. In this work, we study the learning of a general class of pattern-like high order potential, which we call *Compositional High Order Pattern Potentials (CHOPPs)*. We show that CHOPPs include the linear deviation pattern potentials of Rother et al. [26] and also *Restricted Boltzmann Machines (RBMs)*; we also establish the near equivalence of these two models.

Experimentally, we show that performance is affected significantly by the degree of variability present in the datasets, and we define a quantitative variability measure to aid in studying this. We then improve CHOPPs performance in high variability datasets with two primary contributions: (a) developing a loss-sensitive joint learning procedure, so that internal pattern parameters can be learned in conjunction with other model potentials to minimize expected loss; and (b) learning an image-dependent mapping that encourages or inhibits patterns depending on image features. We also explore varying how multiple patterns are composed, and learning convolutional patterns. Quantitative results on challenging highly variable datasets show that the joint learning and image-dependent high order potentials can improve performance.

## 1. Introduction

Many tasks in computer vision can be framed as making predictions about complex, structured objects. For example, image labeling problems like stereo depth estimation, optical flow, and image segmentation can all be cast as making predictions jointly over many correlated outputs. The modeling frameworks that have found the most success for this type of problems are those like Conditional Random Fields (CRFs) and Structural Support Vector Machines (SSVMs), which explicitly model the correlations over the

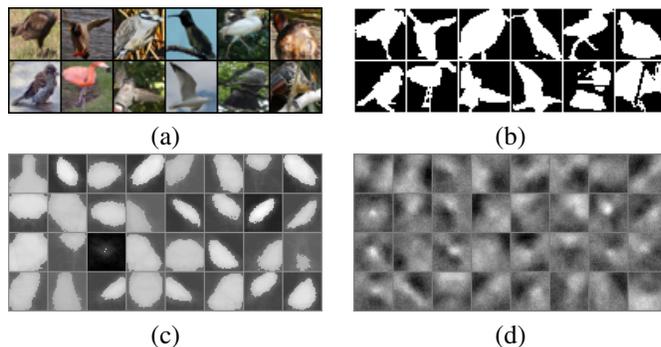


Figure 1. (a) Images from Bird data set. (b) Ground truth labels. (c) Patterns learned by the clustering-style approach of [26]. (d) Patterns learned by the compositional-style approach proposed here.

outputs and make test-time predictions by either exactly or approximately solving a joint inference task. These formulations are collectively known as structured output learning, or structured prediction, and are the focus of this work.

A key research issue that arises when working with structured output problems is how to best tradeoff expressivity of the model with the ability to efficiently learn and perform inference (make predictions). Traditionally, these concerns have led to the use of overly simplistic models over labelings that make unrealistic conditional independence assumptions, such as pairwise models with grid-structured topology. Recently, there have been successful efforts that weaken these assumptions, either by moving to densely connected pairwise models [13] or by enforcing smoothness in higher order neighborhoods [10]. However, while these approaches can lead to improved performance, they do not capture much higher level structure in the data, such as information about shape. As we look to build models that more faithfully represent structure present in the world, it is desirable to explore the use of models capable of representing this higher level structure.

One promising direction towards incorporating these goals in the structured output setting appears to be the *pattern potentials* of Rother et al. [26] and Komodakis & Para-

gios [12], which are capable of modeling soft template structures and can dramatically outperform pairwise models in highly structured settings that arise, e.g., when modeling regular textures. Yet despite the clearly powerful representational ability of pattern potentials, they have not found much success in more realistic settings, like those found in the PASCAL VOC image labeling task [4].

A model that is appropriate in similar situations and has also found success modeling textures [9] is the Restricted Boltzmann Machine (RBM). In fact, our starting observation in this work is that the similarity is not superficial—mathematically, RBM models are nearly identical to the pattern potentials of [26]. We will make this claim precise in Section 3, leading to the definition of a more general class of high order potential that includes both pattern potentials and RBMs. We call this class *Compositional High Order Pattern Potentials* (CHOPPs). A primary benefit of this observation is that there is a well-developed literature on learning RBM models that becomes available for learning pattern-like potentials.

In this work we explore augmenting standard CRF models with CHOPPs. Our goal is to not only learn a tradeoff parameter between the standard and high order parts of the model, but also to learn internal pattern parameters. We then focus on the question of how effective these potentials are as the variability and complexity of the image segmentation task increases. We propose a simple method for assessing the degree of variation in the labels, then show that the performance of a vanilla application of CHOPPs degrades relative to the performance of standard pairwise potentials as this measure of variability increases.

We then turn attention to improving vanilla CHOPP-augmented CRFs, and make two primary suggestions. The first is to incorporate additional parameters that allow the pattern activities to depend on information in the image. This is analogous to allowing standard pairwise potentials to vary depending on local image color differences [1] or more advanced boundary detector responses like Pb [19]. The second is to utilize a loss function during training that is tailored to the metric used for evaluating the labeling results at test time. Our results indicate that jointly training the CHOPP potentials with the rest of the model improves performance, and training specifically for the evaluation criterion used at test time (we use an intersection-over-union (IOU) measure throughout) improves over a maximum likelihood-based objective. Finally, we explore (a) different forms of compositionality: the ‘min’ version advocated by Rother et al. [26], which is essentially a mixture model, versus the ‘sum’ version, which is more compositional in nature; and (b) convolutional applications of the high order potentials versus their global application.

Since this work sits at the interface of structured output learning and RBM learning, we conclude by suggesting

take-aways for both the RBM-oriented researcher and the structured output-oriented researcher, proposing what each approach has to offer the other and outlining possible directions for improving the applicability of pattern-based approaches to challenging structured output problems.

## 2. Background & Related Work

### 2.1. Structured Output Learning

In structured output learning, the goal is to predict a vector of labels  $\mathbf{y} \in \mathcal{Y} = \{1, \dots, C\}^{D_v}$  given inputs  $\mathbf{x} \in \mathcal{X}$ , where  $D_v$  is the dimensionality of the output. A standard approach, which is taken by e.g. structural SVMs, is to define an input-to-output mapping function  $g_{\lambda} : \mathcal{X} \rightarrow \mathcal{Y}$  that is governed by parameters  $\lambda$ . Given feature functions  $\{f_j(\mathbf{y}, \mathbf{x})\}_{j=1}^J$ , this mapping is constructed implicitly via the maximization of a scoring function, which can be interpreted as a conditional probability distribution  $p(\mathbf{y} | \mathbf{x}; \lambda)$ :  $g_{\lambda}(\mathbf{x}) = \operatorname{argmax}_{\mathbf{y}} p(\mathbf{y} | \mathbf{x}; \lambda)$ , where  $p(\mathbf{y} | \mathbf{x}; \lambda) \propto \exp \left\{ \sum_{j=1}^J \lambda_j f_j(\mathbf{y}, \mathbf{x}) \right\}$ . In practice, we must restrict the form of  $f_j(\cdot)$  functions in order to ensure tractability, typically by forcing the function’s value to depend on the setting of only a small number of dimensions of  $\mathbf{y}$ . Also, some  $f_j(\cdot)$  functions may ignore  $\mathbf{x}$ , which has the effect of adding input-independent prior constraints over the label space. The result is a log-linear probability distribution ( $\log p(\mathbf{y} | \mathbf{x}; \lambda)$  is linear in  $\lambda$ ), which may be optimized using a variety of methods [31].

**Latent Variable Models.** To increase the representational power of a model, a common approach is to introduce latent (or hidden) variables  $\mathbf{h} \in \mathcal{H} = \{1, \dots, H\}^J$ . The above formulation can then be easily extended by defining feature functions  $f(\mathbf{x}, \mathbf{y}, \mathbf{h})$  that may include latent variables, which leads to a probability distribution  $p(\mathbf{y}, \mathbf{h} | \mathbf{x})$ .

To make predictions, it is common to either maximize out or sum out the latent variables. The former strategy is employed by latent structural SVMs [35], while the latter is employed by hidden CRF models [24]. A topic of ongoing investigation is the benefits of each, and alternative strategies that interpolate between the two [20].

**High Order Potentials.** A related strategy for increasing the representational power of a model is to allow feature functions to depend on a large number of dimensions of  $\mathbf{y}$ . These types of interactions are known collectively as *high order potentials* and have received considerable attention in recent years. They have been used for several purposes, including modeling higher order smoothness [10], co-occurrences of labels in semantic image segmentation [14], and cardinality-based potentials [33, 34]. While the above examples provide interesting non-local constraints, they do not encode shape-based information appropriate for image labeling applications. There are other high order models that come closer to this goal, e.g., modeling star

convexity [5], connectivity [32, 23], and a bounding box occupancy constraint [17]. However, these still are quite restrictive notions of shape compared to what pattern-based models are capable of representing.

**Learning High Order Potentials.** In addition to a weighting coefficient that governs the relative contribution of each feature function to the overall scoring function, the features also have internal parameters. This is the case in CHOPPs, where internal parameters dictate the target pattern and the costs for deviating from it. These parameters also need to be set, and the approach we take in this work is to learn them. We emphasize the distinction between first learning the internal parameters offline and then learning (or fixing by hand) the tradeoff parameters that controls the relative strength of the high order terms, versus the joint learning of both types of parameters. While there is much work that takes the former approach [11, 26, 14], there is little work on the latter in the context of high order potentials. Indeed it is more challenging, as standard learning formulations become less appropriate (e.g., using a variant on standard SSVM learning for CHOPPs leads to a degeneracy where all patterns become equivalent), and objectives are generally non-convex.

## 2.2. Restricted Boltzmann Machines

A Restricted Boltzmann Machine (RBM) [28] is a form of undirected graphical model that uses hidden variables to model high-order regularities in data. It consists of the  $I$  *visible* units  $\mathbf{v} = (v_1, \dots, v_I)^\top$  that represent the observations, or data; and (2) the  $J$  *hidden* or latent units  $\mathbf{h} = (h_1, \dots, h_J)^\top$  that mediate dependencies between the visible units. The system can be seen as a bipartite graph, with the visibles and the hiddens forming two layers of vertices in the graph; the restriction is that no connection exists between units in the same layer.

The aim of the RBM is to represent probability distributions over the states of the random variables. The pattern of interaction is specified through the energy function:

$$E(\mathbf{v}, \mathbf{h}) = -\mathbf{v}^\top \mathbf{W} \mathbf{h} - \mathbf{b}^\top \mathbf{v} - \mathbf{c}^\top \mathbf{h}, \quad (1)$$

where  $\mathbf{W} \in \mathbb{R}^{I \times J}$  encodes the hidden-visible interactions,  $\mathbf{b} \in \mathbb{R}^I$  are the input biases, and  $\mathbf{c} \in \mathbb{R}^J$  are the hidden biases. The energy function specifies the probability distribution over the joint space  $(\mathbf{v}, \mathbf{h})$  via the Boltzmann distribution

$$p(\mathbf{v}, \mathbf{h}) = \frac{1}{Z} \exp(-E(\mathbf{v}, \mathbf{h})) \quad (2)$$

with the partition function  $Z$  given by  $\sum_{\mathbf{v}, \mathbf{h}} \exp(-E(\mathbf{v}, \mathbf{h}))$ . Based on this definition, the probability for any subset of variables can be obtained by conditioning and marginalization.

**Learning in RBMs.** For maximum likelihood learning, the goal is to make the data samples likely, which entails computing the probability for any input  $\mathbf{v}$ ; this can be

derived by performing the exponential sum over all possibly hidden vectors  $\mathbf{h}$ :  $p(\mathbf{v}) = \sum_{\mathbf{h}} p(\mathbf{v}, \mathbf{h})$ , effectively marginalizing them out. For an RBM with  $J$  binary hidden units, this takes on a particular nice form:

$$\begin{aligned} p(\mathbf{v}) &= \sum_{\mathbf{h}} \frac{1}{Z} \exp(\mathbf{v}^\top \mathbf{W} \mathbf{h} + \mathbf{b}^\top \mathbf{v} + \mathbf{c}^\top \mathbf{h}) \\ &= \frac{1}{Z} \exp\left(\mathbf{b}^\top \mathbf{v} + \sum_{j=1}^J \log\left(1 + \exp(\mathbf{v}^\top \mathbf{w}_j + c_j)\right)\right), \quad (3) \end{aligned}$$

where each of the terms inside the summation over  $j$  is known as a *softplus*. The standard approach to learning in RBMs uses an approximation to maximum likelihood learning known as Contrastive Divergence (CD) [8].

**Vision Applications.** There have been numerous applications of RBM to vision problems. RBMs are typically trained to model the input data such as an image, and most vision applications have focused on this unsupervised training paradigm. For example, they have been used to model object shape [2], images under occlusion [18], and noisy images [30]. They have also been applied in a discriminative setting, as joint models of inputs and a class [15].

The focus of the RBMs we explore here, as models of image labels, has received relatively little attention. Note that in this case the visible units of the RBM now correspond to the image labels  $\mathbf{y}$ . The closest work to our’s is that of [7]. That work did not address shape information as we do, and it also combined the RBM with a very restricted form of CRF. [21] also tried to use RBMs for structured output problems, but there are no pairwise connections between labels, and the actual loss was not considered during training. Also related is the work of [3], which uses a generative framework to model labels and images.

## 3. Equating Pattern Potentials and RBMs

In [26], the basic pattern potential is defined as  $g(\mathbf{y}) = \min\{d(\mathbf{y}) + \theta_0, \theta_{\max}\}$ , where  $\theta_0$  and  $\theta_{\max}$  are constants,  $d(\mathbf{y}) = \sum_i w_i y_i + K$  is a deviation cost for  $\mathbf{y}$  to deviate from a specific pattern  $\mathbf{Y}$ , and  $w_i$  specifies the cost of assigning  $y_i$  to be 1:  $w_i > 0$  when  $\mathbf{Y}_i = 0$  and  $w_i < 0$  when  $\mathbf{Y}_i = 1$ . Rother et al. propose two methods for compositing several of these potentials. In the “sum” case, they sum over these potentials, and in the “min” case they minimize, yielding a potential of the form  $g(\mathbf{y}) = \min\{d_1(\mathbf{y}) + \theta_1, \dots, d_J(\mathbf{y}) + \theta_J\}$ , where we assume one deviation function is constant (i.e. all  $w_i$  are 0). In the supplementary material, we give more details and show that the “sum” case is equivalent to the free energy that arises after summing out hidden units in an RBM, up to the approximation that  $\min\{x, 0\} \approx -\log(1 + \exp(-x))$  (alternatively, they are exactly equivalent if hidden units are maximized out). In the same sense, the “min” case is equivalent to an RBM with a constraint that only one hidden unit can be active. See Table 1 in the supplementary material.

## 4. The CHOPP-Augmented CRF

Understanding the equivalence between RBMs and pattern potentials leads us to define a more general potential,

$$f(\mathbf{y}; T) = -T \log \left( \sum_{\mathbf{h}} \exp \left( \frac{1}{T} (\mathbf{y}^\top \mathbf{W} \mathbf{h} + \mathbf{c}^\top \mathbf{h}) \right) \right), \quad (4)$$

where  $T$  is a temperature parameter. Setting  $T = 1$  gives the exact RBM high order potential (softplus functions in Eq. 3). If there is no constraint on hidden variables, setting  $T \rightarrow 0$  gives the ‘‘sum’’ compositional high order pattern potential. If we restrict hidden variables to have a 1-of- $J$  constraint, setting  $T \rightarrow 0$  then gives the ‘‘min’’ compositional high order pattern potential.

Therefore the CHOPP permits manipulations along two separate axes: the temperature  $T$  interpolates the RBM and pattern potential, while the constraints on hidden variable activities interpolates the ‘‘sum’’ and ‘‘min’’ composition strategies. [6, 27] used similar techniques to interpolate ‘‘sum’’ and ‘‘min’’. A longer exposition of these claims is given in the supplementary material.

In this section, we augment a standard pairwise CRF with the CHOPP and describe inference and learning algorithms. We do not enforce any constraint on hidden variables in the following discussion, but it is possible to derive the inference and learning algorithms for the case where we have a soft sparsity or hard 1-of- $J$  constraint on hidden variables, e.g. using cardinality potentials [29].

### 4.1. Model

The conditional distribution of a labeling  $\mathbf{y}$  given input image  $\mathbf{x}$  is defined as

$$p(\mathbf{y}|\mathbf{x}) = \frac{1}{Z(\mathbf{x})} \exp \left\{ \lambda^u \sum_{i=1}^I f_i(y_i|\mathbf{x}) + \sum_k \lambda_k^p \sum_{i,j} f_{ij}^k(y_i, y_j|\mathbf{x}) + \mathbf{b}^\top \mathbf{y} + T \log \left( \sum_{\mathbf{h}} \exp \left( \frac{1}{T} (\mathbf{y}^\top \mathbf{W} \mathbf{h} + \mathbf{c}^\top \mathbf{h}) \right) \right) \right\} \quad (5)$$

where  $f_i(y_i|\mathbf{x})$  are unary potentials,  $f_{ij}^k(y_i, y_j|\mathbf{x})$  are  $K$  different types of pairwise potentials,  $\lambda^u$  and  $\lambda_k^p$  are trade-off parameters for unary and pairwise potentials respectively, and  $\mathbf{W}$ ,  $\mathbf{b}$ ,  $\mathbf{c}$  are RBM parameters. To simplify notation, for a given  $\mathbf{x}$  we use shorthand  $\psi^u(\mathbf{y}) = \lambda^u \sum_i f_i(y_i|\mathbf{x})$  for unary potentials and  $\psi^p(\mathbf{y}) = \sum_k \lambda_k^p \sum_{i,j} f_{ij}^k(y_i, y_j|\mathbf{x})$  for pairwise potentials.

**$T = 1$  Special Case.** For the special case  $T = 1$ , the posterior distribution  $p(\mathbf{y}|\mathbf{x})$  is equivalent to a joint distribution over  $\mathbf{y}$  and  $\mathbf{h}$ , with  $\mathbf{h}$  summed out

$$p(\mathbf{y}, \mathbf{h}|\mathbf{x}) \propto \exp \left( \psi^u(\mathbf{y}) + \psi^p(\mathbf{y}) + \mathbf{y}^\top \mathbf{W} \mathbf{h} + \mathbf{b}^\top \mathbf{y} + \mathbf{c}^\top \mathbf{h} \right). \quad (6)$$

Given  $\mathbf{y}$ , the distribution of  $\mathbf{h}$  factorizes, and we have

$$p(h_j = 1|\mathbf{y}, \mathbf{x}) = \sigma \left( c_j + \sum_{i=1}^I w_{ij} y_i \right), \quad (7)$$

where  $\sigma$  is the logistic function  $\sigma(x) = \frac{1}{1 + \exp(-x)}$ .

Given  $\mathbf{h}$ , the distribution of  $\mathbf{y}$  becomes a pairwise MRF with only unary and pairwise potentials

$$p(\mathbf{y}|\mathbf{h}, \mathbf{x}) \propto \exp \left( (\mathbf{b} + \mathbf{W} \mathbf{h})^\top \mathbf{y} + \psi^u(\mathbf{y}) + \psi^p(\mathbf{y}) \right), \quad (8)$$

where  $(\mathbf{b} + \mathbf{W} \mathbf{h})^\top \mathbf{y} + \psi^u(\mathbf{y})$  is the new unary potential.

**Model Variants.** One way to make this model even more expressive is to allow CHOPP terms to depend on the input image  $\mathbf{x}$ . The current formulation of CHOPPs is purely unconditional, but knowing some image evidence can help the model determine which pattern should be active. We achieve this by making the hidden biases  $\mathbf{c}$  a function of the input image feature vector  $\phi(\mathbf{x})$ . The simplest form of this is a linear function  $\mathbf{c}(\mathbf{x}) = \mathbf{c}_0 + \mathbf{W}_0^\top \phi(\mathbf{x})$ , where  $\mathbf{c}_0$  and  $\mathbf{W}_0$  are parameters.

Another variant of the current formulation is to make the CHOPPs *convolutional*, which entails shrinking the window of image labels  $\mathbf{y}$  on which a given hidden unit depends, and devoting a separate hidden unit to each application of one of these feature functions to every possible location in the image [16, 22]. These can be trained by tying together the weights between  $\mathbf{y}$  and hidden variables  $\mathbf{h}$  at all locations in an image. This significantly reduces the number of parameters in the model, and may have the effect of making the CHOPPs capture more local patterns.

### 4.2. MAP Inference

The task of inference is to find the  $\mathbf{y}$  that maximizes the log probability  $\log p(\mathbf{y}|\mathbf{x})$  for a given  $\mathbf{x}$ . Direct optimization is hard because of the CHOPP, but we utilize a variational lower bound:

$$-f(\mathbf{y}; T) \geq (\mathbf{c} + \mathbf{W}^\top \mathbf{y})^\top \mathbb{E}_q[\mathbf{h}] + H(q), \quad (9)$$

where  $q(\mathbf{h})$  is any distribution of  $\mathbf{h}$ ,  $H(q)$  is the entropy of  $q$ . Note the temperature  $T$  canceled out. The difference between the left and right side is the KL divergence between  $q$  and  $p^*$  where

$$p^*(\mathbf{h}|\mathbf{y}) = \frac{\exp \left( \frac{1}{T} (\mathbf{c} + \mathbf{W}^\top \mathbf{y})^\top \mathbf{h} \right)}{\sum_{\mathbf{h}} \exp \left( \frac{1}{T} (\mathbf{c} + \mathbf{W}^\top \mathbf{y})^\top \mathbf{h} \right)}. \quad (10)$$

When there is no constraint on  $\mathbf{h}$ , this is also a factorial distribution. Therefore

$$\log p(\mathbf{y}|\mathbf{x}) \geq \psi^u(\mathbf{y}) + \psi^p(\mathbf{y}) + \mathbf{b}^\top \mathbf{y} + (\mathbf{c} + \mathbf{W}^\top \mathbf{y})^\top \mathbb{E}_q[\mathbf{h}] + H(q) + \text{const}. \quad (11)$$

We can use the EM algorithm to optimize this lower bound. Starting from an initial labeling  $\mathbf{y}$ , we alternate the following E step and M step:

In the E step, we fix  $\mathbf{y}$  and maximize the bound with respect to  $q$ , which is achieved by setting  $q = p^*$ . When  $T = 1$  this becomes Eq. 7; when  $T \rightarrow 0$ , it puts all the mass on one configuration of  $\mathbf{h}$ , i.e. minimizes the energy over hidden units.

In the M step, we fix  $q$  and find the  $\mathbf{y}$  that maximizes the bound. The relevant terms are

$$(\mathbf{b} + \mathbf{W}\mathbb{E}_q[\mathbf{h}])^\top \mathbf{y} + \psi^u(\mathbf{y}) + \psi^p(\mathbf{y}), \quad (12)$$

which is again just a set of unary potentials plus pairwise potentials, so we can use standard optimization methods for pairwise CRFs to find an optimal  $\mathbf{y}$ ; we use graph cuts. If the CRF inference algorithm used in the M step is exact, this algorithm will find a sequence of  $\mathbf{y}$ 's that monotonically increase the log probability, and is guaranteed to converge.

Note that this is not the usual EM algorithm used for learning parameters in latent variable models. Here all parameters are fixed and we use the EM algorithm to make predictions.

**Remark.** When there is no sparsity constraint on  $\mathbf{h}$ , it is possible to analytically sum out the hidden variables, which leads to a collapsed energy function with  $J$  high order factors, one for each original hidden unit. It is then possible to develop a linear program relaxation-based inference routine that operates directly on the high order model. We did this but found its performance inferior to the above EM procedure. More details are in the supplementary materials.

### 4.3. Learning

Here we fix the unary and pairwise potentials and focus on learning the parameters in the CHOPP.

For the  $T = 1$  case, we can use Contrastive Divergence (CD) [8] to approximately maximize the conditional likelihood of data under our model, which is standard for learning RBMs. However we found that CD does not work very well because it is only learning the shape of the distribution in a neighborhood around the ground truth (by raising the probability of the ground truth and lowering the probability of everything else). In practice, when doing prediction using the EM algorithm on test data, inference does not generally start near the ground truth. In fact, it typically starts far from the ground truth (we use the prediction by a model with only unary and pairwise potentials as the initialization), and the model has not been trained to move the distribution from this region of label configurations towards the target labels.

Instead, we train the model to minimize expected loss which we believe allows the model to more globally learn the distribution. For any image  $\mathbf{x}$  and the ground truth labeling  $\mathbf{y}^*$ , we have a loss  $\ell(\mathbf{y}, \mathbf{y}^*) \geq 0$  for any  $\mathbf{y}$ . The expected loss is defined as  $L = \sum_{\mathbf{y}} p(\mathbf{y}|\mathbf{x})\ell(\mathbf{y}, \mathbf{y}^*)$ , where

$p(\mathbf{y}|\mathbf{x})$  is the marginal distribution attained by summing out  $\mathbf{h}$ . The expected loss for a dataset is simply a sum over all individual data cases. The following discussion will be for a single data case to simplify notation.

Taking the derivative of the expected loss with respect to model parameter  $\gamma$ , which can be  $\mathbf{b}$ ,  $\mathbf{c}$  or  $\mathbf{W}$  ( $\mathbf{c}_0$  and  $\mathbf{W}_0$  as well if we use the conditioned CHOPPs), we get

$$\frac{\partial L}{\partial \gamma} = \mathbb{E}_{\mathbf{y}} \left[ (\ell(\mathbf{y}, \mathbf{y}^*) - \mathbb{E}_{\mathbf{y}}[\ell(\mathbf{y}, \mathbf{y}^*)]) \left[ -\frac{\partial E(\mathbf{y})}{\partial \gamma} \right] \right], \quad (13)$$

where  $\mathbb{E}_{\mathbf{y}}[\cdot]$  is the expectation under  $p(\mathbf{y}|\mathbf{x})$ ,  $E(\mathbf{y})$  is the energy of CHOPP-augmented CRF and we have

$$-\frac{\partial E(\mathbf{y})}{\partial \gamma} = \mathbb{E}_{p^*(\mathbf{h}|\mathbf{y})} \left[ -\frac{\partial E(\mathbf{y}, \mathbf{h})}{\partial \gamma} \right]. \quad (14)$$

$p^*(\mathbf{h}|\mathbf{y})$  is given in Eq. 10 and  $E(\mathbf{y}, \mathbf{h})$  is the standard RBM energy in Eq. 1 with  $\mathbf{v}$  substituted by  $\mathbf{y}$ .

Using a set of samples  $\{\mathbf{y}^n\}_{n=1}^N$  from  $p(\mathbf{y}|\mathbf{x})$ , we can compute an unbiased estimation of the gradient

$$\frac{\partial L}{\partial \gamma} \approx \frac{1}{N-1} \sum_{n=1}^N \left( \ell(\mathbf{y}^n, \mathbf{y}^*) - \frac{1}{N} \sum_{n'=1}^N \ell(\mathbf{y}^{n'}, \mathbf{y}^*) \right) \left[ -\frac{\partial E(\mathbf{y}^n)}{\partial \gamma} \right]. \quad (15)$$

This gradient has an intuitive explanation: if a sample has a loss lower than the average loss of the batch of samples, then we should reward it by raising its probability, and if its loss is higher than the average, then we should lower its probability. Therefore even when the samples are far from the ground truth, we can still adjust the relative probabilities of the samples. In the process, the distribution is shifted in the direction of lower loss.

For the  $T = 1$  case, we sample from the joint distribution  $p(\mathbf{y}, \mathbf{h}|\mathbf{x})$  using standard block Gibbs sampling and discard  $\mathbf{h}$  to get samples from  $p(\mathbf{y}|\mathbf{x})$ . We also use several persistent Markov chains for each image to generate samples, where in the first iteration of learning each chain is initialized at the same initialization as is used for inference. The model parameters are updated after every sampling step.

For the other choices of  $T$ , it is not easy to get samples from  $p(\mathbf{y}|\mathbf{x})$ , but we can sample from  $p^*(\mathbf{h}|\mathbf{y})$  and  $p(\mathbf{y}|\mathbf{h}, \mathbf{x})$  alternatively, as if we are running block Gibbs sampling. The properties of the samples that result from this procedure is an interesting topic for future research.

## 5. Experiments

We evaluate our CHOPP-augmented CRF on synthetic and real data sets. The settings for synthetic data sets will be explained later. For all the real datasets, we extracted a 107 dimensional descriptor for each pixel in an image by applying a filter bank. We trained a neural network classifier using these descriptors as input and use the log probability of each class for each pixel as the unary potentials. For pairwise potentials, we used a standard 4-connected grid neighborhood and the common Potts model,

where  $f_{ij}(y_i, y_j | \mathbf{x}) = p_{ij} \mathbf{I}[y_i \neq y_j]$  and  $p_{ij}$  is a penalty for assigning different labels for  $y_i$  and  $y_j$ . Three different ways to define  $p_{ij}$  yield three pairwise potentials, where the first is image-independent: (1) Set  $p_{ij}$  to be constant, this would enforce smoothing for the whole image; (2) Set  $p_{ij}$  to incorporate local contrast information by computing RGB differences between pairs of pixels as in [1]; (3) Set  $p_{ij}$  to represent higher level boundary information given by Pb boundary detector [19], more specifically, we define  $p_{ij} = -\max\{\log Pb_i, \log Pb_j\}$  where  $Pb_i$  and  $Pb_j$  are the probability of boundary for pixel  $i$  and  $j$ .

For each dataset, we hold out a part of the data to make a validation set and use it to choose hyper-parameters, e.g. the number of iterations to run in training. We choose the model that performs the best on validation set and report its performance on test set.

For all experiments, we always set  $T = 1$ , so CHOPP becomes equivalent to an RBM high order potential.

In minimum expected loss learning, we use 2 persistent sampling chains for each image, generate 1 sample from each chain, divide  $(\mathbf{y}, \mathbf{h})$  into 3 blocks of variables ( $\mathbf{h}$  and 2  $\mathbf{y}$  blocks for the 4-connected grid structure) and update parameters after every full block Gibbs sampling pass.

Additional results are in the supplementary material.

### 5.1. Data Sets & Variability

Throughout the experiments, we use six synthetic and three real world data sets. To explore data set variability in a controlled fashion, we generated a series of increasingly variable synthetic data sets. The datasets are composed of between 2 and 4 ellipses with centers and sizes chosen to make the figures look vaguely human-like (or at least snowman-like). We then added noise to the generation procedure to produce a range of six increasingly difficult data sets, which are illustrated in Fig. 2 (top row). To generate associated unary potentials, we added Gaussian noise with standard deviation 0.5. In addition, we added structured noise to randomly chosen 5-pixel diameter blocks.

The real world data sets come from two sources: first, we use the Weizmann horses and resized all images as well as the binary masks to  $32 \times 32$ ; second, we use the PASCAL VOC segmentation data [4] to construct a bird and a person data set. For these, we took all bounding boxes containing the target class and created a binary segmentation inside the bounding box, labeling all pixels of the target class as 1, and all other pixels as 0. We then transformed these bounding boxes to be  $32 \times 32$ . This gives us a set of silhouettes that preserve the challenging aspects of modeling shape in a realistic structured output setting.

The two PASCAL datasets are challenging due to variability in the images and segmentations, while the number of images is quite small, especially compared to the settings where RBM models are typically used. When we are only

training the trade-off parameters, this is not a major problem, because the number of parameters is small. But here we also train internal parameters of high order potentials, which require more data for training to work well. To deal with this problem, we generated 5 more examples for each original bounding box by randomly shifting coordinates by a small amount. We also mirrored all images and segmentations. This augmentation gives us 11 times more data.

For each data set, we then evaluated variability using a measure inspired by the learning procedure suggested by Rother et al. [26]. First, cluster segmentations using  $K$ -means clustering with Euclidean distance as the metric. Then for each cluster and pixel, compute the fraction of cases for which the pixel is on across all instances assigned to the cluster. This yields  $q_i^k$ , the probability that pixel  $i$  is assigned label 1 given that it comes from an instance in cluster  $k$ . Now define the within cluster average entropy  $H^k = -\frac{1}{D_v} \sum_i (q_i^k \log q_i^k + (1 - q_i^k) \log(1 - q_i^k))$ . Finally, the variability measure is a weighted average of within cluster average entropies:  $V_K = \sum_{k=1}^K \mu_k H^k$ , where  $\mu_k$  is the fraction of data points assigned to cluster  $k$ . We found  $K = 32$  to work well and used it throughout. We found the quantitative measure matches intuition about the variability of data sets. See Fig. 2.

### 5.2. Performance vs. Variability

Next we report results for a pre-trained RBM model added to a standard CRF (denoted RBM), where we learn the RBM parameters offline and set tradeoff parameters so as to maximize accuracy on the training set. We compare the Unary Only model to the Unary+Pairwise model and the Unary+Pairwise+RBM model. Pairwise terms are image dependent, hence denoted iPW. Fig. 3 show the results as a function of the variability measure described in the previous section. On the y-axis, we show the difference in performance between the Unary+iPW and Unary+iPW+RBM models versus the Unary Only model. In all but the Person data set, the Unary+iPW model provides a consistent benefit over the Unary Only model. For the Unary+iPW+RBM model, there is a clear trend that as the variability of the data set increases, the benefit gained from adding the RBM declines.

### 5.3. Improving on Highly Variable Data

We now turn our attention to the challenging real data sets of Bird and Person and explore methods for improving the performance of the RBM component when the data becomes highly variable.

**Training with Expected Loss.** The first approach to extending the pretrained RBM+CRF model that we consider is to jointly learn the internal potential parameters. Joint learning with standard contrastive divergence on the Horse data led to poor performance, as the objective was unstable in the first few iterations and then steadily got worse during

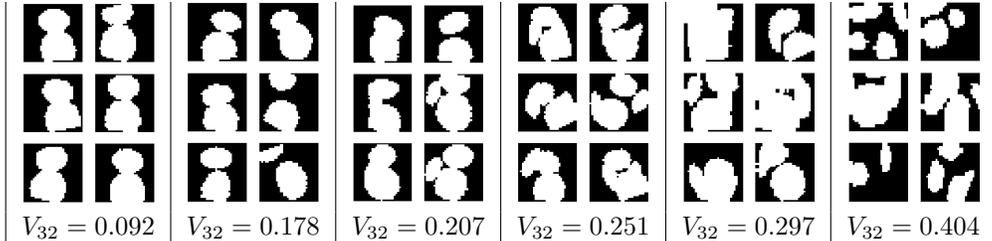


Figure 2. Randomly sampled examples from synthetic data set labels. Hardness increases from left to right. Quantitative measures of variability using  $K = 32$  are reported in the bottom row. Variabilities of Horse, Bird, and Person data sets are 0.176, 0.370, and 0.413.

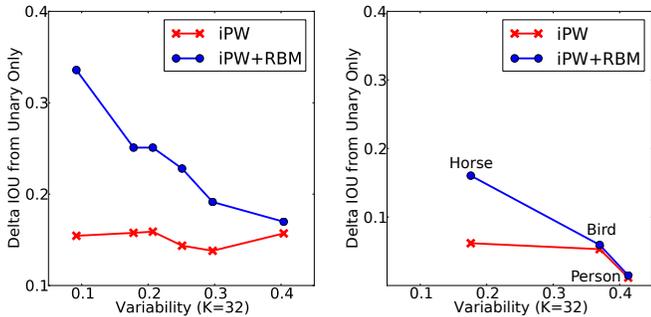


Figure 3. Results on (left) synthetic and (right) real data showing test intersection-over-union scores as a function of data set variability. The y-axis is difference relative to a Unary Only model. All models here and below utilize unary potentials. Note that these results utilize a pretrained RBM model.

Method	Horse IOU	Bird IOU	Person IOU
Unary Only	0.5119	0.5055	0.4979
iPW	0.5736	0.5585	0.5094
iPW+RBM	0.6722	0.5647	0.5126
iPW+jRBM	<b>0.6990</b>	<b>0.5773</b>	<b>0.5253</b>

Table 1. Expected loss test results. RBM is a pretrained RBM. jRBM is jointly trained using expected loss.

training. So here we focus on the expected loss training described in Section 4.3, and denote the resulting models as jRBM to indicate joint training. Results comparing these approaches on the three real data sets are given in Tab. 1, with Unary and iPW results given as baselines. We see that training with the expected loss criterion improves performance across the board.

**Image-dependent Hidden Biases.** Here we consider learning image-dependent hidden biases as described in Section 4.1. As inputs we use the learned unary potentials and the response of the Pb boundary detector [19], both downsampled to be of size  $16 \times 16$ . We learned the internal parameters of this ijRBM model, the image-dependent jointly trained RBM, using the intersection-over-union expected loss as this gave the best results in the previous experiment. Results are shown in Tab. 2. For comparison, we also train Unary+Pairwise models with an image-independent pairwise potentials (PW) and an image-dependent pairwise potentials (iPW). In the Bird data, we see that the image-specific information helps the ijRBM

Method	Bird IOU	Person IOU
PW	0.5321	0.5082
iPW	0.5585	0.5094
iPW+jRBM	0.5773	<b>0.5253</b>
iPW+ijRBM	<b>0.5858</b>	<b>0.5252</b>

Table 2. Test results using image-specific hidden biases on the high variability real data sets. PW uses image-independent pairwise potentials, and iPW uses image-dependent pairwise potentials. jRBM is jointly trained but image independent. ijRBM is jointly trained and has learned image-dependent hidden biases.

similarly as to how image-dependent pairwise potentials improve over image-independent pairwise potentials. In the Person data, the gains from image-dependent information is minimal in both cases.

**Convolutional Structures.** Our final experiment explores the convolutional analog to the RBM models discussed in Section 4.1. Unfortunately, we were unable to achieve good results, which we attribute to the fact that learning methods for convolutional RBMs are not nearly as evolved as methods for learning ordinary RBMs. We provide more details in the supplementary material.

**Composition Schemes.** We qualitatively compare patterns learned by the “min” composition approach presented in [26] versus the patterns learned by a simple pre-trained RBM, which are appropriate for “sum” composition. While a quantitative comparison that explores more degrees of freedom offered by CHOPPs is a topic for future work, we can see in Fig. 1 that the learned filters are very different. As the variability of the data grows, we expect the utility of the “sum” composition scheme to increase.

## 6. Discussion & Future Work

We began by precisely mapping the relationship between pattern potentials and RBMs, and generalizing both to yield CHOPPs, a class of high order potential that includes both as special cases. The main benefit of this mapping is that it allows the leveraging of complementary work from two mostly distinct communities. First, it opens the door to the large and highly evolved literature on learning RBMs. These methods allow efficient and effective learning when there are hundreds or thousands of latent variables. There are also well-studied methods for adding structure over the latent variables, such as sparsity. Conversely, RBMs may

benefit from the highly developed inference procedures that are more common in the structured output community, e.g., those based on linear programming relaxations. Also interesting is that pairwise potentials provide benefits that are reasonably orthogonal to those offered by RBM potentials.

Empirically, our work emphasizes the importance of data set variability in the performance of these methods. It is possible to achieve large gains on low variability data, but it is a challenge on high variability data. Our proposed measure for quantitatively measuring data set variability is simple but useful in understanding what regime a data set falls in. This emphasizes that not all “real” data sets are created equally, as we see moving from Horse to Bird to Person. While we work with small images and binary masks, we believe that the high variability data sets we are using preserve the key challenges that arise in trying to model shape in real image segmentation applications. Note that it would be straightforward to have a separate set of shape potentials per object class within a multi-label segmentation setting.

To attain improvements in high variability settings, more sophisticated methods are needed. Our contributions of training under an expected loss criterion and adding conditional hidden biases to the model yield improvements on the high variability data. There are other architectures to explore for making the high order potentials image-dependent. In future work, we would like to explore multiplicative interactions [25]. The convolutional approach appears promising, but it did not yield improvements in our experiments, which we attribute to the relatively nascent nature of convolutional RBM learning techniques. A related issue that should be explored in future work is the issue of sparsity in latent variable activations. We showed in Section 3 that this sparsity can be used to control the type of compositionality employed by the model. An interesting direction for future work is exploring sparse variants of RBMs, which sit between these two extremes, and other forms of structure over latent variables like in deep models.

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# Exploring Compositional High Order Pattern Potentials for Structured Output Learning

## Supplementary Material

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### 1. Equating Pattern Potentials and RBMs

This section provides the detailed proof of the equivalence between pattern potentials and RBMs. The high level idea of the proof is to treat each hidden variable in an RBM as encoding a pattern.

We first introduce the definition of pattern potentials by Rother et al. in [2], a few necessary change of variable tricks, and two different ways to compose more general high order potentials, “sum” and “min”.

Then we relates the composite pattern potentials to RBMs. We show in Section 1.2 that *minimizing out* hidden variables in RBMs are equivalent to pattern potentials. When there are no constraints on hidden variables, we recover the “sum” composite pattern potentials; when there is a 1-of- $J$  constraint on hidden variables, we recover the “min” composite pattern potentials. In Section 1.3, we show that *summing out* hidden variables in RBMs approximates pattern potentials, and similarly with and without constraints on hidden variables would lead us to “min” and “sum” cases respectively.

The RBM formulation offers considerable generality via choices about how to constrain hidden unit activations. This allows a smooth interpolation between the “sum” and “min” composition strategies. Also, this formulation allows the application of learning procedures that are appropriate for cases other than just the “min” composition strategy.

In Section 2, we provide a way to unify minimizing out hidden variables and summing out hidden variables by introducing a temperature parameter in the model.

**Notation.** In this section, we use  $g$  for pattern potentials and  $\hat{g}$  for the high order potentials induced by RBMs. Superscripts ‘s’ and ‘m’ on  $g$  corresponds to two composition schemes, sum and min. Superscripts on  $\hat{g}$  correspond to two types of constraints on RBM hidden variables, and subscripts on  $\hat{g}$  correspond to minimizing out or summing

out hidden variables.

#### 1.1. Pattern potentials

In [2], a basis pattern potential for a clique of binary variables  $\mathbf{y}_a$  is defined as

$$g(\mathbf{y}_a) = \min\{d(\mathbf{y}_a) + \theta_0, \theta_{\max}\} \quad (1)$$

where  $d : \{0, 1\}^{|a|} \rightarrow \mathbb{R}$  is a deviation function specifying the penalty for deviating from a specific pattern. The pattern potential penalizes configurations of  $\mathbf{y}_a$  that deviates from the pattern, and the penalty is upper bounded by  $\theta_{\max}$  while  $\theta_0$  is a base penalty.

For a specific pattern  $\mathbf{Y}$ , the deviation function  $d(\mathbf{y}_a)$  is defined as<sup>1</sup>

$$d(\mathbf{y}_a) = \sum_{i \in a} \text{abs}(w_i)(y_i \neq \mathbf{Y}_i) \quad (2)$$

where  $\text{abs}()$  is the absolute value function. This is essentially a weighted hamming distance of  $\mathbf{y}_a$  from  $\mathbf{Y}$ . Since  $\mathbf{y}_a$  and  $\mathbf{Y}$  are both binary vectors, we have the following alternative formulation

$$\begin{aligned} d(\mathbf{y}_a) &= \sum_{i \in a: \mathbf{Y}_i=1} (-w_i)(1 - y_i) + \sum_{i \in a: \mathbf{Y}_i=0} w_i y_i \\ &= \sum_{i \in a} w_i y_i + \sum_{i \in a: \mathbf{Y}_i=1} (-w_i) \end{aligned} \quad (3)$$

$w_i$  specifies the cost of assigning  $y_i$  to be 1.  $w_i > 0$  when  $\mathbf{Y}_i = 0$  and  $w_i < 0$  when  $\mathbf{Y}_i = 1$ .

We can subtract constant  $\theta_{\max}$  from Eq. 1 to get

$$g(\mathbf{y}_a) = \min \left\{ \sum_{i \in a} w_i y_i + \sum_{i \in a: \mathbf{Y}_i=1} (-w_i) - \theta, 0 \right\} \quad (4)$$

<sup>1</sup>Note that in [2], there is also a factor  $\theta$  in this definition ( $d(\mathbf{y}_a)$  is given by the product of factor  $\theta$  and the sum), but actually the  $\theta$  factor can always be absorbed in  $w_i$ 's to get this equivalent formulation.

Making the change of variables  $w'_i = -w_i$ ,  $c = \theta + \sum_{i \in a: \mathbf{Y}_i=1} w_i$ , we can rewrite the above equation as

$$g(\mathbf{y}_a) = \min \left\{ -c - \sum_{i \in a} w'_i y_i, 0 \right\} \quad (5)$$

This formulation is useful for establishing connections with RBMs as shown later in this section.

[2] proposed two ways to compose more general high order potentials from basis pattern potentials defined above. One is to take the sum of different pattern potentials

$$\begin{aligned} g^s(\mathbf{y}_a) &= \sum_{j=1}^J \min\{d_j(\mathbf{y}_a) + \theta_j, \theta_{\max}\} \\ &= \sum_{j=1}^J \min\{d_j(\mathbf{y}_a) + \theta'_j, 0\} + \text{const} \end{aligned} \quad (6)$$

and the other is to take the minimum of them, to get

$$g^m(\mathbf{y}_a) = \min_{1 \leq j \leq J} \{d_j(\mathbf{y}_a) + \theta_j\} \quad (7)$$

In both cases,  $d_j(\cdot)$ 's are  $J$  different deviation functions, and  $\theta_j$ 's are base penalties for different patterns. In the ‘‘min’’ case, we can also fix one deviation function to be 0 (i.e. by setting all weights  $w_i = 0$ ), to get a constant threshold.

Using the change of variable tricks introduced above, we can rewrite the ‘‘sum’’ composite pattern potential as

$$g^s(\mathbf{y}_a) = \sum_{j=1}^J \min \left\{ -c_j - \sum_{i \in a} w_{ij} y_i, 0 \right\} \quad (8)$$

where we ignored the constant term, and rewrite the ‘‘min’’ composite pattern potential as

$$g^m(\mathbf{y}_a) = \min_{1 \leq j \leq J} \left\{ -c_j - \sum_{i \in a} w_{ij} y_i \right\} \quad (9)$$

Since we always work on a clique of variables in this section, we drop the subscript  $a$  on  $\mathbf{y}$  for the rest of this section.

## 1.2. Minimizing out hidden variables in RBMs

We start from minimizing hidden variables out. The probability distribution defined by a binary RBM is given by

$$p(\mathbf{y}, \mathbf{h}) = \frac{1}{Z} \exp(-E(\mathbf{y}, \mathbf{h})) \quad (10)$$

where the energy

$$E(\mathbf{y}, \mathbf{h}) = - \sum_{i=1}^I \sum_{j=1}^J w_{ij} y_i h_j - \sum_{i=1}^I b_i y_i - \sum_{j=1}^J c_j h_j \quad (11)$$

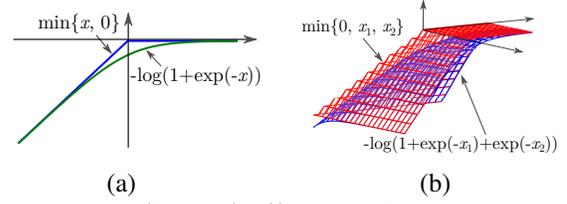


Figure 1. (a)  $-\log(1 + \exp(-x))$  is a smoothed approximation to  $\min\{x, 0\}$ ; (b)  $-\log(1 + \exp(-x_1) + \exp(-x_2))$  is a smoothed approximation to  $\min\{x_1, x_2, 0\}$ .

Minimizing out the hidden variables, the equivalent high order potential is

$$\hat{g}_{\min}(\mathbf{y}) = \min_{\mathbf{h}} \left\{ - \sum_{j=1}^J \left( c_j + \sum_{i=1}^I w_{ij} y_i \right) h_j \right\} \quad (12)$$

When there is no constraint on hidden variables, i.e. they are independent binary variables, the minimization can be factorized and moved inside the sum

$$\hat{g}_{\min}^{\text{uc}}(\mathbf{y}) = \sum_{j=1}^J \min \left\{ -c_j - \sum_{i=1}^I w_{ij} y_i, 0 \right\} \quad (13)$$

The superscript ‘‘uc’’ is short for ‘‘unconstrained’’. This is exactly the same as the ‘‘sum’’ composite pattern potentials in Eq. 8.

When we put a 1-of- $J$  constraint on hidden variables, i.e. forcing  $\sum_{j=1}^J h_j = 1$ , the minimization becomes

$$\hat{g}_{\min}^{1\text{of}J}(\mathbf{y}) = \min_{1 \leq j \leq J} \left\{ -c_j - \sum_{i=1}^I w_{ij} y_i \right\} \quad (14)$$

This is exactly the same as the ‘‘min’’ composite pattern potentials in Eq. 9.

## 1.3. Summing out hidden variables in RBMs

The key observation that relates the pattern potentials and RBMs with hidden variables summed out is the following approximation,

$$\min\{x, 0\} \approx -\log(1 + \exp(-x)) \quad (15)$$

It is easy to see that when  $x$  is a large positive value, the right hand side will be close to 0 and when  $x$  is a large negative value, the right hand side will be linear in  $x$ . This is illustrated in Fig 1 (a).

With this approximation, we can rewrite the basis pattern potential in Eq. 5 as

$$g(\mathbf{y}) \approx -\log \left( 1 + \exp \left( c + \sum_{i=1}^I w'_i y_i \right) \right) \quad (16)$$

On the other hand, summing out hidden variables in an RBM with no constraints on hidden variables, the marginal distribution becomes

$$p(\mathbf{y}) = \frac{1}{Z} \exp\left(\sum_{i=1}^I b_i y_i\right) \prod_{j=1}^J \left(1 + \exp\left(c_j + \sum_{i=1}^I w_{ij} y_i\right)\right) \quad (17)$$

Eq. 5 in the main paper is another equivalent form of this. Therefore the equivalent high order potential induced by summing out the hidden variables is

$$\hat{g}_{\text{sum}}^{\text{uc}}(\mathbf{y}) = - \sum_{j=1}^J \log\left(1 + \exp\left(c_j + \sum_{i=1}^I w_{ij} y_i\right)\right) \quad (18)$$

which is exactly a sum of potentials in the form of Eq. 16.

Now we turn to the ‘‘min’’ case. We show that the composite pattern potentials are equivalent to RBMs with a 1-of- $J$  constraint on hidden variables and hidden variables summed out, up to the following approximation

$$\min\{x_1, x_2, \dots, x_J, 0\} \approx - \log\left(1 + \sum_{j=1}^J \exp(-x_j)\right) \quad (19)$$

This is a high dimensional extension to Eq. 15. The 2-D case is illustrated in Fig 1 (b).

We use the definition of ‘‘min’’ composite pattern potentials in Eq. 7, but fix  $d_J(\mathbf{y})$  to be 0, to make a constant threshold on the cost.

Then we can subtract constant  $\theta_J$  from the potential and absorb  $\theta_J$  into all other  $\theta_j$ 's (with the same change of variable tricks) to get

$$g^m(\mathbf{y}) = \min\left\{-c_1 - \sum_{i=1}^I w_{i1} y_i, \dots, -c_{J-1} - \sum_{i=1}^I w_{i,J-1} y_i, 0\right\} \quad (20)$$

Using the approximation, this high order potential becomes

$$g^m(\mathbf{y}) \approx - \log\left(1 + \sum_{j=1}^{J-1} \exp\left(c_j + \sum_{i=1}^I w_{ij} y_i\right)\right) \quad (21)$$

In an RBM with  $J$  hidden variables, the 1-of- $J$  constraint is equivalent to  $\sum_{j=1}^J h_j = 1$ . With this constraint, the energy (Eq. 11) can be transformed into

$$\begin{aligned} E(\mathbf{y}, \mathbf{h}) &= - \sum_{i=1}^I b_i y_i - \sum_{j=1}^{J-1} \left(c_j - c_J + \sum_{i=1}^I (w_{ij} - w_{iJ}) y_i\right) h_j \\ &\quad - \left(c_J + \sum_{i=1}^I w_{iJ} y_i\right) \\ &= - \sum_{i=1}^I (b_i - w_{iJ}) y_i \\ &\quad - \sum_{j=1}^{J-1} \left(c_j - c_J + \sum_{i=1}^I (w_{ij} - w_{iJ}) y_i\right) - c_J \quad (22) \end{aligned}$$

We can therefore use a new set of parameters  $b'_i = b_i - w_{iJ}$ ,  $c'_j = c_j - c_J$  and  $w'_{ij} = w_{ij} - w_{iJ}$ , and get

$$E(\mathbf{y}, \mathbf{h}) = - \sum_{i=1}^I b'_i y_i - \sum_{j=1}^{J-1} \left(c'_j + \sum_{i=1}^I w'_{ij} y_i\right) h_j \quad (23)$$

We ignored the constant  $c_J$  because it would cancel out when we normalize the distribution. Note that now the set of  $J-1$  hidden variables can have at most one on, and they can also be all off, corresponding to the case that the  $J$ th hidden variable is on.

Summing out  $\mathbf{h}$ , we get

$$p(\mathbf{y}) = \frac{1}{Z} \exp\left(\sum_{i=1}^I b'_i y_i\right) \left(1 + \sum_{j=1}^{J-1} \exp\left(c'_j + \sum_{i=1}^I w'_{ij} y_i\right)\right) \quad (24)$$

The constant 1 comes from the  $J$ th hidden variable. The equivalent high-order potential for this model is then

$$\hat{g}_{\text{sum}}^{\text{1of}J}(\mathbf{y}) = - \log\left(1 + \sum_{j=1}^{J-1} \exp\left(c'_j + \sum_{i=1}^I w'_{ij} y_i\right)\right) \quad (25)$$

which has exactly the same form as Eq. 21.

Our results in this section are summarized in Table 1.

## 2. The CHOPP

We define the CHOPP as

$$f(\mathbf{y}; T) = -T \log\left(\sum_{\mathbf{h}} \exp\left(\frac{1}{T} \sum_{j=1}^J \left(c_j + \sum_{i=1}^I w_{ij} y_i\right) h_j\right)\right) \quad (26)$$

where  $T$  is the temperature parameter. Summation over  $\mathbf{h}$  is a sum over all possible configurations of hidden variables.

Setting  $T = 1$ , this CHOPP becomes

$$f(\mathbf{y}; 1) = - \log\left(\sum_{\mathbf{h}} \exp\left(\sum_{j=1}^J \left(c_j + \sum_{i=1}^I w_{ij} y_i\right) h_j\right)\right) \quad (27)$$

This is the equivalent RBM high order potential with hidden variables summed out. When there is no constraint on  $\mathbf{h}$ , the above expression simplifies to

$$f^{\text{uc}}(\mathbf{y}; 1) = - \sum_{j=1}^J \log\left(1 + \exp\left(c_j + \sum_{i=1}^I w_{ij} y_i\right)\right) \quad (28)$$

When there is a 1-of- $J$  constraint on  $\mathbf{h}$ , the above potential is

$$f^{\text{1of}J}(\mathbf{y}; 1) = - \log\left(\sum_{j=1}^J \exp\left(c_j + \sum_{i=1}^I w_{ij} y_i\right)\right) \quad (29)$$

Composition Scheme for Pattern Potentials	Operation on RBM Hidden Variables ( $T$ axis)		Constraint on $\mathbf{h}$ (sparsity axis)
	Minimizing out $\mathbf{h}$ $T \rightarrow 0$	Summing out $\mathbf{h}$ $T = 1$	
Min	$\min_{1 \leq j \leq J} \left\{ -c_j - \sum_{i=1}^I w_{ij} y_i \right\}$	$-\log \left( 1 + \sum_{j=1}^{J-1} \exp \left( c_j + \sum_{i \in a} w_{ij} y_i \right) \right)$	1-of- $J$
Sum	$\sum_{j=1}^J \min \left\{ -c_j - \sum_{i=1}^I w_{ij} y_i, 0 \right\}$	$-\sum_{j=1}^J \log \left( 1 + \exp \left( c_j + \sum_{i=1}^I w_{ij} y_i \right) \right)$	None

Table 1. Equivalent compositional high order potentials by applying different operations and constraints on RBMs. Minimizing out hidden variables results in high order potentials that are exactly equivalent to pattern potentials. Summing out hidden variables results in approximations to pattern potentials. 1-of- $J$  constraint on hidden variables corresponds to the “min” compositional scheme. No constraints on hidden variables corresponds to “sum” compositional scheme. Corresponding temperature  $T$  in the CHOPP is also shown in the table.

Setting  $T \rightarrow 0$ , the CHOPP becomes

$$f(\mathbf{y}; 0) = \min_{\mathbf{h}} \left\{ -\sum_{j=1}^J \left( c_j + \sum_{i=1}^I w_{ij} y_i \right) h_j \right\} \quad (30)$$

this is exactly the same as the high order potential induced by a RBM with hidden variables minimized out, and therefore equivalent to composite pattern potentials as shown in Section 1.2. When there are no constraints on hidden variables we will get the “sum” composite pattern potentials, while adding a 1-of- $J$  constraint will give us the “min” composite pattern potentials.

Therefore, by using a temperature parameter  $T$ , CHOPPs can smoothly interpolate summing out hidden variables (usually used in RBMs) and minimizing out hidden variables (used in Rother et al. [2]). On the other hand, by using sparsity (the 1-of- $J$  constraint), it interpolates the “sum” and “min” composition schemes.

[1] gives another family of potentials that includes different types of composition (max and min), but they do not explore different temperatures or consider different structures over hidden units, so the axes they explore are mostly orthogonal to those we explore here.

Note that all experiments in the paper are done with  $T = 1$ . It would be interesting to try other temperature settings, which corresponds to operations on  $\mathbf{h}$  inbetween marginalization and minimization.

### 3. Remark on LP Relaxation Inference

After summing out hidden variables when there are no sparsity constraints, the remaining energy function has a sum over  $J$  terms, one per hidden unit. It is possible to view each of these terms as a high order potential, then to use modern methods for MAP inference based on linear program (LP) relaxations [3]. In fact, we tried this approach, formulating the “marginal MAP” problem as simply a MAP problem with high order potentials, then using Dual Decomposition to solve the LP relaxation. The key computational requirement is a method for finding the minimum free energy configuration of visibles for an RBM with a single hidden unit, which we were able to do efficiently. However, we found that the energies achieved by this approach

were worse than those achieved by the EM procedure described above. We attribute this to looseness in the resulting LP relaxation. This hypothesis is also supported by the results reported by Rother et al. [2], where ordinary belief propagation outperformed LP-based inference, which tends to occur when LP relaxations are loose. Going forward, it would be worthwhile to explore methods for tightening LP relaxations [4].

## 4. Convolutional Structures

We explored the convolutional analog to RBMs in our experiments. We tried two variants: (a) a vanilla pre-trained convolutional RBM, and (b) a pre-trained convolutional RBM with conditional hidden biases as described in Section 4.1 in the paper. We tried two different patch sizes ( $8 \times 8$ ,  $12 \times 12$ ) and tiled the images densely. Though the conditional variant outperformed the unconditional variant, overall results were discouraging—performance was not even as good as the simple Unary+Pairwise model. This is surprising because a convolutional RBM should in theory be able to easily represent pairwise potentials, and convolutional RBMs have fewer parameters than their global counterparts, so overfitting should not be an issue. We believe the explanation for the poor performance is that learning methods for convolutional RBMs are not nearly as evolved as methods for learning ordinary RBMs, and thus the learning methods that we have at our disposal do not perform as well.

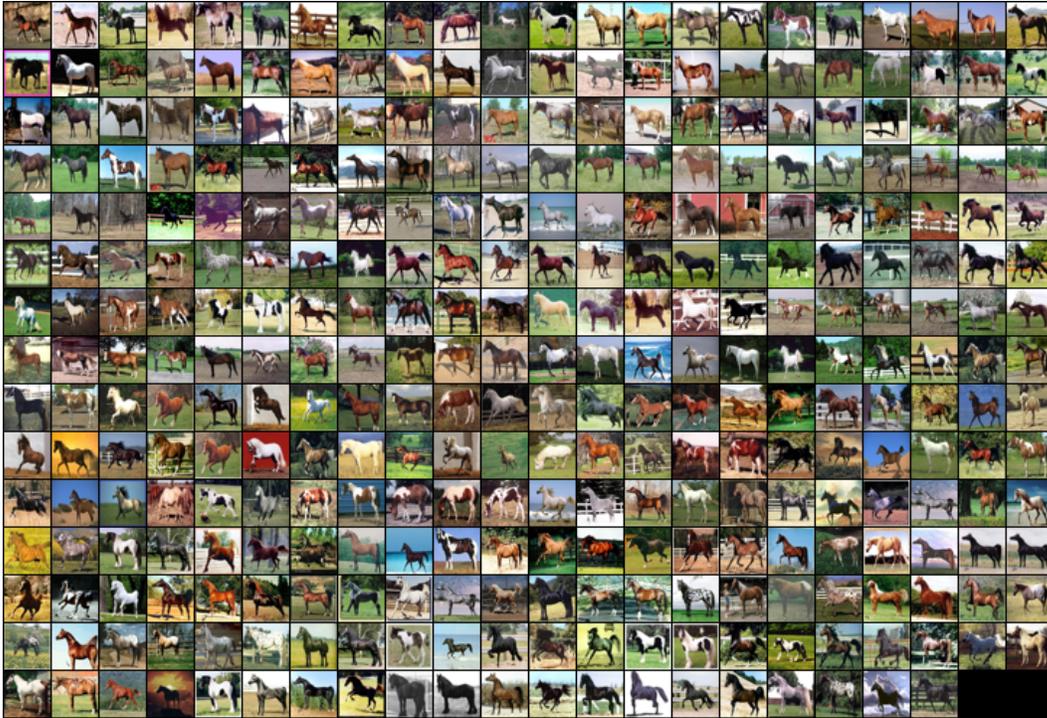
On the bright side, this can be seen as a challenge to overcome in future work.

## 5. Real Data Sets

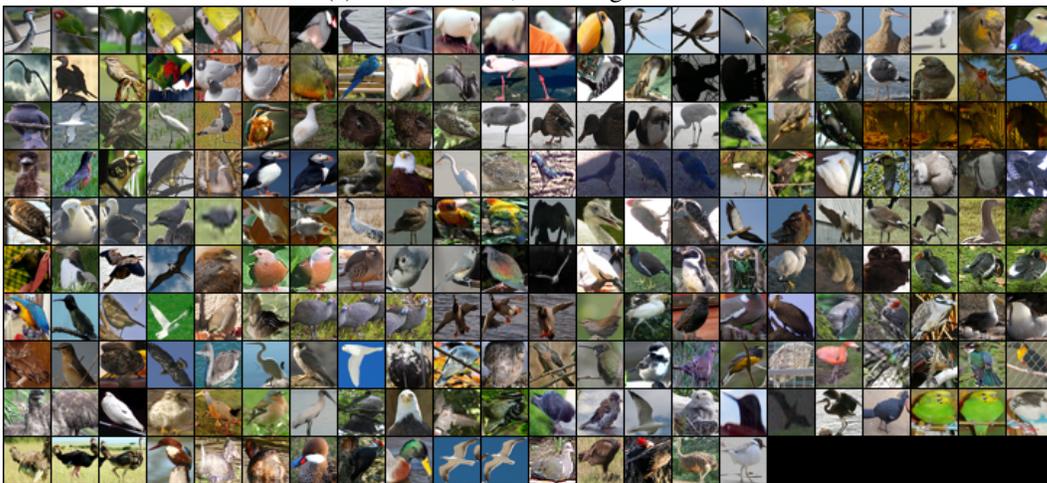
Images in the three real data sets are shown in Fig. 2 and Fig. 3.

You can find the original Weizmann horses data set from <http://www.msri.org/people/members/eranb/> and PASCAL VOC data set from <http://pascallin.ecs.soton.ac.uk/challenges/VOC/voc2011/>.

Our version of the three data sets as well as the 6 synthetic data sets will be available online.



(a) Horse data set, 328 images in total.



(b) Bird data set, 224 images in total.

Figure 2. Horse and bird data sets.

## 6. Learned Filters

The learned filters, i.e. weights  $w_{ij}$ , with a pretrained RBM for each of the three data sets, are shown in Fig. 4. Filters for 6 synthetic data sets are shown in Fig. 5 and Fig. 6. For each filter, the weights are positive for bright regions and negative for dark regions. In other words, filters favor bright regions to be on and dark regions to be off.

We can see the compositional nature of RBMs from these filters. For example, each single horse filter is actually expressing soft rules like “if the head of a horse is here,

then the legs are likely to be there”. Any single filter would not make too much sense, but only when a few different filters are combined can we recover a horse.

## 7. Prediction Results

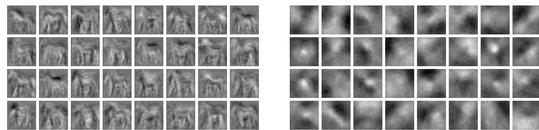
Some example segmentations for horse, bird and person data sets are given in Fig. 7, Fig. 8 and Fig. 9.



Figure 3. Person data set.

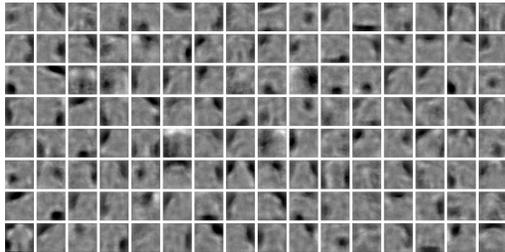
## References

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- [2] C. Rother, P. Kohli, W. Feng, and J. Jia. Minimizing sparse higher order energy functions of discrete variables. In *CVPR*, 2009. 1, 2, 4
- [3] D. Sontag, A. Globerson, and T. Jaakkola. Introduction to dual decomposition for inference. In S. Sra, S. Nowozin, and S. J. Wright, editors, *Optimization for Machine Learning*. MIT Press, 2011. 4
- [4] D. Sontag, T. Meltzer, A. Globerson, T. Jaakkola, and Y. Weiss. Tightening lp relaxations for MAP using message passing. In *UAI*, 2008. 4



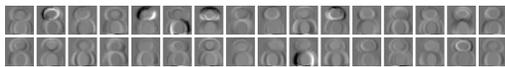
(a) Horse filters

(b) Bird filters

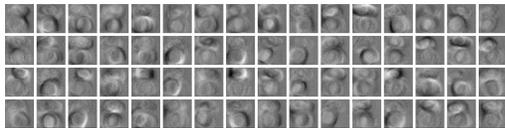


(c) Person filters.

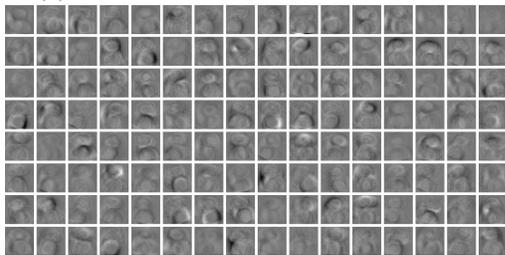
Figure 4. Filters learned on three real data sets.



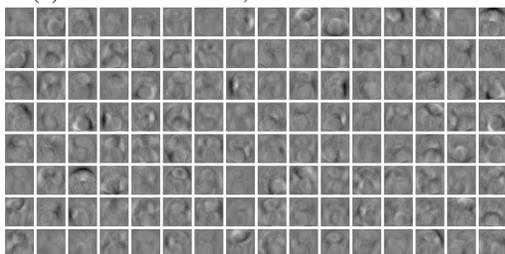
(a) Hardness level 0, 32 hidden variables.



(b) Hardness level 1, 64 hidden variables.

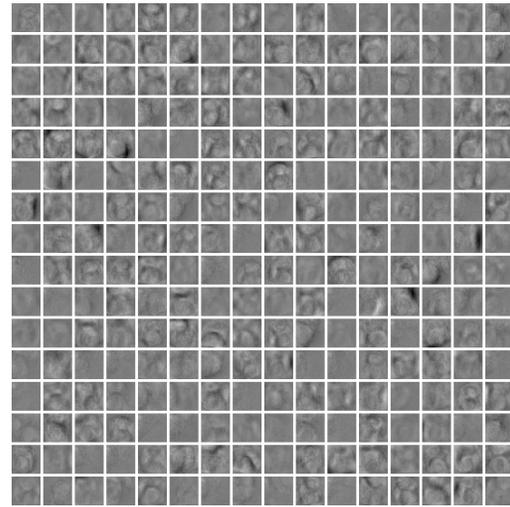


(c) Hardness level 2, 128 hidden variables.



(d) Hardness level 3, 128 hidden variables.

Figure 5. Filters learned on synthetic data sets.

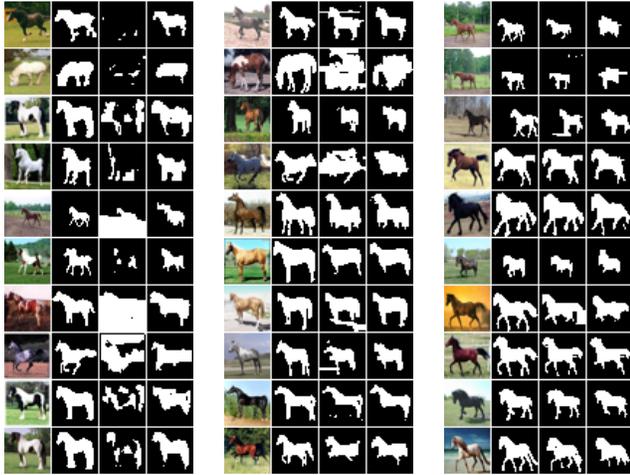


(e) Hardness level 4, 256 hidden variables.



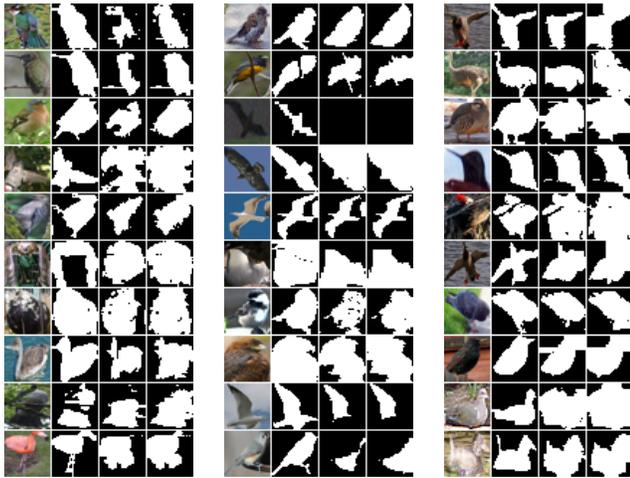
(f) Hardness level 5, 256 hidden variables.

Figure 6. Filters learned on synthetic data sets, continued.



(a) Best (b) Average (c) Worst

Figure 7. Prediction results on horse data set. The three categories best, average and worst are measured by the improvement of Unary+Pairwise+RBM over Unary+Pairwise. Each row left to right: original image, ground truth, Unary+Pairwise prediction, Unary+Pairwise+RBM prediction.



(a) Best (b) Average (c) Worst

Figure 8. Prediction results on bird data set. The three categories best, average and worst are measured by the improvement of Unary+Pairwise+RBM over Unary+Pairwise. Each row left to right: original image, ground truth, Unary+Pairwise prediction, Unary+Pairwise+RBM prediction.



(a) Best (b) Average (c) Worst

Figure 9. Prediction results on person data set. The three categories best, average and worst are measured by the improvement of Unary+Pairwise+RBM over Unary+Pairwise. Each row left to right: original image, ground truth, Unary+Pairwise prediction, Unary+Pairwise+RBM prediction.