

# CSC324 Principles of Programming Languages (Week 9)

*Yilan Gu*

`yilan@cdf.toronto.edu`

<http://www.dgp.toronto.edu/~yilan/324f09/>

## Last Week

- Basic knowledge
- Rules
- Unification of variables and terms

## Today

- Operators
- Lists
- Prolog reasoning mechanism
- Recursion in Prolog

# Prolog: Operators and Lists

3

## Prolog Operators

- **X = Y**
  - Semantically: unifiable test
  - Succeeds as long as X and Y can be unified
  - X may or may not be instantiated. Y may or may not be instantiated
  - As a side effect, X and Y become bound together (refer to the same object)
    - E.g.  
Yes X = joe  
Yes X = Y
- ?- a(b,X,c) = a(b,Y,c).  
?- p1(a, [A, [B,C] ],25) = p1(C, [B, [D, E]] , 25). Yes A = B = D, C = E = a.
- **X \= Y**
  - Semantically: not-unifiable test
  - Succeeds as long as X and Y cannot be unified
  - Both X and Y must be instantiated but may have uninstantiated elements.
    - No side effects
    - E.g.  
Yes  
No
- ?- joe \= fred.  
?- a(b,X,c) \= a(b,Y,c).  
No

4

# Prolog Operators

- **`==` is *already instantiated* to operator:** **`X == Y`**
  - Semantically: identical test
  - Succeeds as long as X and Y are already instantiated to the same object
  - Any variable inside X and Y must be the same
  - No side effects
  - E.g.
    - ?- 4 == 2 + 2                    No
    - ?- a(b,X,c) == a(b,Y,c).        No
    - ?- a(b,X,c) == a(b,X,c).        Yes
- **`==:` is *already instantiated* to operator:** **`X ==: Y`**
  - Semantically: identical test after evaluating terms
  - E.g.
    - ?- 4 ==: 2 + 2                    Yes.
    - ?- a(b,X,c) ==: a(b,Y,c).        Error, a cannot be evaluated

5

# Prolog Operators

- **`X \== Y`**
  - Semantically: not-identical test
  - Succeeds as long as X and Y are not already instantiated to the same object
  - No side effects
  - E.g.
    - ?- A \== hello.                    Yes
    - ?- a(b,X,c) \== a(b,Y,c).        Yes
    - ?- 1 + 2 \== 3                     Yes
- **`X \= Y`**
  - Semantically: not-identical test after evaluating terms
  - E.g.
    - ?- 4 \= 2 + 2                        No

6

# Prolog Operators

- **Other arithmetic operators :**
  - Add, subtract,multiply, division + - \* /
  - Integer division //
  - modulus mod
  - Comparison after evaluation > => <=<
- **is operator: X is Expr is(X,Expr)**
  - Semantically: evaluate second term and test if it is equal to X
  - succeeds a long as X and the arithmetic evaluation of Expr can be unified
  - X may or may not be instantiated
  - Expr must not contain any uninstantiated variables
  - As a side effect, X is instantiated to the arithmetic evaluation of Expr
  - E.g.
    - ?- 5 is ((3 \* 7) + 1) // 4 Yes
    - ?- X is ((3 \* 4) +10) mod 6 X=4
    - ?- is(2+3,5). No
    - ?- is(5,2+3). Yes

7

## Lists in Prolog

- **A sequence of terms of the form**  
[ $t_1, t_2, t_3, \dots, t_n$ ] *where term  $t_i$  is the  $i$ th element of the list*
- **[] is the ‘empty list’. It is an atom not a list.**
- **Example:** [ a, b, c, [ d, e, [], f ] ]
  - A list with 4 elements: a, b, c, and a list with 4 elements:d, e, an empty list, and f
  - Prolog supports Scheme-style nested lists
- **Can break apart lists using “|” into [ Head | Tail ] where Head is the first item as an object and Tail is the rest of the list (as a list)**
  - E.g. ?- [H | T] = [a, b, c]. ; what are H and T?
  - **Recall what pairs look like in Scheme.**
- **You can also use the same notation “|” to construct lists:**
  - E.g. ?- L = [a | [b, c]]. ; what's L?

8

# Lists & Unification

- Examples:
  - `[A, B | C] = [a, b, c, d, e, f].`    `A = a`    `B = b`    `C = [c, d, e, f]`
  - `[A, b | C] = [a, B, c, d, e, f].`    `A = a`    `B = b`    `C = [c, d, e, f]`
  - `[X, Y] = [john, skates].`    `X=john`    `Y=skates`
  - `[cat] = [H|T].`    `H = cat`    `T = []`
  - `[[the,Y]|Z] = [[X,hare],[is,here]].`    `Y = hare`    `Z = [[is, here]]`    `X = the`
  - `[H|T] = a(b, c(d)).`    Error
  - `[n(X,Y),a(1)] = [Name,Age].`    `X = _G17`    `Y = _G18`  
    `Name = n(_G17, _G18)`    `Age = a(1)`

- Will see programming with lists later!

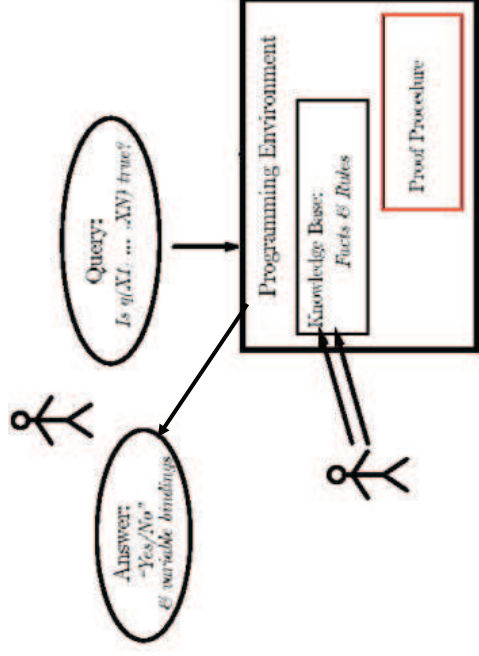
9

# Prolog Reasoning Mechanism

10

# Prolog: proof procedure - revisited

- **Two main processes:**
  - ✓ Unification
    - Top-down reasoning



11

## Prolog: reasoning

- **Given a set of facts and rules, we need a mechanism to deduce new facts and/or prove that a given rule is true or false or has no answer**
- **There are two techniques to do this:**
  - Bottom-up reasoning
  - Top-down reasoning

12

# Bottom-up Reasoning

- **Bottom-up** (or forward) reasoning: starting from the given facts, apply rules to infer everything that is true.  
*e.g.*, Suppose the fact  $B$  and the rule  $A \leftarrow B$  are given. Then infer that  $A$  is true.

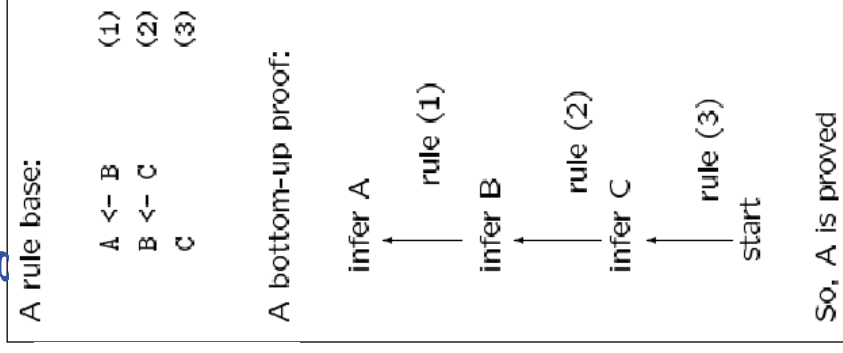
## Example

Rule base:

$p(X, Y, Z) \leftarrow q(X), q(Y), q(Z).$   
 $q(a1).$   
 $q(a2).$   
...  
 $q(a_n).$

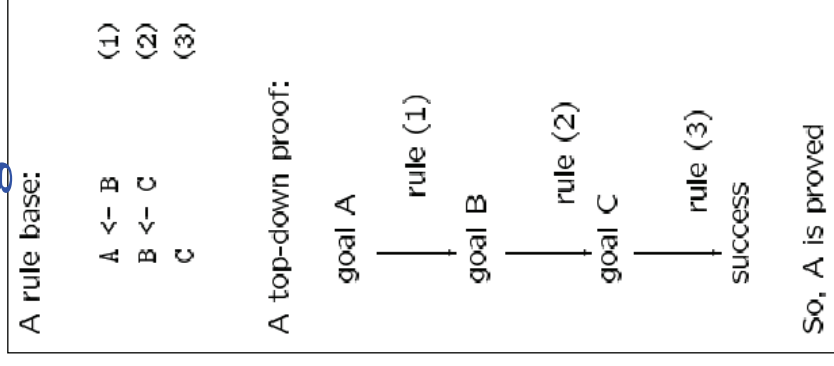
Bottom-up inference derives  $n^3$  facts of the form  $p(a_i, a_j, a_k)$ :

$p(a1, a1, a1)$   
 $p(a1, a1, a2)$   
 $p(a1, a2, a3)$   
...



# Prolog: top-down reasoning

- **Top-down** (or backward) reasoning: starting from the query, apply the rules in reverse, attempting only those lines of inference that are relevant to the query.  
*e.g.*, Suppose the query is  $A$ , and the rule  $A \leftarrow B$  is given. Then to prove  $A$ , try to prove  $B$ .



# Prolog: top-down reasoning – cont'd

- **Multiple rules and multiple premises:**
  - A fact may be inferred by many rules
    - E.g.  $E \leftarrow B$
    - $E \leftarrow C$
    - $E \leftarrow D$
  - A rule may have many premises
    - E.g.  $E \leftarrow B \wedge C \wedge D$
- **In top-down inference, such rules give rise to**
  - Inference trees
  - Backtracking

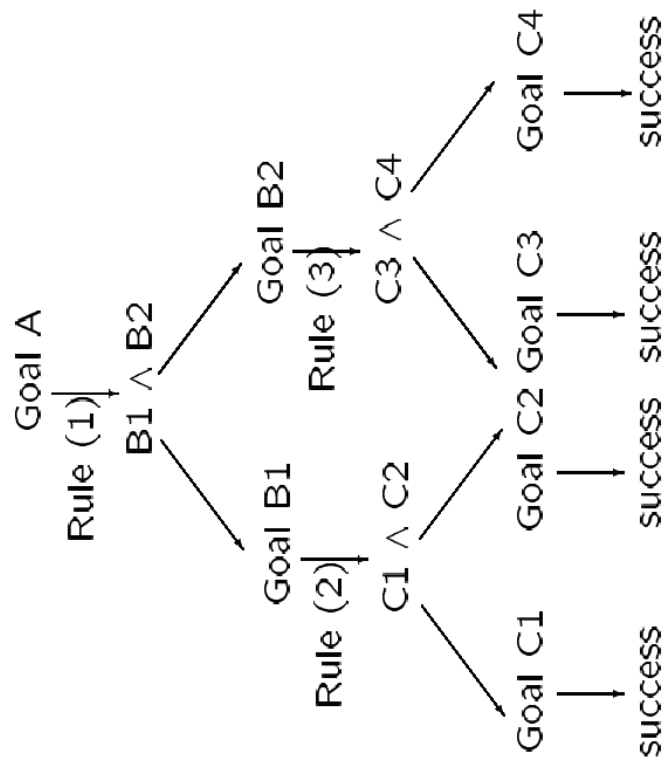
15

# Prolog: top-down reasoning – cont'd

- **Example: multiple premises**

Rule base:

- (1)  $A \leftarrow B1 \wedge B2$
  - (2)  $B1 \leftarrow C1 \wedge C2$
  - (3)  $B2 \leftarrow C3 \wedge C4$
- $C1 \quad C2 \quad C3 \quad C4$



Query: Is A true?

So, goal A is proved. (all paths must succeed)

16

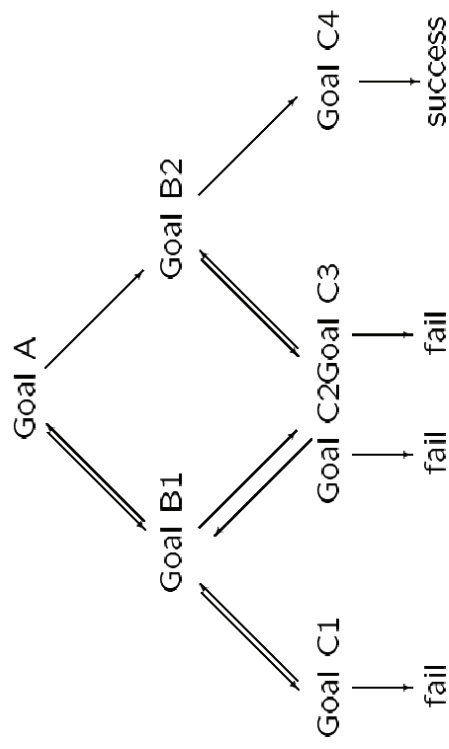
# Prolog: top-down reasoning – cont'd

- **Example:** *multiple rules*

Rule base:

A ← B1      B1 ← C1      B2 ← C3  
A ← B2      B1 ← C2      B2 ← C4  
C4

Query: Is A true?



So, goal A is proved. (only one path must succeed)

## Prolog: backtracking

- Prolog uses this algorithm for proving a goal by recursively breaking goal down into sub-goals and try to prove these sub-goals until facts are reached.
- **To satisfy a goal:**
  - Try to unify with conclusion of first rule in database
  - If successful, apply substitution to first premise, try to satisfy resulting sub-goals
  - Then apply both substitutions to next sub-goal (premise), and so on...
  - If not successful, go on to the next rule in database
  - If all rules fail, try again (**backtrack**) to a previous sub-goal

# Prolog Search Tree

- Encapsulate unification, backward chaining, and backtracking.
  - Internal nodes are ordered list of subgoals
  - Leaves are success nodes or failures, where computation can proceed no further
  - Edges are labeled with variable bindings that occur by unification.
  - Describe all possible computation paths.
  - There can be many success nodes.
  - There can be infinite branches.

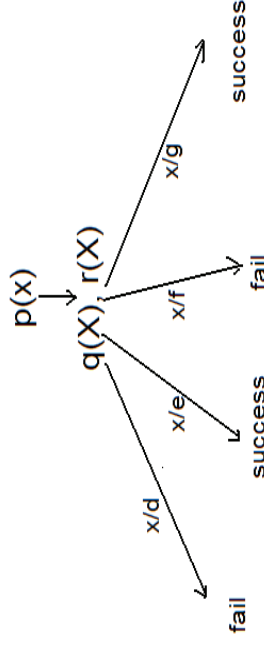
19

## Prolog: backtracking example 1

Rule base:

$p(X) :- q(X), r(X).$   
 $q(d), q(e), q(f), q(g).$   
 $r(e), r(g).$

Query: Find  $x$  such that  $p(x)$  is true.



20

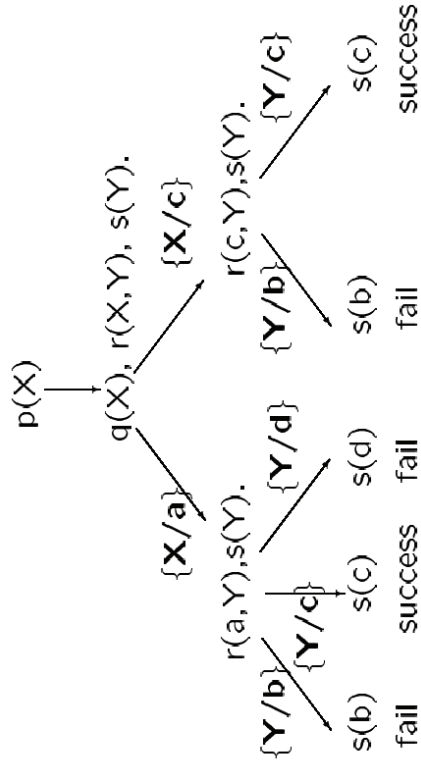
# Prolog: backtracking example 2

Rule base:

```

p(X) :- q(X), r(X,Y), s(Y).
q(a).  r(a,b).  r(c,b).  s(c).
q(c).  r(a,c).  r(c,c).
        r(a,d).
    
```

Query: Find X such that p(X) is true.



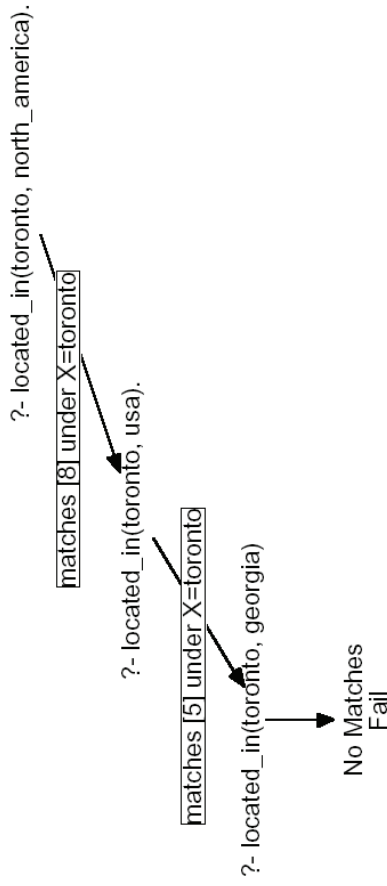
21

# Prolog: backtracking example 3

```

[1] located_in(atlanta, georgia).
[2] located_in(denver, colorado).
[3] located_in(boulder, colorado).
[4] located_in(toronto, ontario).
[5] located_in(X, usa) :- located_in(X, georgia).
[6] located_in(X, usa) :- located_in(X, colorado).
[7] located_in(X, canada) :- located_in(X, ontario).
[8] located_in(X, north_america) :- located_in(X, usa).
[9] located_in(X, north_america) :- located_in(X, canada).

?- located_in(toronto, north_america).
    
```



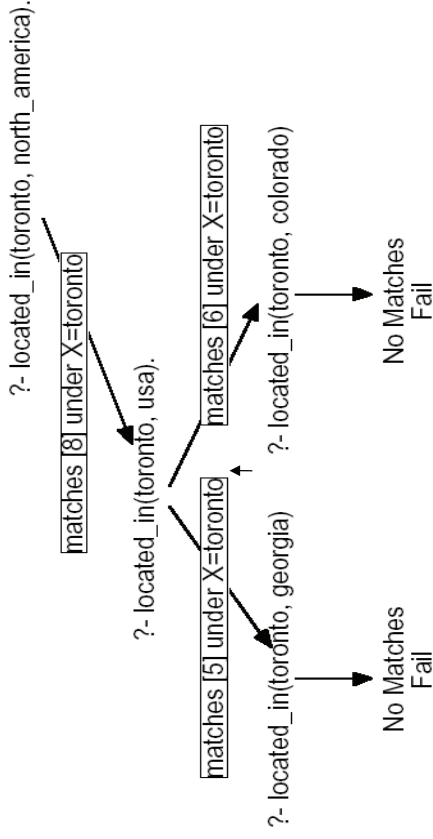
22

# Prolog: backtracking example 3 – cont’d

```

[1] located_in(atlanta, georgia).
[2] located_in(denver, colorado).
[3] located_in(boulder, colorado).
[4] located_in(toronto, ontario).
[5] located_in(X, usa) :- located_in(X, georgia).
[6] located_in(X, usa) :- located_in(X, colorado).
[7] located_in(X, canada) :- located_in(X, ontario).
[8] located_in(X, north_america) :- located_in(X, usa).
[9] located_in(X, north_america) :- located_in(X, canada).
?- located_in(toronto, north_america).

```

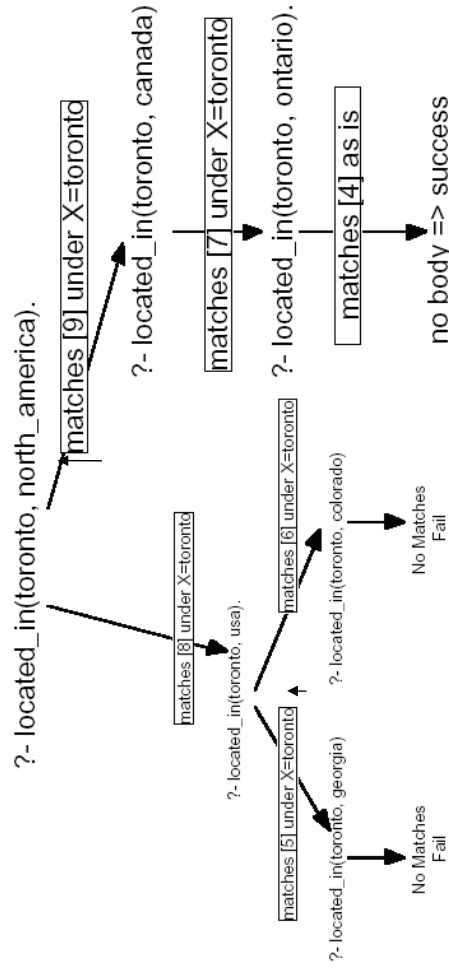


# Prolog: backtracking example 3 – cont’d

```

[1] located_in(atlanta, georgia).
[2] located_in(denver, colorado).
[3] located_in(boulder, colorado).
[4] located_in(toronto, ontario).
[5] located_in(X, usa) :- located_in(X, georgia).
[6] located_in(X, usa) :- located_in(X, colorado).
[7] located_in(X, canada) :- located_in(X, ontario).
[8] located_in(X, north_america) :- located_in(X, usa).
[9] located_in(X, north_america) :- located_in(X, canada).
?- located_in(toronto, north_america).

```



# Top-down vs. Bottom-up Reasoning

- Prolog uses **top-down inference**, although some other logic programming systems use **bottom-up inference** (e.g. Coral)
- **Each has its own advantages and disadvantages:**
  - Bottom-up may generate many irrelevant facts
  - Top-down may explore many lines of reasoning that fail.
- **Top-down and bottom-up inference are logically equivalent**
  - i.e. they both prove the same set of facts.
- **However, only top-down inference simulates program execution**
  - i.e. execution is inherently top down, since it proceeds from the main procedure downwards, to subroutines, to sub-subroutines, etc...

25

## Logic Programming vs. Prolog

```
cousin(X, Y) :- parent(W, X), sister(W, Z), parent(Z, Y).\\
```

```
cousin(X, Y) :- parent(W, X), brother(W, Z), parent(Z, Y).
```

```
?- cousin(X,jane).           % a query
```

- **Rule and Goal Ordering:**
  - There are two rules for cousin
  - Which rule do we try first?
  - Each rule for cousin has several subgoals
  - Which subgoal do we try first?

26

# Logic Programming vs. Prolog

- Logic Programming:
  - Nondeterministic
  - Arbitrarily choose rule to expand first
  - Arbitrarily choose subgoal to explore first
  - Results don't depend on rule and subgoal ordering
- Prolog: Deterministic
  - Expand first rule first
  - Explore first subgoal first
  - Results may depend on rule and subgoal ordering

# Recursion in Prolog

# Prolog: recursion

- **Recursively defined predicate:** if a predicate symbol occurs both in the head and body of a rule, then the rule is recursive.

– E.g.  $a(X) :- b(X,Y), a(Y)$ .

*This predicate acts like a recursive subroutine.*

- **Mutually recursive predicates:** recursion might be indirect, involving several rules.

– E.g.  $a(X) :- b(X,Y), c(Y)$ .

$c(Y) :- d(Y,Z), a(Z)$ .

*The predicates a and c are said to be mutually recursive.*

- **Non-linear recursion:**

– E.g.  $a(X) :- b(X,Y), a(Y), c(Y,Z), a(Z)$ .

*This generates what we call a recursive proof tree.*

29

# Prolog: recursion – examples

- **Factorial:**

– Declarative Semantics:

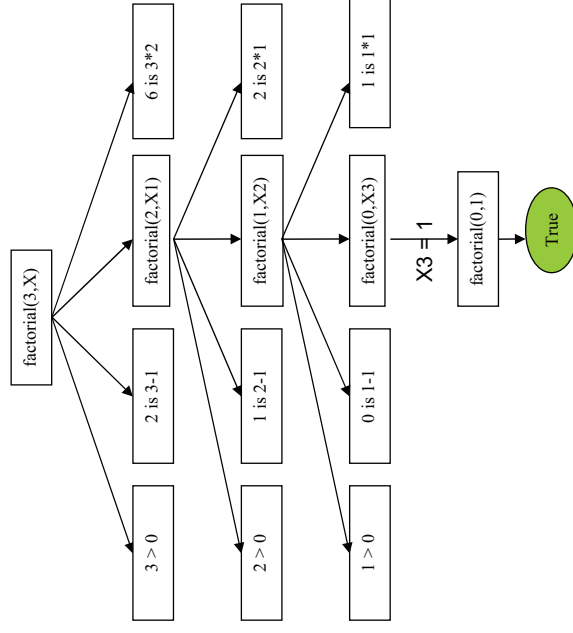
Factorial is 1 if  $n = 0$ , else Factorial is  $n * \text{factorial}(n-1)$

– Prolog:

$\text{factorial}(0,1)$ .

$\text{factorial}(Y,X) :- Y > 0, Y1 \text{ is } Y-1, \text{factorial}(Y1,X1), X \text{ is } Y * X1$ .

$$n! \equiv n(n-1) \dots 2 \cdot 1.$$



30

# Prolog: recursion – examples

- **Appending lists:**

- Declarative Semantics:

Appending an empty list to a non-empty list is the non-empty list  
else work on one list by removing its elements and adding it to the other list.

- Prolog:  
`append([],X,X).`  
`append([H | X], Y, [H | Z]) :- append(X,Y,Z).`

- **Member of a list:**

- Declarative Semantics:

X is a member of a list if X is equal to the first element, or a member of any  
sublist of that list

- Prolog:  
`member(X,[X|_]).`  
`member(X,[_|_]):-member(X,_)`

31

# Prolog: recursion – examples

- **Blocks:**

- Declarative Semantics:

Block X is above block Y if X is placed on top of Y, or X is placed on top of some  
block Z that is above Y.

<b>a</b>
<b>b</b>
<b>c</b>
<b>d</b>

- Prolog:  
    % first attempt  
    **above(X,Y) :- on(X,Y). (1)**  
    **above(X,Z) :- above(X,Y), above(Y,Z). (2)**  
    **on(a,b). (3)**  
    **on(b,c). (4)**  
    **on(c,d). (5)**

?- above(a,b). ;yes  
?- above(a,d). ;yes  
?- above(b,b). ;Infinite recursion! trace it to see why.  
?- above(c,a). ;Infinite recursion! trace it to see why.

32

# Prolog: recursion – examples

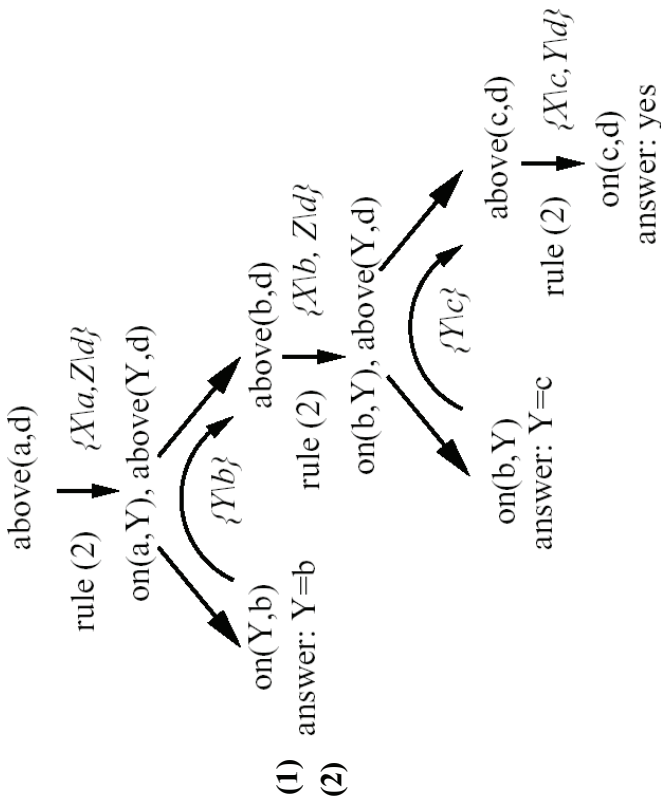
- **Blocks:**

<b>a</b>
<b>b</b>
<b>c</b>
<b>d</b>

- Declarative Semantics:

Block X is above block Y if X is placed on top of Y, or X is placed on top of some block Z that is above Y.

- Prolog:



% Second attempt

**above(X,Y) :- on(X,Y). (1)**

**above(X,Z) :- on(X,Y), above(Y,Z). (2)**

**on(a,b). (3)**

**on(b,c). (4)**

**on(c,d). (5)**

?- above(a,d).

Yes

# Prolog: recursion – examples

- Note that sometimes changing the order of rules and/or rule premises can cause problems for Prolog

- **Example:** above(X,Z) :- on(X,Y), above(Y,Z). (1)

above(X,Y) :- on(X,Y). (2)

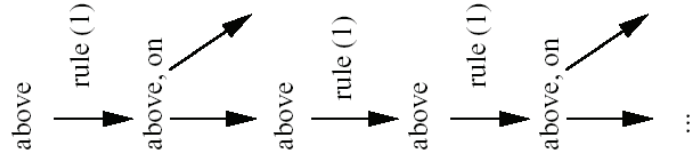
on(a,b). (3)

on(b,c). (4)

on(c,d). (5)

?- above(a,d).

<b>a</b>
<b>b</b>
<b>c</b>
<b>d</b>



# Prolog: recursion – examples

- **Infinite recursion:**
  - E.g. `p :- p.`  
%declaratively perfectly correct, but procedurally causes infinite loop
- **What to do about infinite recursion?**
  - Rewrite the rules and facts (*most widely used technique*)
  - Define a second non-recursive version (*similar to a base case*)
  - Use `!` to stop the unification (*more about this later*).

35

## Exercises in Class

- Length of a list
- Swap first two element in a list.

36