# Supplementary Information: Crosslinguistic word order variation reflects evolutionary pressures of dependency and information locality 

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## Part I

# Detailed Formulations of Efficiency and Grammar 

## S1 Information Locality

## S1.1 Formalizing Information Locality

Information Locality is motivated by the interaction of two prominent psycholinguistic perspectives on what determines human comprehension difficulty in processing syntactic structure. Memory-based theories [1, 2, 3] propose that comprehension difficulty arises from the difficulty of retrieving and integrating information from preceding context. Expectation-based theories [4, 5] states that difficulty arises at points in a sentence that are hard to anticipate from the preceding context. Jointly considering both perspectives leads to the prediction that words should be easy to process when they are easy to predict from preceding context (as predicted by expectation-based accounts) unless the relevant predictive information has been affected by memory decay or interference (as predicted by memory-based accounts) [6, 7, 8, 9] (see also [10, 11, 12] for closely related proposals). Under this perspective, word order enables efficient processing when predictive information about a word is concentrated in its recent past, so that it can be utilized before it suffers memory decay or interference. This idea has been formalized using the term Information Locality by Futrell et al. [8] and Hahn et al. [9], though it is closely related to proposals from preceding work on the role of efficiency in language [13], of relations between usage statistics and conceptual structure in language [14], information-theoretic studies of language [15], and also to classical experimental findings about the role of contextual constraint on the occurrence of words [16, 17].

The key formal notion is the conditional mutual information between two words $X_{i}, X_{i+t}$ at a distance $t$ (Figure S1A):

$$
\begin{equation*}
I_{t}:=I\left[X_{i}, X_{i+t} \mid X_{i+1} \ldots X_{i+t-1}\right]=\mathbb{E}_{X}\left[\log \frac{P\left(X_{i+1} \mid X_{i}, X_{i+1} \ldots X_{i+t-1}\right)}{P\left(X_{i+t} \mid X_{i+1} \ldots X_{i+t-1}\right)}\right] \tag{1}
\end{equation*}
$$



Figure S1: Information Locality, Mutual Information, and their links to psycholinguistic processing effort. (A) The conditional mutual information $I_{t}$ measures how much predictive information a word $t$ words in the past provides about the next word, on average across a corpus. While we only show values up to $t=4, t$ runs through all integers up to the length of the longest sentence in the corpus. In human language, $I_{t}$ is largest at $t=1$ and quickly decays as $t$ increases. (B) Another possible situation, where the predictive information is spread out more widely over the past context. Here, $I_{1}$ is lower and $I_{t}$ decays more slowly. Such a situation corresponds to a lower degree of Information Locality than in A. (C) The decay of $I_{t}$ is linked to two aspects of psycholinguistic processing: memory and surprisal. For an individual comprehender, there is a tradeoff whereby a higher memory capacity lowers surprisal on average. The shape of this tradeoff depends on $I_{t}$ and thus on word order: If $I_{t}$ decays more quickly (green), a comprehender can achieve lower surprisal at the same memory budget, i.e., the tradeoff is more efficient. The efficiency of the tradeoff can be measured by its area under the curve (AUC), which is lower for the green curve.
where the expectation $X$ runs over all sequences of words in the statistics of the language. The conditional mutual information $I_{t}$ measures how much predictive information words that are $t$ words apart provide about each other's identity, controlling for information that is redundant with the $t-1$ intervening words, and averaging across all such word pairs in a corpus.

Mutual information is closely related to two other well-studied information quantities [e.g. 18, 19, 20]: the entropy rate $H\left[X_{t} \mid \ldots, X_{t-2}, X_{t-1}\right]$ measuring how unpredictable words are in context on average, and the unigram entropy $H\left[X_{t}\right]$ measuring the diversity of the distribution over individual words, i.e., how unpredictable a word is without context. The difference between the two turns out to be ${ }^{11}$

$$
\begin{equation*}
H\left[X_{t}\right]-H\left[X_{t} \mid \ldots, X_{t-2}, X_{t-1}\right]=\sum_{t=1}^{\infty} I_{t} \tag{2}
\end{equation*}
$$

which measures the total average amount of predictive information contained in the preceding context.

Formalizing Information Locality Broadly speaking, Information Locality asserts that language favors orderings where a higher fraction of the overall predictive information (2) is contained at words in the recent context, and only a small fraction is contained in words farther in the past. This is equivalent to stating that $I_{t}$ is high for small distances $t$ and decays relatively steeply as $t$ increases (Figure $\mathrm{S} 1 \mathrm{~A}-\mathrm{B}$ ).

In this paper, we choose maximization of the mutual information between adjacent words $I\left[X_{i}, X_{i+1}\right]$ as a particularly simple operationalization of Information Locality:

$$
\begin{equation*}
I_{1}=I\left[X_{i}, X_{i+1}\right]=\mathbb{E}_{X}\left[\log \frac{P\left(X_{i+1} \mid X_{i}\right)}{P\left(X_{i+1}\right)}\right] \tag{3}
\end{equation*}
$$

If this quantity is high, a larger fraction of (2) is provided by the immediately preceding word. A smaller fraction of the overall predictive information from the past is then contained in context further in the past. Conversely, if $I_{1}$ is small, a larger fraction of (2) must be contained further in the past.

We next discuss how this relates to proposals from prior work.

Area under Memory-Surprisal Tradeoff Curve Hahn et al. [9] provide a mathematical derivation of information locality in terms of a memory-surprisal tradeoff, combining the expectation-based and memory-based perspectives with a general information-theoretic analysis. This is formalized by the following theorem about comprehenders processing a stream of words using some (otherwise arbitrary) memory representations $M$ (Figure $\mathrm{S1} \mathrm{C}$ ): If $T \geq 0$ is an integer chosen so that the information-theoretic capacity of the listener's memory representation $M$ satisfies

$$
\begin{equation*}
M \leq \sum_{t=1}^{T} t \cdot I_{t} \tag{4}
\end{equation*}
$$

then this comprehender's average surprisal $S$ satisfies

$$
\begin{equation*}
S \geq H\left[X_{t} \mid \ldots X_{t-1}\right]+\sum_{t=T+1}^{\infty} I_{t} \tag{5}
\end{equation*}
$$

They showed that comprehenders can achieve a lower surprisal at the same memory capacity when $I_{t}$ decays faster. This happens because of the factor $t$ in (4), which creates a higher memory cost due to predictive information $I_{t}$ at higher distances $t$. Our chosen formalization (3) emerges in the limit of small memory capacities: For $T=1$, the surprisal bound precisely equals $H\left[X_{t}\right]-I_{1}$. A higher value of $I_{1}$ thus guarantees a lower (i.e., more favorable) surprisal at low memory budgets.

Hahn et al. [9] proposed to quantify information locality in terms of the area under the memorysurprisal tradeoff curve (AUC): a lower AUC corresponds to a faster decay of surprisal as memory capacity increases, and thus higher IL. In SectionS23, we compare to results obtained when quantifying IL in terms of this AUC measure as estimated by Hahn et al. [9].

[^0]N-Gram Surprisal [21] showed that the word orders of five languages minimize trigram surprisal (i.e., $H\left[X_{t} \mid X_{t-2}, X_{t-1}\right]$ ), compared to most other possible orderings. While they justified trigram surprisal as an approximation to surprisal as considered in expectation-based models of processing, it can also be justified as a formalization of information locality: trigram surprisal equals $H\left[X_{t}\right]-I_{1}-I_{2}$; it is thus low if and only if $I_{1}+I_{2}$ is high.

Decay of Unconditional Mutual Information A line of prior work has considered the unconditional mutual information $J_{t}$ [15, ,8, 14]:

$$
\begin{equation*}
J_{t}:=I\left[X_{i}, X_{i+t}\right]=\mathbb{E}_{X}\left[\log \frac{P\left(X_{i+1} \mid X_{i}\right)}{P\left(X_{i+1}\right)}\right] \tag{6}
\end{equation*}
$$

This differs from $I_{t}$ in that it does not factor out information redundant with intervening information. Note that $I_{1}=J_{1}$; thus, our formalization (3) equivalently states that $J_{t}$ decays quickly as $t$ increases.

Information locality was stated in terms of unconditional mutual information by Futrell et al. [8], who provided an approximate mathematical derivation in terms of minimizing surprisal under a certain class of memory loss models. While they did not provide a full operationalization of Information Locality, they proposed that language favors that words are close together when they have a high (unconditional) mutual information, i.e., $J_{t}$ decays quickly.

Further related to the principle of Information Locality, Culbertson et al. [14] show that the typologically most frequent relative orderings of noun phrase modifiers are such that modifiers are closer to the noun if they have higher mutual information with the noun. While they interpreted mutual information as reflecting statistical properties of the world that correlate with conceptual structure, their account is fully compatible with the principle of Information Locality as derived from theories of psycholinguistic processing effort.

Decaying Cue Effectiveness Relatedly, Qian and Jaeger [13] argue that the effectiveness of past predictive information in language production decreases over distance. They studied the overall predictive information (2) (their "cumulative discourse informativity", Formula (4) in their paper) and the decay of $I_{t}$ (their "cue effectiveness", Formula (3) in their paper), proposing that $I_{t}$ decays over distances $t$ due to, among other factors, limitations of human memory. They fitted a power law to the decay of cue effectiveness; in this framework, a steep decay is reflected in the coefficients of the power law. Information locality can also be linked to classical findings that most predictive information about a word, at least as utilized by humans, comes from a few preceding words [16, 17].

## S1.2 Estimating Mutual Information

Mutual information is defined in terms of an idealized statistical distribution over all possible sentences; it is thus necessary to approximate it using the available finite corpus data. We follow the approach of Gildea and Jaeger [21] and Study 3 of Hahn et al. [9], drawing on long-standing techniques in natural language processing (see Section \$23 for a second estimation method, used in Study 2 of Hahn et al. [9]).

We split each dataset into a training set and a held-out set. While the UD datasets have predefined splits, those vary substantially in the train/held-out ratio across languages. We therefore, for each language, randomly sampled a subset whose size was the greater of 100 sentences and $5 \%$ of all sentences, and used those as held-out data, and the remainder as training data. We estimate the probabilities $p\left(x_{t} \mid x_{t-1}\right)$ using counts from the training set, and estimate the entropies $H\left[X_{t}\right], H\left[X_{t} \mid X_{t-1}\right]$ as crossentropies on the held-out data:

$$
\begin{equation*}
H\left[X_{t}\right] \approx-\sum_{i=1}^{\mid \text {HeldOut } \mid} \log p\left(x_{i}\right) \tag{7}
\end{equation*}
$$

$$
\begin{equation*}
H\left[X_{t} \mid X_{t-1}\right] \approx-\sum_{i=1}^{\mid \text {HeldOut } \mid} \log p\left(x_{i} \mid x_{i-1}\right) \tag{8}
\end{equation*}
$$

$I_{1}$ is then estimated as the difference of these cross-entropies. This approach of estimating mutual information as a difference of cross-entropies is a well-established method with theoretical guarantees [22], avoiding an overestimation bias that would result from naively applying the definition of mutual information to the full dataset.

The method for estimating probabilities $p\left(x_{t} \mid x_{t-1}\right)$ exactly follows Study 3 of Hahn et al. [9] and is based on Kneser-Ney Smoothing [23], which we describe here for completeness. First, the unigram probabilities are estimated using Laplace smoothing as

$$
\begin{equation*}
p\left(w_{t}\right):=\frac{N\left(w_{t}\right)+1}{|\operatorname{Train}|+|V| \cdot 1} \tag{9}
\end{equation*}
$$

where $N\left(w_{t}\right)$ is the number of occurrences of $w_{t}$ in the training data. Here $|\operatorname{Train}|$ is the number of tokens in the training set, $|V|$ is the number of types occurring in train or held-out data.

Then, conditional probabilities $p_{2}\left(w_{t} \mid w_{t-1}\right)$ are estimated as follows. For a sequence $w_{1} w_{2}$, let $N\left(w_{1} w_{2}\right)$ be the number of times $w_{1} w_{2}$ occurs in the training set. If $N\left(w_{t-1} w_{t}\right)=0$, set

$$
\begin{equation*}
p\left(w_{t} \mid w_{t-1}\right):=p\left(w_{t}\right) \tag{10}
\end{equation*}
$$

Otherwise, we interpolate between second-order and first-order estimates:

$$
\begin{equation*}
p\left(w_{t} \mid w_{t-1}\right):=\frac{\max \left(N\left(w_{t-1} w_{t}\right)-1,0.0\right)+\#\left\{w: N\left(w_{t-1} w\right)>0\right\} \cdot p\left(w_{t}\right)}{N\left(w_{t-1}\right)} \tag{11}
\end{equation*}
$$

Kneser and Ney [23] show that this definition results in a well-defined probability distribution, i.e., $\sum_{w \in V} p\left(w \mid w_{t-1}\right)=1$. This method can be justified as approximate Bayesian inference assuming a Zipfian-like distribution over words [24].

## S2 Ordering Grammars

## S2.1 Ordering Grammar Formalism

We adopt the word order grammar formalism of [25, 26, 21] to Universal Dependencies. The original grammar formlism of [25] is defined for constituency treebanks; it defines weights for each combination of parent and child constituent category (e.g., " $\mathrm{NP} \rightarrow \mathrm{JJ}$ " for the position of the adjective within the noun phrase). We adapt this to Universal Dependencies by defining weights for dependency relation labels (e.g. amod for the noun-adjective dependency).

Dependents of a head are ordered in ascending order by their weights, so that dependents with negative weights appear before the head and dependents with positive weights appear after the head.

For instance, a grammar might define the weights (among others)

$$
\begin{gathered}
\text { nsubj : -0.8 } \\
\text { obj : } 0.3
\end{gathered}
$$

Applying this to a simple transitive sentence would result in SVO order:


In contrast, the following grammar, where both weights are negative, results in SOV order:

$$
\begin{gathered}
\text { nsubj : }-0.8 \\
\text { obj : }-0.3
\end{gathered}
$$

as in the following example:


## S2.2 Optimization Methods

Hill-Climbing Method The hill-climbing method is adopted from the method of Gildea and Temperley [25]. It first randomly initializes the weights of the grammar. In every iteration, it then randomly chooses one relation and changes the grammar by moving this relation to a randomly selected new position. If the objective function (a linear combination of IL and DL) improves, the new grammar is adopted, else it is discarded. We iterate this until the grammar remains stable for 2 K iterations, for at most 10 K iterations.

Gradient Descent Method We further use the gradient-based optimization method of [27] to optimize DL, which converges more quickly than the hill-climbing method, in particular on larger datasets ${ }^{2}$ This method considers a probabilistic extension of the grammar formalism where each grammar defines a distribution over possible linearizations of a tree; grammars as defined above correspond to the special case where the distribution is always concentrated on one linearization (i.e., it is deterministic). We refer to [27] for the precise definition of this extension. This extension makes the average dependency length a differentiable function of the grammar parameters, opening the door to the use of gradient-based optimization algorithms for ordering grammars. The optimization method then applies stochastic gradient descent using the REINFORCE estimator [28] to optimize the average dependency length across the trees in the corpus and the possible linearizations of each tree. Over the course of optimization, the probabilistic grammars converge to essentially deterministic ones that approximately minimize average dependency length across the trees in the corpus.

## S3 Interpolating Efficiency Plane and Pareto Frontier

Here, we describe how we interpolated subject-object position congruence throughout the efficiency plane, and how we approximated the Pareto frontier. We made all choices before evaluating the hypotheses tested in the paper. Results do not depend on the smoothing method: See Figure S18 for an analysis of coadaptation based on the raw samples that do not depend on the smoothing method, showing equivalent results.

Distribution of Subject-Object Position Congruence Given the set of grammar samples (obtained through approximate optimization or random generation) $\xi_{i}=\left(x_{i}, y_{i}\right)\left(x_{i}=\mathrm{IL}, y_{i}=\mathrm{DL}\right)$ with associated subject-object position congruences $z_{i}$, for each point $\xi=(x, y)$ in the plane spanned by DL and IL,

[^1]we predict the average subject-object position congruence of grammars at this point with a normalized Gaussian kernel as
\[

$$
\begin{equation*}
f(x, y):=\sum_{i=1}^{N} w_{i} z_{i} \tag{12}
\end{equation*}
$$

\]

where

$$
\begin{equation*}
w_{i} \propto L_{1}\left(x_{i}-x\right)^{2}+L_{2}\left(y_{i}-y\right)^{2} \tag{13}
\end{equation*}
$$

and $\sum_{i} w_{i}=1$, and $L_{1}, L_{2}>0$ are chosen to minimize the regularized leave-one-out objective:

$$
\begin{equation*}
\frac{1}{N} \sum_{i=1}^{N}\left|f_{i}(x, y)-z_{i}\right|^{2}+\lambda \cdot\left(L_{1}^{2}+L_{2}^{2}\right) \tag{14}
\end{equation*}
$$

where $f_{i}$ arises by leaving out $\xi_{i}$ from the dataset in the definition of $f$. We determined a small regularization weight $\lambda=0.00001$ to prevent smoothing artifacts arising due to excessively large weights $L_{i}$. Optimization uses 5 K iterations of random search over $L_{1}, L_{2} \in[0,100] \times[0,100]$.

Pareto Frontier We fit the approximate Pareto frontier as a spline covering the convex hull of all grammar samples. The definition is very similar to standard cubic splines [29], except that we constrained the spline to be convex and monotonic. More precisely, we selected all sampled grammar points $\xi_{i}=\left(x_{i}, y_{i}\right)$ that were not Pareto-dominated by any other point in the convex hull. For each segment between adjacent points $x_{i}, x_{i+1}$, we defined a cubic polynomial $g_{i}(x)$, and determined the coefficients of these cubic polynomials to maximize the area under the curve $\sum_{i=1}^{N} \int_{x_{i}}^{x_{i+1}} g_{i}$ (thus, making the spline fit as closely to the convex hull as possible), suject to the constraints of (i) lower-bounding the convex hull: $g_{i}\left(x_{i}\right) \leq y_{i}$, (ii) continuity: $g_{i}\left(x_{i+1}\right)=g_{i+1}\left(x_{i+1}\right)$, (iii) continuity of the slope $g_{i}^{\prime}\left(x_{i+1}\right)=g_{i+1}^{\prime}\left(x_{i+1}\right)$, (iv) convexity: $g_{i}^{\prime \prime} \geq 0,(\mathrm{v})$ monotonicity: $g_{i}^{\prime} \leq 0$. This is a standard linear program, which we solved using cvxpy [30].

## Part II

## Languages and Datasets

## S4 Corpora and Corpus Sizes

As described in Methods, we included all UD 2.8 languages with at least 10,000 available words, plus Xibe (new in UD 2.9, published after the other experiments were finished). We however excluded corpora of code-switched text (Hindi English and Turkish German). Table S1 shows the corpus sizes for the included UD languages. Table S3 shows excluded treebanks from languages otherwise included.

The hillclimbing algorithm is computationally very costly when corpora are very large. We thus had to focus on subcorpora for three languages: we focused on German-GSD ( 292 K words) for German, Japanese-GSD (193K words) for Japanese, and Czech-PDT (1,509 words) for Czech. We used all available corpora for the gradient descent method.

## S5 Historical Languages

Table $\mathrm{S5}$ shows the historical languages in our dataset, with approximate dating assigned.

| Language | Number of | Nunber of | Language | Number of Sentences | Nunber of Words |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Afrikans |  |  | Kiche | 1,435 | 10,013 |
| Afrikaans Akkadian | 1,934 2,008 | 49,260 | Komi Zyrian | 872 | 10,321 |
| Amharic | 1,074 | 10,010 | Korean | 34,702 | 446,996 |
| Ancient Greek | 30,999 | 416,988 | Kurmanji | 754 | 10,260 |
| Arabic | 28,402 | 1,042,024 | Latin | 22,405 | 284,794 |
| Armenian | 2,502 | 52,630 | Latvian | 15,351 | 252,334 |
| Bambara | 1,026 | 13,823 | Lithuanian | 3,905 | 75,403 |
| Basque | 8,993 | 121,443 | Maltese | 2,074 | 44,162 |
| Belarusian | 25,231 | 305,099 | Manx | 2,319 | 20,630 |
| Breton | 888 | 10,054 | Mbya Guarani | 1,144 | 13,089 |
| Bulgarian | 11,138 | 156,149 | Naija | 9,242 | 140,859 |
| Buryat | 927 | 10,185 | North Sami | 3,122 | 26,845 |
| Cantonese | 1,004 | 13,918 | Norwegian | 42,869 | 666,984 |
| Catalan | 16,678 | 546,638 | Old Church Slavonic | 6,338 | 57,563 |
| Chinese | 11,998 | 277,871 | Old East Slavic | 17,901 | 180,110 |
| Classical Chinese | 55,514 | 269,002 | Old French | 17,678 | 170,740 |
| Coptic | 1,873 | 48,632 | Persian | 35,104 | 654,696 |
| Croatian | 9,010 | 199,409 | Polish | 40,398 | 499,392 |
| Czech | 127,507 | 2,223,222 | Portuguese | 22,442 | 571,085 |
| Danish | 5,512 | 100,733 | Romanian | 40,480 | 937,540 |
| Dutch | 20,944 | 306,720 | Russian | 85,789 | 1,420,647 |
| English | 33,251 | 570,631 | Sanskrit | 4,227 | 28,960 |
| Erzya | 1,690 | 17,147 | Scottish Gaelic | 3,798 | 72,422 |
| Estonian | 36,508 | 506,637 | Serbian | 4,384 | 97,673 |
| Faroese | 2,829 | 50,486 | Slovak | 10,604 | 106,097 |
| Finnish | 36,981 | 397,001 | Slovenian | 11,188 | 170,158 |
| French | 42,832 | 1,132,460 | Spanish | 34,693 | 1,015,119 |
| Galician | 4,993 | 164,385 | Swedish | 12,269 | 206,856 |
| German | 208,440 | 3,753,947 | Tamil | 1,134 | 12,165 |
| Gothic | 5,401 | 55,336 | Thai | 1,000 | 22,322 |
| Greek | 2,521 | 63,441 | Turkish | 72,151 | 628,938 |
| Hebrew | 6,216 | 161,411 | Ukrainian | 7,060 | 122,091 |
| Hindi | 17,647 | 375,533 | Upper Sorbian | 646 | 11,196 |
| Hungarian | 1,800 | 42,032 | Urdu | 5,130 | 138,077 |
| Icelandic | 51,957 | 1,162,040 | Uyghur | 3,456 | 40,236 |
| Indonesian | 7,623 | 168,286 | Vietnamese | 3,000 | 43,754 |
| Irish | 5,776 | 131,423 | Welsh | 1,833 | 36,837 |
| Italian | 35,879 | 818,562 | Western Armenian | 1,780 | 35,926 |
| Japanese | 67,031 | 1,490,840 | Wolof | 2,107 | 44,258 |
| Kazakh | 1,078 | 10,536 | Xibe (UD 2.9) | 810 | 15,401 |

Table S1: Corpus sizes of the included UD languages. Experiments used UD 2.8, except in Xibe (UD 2.9), which was published after the other experiments were finished.

| Treebank | Rationale |
| :--- | :--- |
| Chinese-CFL | Text written by non-native speakers |
| English-ESL | Text written by non-native speakers |
| English-Pronouns | Specifically targets pronouns |
| French-FQB | Consists entirely of questions |
| Latin-ITTB | Consists of Medieval Latin text |
| Latin-LLCT | Consists of Medieval Latin text |

Table S3: UD corpora excluded, from languages otherwise included.

| Language | Time | Rationale |
| :---: | :---: | :---: |
| Classical Chinese | 300 BC | Life of Mengzi (died around 300 BC ); the treebank contains his teachings as collected by his followers. |
| Ancient Greek | 400 BC | Approximate mean age of texts used |
| Coptic | 400 AD | Dating of the Apophthegmata Patrum texts used in the UD treebank |
| Gothic | 350 AD | Life of bible translator Ulfilas (311-383) |
| Latin | 0 AD | Approximate mean age of texts used |
| Medieval Spanish | 1400 AD | Approximate mean age of texts used (not from Universal Dependencies, see Section S25). |
| Medieval Portuguese | 1400 AD | Approximate mean age of texts used (not from Universal Dependencies, see Section $\mathbf{S} 25$ ). |
| Old Church Slavonic | 850 AD | Bible translation after invention of Glagolitic alphabet around 850 AD. |
| Old English | 900 AD | Approximate mean age of texts used (not from Universal Dependencies, see Section S25). |
| Old East Slavic | 1200 AD | Approximate mean age of texts used |
| Old French | 1200 AD | Approximate mean age of texts used |
| Sanskrit | 900 BC | Approximate mean age of texts used |

Table S5: Historical languages in our dataset.

## S6 Phylogenetic Tree

## S6.1 Tree Topology

We obtained tree topologies from Glottolog [31]. We only retained interior nodes when more than one of their daughter nodes had languages in our dataset. The resulting tree topology is displayed in Figure $\mathrm{S}_{2}^{3}$

## S6.2 Dating Inner Nodes

We labeled interior nodes for the time at which they split into descendants, using estimates based on historical evidence and the linguistic literature:

| Group | Split | Source or Rationale |
| :---: | :---: | :---: |
| Afroasiatic | 10,000 BC | Diakonoff [32] |
| Arabic | 1,100 AD | Calibration from Holman et al. [33] based on end of Arabic domination of Malta. |
| Armenian | 1,750 AD | Separate development of Eastern and Western standards [34, p. 1] |
| Balto-Slavic | 1,400 BC | Gray and Atkinson [35] |
| Brythonic | 500 AD | Migrations from Britain to Brittany [33] |
| Central-Semitic | 2,450 BC | Kitchen et al. [36] |
| Common Turkic | 700AD | Savelyev and Robbeets [37, p. 49] estimate Common Turkic to have split around 474 AD. However, in their model, Old Turkic split off around 650 AD , earlier than the languages in our dataset, with uncertainty about the time of split of the remaining Common Turkic languages. It should predate the earliest documentation of Karluk Middle Turkic after 900AD. We thus put the divergence of the other Common Turkic languages at 700AD. |
| Eastern Baltic | 600 AD | Split between Latvian and Lithuanian [38, p. 209] |
| Finnic | 800 AD | Maurits et al. [39, Section 4.1] |
| Germanic | 250AD | [35] |
| Global Dutch | 1,600 AD | Dutch colony in South Africa |
| Goidelic | 950 AD | Migrations from Ireland to Scotland. Holman et al. [33], citing Jackson [40], calibrates the divergence between Irish and Scottish Gaelic to 950 AD. |
| Hindustani | 1,800 AD | Standardization of Hindi and Urdu |
| Iberian Romance | 1,000 AD | Expansion of Christian kingdoms in Iberia, earliest Iberian Romance texts |
| Icelandic-Faroese | 1,400 AD | Sound shifts specific to Faroese |
| Indo-European | 5,300 BC | Gray and Atkinson [35] (excluding Hittite and Tocharian, for which we have no corpus data). |
| Indo-Iranian | 2,500 BC | Parpola [41, p. 138] |
| Insular Celtic | 900BC | Gray and Atkinson [35] estimate 900BC. |
| Iranian | 500 BC | Gray and Atkinson [35]. |
| Italo-Western-Romance | 500 AD | End of the Western Roman empire [33]. |
| Macro-English | 1900AD | In our dataset, this is the common ancestor of contemporary English and Naija (Nigerian Pidgin). |

[^2]| Niger-Congo | 5000BC | Holman et al. [33] estimate an age of 6227 years, but the family has to be older than Atlantic-Congo, which they estimate at 6525 years. We thus place Niger-Congo at 5000BC. |
| :---: | :---: | :---: |
| North-Germanic | 650 AD | Split of Old Norse into regional variants, such as assimilation of nasals to following stops in Western Norse in the 7th century [42, p. 1856, 1859]. Similarly Holman et al. [33] calibrates this to 900 AD. |
| Semitic | 3,750 BC | Kitchen et al. [36] |
| Serbo-Croatian | 1,900 AD | Standardization of Serbian and Croatian |
| Slavic | 700AD | Gray and Atkinson [35]. Novotná and Blazek [38, p. 209] date the split of East Slavic to the 6th century, Holman et al. [33] calibrates it to 550AD. |
| South-Slavic | 750 BC | Expansion of Slavic into Balkan. Postdates Slavic and antedates Old Church Slavonic (attested after 800AD) |
| Uralic | 3,000 BC | Maurits et al. [39, Section 4.7], cf [41, p. 144] for references |
| West Iberian | 1,100AD | Independence of Portugal |
| West-Germanic | 500 AD | Migrations into Britain and southern central Europe |
| West-Scandinavian | 1,100 AD | Sound shifts specific to Norwegian |
| West-Semitic | 3,400 BC | Kitchen et al. [36] |
| West-Slavic | 750 BC | Expansion of Slavic. |
| Western Romance | 800 AD | Expansion of Christian kingdoms into Iberia |
| Western South Slavic | 1,000 AD | Antedates earliest Slovenian and Serbo-Croatian texts |

## Part III

## Phylogenetic Analyses

## S7 Details for Phylogenetic Models

## S7.1 Calculating the Likelihood

For completeness, we describe how to calculate the likelihood of a multidimensional Ornstein-Uhlenbeck model on phylogenetic trees [43, 44, 45]. As described in the Methods section, it is described by the following stochastic differential equation for the instantaneous change of the state $\xi_{L, t} \in \mathbb{R}^{4}$ of a language $L$ at a given time $t$ :

$$
\begin{equation*}
\mathrm{d} \xi_{L, t}=\Gamma \cdot\left(\xi_{L, t}-\mu\right) \mathrm{d} t+\sqrt{\Sigma} \mathrm{d} B_{t} \tag{15}
\end{equation*}
$$

where $\mu \in \mathbb{R}^{4}$, $\Gamma$ is non-degenerate, and $\Sigma \in \mathbb{R}^{4 \times 4}$ is a covariance matrix, and $B_{t}$ is multidimensional Brownian motion. In our model, $\Gamma$ is diagonal with positive entries.

The conditional distribution of a future observation at time $t+\Delta$ given an earlier one at time $t$ is given by the following equation [46, Theorem 3.3], [47], [48, p. 156, eq. 6.124]:

$$
\begin{equation*}
\xi_{L, t+\Delta} \mid \xi_{L, t} \sim N\left(\mu+e^{-\Delta \Gamma}\left(\xi_{L, t}-\mu\right), \Omega-e^{-\Delta \Gamma} \Omega e^{-\Delta \Gamma^{T}}\right) \tag{16}
\end{equation*}
$$

where the matrix $\Omega \in \mathbb{R}^{4 \times 4}$ is obtained as the solution of the equation [47, p. 110, eq. 4.4.51] [48, p. 156, eq. 6.126]:

$$
\begin{equation*}
\Gamma \Omega+\Omega \Gamma^{T}=\Sigma \tag{17}
\end{equation*}
$$



Figure S2: Phylogenetic tree topology of the languages in our sample. Compare Figure S3 for a version indicating the time depth of different families.


Figure S3: Phylogenetic tree of the languages in our sample. The length of branches reflects distance in time. Compare Figure $S$ 2for a version indicating the raw topology without time depths.

This can be solved as follows (recall that $\Gamma$ is diagonal in our model): ${ }_{4}^{4}$

$$
\begin{equation*}
\Omega_{i j}=\frac{\Sigma_{i j}}{\Gamma_{i i}+\Gamma_{j j}} \tag{19}
\end{equation*}
$$

One can compute the stationary distribution that solves the differential equation as follows. The stationary distribution of an individual observation is

$$
\begin{equation*}
\xi_{t} \sim N(\mu, \Omega) \tag{20}
\end{equation*}
$$

The stationary cross-covariance between the states of two languages $L_{1}, L_{2}$, possibly on different branches of the phylogenetic tree, is given by

$$
\begin{equation*}
\operatorname{Cov}\left(\xi_{L_{1}}, \xi_{L_{2}}\right)=e^{-\Delta_{1} \Gamma} \Omega e^{-\Delta_{2} \Gamma^{T}} \tag{21}
\end{equation*}
$$

where $\Delta_{1}, \Delta_{2}$ are the times of evolution from their last common ancestor to $L_{1}$ and $L_{2}$, respectively. ${ }^{5}$ If $L_{1}, L_{2}$ do not share a common ancestor (the root in Figure S 2 does not count as an ancestor), the covariance is zero ${ }^{6}$

Since any Ornstein-Uhlenbeck process is Gaussian [46], the joint distribution of any set of observations $\xi_{L, t}$ is determined by 20,21 .

## S7.2 Implementation

We defined the following priors on the parameters. We parameterized $\Sigma$ as the combination of a correlation matrix and a vector of standard deviations [49]. Stated differently, we parameterized $\Lambda:=\sqrt{\Sigma}$ as $D U$, where $U$ is a lower-diagonal matrix, and $D$ is a diagonal matrix. We directly parameterized $\Gamma$ using its diagonal entries.

To define a prior over $\Sigma$, we modeled $U$ as the lower Cholesky factor of a correlation matrix subject to an LKJ(1) prior (Lewandowski et al. [50], i.e., the uniform distribution over $4 \times 4$-correlation matrices). We placed a standard normal prior $N(0,1)$ on the entries of $\mu$ and on the non-zero entries of $D$ and $\Gamma$.

We rescaled times so that 1000 years corresponded to one unit. We further rescaled the four components to range from -1 to 1 (instead of $[-1,0]$ for IL/DL, and [ 0,1$]$ for position congruence).

We implemented the models in Stan [51] and obtained posterior samples using the No-U-Turn sampler. We ran four chains with 10,000 iterations each, of which the first half each were discarded as warmup samples.

The model can be implemented either using the analytical formula for the cross-covariance (21), or by explicitly modeling the inner nodes of the tree using (16). The first approach makes posterior inference more efficient, but we specifically used the second approach in the analysis where $\mu, \Gamma$ depended

[^3]${ }^{5}$ This can be shown as follows: If $\xi_{A}$ is the last common ancestor, then (we set $\mu=0$ without loss of generality, as it does not affect the covariance):
\[

$$
\begin{aligned}
\operatorname{Cov}\left(\xi_{L_{1}}, \xi_{L_{2}}\right) & =\mathbb{E}\left[\xi_{L_{1}} \xi_{L_{2}}^{T}\right]-\mathbb{E} \xi_{L_{1}} \mathbb{E} \xi_{L_{2}}^{T}=\mathbb{E}\left[\mathbb{E}\left[\xi_{L_{1}} \xi_{L_{2}}^{T} \mid \xi_{A}\right]\right]-0 \cdot 0=\mathbb{E}\left[\mathbb{E}\left[\xi_{L_{1}} \mid \xi_{A}\right] \mathbb{E}\left[\xi_{L_{2}}^{T} \mid \xi_{A}\right]\right]=\mathbb{E}\left[e^{-\Delta_{1} \Gamma} \xi_{A} \xi_{A}^{T} e^{-\Delta_{2} \Gamma^{T}}\right] \\
& =e^{-\Delta_{1} \Gamma} \Omega e^{-\Delta_{2} \Gamma^{T}}
\end{aligned}
$$
\]

[^4]on geography (Section S11) or case marking (Section S12), as the cross-covariance is hard to compute explicitly when parameters vary.

We computed marginal likelihoods using Stepping Stone Sampling [52] with $K=10$ stones. We verified stability of the estimates by running the procedure ten times for each model, and averaging the obtained marginal likelihoods.

## S7.3 Correlation Component of $\Sigma$

In the main analysis, we reported the correlation between two dimensions in the stationary distribution $\Omega$. In some analyses, there are multiple stationary distributions (depending on geography in Section $\mathbf{S 1 1}$ and case marking in the main paper and Section $\mathbf{S 1 2}$. In these cases, we therefore report correlations for the instantaneous changes at any point in time: The matrix $\Sigma$ indicates the variance-covariance structure of the instantaneous changes at any time $t$ [53, 54]. The main quantity of interest is the correlation between changes in two dimensions (e.g., attested and average optimized subject-object position congruence) [cf. 53, 54], which is given by

$$
\begin{equation*}
R_{i j}:=\frac{\Sigma_{i, j}}{\sqrt{\Sigma_{i, i} \Sigma_{j, j}}} \tag{22}
\end{equation*}
$$

A positive value indicates that changes in both directions are positively correlated.

## S8 Detailed Results for Phylogenetic Model

Figures $\mathrm{S4}$ and $\mathrm{S5}$ visualize the stationary distribution, both for all languages and when excluding the Indo-European phylum.

Further Model Versions We also considered a version of the model where we explicitly accounted for imprecise measurements due to limitations in corpus data by assuming Gaussian observation noise, i.e., observations are modeled as $\widehat{\xi}_{L}=\xi_{L}+\varepsilon$, with $\varepsilon_{i} \sim \mathcal{N}\left(0, \sigma_{i}\right)$. 7 While the added model complexity did not improve model fif ${ }_{8}^{8}$, it did not alter the conclusions: Indeed, with this model, the correlations were estimated to be even somewhat larger than without assuming observation noise ( $R=-0.54,95 \% \mathrm{CrI}$ $[-0.79,-0.30], P(R>0)<0.0001$ for the correlation between DL and congruence; $R=0.61,95 \% \mathrm{CrI}$ $[0.32,0.87], P(R<0)<0.0001$ for the correlation between attested and average congruence). We also conducted a version of the model where the noise in different dimensions was allowed to be correlated, $\varepsilon \sim N(0, T)$ where $T$ has the same prior as the instantaneous covariance matrix $\Sigma$ (see Section S7.2). This is a particularly conservative model, because it allows the correlation in the noise to potentially explain some of the observed correlations. Nonetheless, correlations continued to be estimated similarly to before ( $R=0.42,95 \% \operatorname{CrI}[0.10,0.72], P(R<0)=0.00815$ for attested and optimized subject-object position congruence; $R=-0.52,95 \% \mathrm{CrI}[-0.73,-0.26], P(R>0)=0.00005$ for DL and attested subject-object position congruence).

## S9 Details for Model and Random Mutations

We sampled 40 random grammars and 40 approximately optimized grammars, each from one of the 80 languages. For each grammar, we ran 30 chains, either of 200 random mutations, or of $\approx 200$ years of

[^5]

Figure S4: Stationary distribution in the plane spanned by optimized and attested subject-object position congruence; this indicates the region in which languages tend to move over the course of long-term evolution. The left column shows results on the entire dataset, the right column shows results excluding the Indo-European family.

Fitted on Full UD Dataset


Excluding Indo-European


Figure S5: Stationary distribution in the plane spanned by optimized and attested subject-object position congruence.
evolution under the fitted model. In Main Paper, Figure 5, we show for each sampled grammar, an arrow from the original point to the mean position at the end of the 30 chains.

For an optimized grammar, random mutations usually deteriorated efficiency: chains of 200 mutations had a $12 \%$ chance of improving IL, and $17 \%$ of improving DL. In contrast, under the fitted model, change was neutral: $55 \%$ of chains improved IL, $51 \%$ of chains improved DL. For a baseline grammar, the pattern was in the opposite directions, random mutations were mostly neutral ( $39 \%$ chance of improving IL, and $50 \%$ chance of improving DL). In contrast, under the fitted model, $71 \%$ of chains improved IL, $81 \%$ of chains improved DL.

## S10 Comparison with Simple Brownian Model

The simple Brownian model leaves out the drift term, leading to the stochastic differential equation:

$$
\mathrm{d} \xi_{t}=\sqrt{\Sigma} \mathrm{d} B_{t}
$$

This is known as the Independent Contrasts model [53, 54], and underlies standard phylogenetic regression models [55].

Brownian motion differs from the Ornstein-Uhlenbeck process in that it does not have a long-term stationary solution. Instead, trajectories $\xi_{t}$ tend to move arbitrarily far away from the origin over time $t$. This is clearly unrealistic in our setting, as subject-object position congruence is bounded between 0 and 1. As there is no stationary solution, there is no straightforward way to jointly apply the model to data from languages that do not share a common ancestor. For modelling purposes, we assumed that all families had a common ancestor at some large time $T_{0}$ in the past. This modelling assumption corresponds to the assumption of macro-families of very large time-depth. We considered $T_{0}$ to be $15,000 \mathrm{BC}, 20,000 \mathrm{BC}, 50,000 \mathrm{BC}$, and measured the instantaneous correlation of changes $R$ for each fit $\int_{-}^{9}$ To evaluate model fit, we compared marginal likelihood of the Brownian model with the OrnsteinUhlenbeck model, computed using using Stepping Stone Sampling [52] with $K=10$ stones. Note that an assumption of a specific time depth is not necessary for the Ornstein-Uhlenbeck model, as unrelated languages can be modeled as draws from the stationary distribution for that model.

In the absence of a stationary distribution, the Brownian model cannot make statements about whether languages evolve to maintain efficiency. We therefore only applied this to the attested and optimized subject-object position congruence, not to IL/DL.

Results Model fit as measured by marginal likelihood is much weaker than in the Ornstein-Uhlenbeck model, across choices of $T_{0}$ (Table S7), corresponding to a Bayes factor of about $10^{24}$ in favor of the Ornstein-Uhlenbeck model. Nonetheless, across different choices of $T_{0}$, the Brownian model strongly supports a positive correlation $R$ between attested and optimized subject-object position congruence, very similar to the Ornstein-Uhlenbeck analysis; the posterior probability of $R \leq 0$ is 0.00425 at the best-fitting setup, $T_{0}=-15,000$.

## S11 Accounting for Areal Convergence

The model of random walks on phylogenetic trees assume that languages evolve independently once they have split [e.g. 56, 57]. However, linguistic evolution can include borrowing between geographically neighboring languages [e.g. 58, 59, 60, 61, 62]. Fully integrating such borrowing within phylogenetic modeling is an open problem for computational modeling. Here, we describe a possible modeling approach that explicitly models convergence in linguistic areas, geographic regions in which languages

[^6]| Model | Log-Likelihood |
| :--- | :--- |
| Ornstein-Uhlenbeck | -94 |
| Lesioned Ornstein-Uhlenbeck (No Coadaptation) | -119 |
| Brownian $\left(T_{0}=-100,000\right)$ | -140 |
| Brownian $\left(T_{0}=-50,000\right)$ | -129 |
| Brownian $\left(T_{0}=-20,000\right)$ | -117 |
| Brownian $\left(T_{0}=-15,000\right)$ | -114 |

Table S7: Marginal log-likelihoods for Ornstein-Uhlenbeck and simple Brownian models. Values closer to 0 indicate better model fit. We ran the Brownian model at different time depths, because it does not have a stationary distribution, necessitating the assumption of a common root node. The lesioned Ornstein-Uhlenbeck model without coadaptation is obtained by constraining the matrix $\Sigma$ (Equation 15) to be diagonal.
tend to show convergent evolution due to borrowing [e.g. 63, 64, 65, 66], with a proof-of-concept implementation. We note that there may be other possible approaches, and have to leave a complete investigation of models fully integrating both phylogeny and borrowing to future research.

We propose to model linguistic areas as latent variables defining time- and location-dependent values $\mu(x, t)$ (where $x$ is a point on the surface of the earth and $t$ is a point in time) that languages at time $t$ and place $x$ drift towards. These values are inferred from the data together with the other parameters of the Ornstein-Uhlenbeck process. By placing a suitable Gaussian process prior on $\mu(x, t)$, we encourage parameters that smoothly vary over space and time, reflecting the idea that areal convergence between languages depends on their geographic distance. This approach is related to the model described by [67], who propose to model convergence between species by assuming correlations between the means $\mu$ of different species. For other approaches to model interactions between species from the bioinformatics literature, see [68, 69, 70, 71].

Model We model the grammar and usage components of $\mu$ as depending on the language's geographic position and the time a language was spoken. This models the impact of linguistic areas, and allows this impact to change over time.

We assume that a language $L$ observed at time $t+\Delta$ (e.g. French) developed from a prior state at time $t$ (e.g., Old French) during time $[t, t+\Delta]$ according to the Ornstein-Uhlenbeck SDE

$$
\begin{equation*}
\mathrm{d} \xi_{L, t}=\Gamma \cdot\left(\xi_{L, t}-\mu_{L}\right) \mathrm{d} t+\sqrt{\Sigma} \mathrm{d} B_{t} \tag{23}
\end{equation*}
$$

where $\mu_{L}$ is defined by the temporal and geographical location of the language $L$.
We placed a Gaussian process prior with a Laplace kernel on $\mu$. That is, the covariance between $\mu$ at points $x, y$ on the surface of the earth at times $T_{1}, T_{2}$ is taken to be

$$
\begin{equation*}
\operatorname{Cov}\left(\mu_{x}, \mu_{y}\right)=\alpha \cdot \exp \left(-\frac{1}{\rho_{1}^{2}} d(x, y)-\frac{1}{\rho_{2}^{2}}\left|T_{1}-T_{2}\right|\right) \tag{24}
\end{equation*}
$$

where $d(x, y)$ is the great circle (geodesic) distance between points $x, y$, and $\alpha, \rho>0$ are hyperparameters. The Laplace kernel is positive-definite with the great-circle distance $d(\cdot, \cdot)$ [72] and thus provides a valid covariance for this distance; many other popular kernels like the RBF kernel are not valid for this distance [72]. This prior favors values of $\mu_{L}$ that vary smoothly over space and time, encoding the idea of linguistic areas. We placed Gaussian priors with mean 0 and variance 1, truncated to positive values, on the hyperparameters $\alpha, \frac{1}{\rho^{2}}$ of the kernel 24 .

We extracted locations of languages from the World Atlas of Linguistic Structures [73]. For ancestors, we recursively defined their location as the mean of the locations of their immediate children.

Due to substantial computational cost of this model, we applied it only to the main correlation of interest, i.e., the correlation between attested and average optimized subject-object position congruence. As convergence is slow compared to our other models, we ran MCMC for 40,000 iterations, again discarding the first half as warmup samples. We used the $\widehat{R}$ statistic and visual inspection of chains to assess model convergence.

Results As the mean $\mu$ depends on the geographic position, there is no single stationary distribution. As described in Section S7.3, we thus instead consider the correlation component of $\Sigma$, the covariance matrix of instantaneous changes. The correlation between changes in attested and average optimized subject-object position congruence was estimated at $R=0.44,95 \% \mathrm{CrI}[0.2,0.65], P(R<0)=0.0004$, suggesting that coadaptation is found even when accounting for areal convergence in addition to phylogenetic relations.

## S12 The Role of Case Marking

Here, we report details on the analysis of coadaptation when controlling for the presence of case marking. We do this by fitting an extension of the model that can model different directions of change in languages with and without case marking, and checking whether the analysis continues to provide evidence for coevolution between word order and usage even beyond what is captured by correlations of usage and word order with the presence of case marking.

Coding Languages for Case Marking We coded languages from our sample for the presence or absence of case marking on the basis of Iggesen [74], supplemented with information from the grammatical literature where no information was provided. We amended the annotation from Iggesen [74] to include only case marking that distinguishes between subjects and objects; this concerns several modern Celtic and Germanic languages, which have some nominal case marking but do not distinguish subjects and objects (e.g., Swedish and English use $-s$ to mark possessives, but do not distinguish nominal subjects and objects.).

We furthermore coded all interior nodes of the phylogenetic tree for case marking based on the linguistic literature. In some cases, this annotation was unambiguous due to available historical documentation even though no treebank data was available (e.g., Proto-West-Scandinavian was a late form of Old Norse and had case markers). In many other cases, cognate case markers are unambiguously attested both within and without a group, showing that they were present in the protolanguage (e.g., ProtoGermanic, Proto-Indo-Iranian). Furthermore, in many protolanguages, case markers are commonly reconstructed based on their presence in different descendant branches (e.g., Proto-Indo-European, ProtoAfroasiatic, Proto-Common-Turkic, Proto-Uralic and Proto-Ugric). Case is not unambiguously reconstructed for Proto-Niger-Congo; we verified that both possible parameter settings lead to qualitatively equivalent results (we report results under the assumption that it did not have case, with essentially indistinguishable results for the other cases).

Model of Change conditioned on Case Marking Based on the prior literature, we expect that languages without case marking will be biased towards low subject-object position congruence [75]. To take this into account, we modified the model by conditioning the mean vector $\mu$ on the presence or absence of case in the language $L$.

$$
\mathrm{d} \xi_{L, t}=\Gamma_{C(L)} \cdot\left(\xi_{L, t}-\mu_{C(L)}\right) \mathrm{d} t+\sqrt{\Sigma} \mathrm{d} B_{t}
$$

where $C(L)$ is 1 if $L$ has case and 0 else. We set priors $\mu_{C(L)} \sim N(0,1)$ for both $C(L)=0$, and 1 .


Figure S6: Fitted stationary distribution, conditioned on case marking. (A) Languages with and without case marking similarly concentrate in the region between the baseline distribution and the Pareto frontier. The difference between the mean values of IL and DL of the two stationary distributions is not statistically meaningful. (B) While the presence of case marking is associated with higher subject-object position congruence ( $\mu=0.65,95 \% \mathrm{CrI}[0.56,0.76]$ with case, $\mu=0.16,95 \% \mathrm{CrI}[-0.07,0.36]$ without case), coadaptation is predicted even beyond this association, as evidenced by the shape of the two stationary distributions.

Results We plot the distribution of languages and the fitted stationary distributions, conditioned on $C(L)$, in Figure S6. In accordance with the prior literature, the model indicated that languages without case marking favor regions with low observed subject-object position congruence. For languages with case marking, there was evidence for a bias towards higher subject-object position congruence. We quantified correlations using the correlation component of the instantaneous changes $\Sigma$ (see Section S7.3), i.e., the correlation between short-term stochastic changes in different dimensions. Similarly to the results in the main analysis, there was a negative correlation between DL and subject-object position congruence ( $R=-0.39,95 \% \mathrm{CrI}[-0.60,-0.18], P(R \geq 0)<0.0001$ ), and a positive correlation between attested congruence and average optimized congruence along the Pareto frontier ( $R=0.49$, $95 \% \mathrm{CrI}[0.29,0.67], P(R \leq 0)<0.0001)$. This shows that languages show coadaptation between usage and grammar in basic word order, even beyond an association with case marking.

Conclusion We found that, while case marking has a robust impact on subject-object position congruence, coadaptation continues to hold when controlling for this.

## Part IV

## Additional Analyses

## S13 Optimized and Baseline Grammars

In Figure S7, we show the position of optimized (orange) and baseline (blue) grammars for each of the 80 languages. Optimized grammars inhabit the area between the baseline grammars and the Pareto frontier. Compare Section $\$ 22$ for results by subject-object position congruence.

## S14 Within-Language Correlates of Basic Word Order

Here, we show that basic word order reflects optimization for Dependency Length Minimization (DLM) not only on the level of languages, but also on the level of individual sentences.

In many SVO languages, certain intransitive subjects can appear after the verb ("along came a dog"). This kind of "intransitive inversion" has been documented in many SVO languages, including English, Romance languages, and Chinese [76, Chapter 17.2]. There are also languages whose basic word order is different in transitive and in intransitive clauses [77]; the World Atlas of Language Structures lists 13 languages with transitive SVO and intransitive VS basic word order [78, 77], while it lists no languages with transitive VSO and intransitive SV order. This observation has been formalized as the following language universal: If VS is dominant with transitives, it is also dominant with intransitives (Plank and Filimonova [79, No 344], citing Kozinsky [80]). DLM provides an explanation for this universal.

We conjectured that, more generally, the rate of VS order is higher when no object is present than when an object is present. For each language in our dataset, we collected statistics for all verbs with a subject and conducted the following logistic analysis:

$$
\begin{equation*}
\text { SV Order } \sim \text { Object is present } \tag{25}
\end{equation*}
$$

A positive effect indicates that presence of an object makes SV order more likely, compared to VS order. Results are shown in the table below. As predicted, in most languages where there is variation between SV and VS order, a significant positive effect was observed.

Coefficients in logistic analysis regressing SV/VS Order based on the presence of an object. 'SV Frequency' indicates the overall rate of SV order (as opposed to VS) in the language. A positive coefficient $(\beta>0)$ indicates that $S V$ is more common in the presence of an object than when there is no object.

| Language | SV Frequency | $\beta$ | $p$ |
| :--- | :--- | :--- | :--- |
| Afrikaans | 0.989 | -0.12 | 0.6568 |
| Akkadian | 0.98 | 1.56 | 0.0449 |
| Amharic | 0.665 | -0.38 | 0.0011 |
| Ancient Greek | 0.786 | 0.31 | $<0.00001$ |
| Arabic | 0.492 | 0.55 | $<0.00001$ |
| Armenian | 0.89 | 0.83 | $<0.00001$ |
| Bambara | 0.999 | 16.68 | 0.9948 |
| Basque | 0.872 | -0.11 | 0.0811 |
| Belarusian | 0.773 | 1.24 | $<0.00001$ |
| Breton | 0.541 | 0.1 | 0.6465 |
| Bulgarian | 0.813 | 1.29 | $<0.00001$ |


| Buryat | 0.996 | -0.42 | 0.731 |
| :---: | :---: | :---: | :---: |
| Cantonese | 0.994 | 1.12 | 0.3061 |
| Catalan | 0.932 | 0.07 | 0.0502 |
| Chinese | 0.999 | 17.7 | 0.985 |
| Classical Chinese | 0.999 | 17.51 | 0.9852 |
| Coptic | 0.922 | 18.18 | 0.9581 |
| Croatian | 0.827 | 0.99 | <0.00001 |
| Czech | 0.733 | 0.47 | <0.00001 |
| Danish | 0.865 | 0.62 | < 0.00001 |
| Dutch | 0.813 | 0.42 | < 0.00001 |
| English | 0.962 | 3.49 | <0.00001 |
| Erzya | 0.677 | 0.94 | < 0.00001 |
| Estonian | 0.737 | 0.49 | <0.00001 |
| Faroese | 0.854 | -0.16 | 0.0559 |
| Finnish | 0.867 | 1.23 | <0.00001 |
| French | 0.957 | 1.17 | <0.00001 |
| Galician | 0.877 | 1.09 | < 0.00001 |
| German | 0.843 | 0.63 | <0.00001 |
| Gothic | 0.733 | 0.6 | <0.00001 |
| Greek | 0.839 | 0.65 | < 0.00001 |
| Hebrew | 0.692 | 0.93 | < 0.00001 |
| Hindi | 0.996 | 2.03 | <0.00001 |
| Hungarian | 0.81 | 0.77 | <0.00001 |
| Icelandic | 0.75 | 0.2 | <0.00001 |
| Indonesian | 0.943 | 4.54 | <0.00001 |
| Irish | 0.162 | 0.45 | <0.00001 |
| Italian | 0.821 | 1.76 | < 0.00001 |
| Japanese | 1 | 15.4 | 0.9952 |
| Kazakh | 0.992 | -0.36 | 0.6167 |
| Kiche | 0.474 | 0.97 | <0.00001 |
| Komi Zyrian | 0.762 | 1 | $3 \mathrm{e}-04$ |
| Korean | 1 | 15.18 | 0.9953 |
| Kurmanji | 0.997 | 16.57 | 0.9948 |
| Latin | 0.833 | 0.56 | <0.00001 |
| Latvian | 0.79 | 0.76 | < 0.00001 |
| Lithuanian | 0.785 | 0.33 | $9 \mathrm{e}-04$ |
| Maltese | 0.731 | 2.34 | <0.00001 |
| Manx | 0.001 | -16.59 | 0.9969 |
| Mbya Guarani | 0.866 | 1.73 | 0.0929 |
| Naija | 0.982 | 17.81 | 0.959 |
| North Sami | 0.799 | 1.91 | <0.00001 |
| Norwegian | 0.837 | 0.85 | < 0.00001 |
| Old Church Slavonic | 0.686 | 0.76 | <0.00001 |
| Old East Slavic | 0.661 | 0.38 | < 0.00001 |
| Old French | 0.861 | 0.78 | < 0.00001 |
| Persian | 0.999 | 0.22 | 0.462 |
| Polish | 0.756 | 0.83 | < 0.00001 |
| Portuguese | 0.909 | 2.14 | <0.00001 |
| Romanian | 0.74 | 0.58 | < 0.00001 |


| Russian | 0.772 | 1.09 | $<0.00001$ |
| :--- | :--- | :--- | :--- |
| Sanskrit | 0.893 | 0.35 | 0.0091 |
| Scottish Gaelic | 0.013 | -0.55 | 0.2019 |
| Serbian | 0.801 | 1.36 | $<0.00001$ |
| Slovak | 0.724 | 0.71 | $<0.00001$ |
| Slovenian | 0.778 | 0.37 | $<0.00001$ |
| Spanish | 0.849 | 1.28 | $<0.00001$ |
| Swedish | 0.865 | 0.72 | $<0.00001$ |
| Tamil | 0.987 | 1.71 | 0.1 |
| Thai | 0.999 | 17.45 | 0.9967 |
| Turkish | 0.972 | -0.25 | $<0.0001$ |
| Ukrainian | 0.806 | 1.05 | $<0.00001$ |
| Upper Sorbian | 0.799 | 0.84 | $5 \mathrm{e}-04$ |
| Urdu | 0.996 | 1.24 | 0.0038 |
| Uyghur | 0.961 | 2.69 | $<0.00001$ |
| Vietnamese | 0.989 | 0.76 | 0.0209 |
| Welsh | 0.053 | 1.21 | 0.0011 |
| Western Armenian | 0.915 | 0.81 | $<0.0001$ |
| Wolof | 0.999 | 16.7 | 0.9914 |

In some predominant VSO languages, SVO is an alternative word order in unembedded clauses, whereas embedded clauses tend to only allow VSO. This is in accordance with the predictions of DLM, which favors high subject-object position congruence in embedded clauses (see Figure 1B in the main paper). Examples include relative clauses in Afroasiatic and Celtic (Standard Arabic [81], Breton [82, p. 80], Ancient Egyptian [83], Tuareg [84, Chapter 12.1.2]). Conversely, in some SVO languages, embedded clauses show VSO order (Bantu, Demuth and Harford [85]); Miza (Chadic) has SVO/VOS in main clauses and VOS in embedded clauses [78]. However, it is not generally true that VS order is more common in embedded clauses across all languages that have variation in basic word order. For instance, German and Dutch can have VS in main clauses, but are almost always SV in subordinate clauses; the same holds for Quileute (Chimakuan) [78].

## S15 Coexpression of Subjects and Objects

In Figure S8, we show attested subject-object congruence together with the fraction of verbs that simultaneously express a subject and an object among those verbs expressing at least one, for each language. In Figure $\overline{\mathrm{S} 9}$, we compare this fraction with the average subject-object position congruence along the Pareto frontier.

## S16 Details for Mixed-Effects Analyses

Priors We conducted standard Bayesian linear mixed-effects regressions [86] where the response $y_{i}$ belonging to language $i$ is given by

$$
\begin{equation*}
y_{i}=\left(\alpha+\alpha_{f_{i}}\right)+\left(\beta+\beta_{f_{i}}\right) x_{i}+\varepsilon_{i} \tag{26}
\end{equation*}
$$

where $x_{i}$ is the predictor (e.g., attested subject-object position congruence), $f_{i}$ is the family of language $i$, $\varepsilon_{i} \sim \mathcal{N}\left(0, \sigma^{2}\right)$, and $\alpha_{f_{i}}$ and $\beta_{f_{i}}$ are per-family adjustments to the intercept $\alpha$ and the slope $\beta$ respectively.

As described in the main paper, we assumed the prior $N(0,1)$ for the fixed effects slopes, $N(0.5,1)$ for the intercepts, weakly informative Student's $t$ priors ( $v=3$ degrees of freedom, location 0 , and scale

| Afrikaans | Akkadian | Amharic | Ancient Greek | Arabic | Armenian |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |
| Bambara | Basque | Belarusian | Breton | Bulgarian | Buryat |
|  |  |  |  |  |  |
| Cantonese | Catalan | Chinese | Classical Chinese | Coptic | Croatian |
|  |  |  |  |  |  |
| Czech | Danish | Dutch | English | Erzya | Estonian |
|  |  |  |  |  |  |
| Faroese | Finnish | French | Galician | German | Gothic |
|  |  |  |  |  |  |
| Greek | Hebrew | Hindi | Hungarian | Icelandic | Indonesian |
|  |  |  |  |  |  |
| Irish | Italian | Japanese | Kazakh | Kiche | Komi Zyrian |
|  |  |  |  |  |  |
| Korean | Kurmanji | Latin | Latvian | Lithuanian | Maltese |
|  |  |  |  |  |  |
| Manx | Mbya Guarani | Naija | North Sami | Norwegian | Old Church Slavonic |
|  |  |  |  |  |  |
| Old East Slavic | Old French | Persian | Polish | Portuguese | Romanian |
|  |  |  |  |  |  |
| Russian | Sanskrit | Scottish Gaelic | Serbian | Slovak | Slovenian |
|  |  |  |  |  |  |
| Spanish | Swedish | Tamil | Thai | Turkish | Ukrainian |
|  |  |  |  |  |  |
| Upper Sorbian | Urdu | Uyghur | Vietnamese | Welsh | Western Armenian |
|  |  |  |  |  |  |
| Wolof | Xibe |  |  |  |  |
|  |  |  | 25 |  |  |

Figure S7: Position of optimized (orange) and baseline (blue) grammars for each of the 80 languages. Red dots indicate the attested ordering.


Figure S8: Comparison of attested subject-object position congruence (x-axis) and the fraction of verbs that simultaneously express a subject and an object, among those verbs expressing at least one ("coexpression", y-axis). Attested subject-object position congruence predicts coexpression in a linear mixedeffects regression with per-family intercept and slope $(\beta=-0.11, S E=0.04,95 \% \mathrm{CrI}[-0.20,-0.03]$, $\mathrm{P}(\beta>0)=0.006)$.


Figure S9: Comparison of average subject-object position congruence along the Pareto frontier (x-axis) and the fraction of verbs that simultaneously express a subject and an object, among those verbs expressing at least one ("coexpression", y-axis). We show coefficients and Bayesian coefficients of determination in a linear mixed-effects regression with per-family intercept and slope.

| Prior | $\beta$ | SD $\tau$ of Random Slope | Response SD $\sigma$ |
| :---: | :---: | :---: | :---: |
| $\sigma, \tau \sim t(3,0,2.5)$ |  |  |  |
| $\sigma, \tau \sim t(3,0,10)$ |  |  |  |
| $\sigma, \tau \sim \mathcal{N}(0,1)$ |  |  |  |
| $\sigma, \tau \sim t(3,0,0.5)$ |  |  |  |
| $\sigma, \tau \sim t(3,0,0.1)$ |  |  |  |

Figure S10: Impact of the prior for the variance terms on the posterior in the Bayesian mixed-effects analysis predicting attested subject-object position congruence from average congruence along the Pareto frontier. The first line corresponds to the prior used in our analysis; the other priors differ in the degree to which they regularize towards 0 , from mild regularization (top) to very strong regularization (bottom). We write $t(v, \mu, \sigma)$ for the Student's $t$ distribution with $v$ degrees of freedom, location $\mu$, and scale $\sigma$. For each prior, we show the posterior of the coefficient $\beta$ (the quantity of interest), the standard deviation of the slope across families, and the standard deviation of the Gaussian response. While changing the prior affects the estimated posterior of the slope variance across families, it has little effect on the estimate of $\beta$. This shows that the estimate of $\beta$ is not impacted by a possible inflation of the variance components linked to the large number of isolated languages.
$\sigma=2.5)$ for the standard deviations of the residuals and the random effects, and an $\mathrm{LKJ}(1)$ prior [50] for the correlation matrix of random effects.

## S16.1 Insensitivity to Priors

A potential concern is that, because our dataset includes many families represented by only one or a few languages, the mixed-effects model might suffer from inflated estimates of the variance components, as the slopes cannot be individually estimated for those families.

We repeated the analysis predicting attested subject-object position congruence from optimized subject-object position congruence with several more strongly regularizing priors on the variance components.

In Figure S10, we plot how the posteriors for $\beta$, the standard deviation $\tau$ of the per-family adjustments $\beta_{f}$, and the response standard deviation $\sigma$ vary as a function of the prior. The priors for the fixed effect coefficient $\beta(N(0,1))$ and the intercept $(N(0.5,1))$ are as in the main analysis. Results show that, while more strongly regularizing priors shrink the estimated range of $\tau$, they have limited impact on the posterior of the key quantity, $\beta$. Even an unrealistic extremely regularizing prior $t(3,0,0.1)$ does not change the posterior of $\beta$ much.


Figure S11: Attested and optimized subject-object position congruence (compare Figure 3 in the main paper), with language names, colored by the 17 families represented in the dataset. Compare Figure $\$ 12$ for results from joint analysis in higher resolution.

## S17 Further Visualizations for Coadaptation

See Figures S11 S13 for versions of Figure 4 in the main paper with language names.
We further investigated the robustness of the correlation between attested and average optimized subject-object position congruence to possible outliers. Correlations, in particular Pearson correlations, are vulnerable to outliers and points of high leverage. In order the evaluate whether this impacted the results, we considered all subsets of $\leq 3$ languages, and recomputed the correlation when excluding this subset. The correlation was in the range $[0.42,0.61]$ for all such subsets. This suggests that the correlation is not inflated due to individual points of high leverage.


Figure S12: Attested and optimized subject-object position congruence (compare Figure 3 in the main paper), with language names, colored by the 17 families represented in the dataset. Compare Figure S 11 for results optimizing only for DL or IL.


Figure S13: Attested and optimized subject-object position congruence (compare Figure 3 in the main paper), excluding the Indo-European languages. Compare Figure $\mathbf{S 1 2}$ for results on all 80 langiages.

## S18 Per-Family Results and Fitted Slopes

Figure S 14 shows results across the 17 families, including the six ones represented by at least two languages, for the analysis of optimized and attested subject-object position congruence. In Figure S15, we show the fitted slope $\beta+\beta_{f}$ (fixed effects slope $\beta$ plus per-family adjustment $\beta_{f}$ ) for each family that has at least two languages.

We note that, while smaller families do not provide sufficient evidence for a positive relationship on their own, estimating the overall slope in a mixed-effects analysis does not require independent estimates of the slopes in each family. Instead, the mixed-effects regression obtains its slope estimate by combining (i) the data across isolates and smaller families, and (ii) the slope within the well-represented Indo-European family. Thus, for the purposes of the mixed-effects analyses, the presence of many families, even isolates and sparsely represented ones, can provide an advantage, because it increases the amount of statistical independence in the dataset.


Figure S14: A: Fit of the mixed-effects model across the 6 families represented by at least two languages in the Universal Dependencies dataset. We show the overall slope fitted by the mixed-effects analysis across the 80 languages as a dashed line, and the per-family adjusted slope as a solid line. In both cases, we use the posterior mean of intercepts and slopes. Note that, for less well represented families, the model has substantial uncertainty about the slope, not well represented by the point estimates, and even in families with seemingly divergent slope, the data are statistically consistent with the slope being in fact the same across families, see Figure S15. B: Residuals by family tend to be centered around zero. C: Means across all languages within each family. This illustrates that the per-family means also exhibit a positive correlation: That is, a positive correlation is supported both across families, and within the larger families individually.


Figure S15: Posterior Densities (scaled so all are bounded by 1) for the slope in the linear mixed-effects regression in the six families with at least two languages. For poorly represented families, the posterior is wider. Nonetheless, across families, the model assigns almost all of the posterior probability mass to a positive sign, except in Sino-Tibetan, where the dependent variable has almost no variance. While the posterior mode differs between the families, the posterior is always well compatible with the overall estimated $\beta$, indicated by a solid vertical line.

## S19 Detailed Results for Figure 3 in Main Paper

See Figure S17 for results per word order category, including less frequent categories "VSO" and "No dominant order".


Colors denoting Subject-Object Position Congruence:
0
0.5

1

Figure S16: Position of the 80 languages in the efficiency plane with all language names. Compare Figure 3 in the main paper.


Colors denoting Subject-Object Position Congruence:

| 0 | 0.5 | 1 |
| :--- | :--- | :--- |

Figure S17: Position of languages in the efficiency plane spanned by IL and DL, per word order category. Compare Figure 3 in the main paper.


Colors denoting Subject-Object Position Congruence:
$\begin{array}{lll}0 & 0.5 & 1\end{array}$

Figure S18: Results using raw counts for grammars optimized only for IL (center), only DL (right), and the average of the two counts (left). Results are very similar to those obtained using smoothed counts along the interpolated Pareto frontier, but do not depend on the method used to interpolate along the frontier.

## S20 Results using Raw Counts

Here, we show that results concerning co-adaptation do not depend on the choice of a specific method for interpolating the Pareto frontier, or for interpolating position congruence along it. Figure S 18 shows results corresponding to Figure 4 in the main paper, but representing the average optimized subject-object position congruence directly in terms of the average over optimized grammars, instead of smoothed values along the interpolated frontier. Results closely mirror those reported in the main paper.

|  | verb wrote wrela | with... object letters | Real | Optimized for IL | Optimized for IL+DL |
| :---: | :---: | :---: | :---: | :---: | :---: |
| (1) | \|adposition to | noun phrase a friend | 1 | $\Lambda$ | 11 |
| (2) | copula <br> is | noun phrase a friend | I | 1 | 11 |
| (3) | auxiliary has | verb phrase written | 1 | 1 | - 1 |
| (4) | noun friend | genitive <br> of John | $11$ | 1 | -1 |
| (5) | noun books | relative clause <br> that you read | $1$ | 1 | - |
| (6) | complementizer that | sentence she has arrived | 1 | 1 | 11 |
| (7) | verb went | adp. phrase to school | \| | ! | -1 |
| (8) | want wants | verb phrase to leave | 1 | 1 | - ل |

Figure S19: Optimizing for Information Locality predicts the Greenberg correlations. Following Dryer [87], each correlation defines a pair of syntactic elements whose ordering is correlated with the relative order of object and verb; for instance, languages that place the object after the verb ("wrote letters") tend to place adpositions before the noun phrase ("to a friend"); languages that place the object after the verb (letters - wrote, Japanese) tend to place adpositions after the noun phrase (friend - to). For each correlation, we provide its prevalence (between $0 \%$ and $100 \%$ ) among actual grammars of languages represented in Universal Dependencies (left, from Hahn et al. [27]), and the posterior distribution of the prevalence among grammars optimized for IL and DL, obtained from a mixed-effects analysis with bylanguage and by-family random effects (as in the analysis of Hahn et al. [27], but using the 80 languages from our sample used here). Optimization predicts all eight correlations to hold in the majority of grammars, matching the distribution observed in real languages.

## S21 Comparison to Greenberg's Correlations

Here, we show that Greenberg's correlation universals [88, 87] arise from both IL and DL individually. Prior work has argued, using theoretical arguments, that these universals arise from optimizing DL [89, 90, 91]. This was confirmed by Hahn et al. [27, SI Appendix, Table S15] using word order grammars optimized for DL on 51 UD languages ${ }^{10}$ Here, we show that IL (and IL+DL) also predict these universals. Figure $\$ 19$ shows the eight correlations as formalized in the Universal Dependencies format by Hahn et al. [27]. Results show that optimization for IL and IL+DL each predicts all of the correlations to hold in the majority of optimized grammars. This shows that, unlike in basic word order, the predictions of IL and DL converge on the Greenberg correlation universals, and explains why these

[^7]tend to hold across languages, whereas basic word order is much more variable.
Hahn et al. [27] further argued that the Greenberg correlation universals can be derived from a principle of communicative efficiency closely related to efficiency principles that have found success in other domains of language [e.g. 95, 96, 97, 98, 99], balancing predictability with parseability, noting that optimizing communicative effiency also leads to efficiency in DL. We believe that communicative efficiency might be seen best as a possible justification of DL rather than being an orthogonal pressure. Evaluating the grammars optimized by Hahn et al. [27] for communicative efficiency on 51 languages, we found that they exhibit evidence for coadaptation, but overpredict SVO in a way very similar to grammars optimized solely for DL.

## S22 Raw and Interpolated Efficiency Plane per Language

Here, we report per-language results for the efficiency planes. For each language, we first plot both the set of grammar samples, including both randomly constructed baseline grammars, and approximately optimized grammars inhabiting the area close to the Pareto frontier. These are colored depending on their subject-object position congruence, which is either 0 (green) or 1 (yellow). The red dot denotes the position of the real observed orderings. Second, we plot the interpolated average subject-object position congruence throughout the entire efficiency plane, the interpolated approximate Pareto frontier, and the distribution of randomly generated baseline grammars.

Note that some languages are beyond the approximate Pareto frontier; this can happen both because the optimization algorithm is approximate, and because real orderings are not subject to the same representational constraints as the grammars, enabling them to potentially be more efficient than is possible in the grammar formalism (see Section S28).

| Language | ISO Code | Samples | Interpolated |
| :---: | :---: | :---: | :---: |
| Afrikaans | afr |  |  |
| Akkadian | akk |  |  |
| Amharic | amh |  |  |
| Ancient Greek | grc |  |  |
| Arabic | arb |  |  |
| Armenian | hye |  |  |
| Bambara | bam |  |  |
| Basque | eus |  |  |
| Belarusian | bel |  |  |

Coptic cop

## Breton

## Bulgarian

## Buryat

Cantonese

## Catalan

Chinese

Croatian

## Czech

Dutch

English
Danish


Czech ces
dan
(

| Indonesian | ind |  |  |
| :---: | :---: | :---: | :---: |
| Irish | gle |  |  |
| Italian | ita |  |  |
| Japanese | jpn |  |  |
| Kazakh | kaz |  |  |
| Kiche | quc |  |  |
| Komi Zyrian | kpv |  |  |
| Korean | kor |  |  |
| Kurmanji | kmr |  |  |
| Latin | lat |  |  |
| Latvian | lav |  |  |
| Lithuanian | lit |  |  |
| Maltese | mlt |  |  |

Manx

## Mbya Guarani

Naija

North Sami

Norwegian

Old Church Slavonic

Old East Slavic

Old French
Persian

Polish

Portuguese

Russian
Portuguese por

Romanian
ron
rus

fro




## S23 Neural Network Estimates of Information Locality

Here, we compare our formalization and estimation method for information locality to the method used by Hahn et al. [9], which is based on neural language models, estimating the next-word predictive distribution using LSTM recurrent neural networks [100]. Compared to the method used here, the use of recurrent neural networks can potentially result in better modeling of longer-range statistical relationships, and we asked whether this impacts our estimates of IL. Running the estimation method used by Hahn et al. [9] on all 80 languages was not feasible due to the high computational cost of neural network estimators ${ }^{11}$ We thus selected twelve languages representing typological, genetic, and geographic diversity within the bounds afforded by the UD data, and particularly where the Pareto frontier shows variability in subject-object position congruence:

1. Arabic (VSO, Afro-Asiatic, Asia/Africa)
2. Basque (SOV, isolate, European)
3. Chinese (SVO, Sino-Tibetan, Asia)
4. English (SVO, Indo-European, European)
5. Finnish (SVO, Uralic, European)
6. Hindi (SOV, Indo-European, Asia)
7. Indonesian (SVO, Austronesian, Asia)
8. Persian (SOV, Indo-European, Asia)
9. Polish (SVO, Indo-European, Europe)
10. Thai (SVO, Tai-Kadai, Asia)
11. Turkish (SOV, Turkic, Asia)
12. Wolof (SVO, Niger-Congo, Africa)

We used the neural network-based method of Hahn et al. [9] to compute both $I_{1}$ and the AUC measure they used to quantify IL (see Section S1), for the approximately optimized grammars, the real orderings, and for $\geq 30$ randomly constructed baseline grammars per language. ${ }^{12}$ For the LSTM network, we used the hyperparameters that they had determined for each of the 12 languages to minimize surprisal on random baseline grammars.

Here, we show for each of the twelve languages the efficiency plane, with IL represented by (i) $I_{1}$ as computed by our method (Section S1.2), (ii) $I_{1}$ as computed using neural language models, and (iii) the AUC measure also computed using neural language models. For comparability across the three methods, we normalize DL and IL as in the main paper. Results are very similar, in the shape of the Pareto frontier, in the position of the real ordering, and in the distribution of subject-object position congruence throughout the efficiency plane.

[^8]

## S24 Historical Changes

Below, we show efficiency planes for all languages that are attested in our dataset at multiple points in time ${ }^{13}$ For comparability, we normalize DL and IL as in the main paper.

For each such language, we show the trajectory of subject-object position congruence (left), and the efficiency planes over time (right). In three cases of closely related languages with essentially identical subject-object position congrunece values, we plot those together (Sinitic, Hindi/Urdu, East Slavic).

In one case (Icelandic), data is available continuously across a word order change (compare Section S25; for the others, it is available at two or more points in time.

In terms of word order changes, there are multiple cases of changes towards SVO (lower subjectobject position congruence; English, Romance, Icelandic), and also cases with only limited change (Sinitic, Greek, Hindi/Urdu, East and East South Slavic). In terms of efficiency, languages have moved closely along the frontier (e.g. English, Icelandic) or towards the frontier (e.g., Romance). Languages with change towards SVO (English, Romance, Icelandic) correspondingly exhibit an increase of SVOlike orderings (dark blue) along the Pareto frontier (compare Figure S20).

|  | $+900$ <br> Old English |  |  |
| :---: | :---: | :---: | :---: |
| Romance |  | $+1200$ <br> Old French |  |
|  |  | $+1400$ <br> Medieval Spanish $+1400$ | +2000 Spanish +2000 |
|  |  | Medieval Portuguese | Portuguese |
| Icelandic | 1100-1600 <br> Before change | 1600-1900 <br> During change | 1900-2000 <br> After change |

[^9]| Sinitic | -300 <br> Classical Chinese |  | $+2000$ <br> Cantonese |  |
| :---: | :---: | :---: | :---: | :---: |
| Greek | -700 (poetry) Archaic Greek ${ }^{14}$ | $-600--350$ <br> Classical Greek | $-350-+200$ <br> Koine Greek | +2000 <br> Greek |
| Hindi/Urdu | $-700$ <br> Sanskrit |  |  |  |
| East Slavic | $+1200$ <br> Old East Slavic |  | $+2000$ <br> Belarusian | $+2000$ <br> Ukrainian |
| East South Slavic |  | $+2000$ <br> Bulgarian |  |  |

[^10]

Figure S20: Historical trajectories of attested and average optimized subject-object position congruence. Faint contours describe the stationary distribution identified by the phylogenetic model. See Section S24.

## S25 Other Historical Datasets

In Figure S21, we provide details on the historical datasets obtained from outside the Universal Dependencies Project, and by splitting UD corpora into multiple epochs. We plot per-dataset results as in Section S22.

Periodization of Ancient Greek As shown in Figure S21, we split Ancient Greek into three conventional phases: Archaic Greek (covering data from Homer and Hesiod, about 700BC), Classical Greek ( $\approx 600-350 \mathrm{BC}$, represented e.g. by Herodotus and Sophocles, both $\approx 450 \mathrm{BC}$ ), and Koine Greek ( $\approx$ 350 BC-200 AD, represented e.g. by the New Testament and Diodorus Siculus).

Periodization of Icelandic In Icelandic, continuous corpus data is available from the 12 th century onwards [103]. While the grammatical structure of Icelandic remained largely constant during this time, Icelandic witnessed a word order change where previously common OV order became much rarer. Hróarsdóttir [102, p. 59] states "OV word order seems to have been as frequent as VO word order in texts until the seventeenth century, but the frequency of OV-orders drops $30-40 \%$ in texts dating from the seventeenth and eighteenth centuries. In the nineteenth century texts studied, the frequency of OV word order has dropped to an average of $24.8 \%$." Figure $\$ 22$ shows the trajectory of attested subject-object position congruence, binning all texts in the dataset by half-centuries (e.g., 1200-1250, 1250-1300, etc.). Subject-object position congruence appears to drop in the 17 th century, and only reaches its current low level in the 20th century. We thus grouped the data into three periods, 1100-1600, 1600-1900, 1900-today. The first period largely coincides with the conventional period of Old Icelandic, which is conventionally taken to have ended about 1540 with the translation of the New Testament [104].

| Old English (ISWOC, $\approx 900 \mathrm{AD}$ ) |  |
| :---: | :---: |
| Medieval Spanish (ISWOC, $\approx 1400$ AD) | $\cdots$ |
| Medieval Portuguese (ISWOC, $\approx 1400 \mathrm{AD}$ ) |  |
| Icelandic, 1100-1600 (before word order change) | (1) |
| Icelandic, 1600-1900 (during word order change) | $\cdots$ |
| Icelandic, 1900-2020 (after word order change) |  |
| Archaic Greek (poetry, Homer and Hesiod, $\approx 700 \mathrm{BC}$ ) |  |
| Classical Greek (600-350 BC) |  |
| Koine Greek ( 350 BC-200 AD) |  |

Figure S21: Additional historical corpora, in other dependency grammar formalisms, or obtained by splitting UD treebanks into distinct epochs. First, we considered the treebanks in the ISWOC collection [101], covering Old English, Medieval Spanish, and Medieval Portuguese. These corpora are annotated in a dependendency grammar format, though with differences from the Universal Dependencies formalism. Second, we split the Icelandic data, which spans almost a millenium, into three phases. We conducted the split based on a documented word-order change, whereby SOV was partly replaced by SVO order, between the 16th and 19th centuries [102] (see text for details). Finally, we split the Ancient Greek data, based on three conventional phases. What stands out is that Archaic Greek appears highly efficient on IL, in contrast both to later forms of Ancient Greek and related early Indo-European languages. We attribute this to the fact that the Archaic Greek subset consists entirely of poetry. The presence of meter might increase local predictability, though we leave an investigation of possible interactions of information locality and meter to future research.


Figure S22: Attested subject-object position congruence in Icelandic. The vertical bars denote the boundaries between the three periods for which we compute the Pareto frontier. See text (Section S25) for details.

| Language | Number of Sentences |
| :--- | ---: |
| English SWBD | 110,504 |
| French-Spoken | 2,789 |
| Norwegian-NynorskLIA | 5,250 |
| Slovenian-SST | 3,188 |
| TuebaJS (Japanese) | 17,753 |

Table S14: Corpus sizes for spoken corpora.

## S26 Role of Modality

Here, we consider the effect of text modality on usage patterns. Most corpora in the Universal Dependencies collection reflect written text. We identified six datasets of spoken languages in the Universal Dependencies format or other dependency grammar formalisms. The Naija corpus consists entirely of spoken text. For Slovenian, French, and Norwegian, there are sub-corpora reflecting spoken text. We further considered the Tueba J/S corpus of spontenous dialogue in Japanese [105] For English, we used an automated conversion [106] of the Switchboard section of the Penn Treebank [107] to Universal Dependencies. Corpus sizes are shown in Table $\$ 14$

We compare attested and average optimized subject-object position congruence on these six datasets in Figure S23, Results confirm that usage patterns as observed in spoken corpora also support the proposal of coadaptation between usage patterns and word order.


Figure S23: Attested and average optimized subject-object position congruence for six datasets of spoken text. Attested and average optimized congruence are correlated ( $R=0.96, p=0.002 ; \rho=0.94$, $p=0.02$ ).


Figure S24: Comparing attested and average optimized subject-object position congruence in subsampled subsets ( $1 \mathrm{~K}, 2 \mathrm{~K}, 5 \mathrm{~K}$ sentences), in 16 languages.

## S27 Effects of Corpus Size

The datasets available for different langusages differ substantially in their sizes. While some languages have tens of thousands of sentences available, corpora for other languages are substantially smaller. This raises the question whether estimates of the efficiency plane provide sufficiently reliable signal when corpora are small. To evaluate this, we selected 8 langages with very high subject-object position congruence, and 8 languages with very low subject-object position congruence. For each language, we randomly selected subsets of $1,000,2,000$, and of 5,000 sentences, and estimated average optimized subject-object position congruence along the Pareto frontier. Results are shown in Figure S24. Results suggest that estimates at 1,000 sentences may be noisier, but they are nonetheless highly correlated with estimates at 5,000 sentences ( $R=0.89, p<0.00001$ ). In order to account for errors due to finiteness of corpora, we also considered a version of the Ornstein-Uhlenbeck process incorporating measurement noise, finding that it continues to support our conclusions, and in fact estimates stronger correlations than our main analysis (Section S8).

## S28 Comparison to Fitted Grammars

Here, we compare to results obtained when representing the grammar of real languages using ordering grammars subject to the same representational constraints as the baseline and approximately optimized grammars, in order to assess the role of word order flexibility beyond the constraints of the ordering grammar formalism in efficiency optimization. For each language, we used the hill-climbing method also used for optimizing grammars for efficiency to find a grammar which fits the observed orderings, in the sense that it maximizes the fraction of pairs of dependents of the same head that are ordered in the same order as in the attested order. We then evaluated these for DL and IL. Results are shown in Figure 525


Figure S25: Comparing efficiency of the attested orderings with ordering grammars fitted to the attested orderings for each language. The fitted grammars are subject to exactly the same representational constraints as the baseline and approximately optimized grammars; in particular, they are a deterministic fucntion of the sentences and the syntactic relations between them. Similar to the observed orderings, fitted orderings tend to be more efficient than the baseline orderings, inhabiting the region between the baselines and the Pareto frontier. Note that, due to their design, the subject-object position congruence of fitted grammars is either 0 or 1 . Observed orderings tend to be even more efficient than fitted grammars, suggesting that human languages use word order flexibility to achieve higher efficiency.

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[^0]:    ${ }^{1} H\left[X_{t}\right]-H\left[X_{t} \mid \ldots, X_{t-2}, X_{t-1}\right]=I\left[X_{t}:\left(\ldots, X_{t-2}, X_{t-1}\right)\right]$, which is $\sum_{t=1}^{\infty} I_{t}$ by the chain rule of mutual information.

[^1]:    ${ }^{2}$ While this method is also applicable to optimizing mutual information (IL), it does not offer an efficiency advantage over the hill-climbing method there.

[^2]:    ${ }^{3}$ Tree obtained with https://icytree.org/.

[^3]:    ${ }^{4}$ If $\Gamma$ is not diagonal, and $\xi$ has two dimensions:

    $$
    \left(\begin{array}{l}
    \Omega_{11}  \tag{18}\\
    \Omega_{12} \\
    \Omega_{22}
    \end{array}\right)=\left(\begin{array}{ccc}
    2 \Gamma_{11} & 2 \Gamma_{12} & 0 \\
    \Gamma_{21} & \Gamma_{11}+\Gamma_{22} & \Gamma_{12} \\
    0 & 2 \Gamma_{21} & 2 \Gamma_{22}
    \end{array}\right)^{-1}\left(\begin{array}{c}
    \Sigma_{11} \\
    \Sigma_{12} \\
    \Sigma_{22}
    \end{array}\right)
    $$

[^4]:    ${ }^{6} \mathrm{As} \lim _{\Delta \rightarrow \infty} e^{-\Delta B}=0$, this is practically equivalent to assuming a very large time-depth of the last common ancestor, which would be the case under the assumption of macrofamilies with very large time depth.

[^5]:    ${ }^{7}$ In terms of implementation, this leads to the addition of a diagonal matrix $\operatorname{diag}\left(\left[\sigma_{1}, \ldots, \sigma_{4}\right]\right)$ to $\operatorname{Cov}\left(\xi_{L}, \xi_{L}\right)$, and does not affect the other terms of the covariance.
    ${ }^{8}$ The marginal log-likelihood for a model applied to optimized and attested subject-object position congruence without noise is -94 ; the analogous model with noise has a less favorable marginal likelihood of -96.

[^6]:    ${ }^{9}$ The maximum possible $T_{0}$ can be no later than Proto-Afroasiatic, which we calibrated at $10,000 \mathrm{BC}$ (see Section S6.2).

[^7]:    ${ }^{10}$ We note that the predictions of DL for three of the correlations $(1,2,6)$ are affected by specific properties of the Universal Dependencies format that deviate from the psycholinguistic theories underlying DL [1] 3] and from some other syntactic theories [92, 93]. Hahn et al. [27] followed Futrell et al. [94] in measuring dependency length in terms of a converted representation closer to those other theories; such a representation format is necessary to derive correlations $1,2,6$ from DL [89, 90, 91]. In contrast, IL predicts Greenberg's correlations irrespective of these modeling assumptions, as it does not directly refer to syntactic structures.

[^8]:    ${ }^{11}$ Hahn et al. [9] estimated information locality for $10-20$ grammars in 54 languages. In contrast, we have $\approx 150$ approximately optimized grammars for each of 80 languages.
    ${ }^{12}$ The baselines are different from those used in the other studies, as we had not recorded the baseline grammars, only their IL/DL values.

[^9]:    ${ }^{13}$ For space and clarity, we only those descendants of Latin with an intermediate attested stage (French, Spanish, Portuguese). Trajectories for languages without data for intermediate stages (Italian, Catalan, Galician, Romanian) are similar; compare Figure S 20

[^10]:    ${ }^{14}$ This corpus consists of poetry (Homer and Hesiod), potentially explaining the high efficiency in IL compared both to later stages, and to typologically similar ancient Indo-European languages like Latin and Sanskrit. See also caption of Figure S21

