# NON-LINEAR DIMENSIONALITY REDUCTION USING NEURAL NETWORKS

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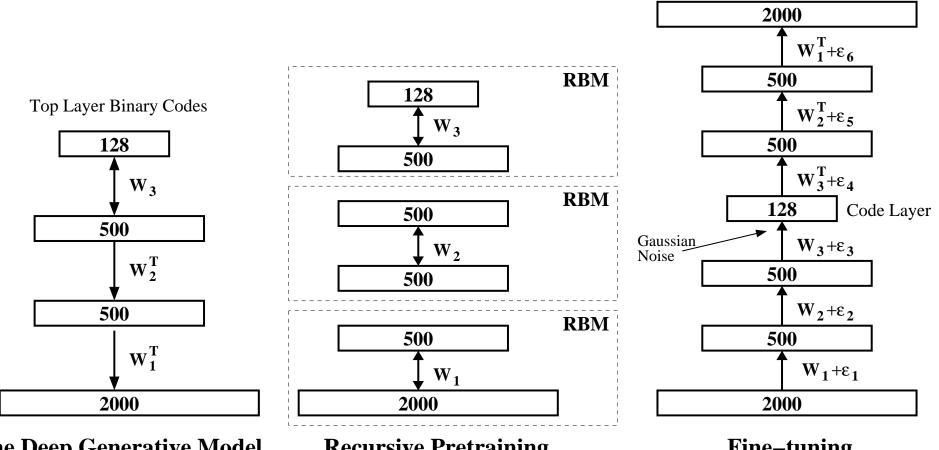
# Overview

- Document Retrieval
  - Present layer-by-layer pretraining and the fine-tuning of the multi-layer network that discovers *binary* codes in the top layer. This allows us to significantly speed-up retrieval time.
  - We also show how we can use our model to allow retrieval in constant time (a time independent of the number of documents).
- Show how to perform nonlinear embedding by preserving class neighbouthood structure (supervised, semi-supervised).

# **Motivation**

- For the document retrieval tasks, we want to retrieve a small set of documents, relevant to the given query.
- Popular and widely used in practice text retrieval algorithm is based on TF-IDF (term frequency / inverse document frequency) word-weighting heuristic.
- Drawbacks: it computes document similarity directly in the word-count space, and it does not capture high-order correlations between words in a document.
- We want to extract semantic structure "topics" from documents. Latent Semantic Analysis is a simple and widely-used linear method.
- A network with multiple hidden layers and with many more parameters should be able to discover latent representations that work much better for retrieval.

# Model



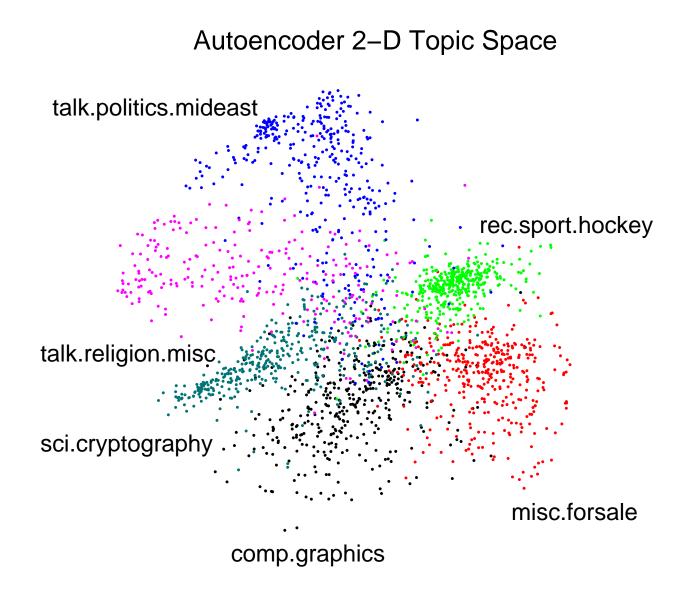
**The Deep Generative Model** 

**Recursive Pretraining** 

**Fine-tuning** 

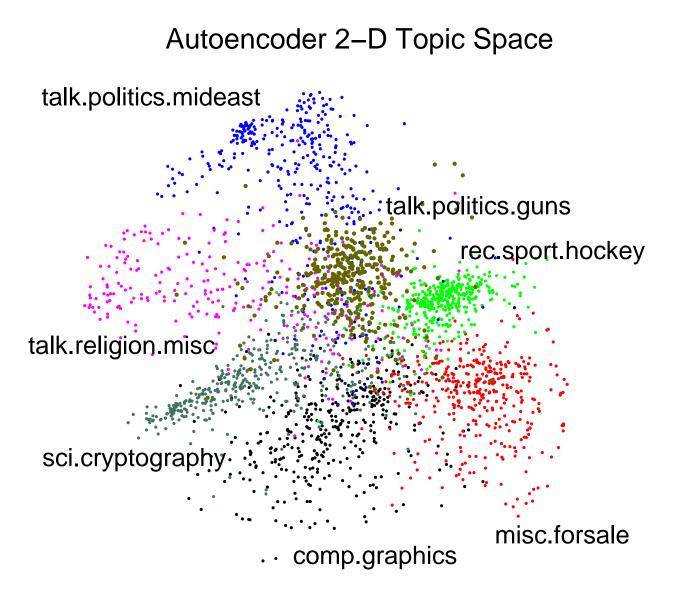
#### **Document Retrieval: 20 newsgroup corpus**

• Where should talk.politics.guns go?

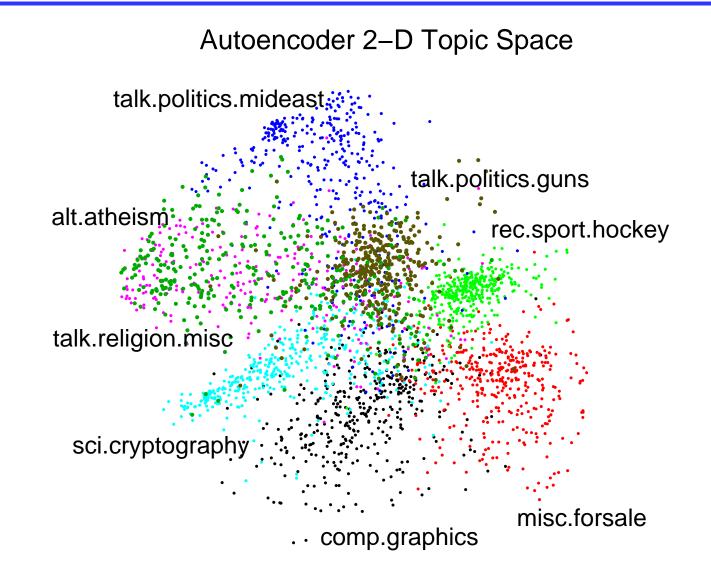


#### **Document Retrieval: 20 newsgroup corpus**

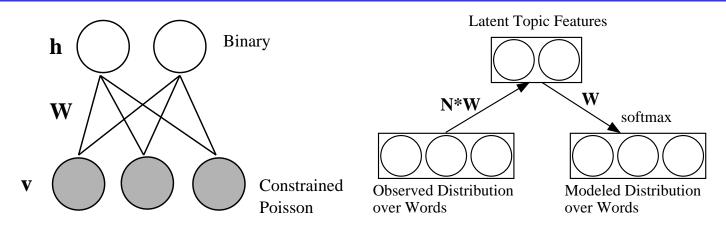
• Where should alt.atheism go?



#### **Document Retrieval: 20 newsgroup corpus**



# **Constrained Poisson Model**



- Hidden units are binary and the visible word counts are modeled by constrained Poisson model.
- Conditional distributions over hidden and visible units are:

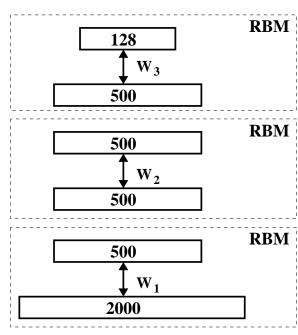
$$p(h_j = 1 | \mathbf{v}) = \frac{1}{1 + \exp(-b_j - \sum_i w_{ij} v_i)}$$
$$p(v_i = n | \mathbf{h}) = \operatorname{Poisson}\left(\frac{\exp(b_i + \sum_j h_j w_{ij})}{Z} \times N\right)$$

where N is the total length of the document and

$$Z = \sum_{i} \exp\left(b_i + \sum_{j} h_j w_{ij}\right)$$

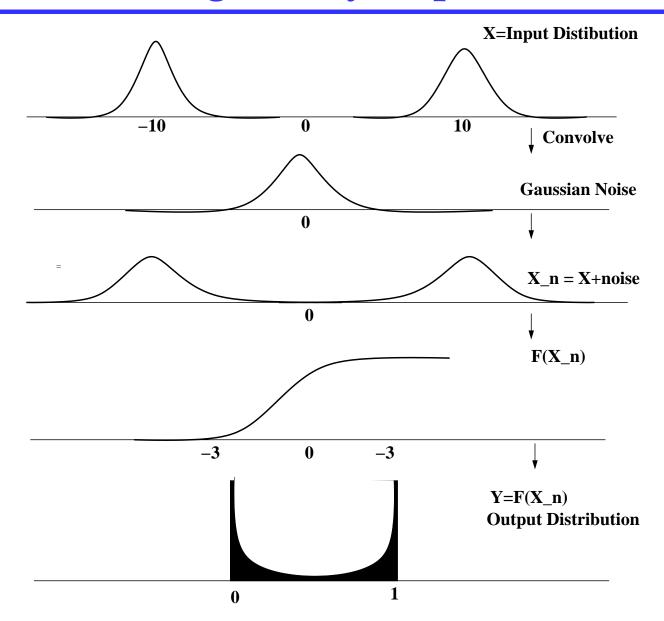
# **Learning Multiple Layers - Pretraining**

- A single layer of binary features generally cannot perfectly model the structure in the data.
- Perform greedy, layer-by-layer learning:
  - Learn and Freeze  $W_1$  using Constrained Poisson Model.
  - Treat the existing feature detectors, driven by training data,  $W_1^T V$  as if they were data.
  - Learn and Freeze  $W_2$ .
  - Proceed recursive greedy learning as many times as desired.
- Under certain conditions adding an extra layer always improves a lower bound on the log probability of data. (In our case, these conditions are violated)
- Each layer of features captures strong high-order correlations between the activities of units in the layer belows.



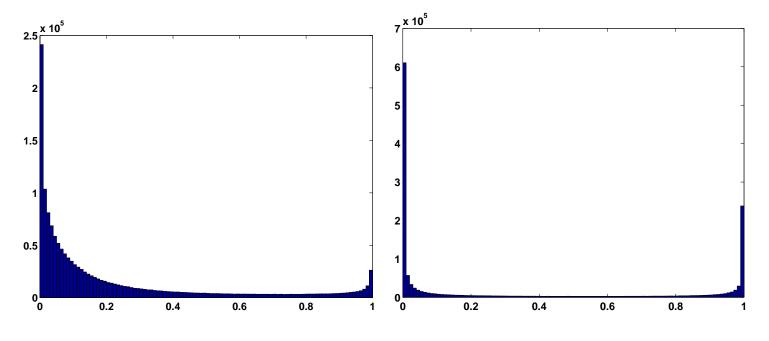
**Recursive Learning** 

# **Learning Binary Representations**



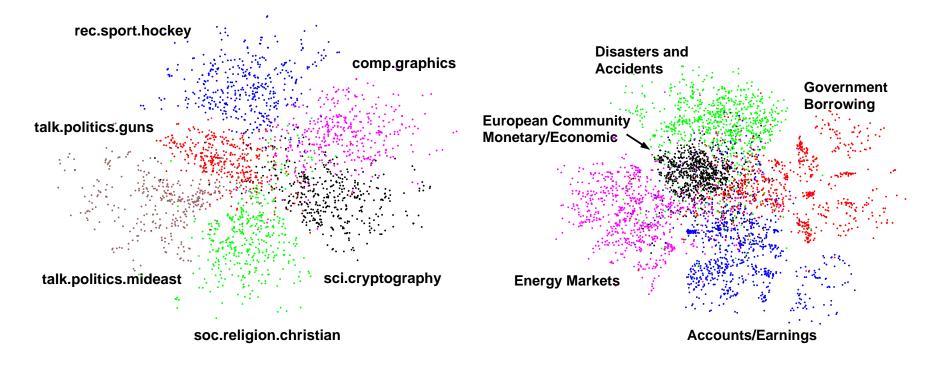
## **Document Retrieval: 20 Newsgroup Corpus**

- We use a 2000-500-500-128 autoencoder to convert a document into a 128-bit vector.
- We corrupted the input signal to the code-layer with Gaussian noise  $\sim \mathcal{N}(0, 16)$ .
- Empirical distributions of 128 code units before and after fine-tuning:



• After fine-tuning, we binarize codes at 0.1.

## **Learning Binary Representations**

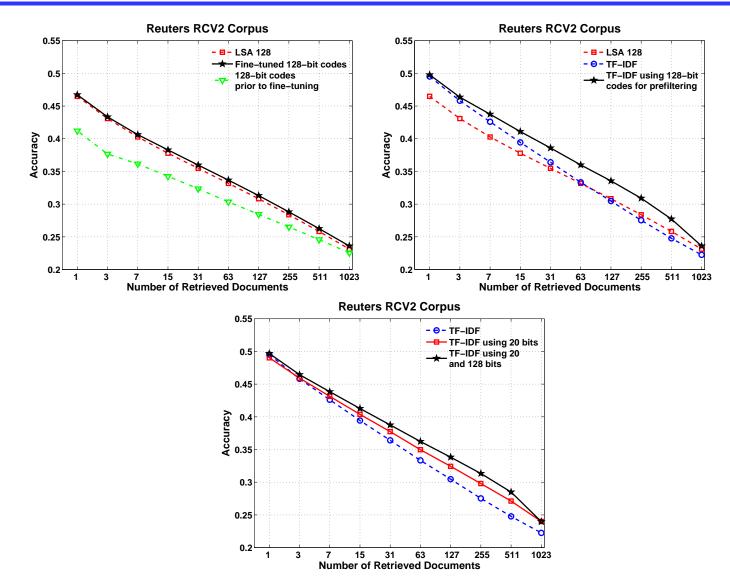


• 2-dimensional embedding of 128-bit codes using SNE for 20 Newsgroup data (left panel) and Reuters RCV2 corpus (right panel).

#### **Semantic Address Space**

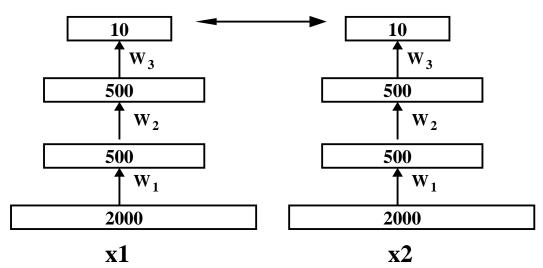
- We could instead learn how to convert a document into a 20-bit code.
- We have ultimate retrieval tool: Given a query document, compute its 20-bit address and retrieve all of the documents stored at similar addresses with no search at all.
- Essentially we could learn "semantic" hashing table.
- We could also retrieve similar documents by looking at a hamming-ball of radius, for example, 4.
- The retrieved documents could then be given to a slower but more precise retrieval method, such as TF-IDF.

#### **Results**



## Learning nonlinear embedding

- We tackle the problem of learning similarity measure or distance metric over the input space *X*
- Given a distance metric d (f.e. Euclidean) we can measure similarity between two input vectors  $x_1, x_2 \in X$  by computing  $d[f(x_1|W), f(x_2|W)]$ .
- f(x|W) is a function  $f: X \to Y$ , mapping input vectors in X to a feature space Y and is parametarized by W.



d[f(x1),f(x2)]

#### Learning nonlinear embedding

- Most of the previous algorithms studied the case when *d* is Euclidean measure and f(x|W) is a simple linear projection f(x-W)=Wx.
- The Euclidean distance is then the Mahalanobis distance  $d[f(x_1), f(x_2)] = (x_1 x_2)^T W^T W(x_1 x_2)$ . See Goldberger et. al. 2004, Globerson and Roweis 2005, Kilian et. al. 2005.
- We have a set of *N* training labeled data vectors  $(x_i, c_i)$ , where  $x_i \in R^d$ , and  $c_i \in 1, 2, ..., K$ .
- For each training vector  $x_i$ , define the probability that point *i* selects one of its neighbours *j* in the transformed space as:

$$p_{ij} = \frac{\exp\left(-d_{ij}\right)}{\sum_{k \neq i} \exp\left(-d_{ik}\right)}, \qquad p_{ii} = 0$$

where  $d_{ij} = || f(x_i|W) - f(x_j|W) ||^2$ , and  $f(\cdot|W)$  is a multi-layer perceptron.

#### Learning nonlinear embedding

• Probability that point *i* belongs to class *k* is:

$$p(c_i = k) = \sum_{j \mid c_j = k} p_{ij}$$

• Mazimize the expected number of correctly classified points on the training data:

NCA = 
$$\sum_{i=1}^{N} \sum_{j|c_i=c_j} p_{ij}$$

• One could alternatively minimize KL-divergence:

$$KL(p^{0}|p) = \sum_{i=1}^{N} \sum_{k=1}^{K} p_{ik}^{0} \log \frac{p_{ik}^{0}}{p(c_{i}=k)}$$

where

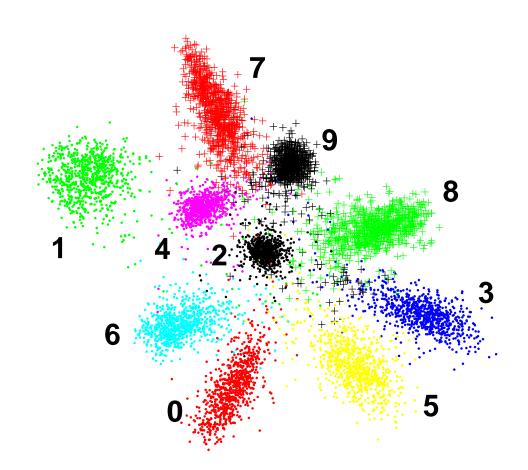
$$p_{ik}^0 = \begin{cases} 1 & \text{if } c_i = k\\ 0 & \text{if } c_i \neq k \end{cases}$$

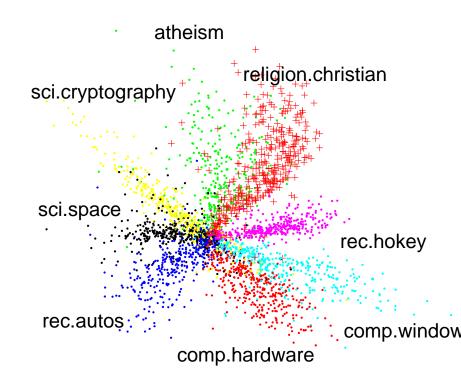
• This induces the following objective function to maximize:

$$NCA_2 = \sum_{i=1}^N \log\left(\sum_{j|c_i=c_j} p_{ij}\right)$$

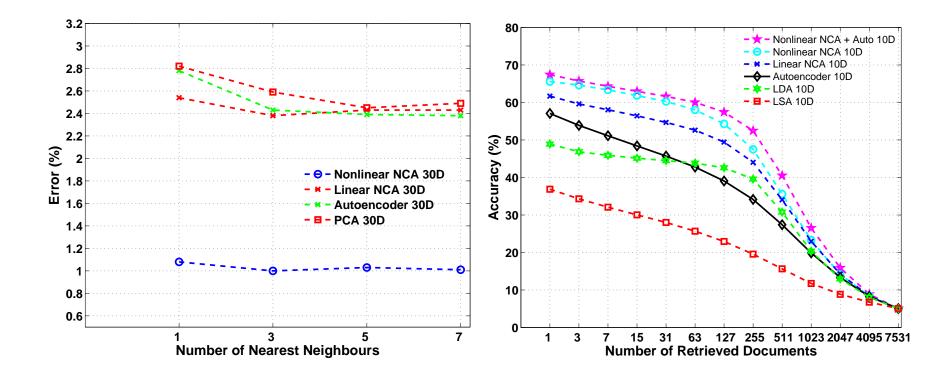
• By considering a linear perceptron we arrive to linear NCA.

#### **Results**

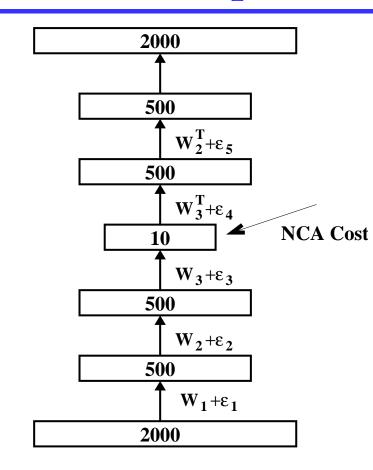




#### **Results**



#### **Semi-supervised Extention**



• The combined objective we maximize:

$$C = \lambda * \mathbf{NCA} + (1 - \lambda) * (-E)$$

• So the derivative of the reconstruction error E is backpropagated through the autoencoder and is combined with derivatives of NCA at the code level.

#### **Semi-supervised Extention**

