

# LOWER BOUNDS FOR NONDETERMINISTIC SEMANTIC READ-ONCE BRANCHING PROGRAMS

Stephen Cook, Jeff Edmonds, Venkatesh Medabalimi and  
Toniann Pitassi

July 13, 2016

LOWER BOUNDS  
FOR NONDETER-  
MINISTIC  
SEMANTIC  
READ-ONCE  
BRANCHING  
PROGRAMS

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BRANCHING  
PROGRAMS  
MOTIVATION

READ-ONCE  
OPEN

PRIOR WORK

D=3  
RESULT

PROOF  
OVERVIEW

RECTANGLES;  
(DENSE &  
SENSITIVE)  $\implies$   
 $\exists$  BALANCED  
RECTANGLE  
 $\exists$  POLYNOMIALS  
WHICH DO NOT  
ACCEPT ANY  
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# BRANCHING PROGRAMS

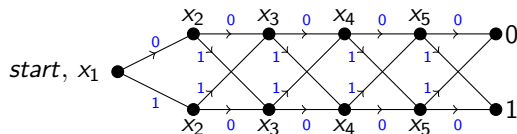
$$f(x_1, x_2, \dots, x_n) \rightarrow \{0, 1\}$$

$$x_i \in \{0, 1\}, \forall i \in [n]$$

## DEFINITION

Deterministic Branching program

- DAG with a source node and two sinks, 1-sink (for accept) and 0-sink (for reject).
- Each non-sink node is labeled by some  $x_i$ , outdegree 2 with an edge each for  $x_i = 0$  and  $x_i = 1$ .



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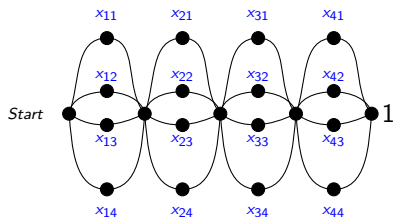
# NON-DET BRANCHING PROGRAMS

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## DEFINITION

Non-deterministic Branching program (NBP)

- allow unlabelled guessing nodes and arbitrary out-degree.



The size of a NBP = number of labelled nodes.

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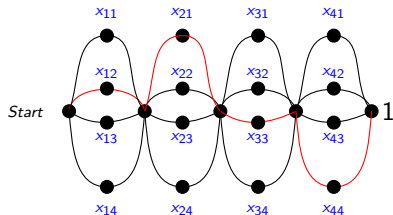
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# NBP COMPUTING $f : \{0, 1\}^n \rightarrow \{0, 1\}$



$f(u) = 1 \iff \exists$  a path from source to accept node that is consistent with input  $u$ .

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# PROGRAM SIZE AND SPACE COMPLEXITY OF COMPUTING F

- $BP(f_n) = \min_{B \in \text{NBP computing } f_n} \text{size}(B)$

- $S(f_n) = \min_{T \in \text{non-uniform NTMs computing } f_n} \text{space complexity}(T)$

- $\log(BP(f_n)) \approx S(f_n)$       **Cobham'66**

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- It is easy to show functions with high  $S(f_n)$  exist.

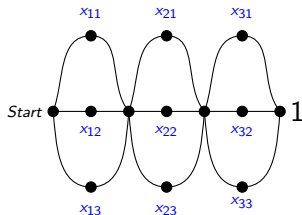
- It is easy to show functions with high  $S(f_n)$  exist.
- Can we show that some function in P requires exponential size NBP ?
  - amounts to showing  $NL \subset P$ .

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- Can we show that some function in P requires exponential size NBP ?
  - amounts to showing  $NL \subset P$ .
- we study the simplest restricted setting that is not well understood.

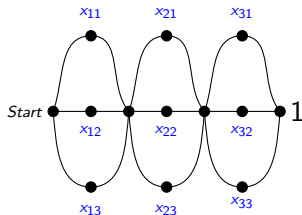


- *Syntactic* read once: Along **any path** from source to sink any variable appears atmost once.

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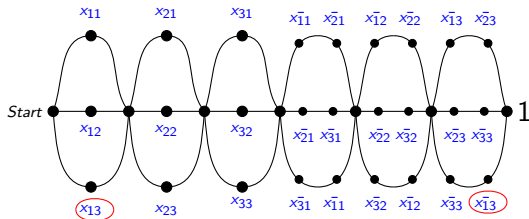


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- *Semantic* read once: Along **any consistent path** from source to sink no variable is read more than once.

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- *Semantic* read once: Along **any consistent path** from source to sink no variable is read more than once.

# SEPARATING SYNTACTIC FROM SEMANTIC READ ONCE

The *Exact Perfect matching* function ( $EPM_n$ ): accept a matrix iff it is a permutation matrix.

Jukna and Razborov '98 showed

## THEOREM

*Every syntactic read once NBP computing  $EPM_n$  must have size  $2^{\Omega(n)}$ .*

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## THEOREM (JUKNA)

$EPM_n$  can be solved by a semantic read once NBP of size  $O(n^3)$ .

$$\begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

# $EPM_n \in \text{SEMANTIC READ ONCE}$

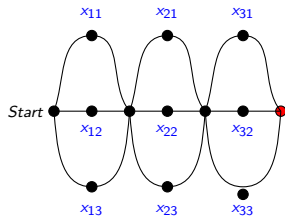
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$$\begin{bmatrix} 0 & 0 & \cancel{1} \\ \cancel{1} & 0 & 0 \\ 0 & \cancel{1} & 0 \end{bmatrix}$$



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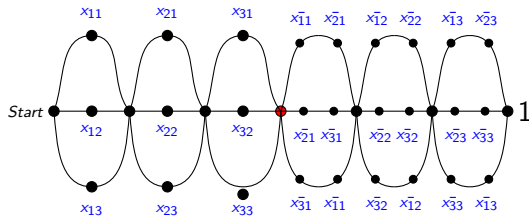
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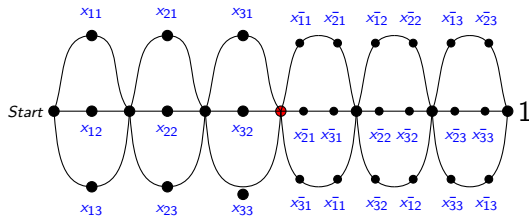
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Sees only 1s

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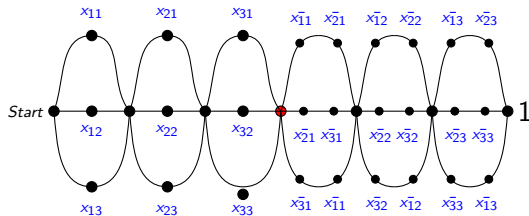
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Sees only 1s

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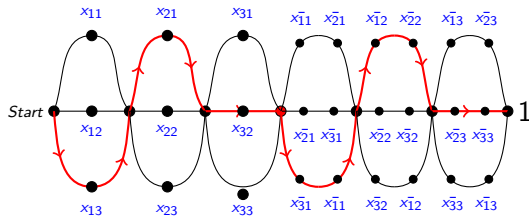
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Exponential lower bounds for  
semantic read once Boolean NBP  
are not yet known !

Exponential lower bounds for  
semantic read once **Ternary** NBPs.

$$D = \{0,1,2\}$$

# TIMES SPACE TRADEOFFS

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- $t \leq kn \implies s = 2^{\Omega(n)}$  **Jukna '09**
- implies a read once lower bound for semantic read once NBP but applicable for  $|D| > 2^{13}$ .
- Earlier results by **Ajtai '99** and **Beame, Jayram, Saks '01**

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# LOWER BOUND FOR D=3

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The hard function:  $u_0 + u_1x + u_2x^2 + \dots + u_dx^d$

## DEFINITION

$Poly_u(x)$

- $u \in [K]^{d+1}$  is the coefficient vector.
- $x \in \{0, 1, 2\}^n$  encodes an element in  $[K]$ .

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$$Poly_u(x) = \begin{cases} 1, & \text{if } u_0 + u_1x + u_2x^2 + \dots + u_dx^d < K^{1-\delta} \\ 0, & \text{otherwise} \end{cases}$$

## DEFINITION

$Poly_u(x)$

- $u \in [K]^{d+1}$  is the coefficient vector.
- $x \in \{0, 1, 2\}^n$  encodes an element in  $[K]$ .
- $\text{accept } x \iff Poly(u, x) < K^{1-\delta}$ .

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## THEOREM

*For sufficiently large  $n$ , there exists  $u$  such that any 3-ary nondeterministic semantic read-once branching program for  $Poly_u(x)$  requires size at least  $2^{\Omega(n)}$ .*

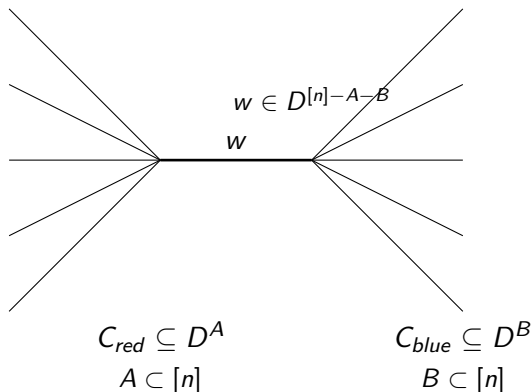
- A small BP for a *dense, sensitive*  $f$  (★)

$\implies$   $f$  accepts an  $r$  by  $r$  *balanced rectangle*.

- A small BP for a *dense, sensitive*  $f$   $(\star)$   $\implies$   $f$  accepts an  $r$  by  $r$  *balanced rectangle*.
- Polynomials are dense  $(\star)$  w.h.p
- $\exists$  many  $u$  for which  $Poly_u(x)$  doesn't accept any  $r$  by  $r$  balanced rectangle.

# EMBEDDED RECTANGLES

$$C_{red} \times \{w\} \times C_{blue} \subseteq [D]^n$$



$$\left\{ \begin{array}{l} 012210101 \\ 012010201 \\ 101012202 \end{array} \right\} \times \{10210001\} \times \left\{ \begin{array}{l} 012001012 \\ 201101002 \\ 110020120 \\ 210200120 \end{array} \right\}$$

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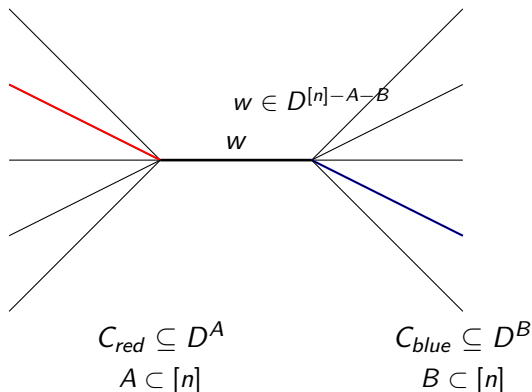
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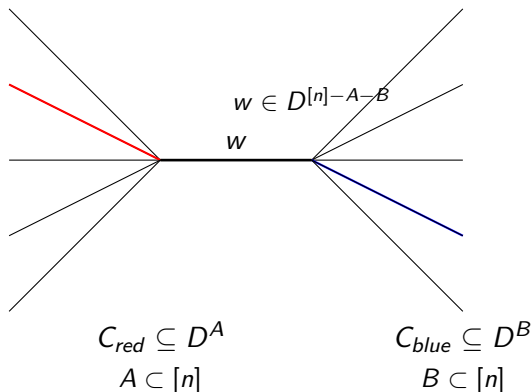
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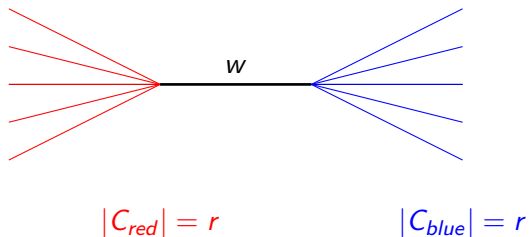
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# AN $r \times r$ BALANCED EMBEDDED RECTANGLE



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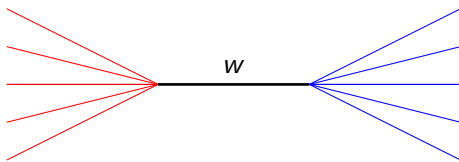
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# AN $r \times r$ BALANCED EMBEDDED RECTANGLE



$$|C_{red}| = r$$

$$|C_{blue}| = r$$

$$|C_{red} \times \{w\} \times C_{blue}| = r^2$$

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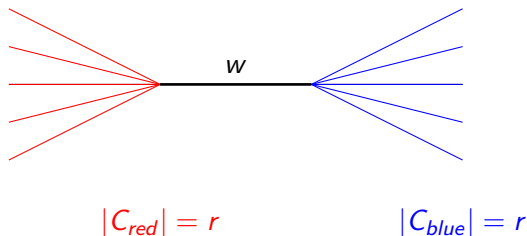
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# AN $r \times r$ BALANCED EMBEDDED RECTANGLE



$$|C_{red} \times \{w\} \times C_{blue}| = r^2$$

$\mathcal{R}_{m,r}$  be the set of all balanced embedded rectangles.

$$|\mathcal{R}_{m,r}| \approx |D|^{O(mr)}$$

LOWER BOUNDS  
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PROGRAMS

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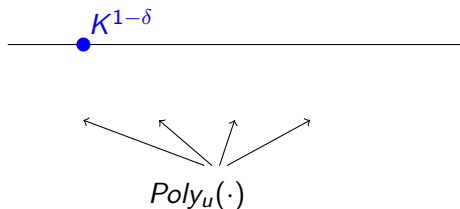
PRIOR WORK

D=3  
RESULT

PROOF  
OVERVIEW

RECTANGLES;  
(DENSE &  
SENSITIVE)  $\implies$   
 $\exists$  BALANCED  
RECTANGLE  
 $\exists$  POLYNOMIALS  
WHICH DO NOT  
ACCEPT ANY  
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RECTANGLES.

# MOST LOW DEGREE POLYNOMIALS HAVE CLOSE TO UNIFORM SPREAD, HENCE DENSE



LEMMA (w.h.p  $Poly_u(\cdot)$  IS DENSE)

For a random  $u$ , for fixed  $d, \delta$  the probability that  $Poly_u(\cdot)$  does not accept a  $(1 \pm o(1))K^{-\delta}$  fraction of all the inputs is at most  $o(1)$ .

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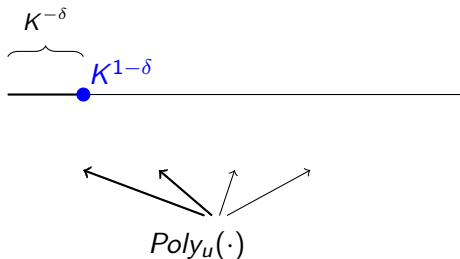
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# MAKE BP QUERY EVERY VARIABLE

$f$  is sensitive if any two accepting instances differ in at least two coordinates.

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$f$  is sensitive if any two accepting instances differ in at least two coordinates.

$$h_a(x) = 1 \text{ iff } x_1 + x_2 + \dots + x_n = a \pmod 3$$

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$f$  is sensitive if any two accepting instances differ in at least two coordinates.

$$h_a(x) = 1 \text{ iff } x_1 + x_2 + \dots + x_n = a \pmod 3$$

Fix an  $a(u)$  for which  $h_a$  overlaps with most instances in  $Poly_u^{-1}(1)$ .

$$f_u(x) = Poly_u(x) \wedge h_{a(u)}(x)$$

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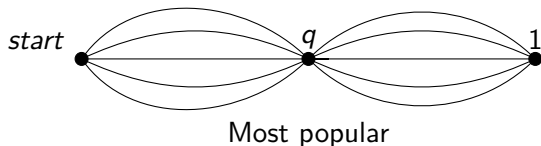
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# FEW STATES MIDWAY $\implies$ BALANCED EMBEDDED RECTANGLE



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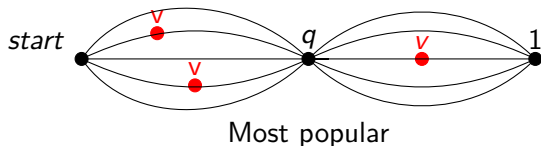
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# FEW STATES MIDWAY $\implies$ BALANCED EMBEDDED RECTANGLE



- Choose a popular red variable.

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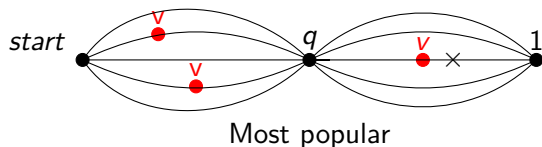
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# FEW STATES MIDWAY $\implies$ BALANCED EMBEDDED RECTANGLE



- Choose a popular red variable. Prune the input set. Continue to choose  $m_r = c_1 n$  red variables for  $A$ .

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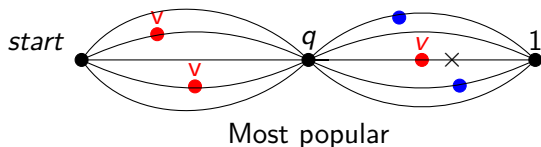
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# FEW STATES MIDWAY $\implies$ BALANCED EMBEDDED RECTANGLE



- Choose a popular red variable. Prune the input set. Continue to choose  $m_r = c_1 n$  red variables for A.
- Choose  $m_b = 4m_r$  popular blue variables, B.

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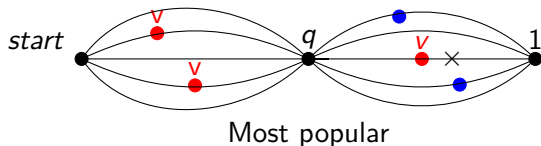
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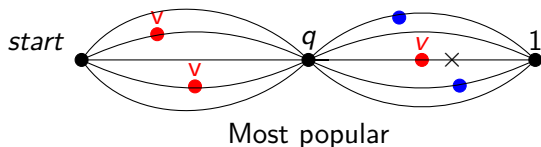
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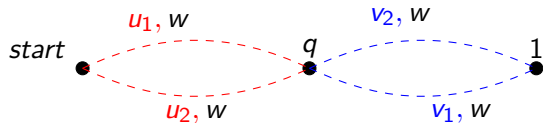
- Choose a popular red variable. Prune the input set. Continue to choose  $m_r = c_1 n$  red variables for  $A$ .
- Choose  $m_b = 4m_r$  popular blue variables,  $B$ .
- Fix the remaining variables in  $[n]-A-B$  to the most popular projection 'w'.

# FEW STATES MIDWAY $\implies$ BALANCED EMBEDDED RECTANGLE



- Choose a popular red variable. Prune the input set. Continue to choose  $m_r = c_1 n$  red variables for  $A$ .
- Remove vectors with few red extensions. ( $i.e. \leq r$ ).
- Choose  $m_b = 4m_r$  popular blue variables,  $B$ .
- Fix the remaining variables in  $[n]-A-B$  to the most popular projection 'w'.

# WHY IS IT A RECTANGLE ?



$$(u_1, w, v_1), (u_2, w, v_2) \in I \implies (u_1, w, v_2), (u_2, w, v_1) \in I$$

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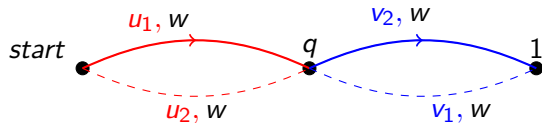
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# A BALANCED RECTANGLE BELONGS TO FEW POLYNOMIALS.

- $|\mathcal{R}_{m,r}| \approx |D|^{O(mr)}$ .

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- $|\mathcal{R}_{m,r}| \approx |D|^{O(mr)}$ .
- Each  $R \in \mathcal{R}_{m,r}$  can be a 1-rectangle in at most a  $|D|^{-n\delta d}$  fraction of the polynomials. Choose degree  $d = r^2$ .

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- By union bound the probability that a random polynomial accepts any rectangle in  $\mathcal{R}_{m,r}$  is

$$\begin{aligned} \text{Prob}( \text{Poly}_u(x) \supseteq R; R \in \mathcal{R}_{m,r} ) \\ \leq |\mathcal{R}_{m,r}| \frac{1}{|D|^{n\delta r^2}} \approx |D|^{O(mr)} \frac{1}{|D|^{n\delta r^2}} < 1 \end{aligned}$$

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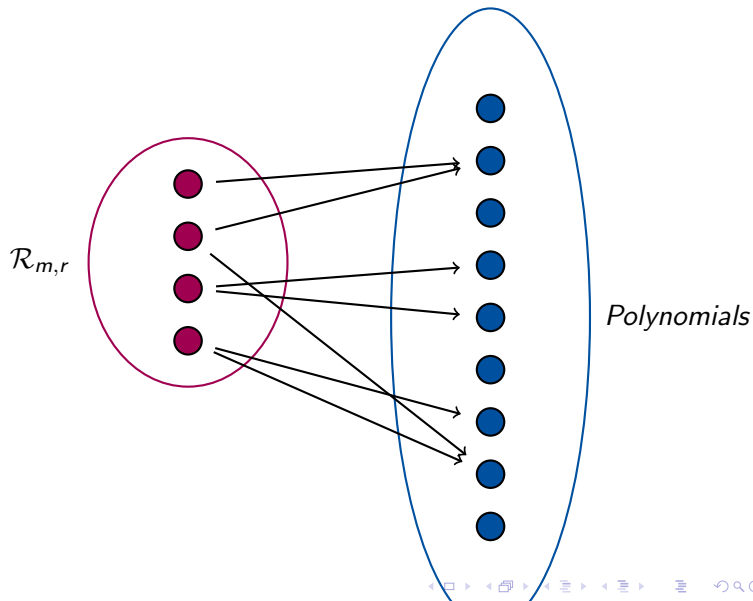
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# $\exists$ POLYNOMIAL WITHOUT A BALANCED RECTANGLE



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# ALMOST THERE, BUT NOT QUITE, $D=2$ ?

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showing a lower bound for semantic read once *boolean* NBP  
remains open !!

- Don't know if there exists a balanced rectangle as a consequence of BP for  $f$  being small.
- May be one can show there are other special looking sets (balanced cylinder intersections ?) that must appear in  $f$ .

BRANCHING  
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Thank You !

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