

Solutions for Homework Assignment #2

**Answer to Question 1.** Let  $P(k)$  be the predicate: “If the loop is executed at least  $k$  times, then  $i_k$  is an even natural number and  $i_k \leq d$ .” Using induction we prove that  $P(k)$  holds for all  $k \in \mathbb{N}$ .

**BASIS:** There are two cases.

**CASE 1.**  $m$  is an even natural number. Then by the program  $i_0 = m$  and so  $i_0$  is an even natural number. By the program  $d = 2m$ . Since,  $m \leq 2m$  for every  $m \in \mathbb{N}$ , it follows that  $i_0 \leq d$ .

**CASE 2.**  $m$  is an odd natural number. Then by the program  $i_0 = m + 1$  and so  $i_0$  is an even natural number. By the program  $d = 2m$ . Since  $m$  is an odd natural number,  $m \geq 1 \Rightarrow m + 1 \leq 2m$ . Thus,  $i_0 \leq d$ .

**INDUCTION STEP:** Let  $\ell$  be an arbitrary natural number, and suppose that  $P(\ell)$  holds. We must prove that  $P(\ell + 1)$  also holds. Assume that the loop is executed at least  $\ell + 1$  times (otherwise  $P(\ell + 1)$  holds trivially). By the induction hypothesis  $i_\ell$  is even; by the program  $i_{\ell+1} = i_\ell + 2$ , so  $i_{\ell+1}$  is even. Since the loop is executed  $\ell + 1$  times, by the loop exit condition,  $i_\ell \neq d$ ; by the induction hypothesis,  $i_\ell \leq d$ . Thus,  $i_\ell < d$ . Since  $i_\ell$  and  $d$  are both even (the former by the induction hypothesis, and the latter because  $d = 2m$ ), and since  $i_\ell < d$ , it follows that  $i_\ell \leq d - 2$ . Thus,  $i_{\ell+1} = i_\ell + 2 \leq d$ . Therefore,  $P(\ell + 1)$  holds.

Thus, for each  $k \in \mathbb{N}$  such that the loop is executed at least  $k$  times, the quantity  $d - i_k$  takes on nonnegative integer values. Furthermore, this quantity decreases in each iteration (because  $d - i_{k+1} = d - (i_k + 2) < d - i_k$ ). By the Well-Ordering Principle, the loop terminates and therefore so does the program.

**Answer to Question 2.**

**Loop Invariant Lemma.** For each  $k \in \mathbb{N}$ , if the loop is executed at least  $k$  times then

- (a)  $i_k \leq \text{length}(A) + 1$ ,
- (b)  $m_k$  is the minimum of  $A[1..i_k - 1]$ , and
- (c)  $s_k$  is the second minimum of  $A[1..i_k - 1]$ .

**PROOF THAT LI  $\Rightarrow$  PARTIAL CORRECTNESS.** Suppose the program terminates. Thus, the loop terminates after some number, say  $t$ , of iterations. By the loop exit condition and part (a) of the LI,  $i_t = \text{length}(A) + 1$ . By part (c) of the LI,  $s_t$  is the second minimum of  $A[1..i_t - 1] = A[1..\text{length}(A)]$ , i.e., of the entire array  $A$ . Since  $s_t$  is the value returned by `SECONDMIN`, the postcondition holds.

[Note that part (b) of the LI isn't needed here; it is needed to carry out the induction proof of the Loop Invariant Lemma.]

**PROOF OF THE LOOP INVARIANT LEMMA.** Let  $P(k)$  be the predicate: “If the loop is executed at least  $k$  times then

- (a)  $i_k \leq \text{length}(A) + 1$ ,
- (b)  $m_k$  is the minimum of  $A[1..i_k - 1]$ , and
- (c)  $s_k$  is the second minimum of  $A[1..i_k - 1]$ .”

We'll use induction to prove that  $P(k)$  holds for all  $k \in \mathbb{N}$ .

**BASIS:** By the precondition,  $length(A) \geq 2$ . So the positions  $A[1]$  and  $A[2]$  of the array exist. By the program  $m_0 = \min(A[1], A[2])$ ,  $s_0 = \max(A[1], A[2])$ , and  $i_0 = 3$ . Thus  $i_0 = 2 + 1 \leq length(A) + 1$ ,  $m_0$  is the minimum of  $A[1..i_0 - 1]$ , and  $s_0$  is the second minimum of  $A[1..i_0 - 1]$ . So,  $P(0)$  holds.

**INDUCTION STEP:** Let  $\ell$  be an arbitrary natural number. Suppose  $P(\ell)$  holds. We'll prove that  $P(\ell + 1)$  also holds. Assume that the loop is executed at least  $\ell + 1$  times (otherwise,  $P(\ell + 1)$  holds trivially). Thus, by the loop exit condition,  $i_\ell \leq length(A)$ . By the program  $i_{\ell+1} = i_\ell + 1 \leq length(A) + 1$ . Thus, part (a) of  $P(\ell + 1)$  holds. To prove that parts (b) and (c) of  $P(\ell + 1)$  hold we consider three cases:

**CASE 1.**  $A[i_\ell] < m_\ell$ . By part (b) of the induction hypothesis,  $m_\ell$  is the min of  $A[1..i_\ell - 1]$ . Since  $A[i_\ell] < m_\ell$ , it follows that  $A[i_\ell]$  is the minimum of  $A[1..i_\ell]$ ; and since all elements of  $A$  are distinct, the minimum of  $A[1..i_\ell - 1]$  is the second minimum of  $A[1..i_\ell]$ . By the program,  $m_{\ell+1} = A[i_\ell]$ ,  $s_{\ell+1} = m_\ell$ , and  $i_{\ell+1} = i_\ell + 1$ . Thus,  $m_{\ell+1}$  and  $s_{\ell+1}$  are, respectively, the minimum and second minimum of  $A[1..i_{\ell+1} - 1]$ , proving that parts (b) and (c) of  $P(\ell + 1)$  hold in this case.

**CASE 2.**  $m_\ell \leq A[i_\ell] < s_\ell$ . By parts (b) and (c) of the induction hypothesis, the hypothesis of this case, and the fact that all elements of  $A$  are distinct (by the precondition), we have that

$$\text{minimum of } A[1..i_\ell - 1] < A[i_\ell] < \text{second minimum of } A[1..i_\ell - 1].$$

This implies that the minimum of  $A[1..i_\ell - 1]$  (which, by part (b) of the induction hypothesis, is  $m_\ell$ ) is the same as the minimum of  $A[1..i_\ell]$ ; and that  $A[i_\ell]$  is the second minimum of  $A[1..i_\ell]$ . By the program, in this case,  $m_{\ell+1} = m_\ell$ ,  $s_{\ell+1} = A[i_\ell]$ , and  $i_{\ell+1} = i_\ell + 1$ . Therefore,  $m_{\ell+1}$  and  $s_{\ell+1}$  are, respectively, the minimum and second minimum of  $A[1..i_{\ell+1} - 1]$ . Thus, parts (b) and (c) of  $P(\ell + 1)$  hold in this case.

**CASE 3.**  $A[i_\ell] \geq m_\ell$  and  $A[i_\ell] \geq s_\ell$ . By parts (b) and (c) of the induction hypothesis, the hypothesis of this case, and the fact that all elements of  $A$  are distinct (by the precondition), we have that

$$\text{minimum of } A[1..i_\ell - 1] < \text{second minimum of } A[1..i_\ell - 1] < A[i_\ell].$$

This implies that the minimum and second minimum of  $A[1..i_\ell - 1]$  (which, by parts (b) and (c) of the induction hypothesis are  $m_\ell$  and  $s_\ell$ ) are, respectively, the same as the minimum and second minimum of  $A[1..i_\ell]$ . By the program, in this case,  $m_{\ell+1} = m_\ell$ ,  $s_{\ell+1} = s_\ell$ , and  $i_{\ell+1} = i_\ell + 1$ . Therefore,  $m_{\ell+1}$  and  $s_{\ell+1}$  are, respectively, the minimum and second minimum of  $A[1..i_{\ell+1} - 1]$ . Thus, parts (b) and (c) of  $P(\ell + 1)$  hold in this case.

[Note that in the induction step we need part (b) of  $P(\ell)$  to prove part (c) of  $P(\ell + 1)$ . This is why we had to include part (b) as part of our loop invariant.]

**PROOF OF TERMINATION:** By part (a) of the LI, the quantity  $length(A) + 1 - i_k$  is a nonnegative integer. Since  $i_{k+1} = i_k + 1$ , the value of this quantity strictly decreases in each iteration. By the Well-Ordering Principle the loop terminates and so does the program.

### Answer to Question 3.

**Loop Invariant Lemma.** For any  $k \in \mathbb{N}$ , if the loop is executed at least  $k$  times then

- (a)  $i_k = k$  and  $i_k \leq m$ , and
- (b) if  $found_k = \text{true}$  then  $A[i_k]$  is the majority element of  $A$ .

**PROOF THAT LI  $\Rightarrow$  PARTIAL CORRECTNESS.** Suppose the program terminates. There are two cases.

CASE 1.  $A$  has a majority element, say  $a$ . Let  $\ell$  be the minimum index such that  $A[\ell] = a$ . Thus  $\ell \leq m$  (otherwise, the number of positions of  $A$  that contain  $a$  could not exceed  $\text{length}(A)/2$  and so  $a$  would not be the majority element of  $A$ ). Furthermore, by the minimality of  $\ell$ , for all  $j$  such that  $1 \leq j < \ell$ ,  $A[j]$  is not the majority element of  $A$ . Therefore, by part (b) of the LI, for all  $j$  such that  $1 \leq j < \ell$ ,  $\text{found}_j = \text{false}$ . By part (a) of the LI, for all  $j$  such that  $1 \leq j < \ell$ ,  $i_j = j$ ; and since  $\ell \leq m$ , for all such  $j$ ,  $i_j < m$ . Thus, by the loop exit condition the loop is executed at least  $\ell$  times. On the  $\ell$ th iteration,  $\text{OCCUR}(A, i_\ell, \text{length}(A), A[i_\ell])$  returns the number of positions of  $A[i_\ell..\text{length}(A)]$  that contain  $a$ . By part (a) of the LI,  $i_\ell = \ell$ ; and so, by the minimality of  $\ell$ , the number of positions of  $A[\ell..\text{length}(A)]$  that contain  $a$  is the number of positions of the entire array  $A$  that contain  $a$ ; since  $a$  is the majority element of  $A$ , this number exceeds  $\text{length}(A)/2$ . Thus  $\text{OCCUR}(A, i_\ell, \text{length}(A), A[i_\ell])$  returns a number greater than  $\text{length}(A)/2$ , and so  $\text{found}_\ell = \text{true}$ . By the loop exit condition the loop is not executed  $\ell + 1$  times. Since  $\text{found}_\ell = \text{true}$ , the program returns  $A[i_\ell] = A[\ell] = a$ . Since  $a$  is the majority element of  $A$ , the postcondition holds.

CASE 2.  $A$  has no majority element. By part (b) of the loop invariant, for each  $\ell \in \mathbb{N}$  such that the loop is executed at least  $\ell$  times,  $\text{found}_\ell = \text{false}$ . Thus, if the program terminates, it returns  $\perp$ . Since  $A$  contains no majority element, the postcondition holds.

PROOF OF THE LOOP INVARIANT LEMMA. Let  $P(k)$  be the predicate: “If the loop is executed at least  $k$  times then

- (a)  $i_k = k$  and  $i \leq m$ , and
- (b) if  $\text{found}_k = \text{true}$  then  $A[i_k]$  is the majority element of  $A$ .”

We’ll use induction to prove that  $P(k)$  holds for all  $k \in \mathbb{N}$ .

BASIS: By the program,  $i_0 = 0$ . By the precondition,  $\text{length}(A) \geq 1$  and so  $i_0 \leq (1 + \text{length}(A)) \text{div } 2 = m$ . So, part (a) of  $P(0)$  holds. By the program,  $\text{found}_0 = \text{false}$  and so part (b) of  $P(0)$  holds trivially.

INDUCTION STEP: Let  $\ell$  be an arbitrary natural number. Suppose  $P(\ell)$  holds. We’ll prove that  $P(\ell + 1)$  also holds. Suppose the loop is executed at least  $\ell + 1$  times (otherwise,  $P(\ell + 1)$  holds trivially). We have

$$\begin{aligned} i_{\ell+1} &= i_\ell + 1 && \text{[by the program]} \\ &= \ell + 1 && \text{[by the induction hypothesis]} \end{aligned}$$

Since the loop is executed at least  $\ell + 1$  times, by the exit condition,  $i_\ell < m$ , so  $i_{\ell+1} \leq m$ . Thus, part (a) of  $P(\ell + 1)$  holds.

To prove that part (b) of  $P(\ell + 1)$  holds, we must show that if  $\text{found}_{\ell+1} = \text{true}$  then  $A[i_{\ell+1}]$  is the majority element of  $A$ . So, assume that  $\text{found}_{\ell+1} = \text{true}$ . Since the loop is executed at least  $\ell + 1$  times, by the loop exit condition,  $\text{found}_\ell = \text{false}$ . Since  $\text{found}_\ell = \text{false}$  and  $\text{found}_{\ell+1} = \text{true}$ , it follows that during iteration  $\ell + 1$  the condition of the if statement was true; i.e.,  $\text{OCCUR}(A, i_{\ell+1}, \text{length}(A), A[i_{\ell+1}]) > \text{length}(A)/2$ . Since the loop is executed at least  $\ell + 1$  times, again by the loop exit condition,  $i_\ell < m$ . Thus,  $i_{\ell+1} \leq m = (1 + \text{length}(A))/2 \leq \text{length}(A)$  (since, by the precondition,  $\text{length}(A) \geq 1$ ). Furthermore,  $1 \leq \ell + 1 = i_{\ell+1}$ . Thus,  $1 \leq i_{\ell+1} \leq \text{length}(A)$ . Therefore, the call  $\text{OCCUR}(A, i_{\ell+1}, \text{length}(A), A[i_{\ell+1}])$  returns the number of occurrences of  $A[i_{\ell+1}]$  in  $A[i_{\ell+1}..\text{length}(A)]$ . Since this exceeds  $\text{length}(A)/2$ , the number of occurrences of  $A[i_{\ell+1}]$  in the entire array  $A$  also exceeds  $\text{length}(A)/2$ . In other words,  $A[i_{\ell+1}]$  is the majority element of  $A$ .

PROOF OF TERMINATION: By part (a) of the LI, the quantity  $m - i_k$  is a nonnegative integer. Since  $i_{k+1} = i_k + 1$ , the value of this quantity strictly decreases in each iteration. By the Well-Ordering Principle the loop terminates and so does the program.

**Answer to Question 4.**

a. Let  $A$  be an integer array. Let  $P(n)$  be the following predicate:

$P(n)$  : for all integers  $f$  and  $\ell$  such that  $1 \leq f \leq \ell \leq \text{length}(A)$  and  $\ell - f + 1 = n$ ,  
 $\text{MIN}(A, f, \ell)$  returns an integer  $u$  such that  $f \leq u \leq \ell$ , and  
 $A[u]$  is the min of  $A[f..\ell]$ , and  $A[u] < A[v]$  for every  $v$  such that  $u < v \leq \ell$ .

We will prove by complete induction that  $P(n)$  holds for all integers  $n \geq 1$ . The correctness of  $\text{MIN}$  follows directly from this fact.

Let  $i$  be an arbitrary natural number and assume that  $P(j)$  holds for all  $j$  such that  $1 \leq j < i$ . We'll show that  $P(i)$  also holds.

CASE 1.  $i = 1$ . Let  $f$  and  $\ell$  be integers such that  $1 \leq f \leq \ell \leq \text{length}(A)$  and  $\ell - f + 1 = i$ . Thus,  $f = \ell$ . The program terminates immediately, returning the value  $f$ ; clearly  $f \leq f \leq f$ ,  $A[f]$  is the smallest value in  $A[f..f]$ , and  $A[f] < A[v]$  for every  $v$  such that  $f < v \leq f$  (since there is no such  $v$ ).

CASE 2.  $i > 1$ . Let  $f$  and  $\ell$  be integers such that  $1 \leq f \leq \ell \leq \text{length}(A)$  and  $\ell - f + 1 = i$ . So  $f < \ell$ . Let  $m = (f + \ell) \text{ div } 2$ . By Lemma 2.2 in the notes,  $f \leq m < \ell$ . Therefore,  $1 \leq m - f + 1 < i$  and  $1 \leq \ell - m < i$ . So the induction hypothesis tells us that  $\text{MIN}(A, f, m)$  returns a value  $x$  and  $\text{MIN}(A, m + 1, \ell)$  returns a value  $y$  such that

$f \leq x \leq m$ ,  $A[x]$  is the min of  $A[f..m]$ , and  $A[x] < A[v]$  for every  $v$  s.t.  $x < v \leq m$ .  
 $m + 1 \leq y \leq \ell$ ,  $A[y]$  is the min of  $A[m + 1..\ell]$ , and  $A[y] < A[v]$  for every  $v$  s.t.  $y < v \leq \ell$ .

There are two cases to consider.

SUBCASE 2(a).  $A[y] \leq A[x]$ . In this case, the program halts and returns the value  $y$ . Since  $f < m + 1 \leq y \leq \ell$ , we have  $f \leq y \leq \ell$ . Since  $A[x]$  is the min of  $A[f..m]$ ,  $A[y] \leq A[x]$ , and  $A[y]$  is the min of  $A[m + 1..\ell]$ , it follows that  $A[y]$  is the min of  $A[f..\ell]$ . Lastly, from the induction hypothesis,  $A[y] < A[v]$  for every  $v$  such that  $y < v \leq \ell$ . Thus,  $P(i)$  holds in this case.

SUBCASE 2(b).  $A[x] < A[y]$ . In this case, the program halts and returns the value  $x$ . Since  $f \leq x \leq m < \ell$ , we have  $f \leq x \leq \ell$ . Since  $A[x]$  is the min of  $A[f..m]$ ,  $A[x] < A[y]$ , and  $A[y]$  is the min of  $A[m + 1..\ell]$ , it follows that  $A[x]$  is the min of  $A[f..\ell]$ . Lastly, since  $A[x] < A[y]$ , we have that  $A[x] < A[v]$  for every  $v$  such that  $m + 1 \leq v \leq \ell$ ; in addition (from the induction hypothesis)  $A[x] < A[v]$  for every  $v$  such that  $x < v \leq m$ . Therefore,  $A[x] < A[v]$  for every  $v$  such that  $x < v \leq \ell$ . Thus,  $P(i)$  holds in this case.

b.

$$C(n) = \begin{cases} 0, & \text{if } n = 1 \\ C(\lceil n/2 \rceil) + C(\lfloor n/2 \rfloor) + 1, & \text{if } n > 1 \end{cases}$$

This reflects the fact that when  $\text{MIN}(A, f, \ell)$  operates on a subarray of length 1 (i.e., when  $f = \ell$ ), it performs no comparisons between array elements; and that when it operates on subarrays of length  $n > 1$ , it performs one comparison between array elements (namely, the comparison between  $A[x]$  and  $A[y]$ ) in addition to those performed by each of the two recursive calls. In the latter case, the two recursive calls operate on subarrays of length  $\lceil n/2 \rceil$  and  $\lfloor n/2 \rfloor$ .

c. If  $n$  is a power of 2, the above recurrence simplifies to

$$C(n) = \begin{cases} 0, & \text{if } n = 1 \\ 2C(n/2) + 1, & \text{if } n > 1 \end{cases}$$

By repeated substitution we get

$$\begin{aligned}
C(n) &= 2C(n/2) + 1 \\
&= 2(2C(n/2^2) + 1) + 1 \\
&= 2^2C(n/2^2) + 2 + 1 \\
&= 2^2(2C(n/2^3) + 1) + 2 + 1 \\
&= 2^3C(n/2^3) + 2^2 + 2 + 1 \\
&= \dots \\
&= 2^iC(n/2^i) + 2^{i-1} + \dots + 2^2 + 2 + 1 \\
&= 2^iC(n/2^i) + (2^i - 1)
\end{aligned}$$

for any positive integer  $i \leq \log_2 n$ . Taking  $i = \log_2 n$ , we have

$$C(n) = 2^{\log_2 n}C(1) + (2^{\log_2 n} - 1) = n - 1.$$

**d.** First we prove that  $C(n)$  is nondecreasing. Let  $P(n)$  be the predicate: “for every positive integer  $m < n$ ,  $C(m) \leq C(n)$ ”. We’ll use complete induction to prove that  $C(n)$  holds for every positive integer  $n$ .

CASE 1.  $n \in \{1, 2\}$ .  $P(1)$  is trivially true (since there is no positive ineger  $m < 1$ ).  $C(1) = 0$  and  $C(2) = 1$ , so  $P(2)$  is also true.

CASE 2. Consider an arbitrary positive integer  $n > 2$ . Assume that for every integer  $j$  such that  $1 \leq j < n$ ,  $P(j)$  holds. We will prove that  $P(n)$  also holds. By  $P(n-1)$ , it suffices to prove that  $C(n-1) \leq C(n)$ . Since  $n > 2$ , we have that  $1 \leq \lfloor n/2 \rfloor \leq \lceil n/2 \rceil < n$ , and therefore  $P(\lfloor n/2 \rfloor)$  and  $P(\lceil n/2 \rceil)$  both hold.

$$\begin{aligned}
C(n-1) &= C(\lceil (n-1)/2 \rceil) + C(\lfloor (n-1)/2 \rfloor) + 1 && \text{[by def of } C(n-1), \text{ since } n-1 > 1] \\
&\leq C(\lceil n/2 \rceil) + C(\lfloor n/2 \rfloor) + 1 && \text{[by the I.H. } P(\lceil n/2 \rceil) \text{ and } P(\lfloor n/2 \rfloor)] \\
&= C(n) && \text{[by def of } C(n), \text{ since } n > 1]
\end{aligned}$$

For any positive integer  $n$ , let  $\hat{n}$  be the smallest power of 2 that is greater than or equal to  $n$ . That is,  $\hat{n}$  is the unique power of 2 such that

$$\hat{n}/2 < n \leq \hat{n} \tag{1}$$

Since  $C(n)$  is nondecreasing,

$$C(\hat{n}/2) \leq C(n) \leq C(\hat{n}) \tag{2}$$

Since  $\hat{n}$  and therefore  $\hat{n}/2$  are powers of 2, by part (c) and (2) we have

$$\hat{n}/2 - 1 \leq C(n) \leq \hat{n} - 1 \tag{3}$$

Consider any positive integer  $n \geq 2$ . By the first inequality of (1),  $\hat{n}/2 < n$  and so

$$\hat{n} < 2n \Rightarrow \hat{n} - 1 < 2n - 1 \leq 2n - 2.$$

Therefore, by the second inequality of (3),  $C(n) \leq 2(n-1)$ . For  $n = 1$  we have  $C(1) = 0 \leq 2 \cdot (1-1)$ . Thus, for every positive integer  $n$ ,  $C(n) \leq 2(n-1)$ .

Consider any positive integer  $n \geq 3$ . By the second inequality of (1),  $\hat{n} \geq n \geq 3$ , and so  $(\hat{n}-2)/2 \geq (\hat{n}-1)/4 \geq (n-1)/4$ . Therefore, by the first inequality of (3),  $C(n) \geq (n-1)/4$ . For  $n = 1, 2$  we have  $C(1) = 0 \geq (1-1)/4$  and  $C(2) = 1 \geq (2-1)/4$ . Thus, for every positive integer  $n$ ,  $C(n) \geq (n-1)/4$ .

We have therefore shown that for every positive integer  $n$ ,  $(n-1)/4 \leq C(n) \leq 2(n-1)$ . Thus, choosing  $a = 1/4$  and  $b = 2$  we get positive constants with the desired properties.

### Answer to Question 5.

**a.** It will be useful to establish that for all integers  $n \geq 6$ ,  $\frac{n}{4} \leq \lfloor \frac{n}{3} \rfloor \leq \frac{n}{3}$ . Clearly  $\lfloor \frac{n}{3} \rfloor \leq \frac{n}{3}$ . It is also easy to see that  $\frac{n-2}{3} \leq \lfloor \frac{n}{3} \rfloor$ , and that for  $n \geq 8$ ,  $\frac{n}{4} \leq \frac{n-2}{3}$ . It remains to prove that for  $n \in \{6, 7\}$ ,  $\frac{n}{4} \leq \lfloor \frac{n}{3} \rfloor$ ; this can be checked directly.

Let  $c = 11$  and  $d = 16$  and  $n_0 = 2$ . We will show that for all integers  $n \geq n_0$ ,  $f(n) \leq c \cdot n^{\log_3 17} - d \cdot n^2$  for all  $n \geq n_0$ . It follows that for all  $n \geq 2$ ,  $f(n) \leq 11 \cdot n^{\log_3 17}$ .

(Note: We figured out these constants  $c, d, n_0$  by *first* doing the proof, and seeing what constants would work.)

Consider the predicate

$$P(n) : f(n) \leq c \cdot n^{\log_3 17} - d \cdot n^2$$

We will use complete induction to show that  $P(n)$  holds for all integers  $n \geq n_0$ . Let  $i$  be an integer  $\geq n_0$ , and assume that  $P(j)$  holds for every integer  $j$ ,  $n_0 \leq j < i$ . We want to show  $P(i)$ .

CASE 1.  $i \geq 6$ . (These are the induction cases.)

Since  $i \geq 3$  we have

$$f(i) = 17f(\lfloor \frac{i}{3} \rfloor) + i^2 \tag{4}$$

Since  $i > 0$ ,  $\frac{i}{3} < i$ , so  $\lfloor \frac{i}{3} \rfloor < i$ ; since  $i \geq 6$ ,  $\lfloor \frac{i}{3} \rfloor \geq 2 = n_0$ . Since we have  $n_0 \leq \lfloor \frac{i}{3} \rfloor < i$ , the induction hypothesis tells us that  $P(\lfloor \frac{i}{3} \rfloor)$  holds, so

$$f(\lfloor \frac{i}{3} \rfloor) \leq c \cdot \lfloor \frac{i}{3} \rfloor^{\log_3 17} - d \cdot \lfloor \frac{i}{3} \rfloor^2 \tag{5}$$

Since  $i \geq 6$ , we have  $\frac{i}{4} \leq \lfloor \frac{i}{3} \rfloor \leq \frac{i}{3}$ . It therefore follows from (5) that

$$f(\lfloor \frac{i}{3} \rfloor) \leq c \cdot (\frac{i}{3})^{\log_3 17} - d \cdot (\frac{i}{4})^2 \tag{6}$$

and simplifying (6) we get

$$f(\lfloor \frac{i}{3} \rfloor) \leq c \cdot \frac{i^{\log_3 17}}{17} - d \cdot \frac{i^2}{16} \tag{7}$$

Combining (4) and (7) we get

$$f(i) \leq 17(c \cdot \frac{i^{\log_3 17}}{17} - d \cdot \frac{i^2}{16}) + i^2 = c \cdot i^{\log_3 17} - (\frac{17}{16} \cdot d - 1)i^2. \text{ Since our goal is to show } P(i), \text{ namely}$$

$$f(i) \leq c \cdot i^{\log_3 17} - d \cdot i^2, \text{ it suffices to show } -(\frac{17}{16} \cdot d - 1)i^2 \leq -d \cdot i^2, \text{ so it suffices to show}$$

$$(\frac{17}{16} \cdot d - 1)i^2 \geq d \cdot i^2, \text{ which follows from } \frac{17}{16} \cdot d - 1 \geq d, \text{ which follows from } \frac{d}{16} \geq 1, \text{ which is true since } d = 16.$$

**Remark:** We decided to do the induction cases first. It was convenient to be able to assume that  $i/4 \leq \lfloor i/3 \rfloor$ , and for this reason we chose  $i \geq 6$  to be the induction cases. In order to be able to apply the induction hypothesis, we needed  $\lfloor i/3 \rfloor \geq n_0$ , and for this reason (since  $i$  could be as low as 6) we chose  $n_0 = 2$ . After doing the proof we saw that we needed  $d/16 \geq 1$ , and for this reason we chose  $d = 16$ . It now remains to do the base cases:  $i = 2, 3, 4, 5$ . We do these below, and the value  $c = 11$  was chosen to make these base cases all work out.

CASE 2.  $i \in \{2, 3, 4, 5\}$ . It is easy to check each of the following:

$$f(2) = 1 \leq 11 \cdot 2^{\log_3 17} - 16 \cdot 2^2$$

$$f(3) = 17f(1) + 3^2 = 17 \cdot 1 + 3^2 = 26 \leq 11 \cdot 3^{\log_3 17} - 16 \cdot 3^2$$

$$f(4) = 17f(1) + 4^2 = 17 \cdot 1 + 4^2 = 33 \leq 11 \cdot 4^{\log_3 17} - 16 \cdot 4^2$$

$$f(5) = 17f(1) + 5^2 = 17 \cdot 1 + 5^2 = 42 \leq 11 \cdot 5^{\log_3 17} - 16 \cdot 5^2$$

b. In the proof below, we see that it is the base case that determines what constant  $c$  we should use; it turns out we can use  $c = 1$ .

Consider the predicate:

$$P(n): \text{ If } n \text{ is a power of 3, then } f(n) \geq n^{\log_3 17}$$

We will use complete induction to show that  $P(n)$  holds for all integers  $n \geq 1$ . Let  $i \geq 1$  be an integer, and assume that  $P(j)$  holds for every integer  $j$ ,  $1 \leq j < i$ . We want to show  $P(i)$ . Assume that  $i$  is a power of 3 (otherwise  $P(i)$  is trivially true).

CASE 1.  $i = 1$ .

Since  $f(1) = 1$  and  $1^{\log_3 17} = 1$ ,  $P(1)$  holds.

CASE 2.  $i > 1$ .

Since  $i$  is a power of 3,  $i \geq 3$  and divisible by 3 and so

$$f(i) = 17f(i/3) + i^2. \quad 1 \leq i/3 < i, \text{ and so } P(i/3) \text{ holds. Since } i/3 \text{ is a power of 3,}$$

$$f(i/3) \geq (i/3)^{\log_3 17} = (1/17)i^{\log_3 17}, \text{ and so}$$

$$f(i) \geq 17(1/17)i^{\log_3 17} + i^2 \geq i^{\log_3 17}.$$

c. We will show that  $c = \frac{1}{17}$  works. That is, we will show that  $f(n) \geq (1/17)n^{\log_3 17}$  for every  $n \in \mathbb{N}$ .

Let  $n \in \mathbb{N}$ . If  $n = 0$ , since  $f(0) = 1$ , it is easy to check that  $f(0) \geq (1/17)0^{\log_3 17}$ . So assume that  $n$  is positive. Then there is a positive integer  $m$  such that  $m$  is a power of 3 and  $n/3 \leq m \leq n$ . Since  $f$  is nondecreasing,  $f(n) \geq f(m)$ . By part (b),  $f(m) \geq m^{\log_3 17}$ . Since  $m \geq n/3$ ,  $m^{\log_3 17} \geq (n/3)^{\log_3 17} = (1/17)n^{\log_3 17}$ .

Putting all this together, we have  $f(n) \geq (1/17)n^{\log_3 17}$ .