# Annotating Anaphoric Shell Nouns with their Antecedents

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#### Anaphoric Shell Nouns (ASNs)

(Schmid, 2000)

## Our paper: Annotating antecedents of ASNs such as this issue, this fact, this possibility

New York is one of only three states that do not allow some form of audio-visual coverage of court proceedings. Some lawmakers worry that cameras might compromise the rights of the litigants. But a 10-year experiment with courtroom cameras showed that televised access enhanced public understanding of the judicial system without harming the legal process. New York's backwardness on this issue hurts public confidence in the judiciary...

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## Why ASN annotation?

## Ubiquity of Shell Nouns

- Occur frequently in all kinds of texts
- fact, idea, problem: among 100 most frequently occurring nouns (Schmid 2000)
- ~25 million occurrences in the NYT corpus (~1.3 billion tokens)

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- Characterize and label chunks of information
- Cohesive devices
- Topic boundary markers



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## Current Research: Gap

| Corpus                             | ASN instances                                   |
|------------------------------------|-------------------------------------------------|
| Poesio and Artstein, 2008<br>ARRAU | 455 abstract anaphor instances, very few ASNs   |
| Kolhatkar and Hirst, 2012          | 188 this issue instances from Medline abstracts |
| Botley, 2006                       | 462 ASN instances (not available)               |

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ASNs are largely ignored in CL

Need a large-scale ASN antecedent corpus

## Annotation challenges

#### What to Annotate?

New York is one of only three states that do not allow some form of audio-visual coverage of court proceedings. Some lawmakers worry that cameras might compromise the rights of the litigants. But a 10-year experiment with courtroom cameras showed that televised access enhanced public understanding of the judicial system without harming the legal process. New York's backwardness on this issue hurts public confidence in the judiciary...

- Antecedents: complex and abstract entities
- Heterogeneous set of markables

(e.g., NPs, VPs, sentences, clauses, ...)

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Leads to large search space

## What's the "right" answer?

New York is one of only three states that do not allow some form of audio-visual coverage of court proceedings. Some lawmakers worry that cameras might compromise the rights of the litigants. But a 10-year experiment with courtroom cameras showed that televised access enhanced public understanding of the judicial system without harming the legal process. New York's backwardness on this issue hurts public confidence in the judiciary...

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#### Annotation data

## Shell Nouns: Categorization

(Schmid, 2000)

| Category       | Examples                      |
|----------------|-------------------------------|
| Factual        | fact, problem, reason         |
| Linguistic     | news, proposal, question      |
| Mental         | idea, belief, decision, issue |
| Modal          | possibility, need, trend      |
| Eventive       | act, reaction, attempt        |
| Circumstantial | situation, approach           |

#### Shell Nouns: Selection

|          | Category       | Examples                      |
|----------|----------------|-------------------------------|
|          | Factual        | fact, problem, reason         |
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High-frequency nouns from each category

## The ASN Corpus

- Base corpus: The New York Times corpus (Sandhaus, 2008)
- ~475 instances per 6 selected shell nouns fact, reason, issue, decision, question, possibility
- Total: 2,822 ASN instances

## Annotation methodology

#### Annotation Platform

- Crowdsourcing: CrowdFlower
  - Quality control
    - Gold questions
    - Training phase
  - Detailed results
    - Aggregated and full results with annotators' demographic information



#### CrowdFlower Confidence



| Annotaator | Trust | Answer            |
|------------|-------|-------------------|
| Α          | 0.75  | "aı"              |
| В          | 0.75  | "a <sub>2</sub> " |
| C          | 1.0   | "a2"              |

#### CrowdFlower Confidence

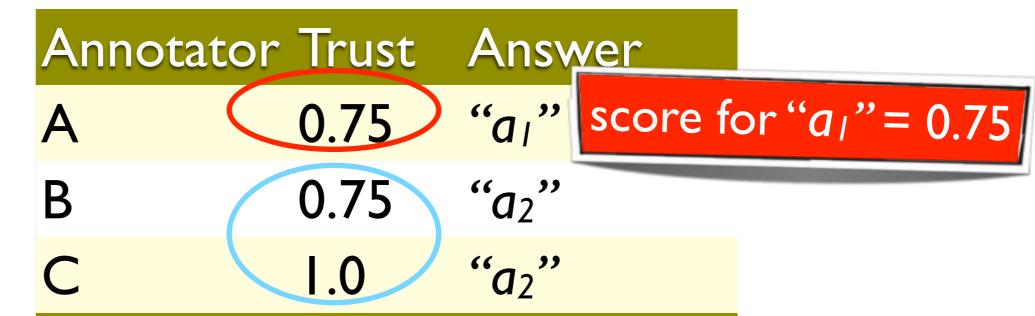


| Annotato | r Trust | Answer            |
|----------|---------|-------------------|
| Α        | 0.75    | "a <sub>1</sub> " |
| В        | 0.75    | "a <sub>2</sub> " |
| C        | 1.0     | "a <sub>2</sub> " |

score for " $a_2$ " = 1.75

#### CrowdFlower Confidence





score for " $a_2$ " = 1.75

Crowd's answer:

" $a_2$ " with confidence 0.7=1.75/(1.75+0.75)

#### **Annotation Tasks**

**ASN** instances CrowdFlower Expt. I from the NYT Identify the sentence containing antecedent CrowdFlower Expt. 2 Identify the precise antecedent Annotated ASN Corpus

Simple tasks do best with crowdsourcing

(Madnani et al. 2010, Wang et al. 2012)

## CrowdFlower Expt. I

## Identifying the sentence containing the antecedent

(a3) New York is one of only three states that do not allow some form of audio-visual coverage of court proceedings. (a2) Some lawmakers worry that cameras might compromise the rights of the litigants. (a1) But a 10-year experiment with courtroom cameras showed that televised access enhanced public understanding of the judicial system without harming the legal process. (b) New York's backwardness on this issue hurts public confidence in the judiciary...

## CrowdFlower Expt. I

## Identifying the sentence containing the antecedent

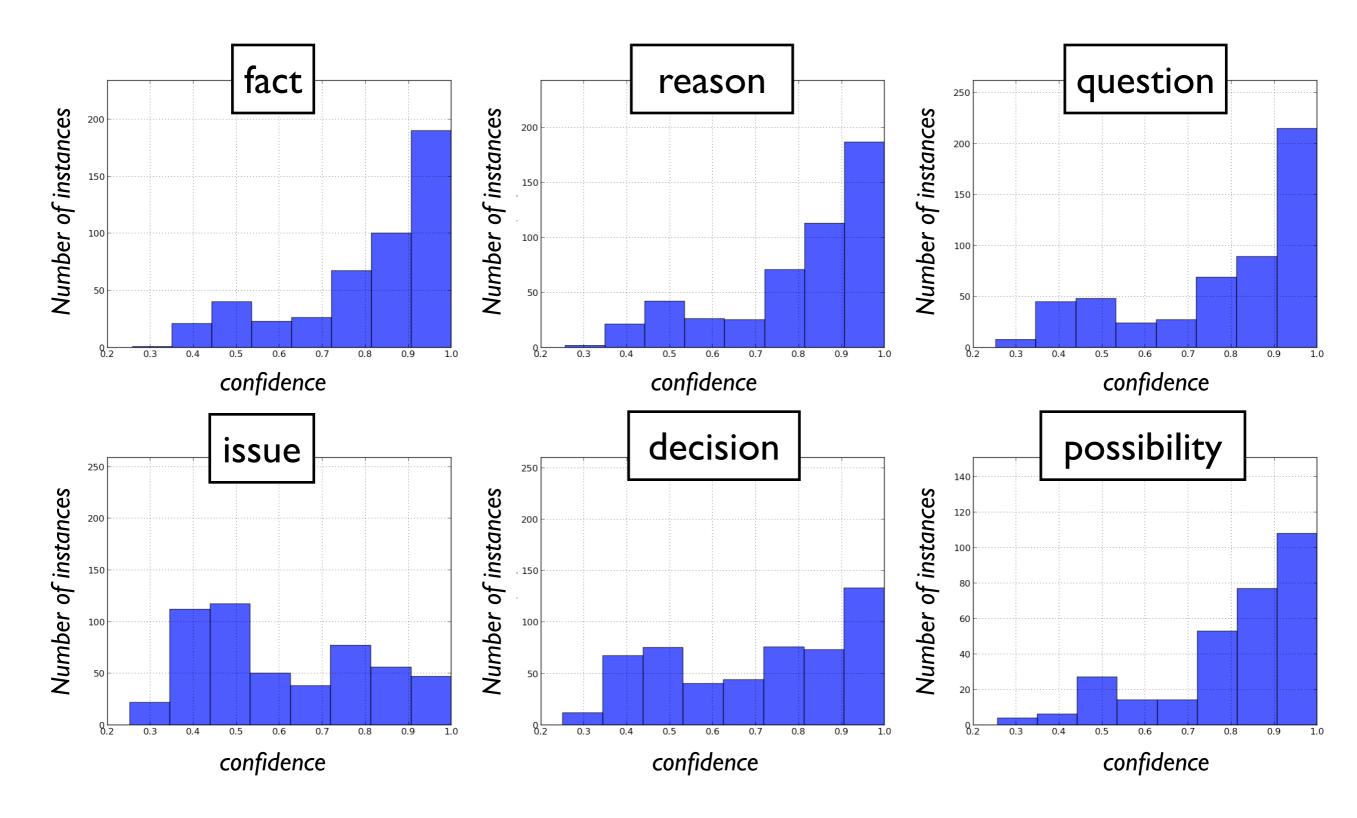
New York is one of only three states that do not allow some form of audio-visual coverage of court proceedings. (a2) Some lawmakers worry that cameras might compromise the rights of the litigants. (a1) But a 10-year experiment with courtroom cameras showed that televised access enhanced public understanding of the judicial system without harming the legal process. (b) New York's backwardness on this issue hurts public confidence in the judiciary...

## Settings

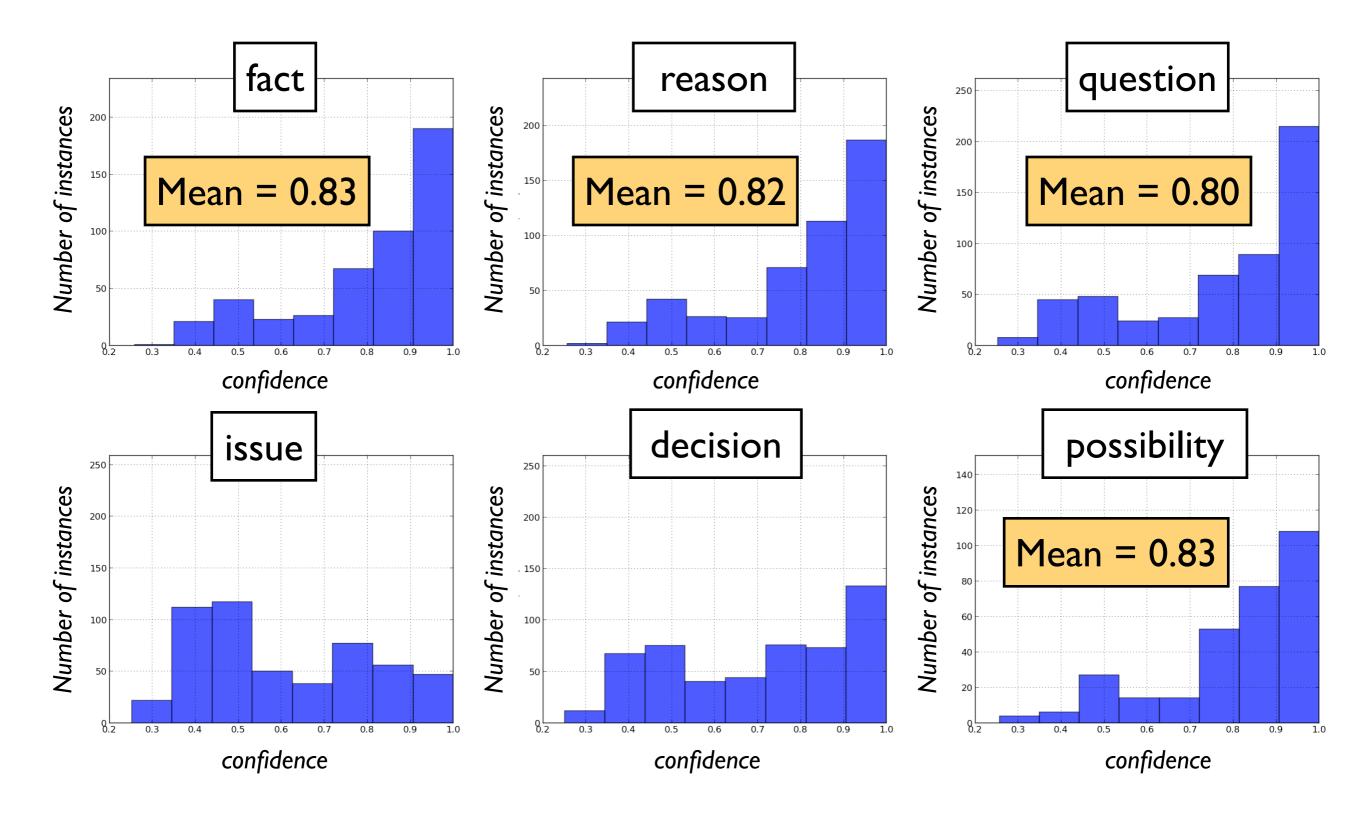
- 2,822 instances
- 8 judgements per instance
- 8 cents per annotation
- Completion time: 3 days

## Inter-annotator agreement for expt. I

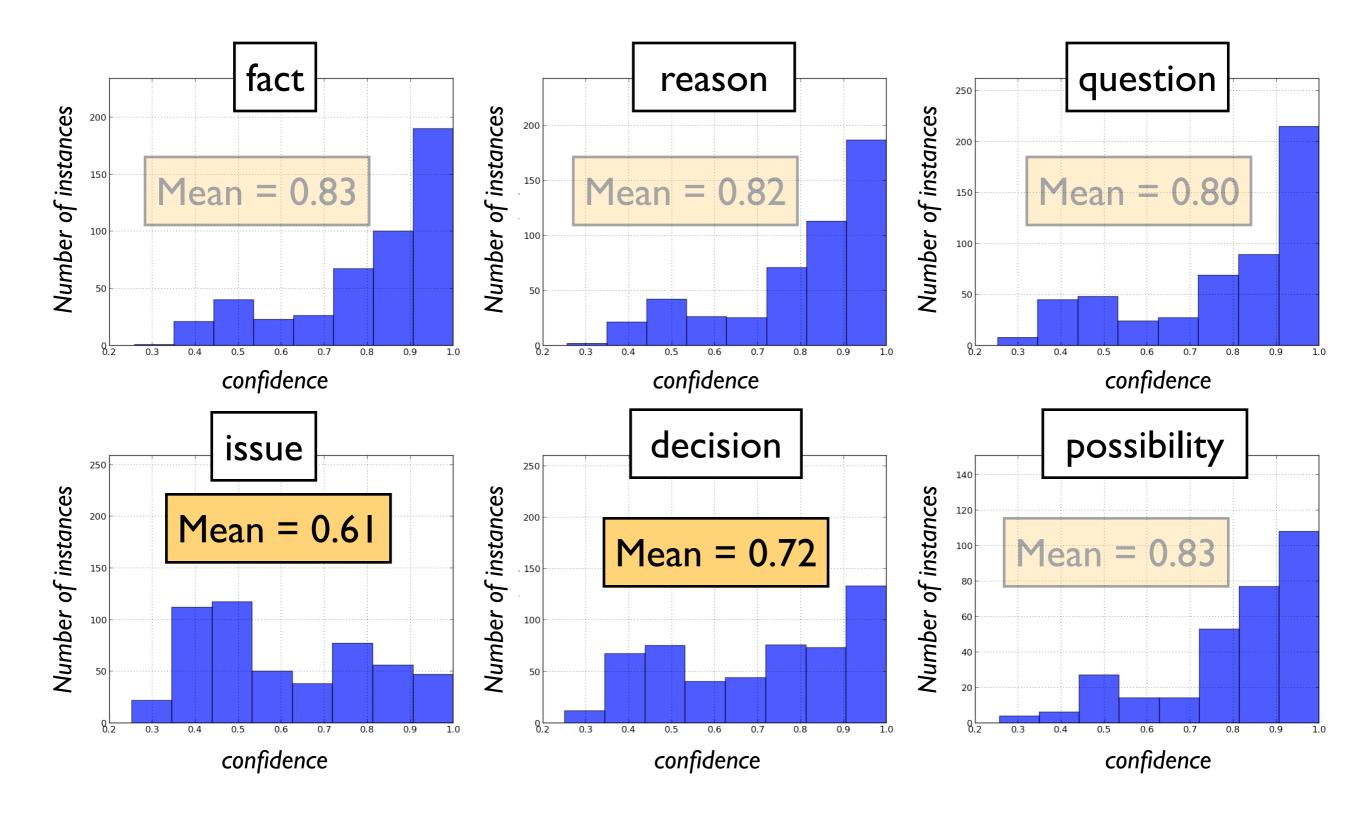
#### Confidence Distribution



#### Confidence Distribution



#### Confidence Distribution



## CrowdFlower Expt. 2

#### Identifying the precise antecedent

New York is one of only three states that do not allow some form of audio-visual coverage of court proceedings. Some lawmakers worry that cameras might compromise the rights of the litigants. But a 10-year experiment with courtroom cameras showed that televised access enhanced public understanding of the judicial system without harming the legal process. New York's backwardness on this issue hurts public confidence in the judiciary...

# CrowdFlower Expt. 2

#### Identifying the precise antecedent

Select one of the options (required)

New York is one of only three states that do not allow some form of audio-visual coverage of court proceedings.

| 0 | None                                                                                                          |
|---|---------------------------------------------------------------------------------------------------------------|
| 0 | one of only three states                                                                                      |
| 0 | some form of audio-visual coverage                                                                            |
| 0 | some form of audio-visual coverage of court proceedings                                                       |
| 0 | that do not allow some form of audio-visual coverage of court proceedings                                     |
| 0 | audio-visual coverage of court proceedings                                                                    |
| 0 | some form                                                                                                     |
| 0 | New York is one of only three states that do not allow some form of audio-visual coverage of court proceeding |
| 0 | only three states that do not allow some form of audio-visual coverage of court proceedings                   |
| 0 | one of only three states that do not allow some form of audio-visual coverage of court proceedings            |
|   |                                                                                                               |

allow some form of audio-visual coverage of court proceedings

## Settings

- 2,323 high-confidence instances from CrowdFlower expt. I
- 8 judgements per instance
- 6 cents per annotation
- Completion time: 7 days

# Inter-annotator agreement for expt. 2

# Challenge

It is believed that between 20 percent and 30 percent of Italy's economic output is submerged. The decision to finally take this fact into account...

Answer I: that between 20 percent and 30 percent of Italy's economic output is submerged

Answer 2: between 20 percent and 30 percent of Italy's economic output is submerged

Answer 3: between 20 percent and 30 percent

Need coefficients that incorporate the notion of the distance between strings

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Answer Lithat between 20 percent and 30 percent of Italy's economic output is submerged

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# Challenge

It is believed that between 20 percent and 30 percent of Italy's economic output is submerged. The decision to finally take this fact into account...

Answer Lithat between 20 percent and 30 percent of Italy's economic output is submerged less distant

Answer 2: between 20 percent and 30 percent of Italy's economic output is submerged more distant

Answer 3: between 20 percent and 30 percent

Need coefficients that incorporate the notion of the distance between strings

## Krippendorff's \alpha

$$lpha=1-rac{D_o}{D_e}$$
 observed disagreement expected disagreement

 $\alpha = 1$ , perfect reliability

 $\alpha$  = 0, absence of reliability

α < 0, systematic disagreement or small sample size

#### a with Distance Metrics

|                          | Jaccard    |                                 |            |            | Dice             |                             |  |
|--------------------------|------------|---------------------------------|------------|------------|------------------|-----------------------------|--|
|                          | $D_o$      | $D_e$                           | α          | $D_o$      | $D_e$            | $\alpha$                    |  |
| A&P, 2006<br>Our results | .53<br>.47 | .95<br>.96                      | .45<br>.51 | .43<br>.36 | .94<br>.92       | .55<br>.61                  |  |
| Jaccard(A, B)            | =          | $\frac{ A \cap B }{ A \cup B }$ | D          | ice(A, B)  | $=\frac{2}{ A }$ | $\frac{ A\cap B }{ A + B }$ |  |

Artstein and Poesio, 2006: 20 annotators, 16 instances Our work: 8 annotators, 2,323 instances

#### a with Distance Metrics

|                          | Jaccard    |                                 |          |            | Dice              |                         |  |  |
|--------------------------|------------|---------------------------------|----------|------------|-------------------|-------------------------|--|--|
|                          | $D_o$      | $D_e$                           | $\alpha$ | $D_{o}$    | $D_e$             | α                       |  |  |
| A&P, 2006<br>Our results | .53<br>.47 | .95<br>.96 (                    | .45      | .43<br>.36 | .94<br>.92 (      | .55                     |  |  |
| Jaccard(A, B)            | =          | $\frac{ A \cap B }{ A \cup B }$ | Dic      | e(A,B)     | $= \frac{2}{ A }$ | $\overline{ A \cap B }$ |  |  |

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## Unitizing a

(Krippendorff, 2013)

# Distance function: square-distance between non-overlapping tokens

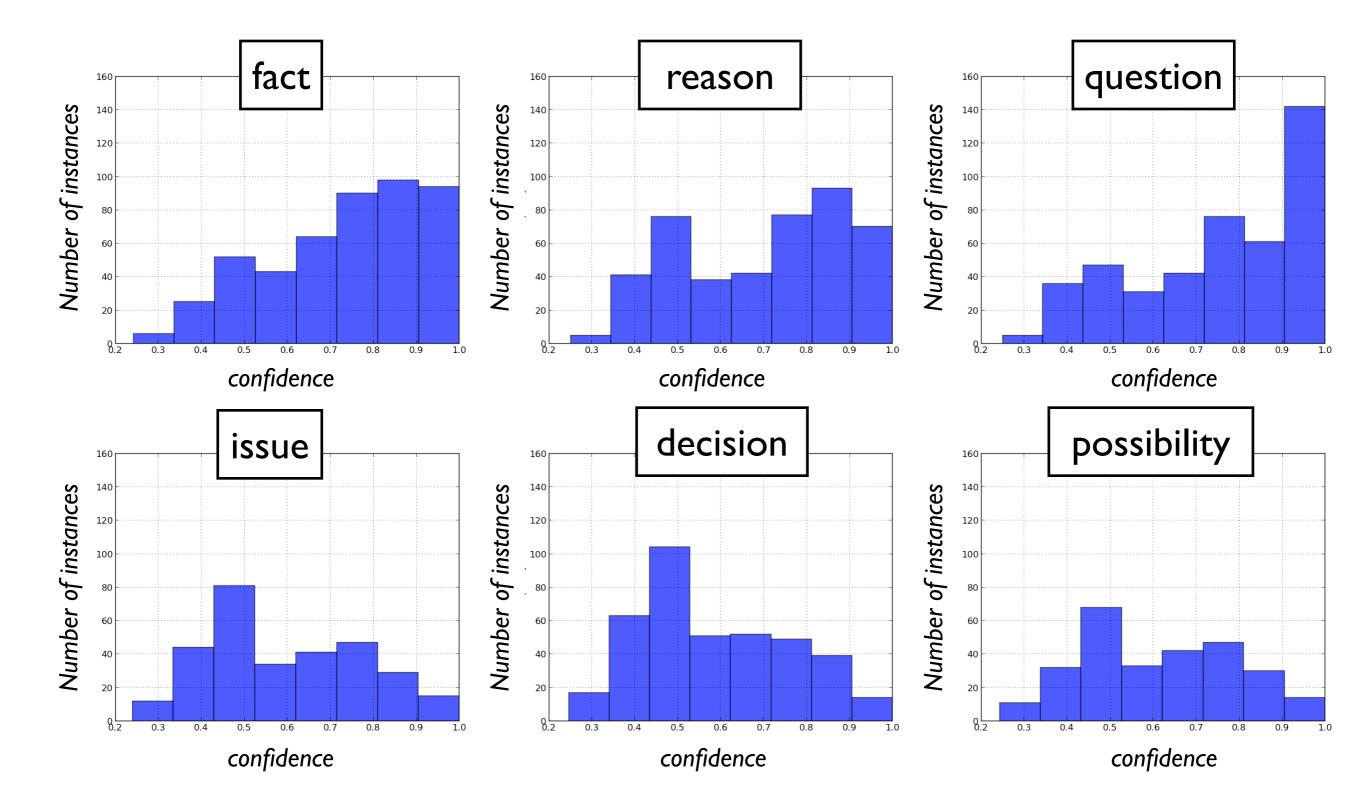
## Unitizing a

(Krippendorff, 2013)

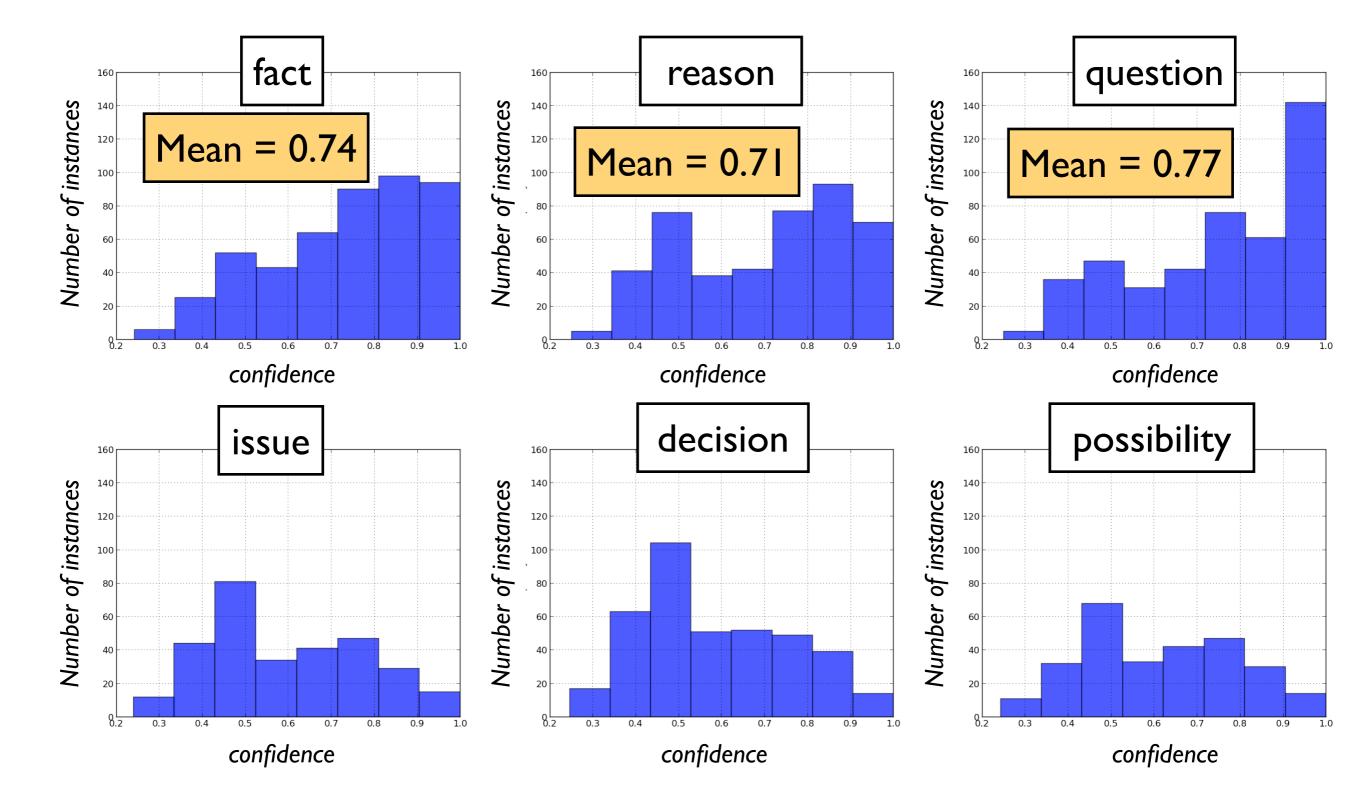
Distance function: square-distance between non-overlapping tokens

Unitizing  $\alpha = 0.54$ 

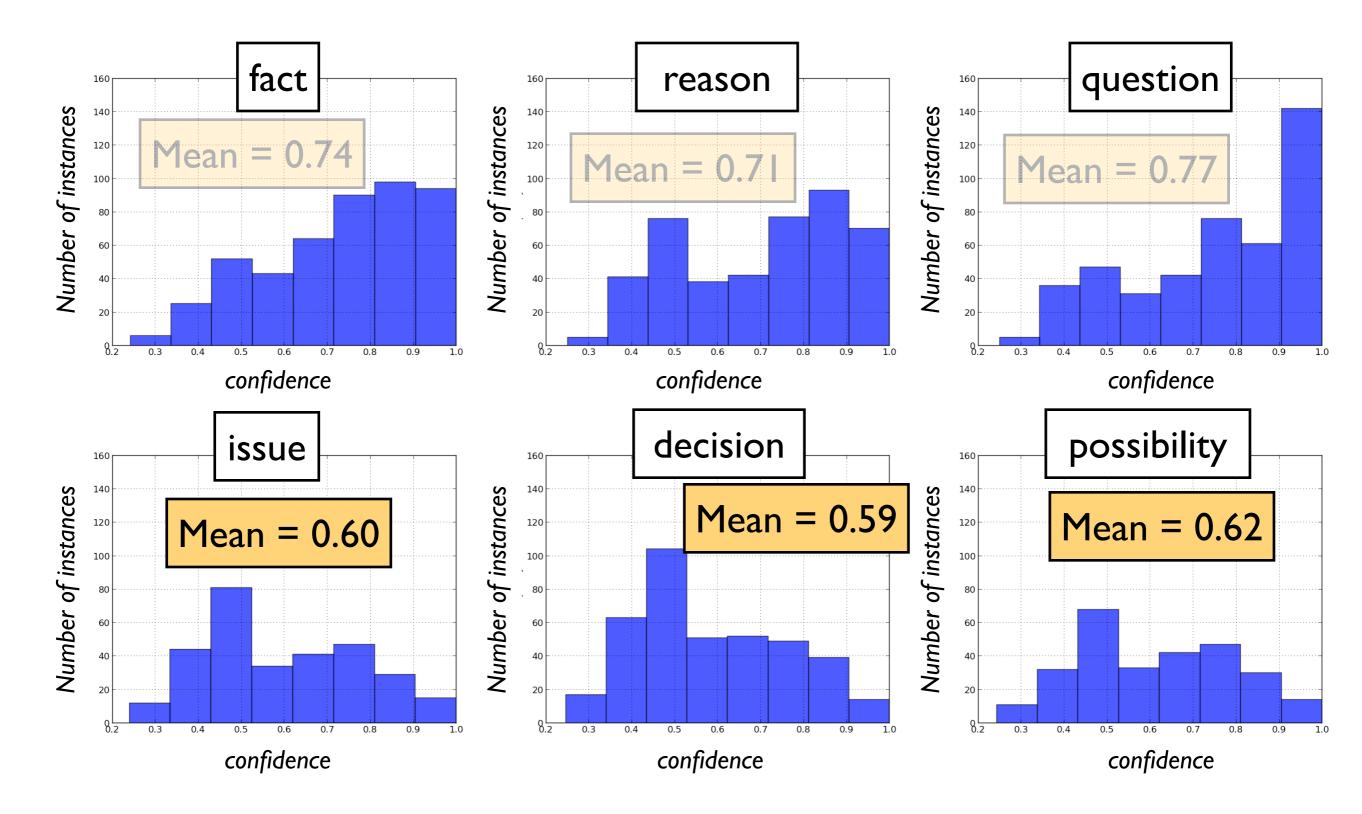
#### CrowdFlower Confidence



#### CrowdFlower Confidence



#### CrowdFlower Confidence



## ASN Antecedent Corpus

 1,810 high confidence (confidence ≥ 0.5) instances from the CrowdFlower expt. 2

# Evaluation by Experts

- Goal
   Examine acceptability of crowd's answers
- Judges
  - Two highly-qualified academic editors
- Evaluation options
  - Perfectly, Reasonably, Implicitly, Not at all

## Evaluation by Experts

Perfectly (P), Reasonably (R), Implicitly (I), Not at all (N)

|         |       | Judge B |           |    |    |       |
|---------|-------|---------|-----------|----|----|-------|
|         |       | P       | R         | I  | N  | Total |
|         | P     | 171     | 44        | 11 | 7  | 233   |
| Indaa A | R     | 12      | <b>27</b> | 7  | 4  | 50    |
| Judge A | I     | 2       | 4         | 6  | 1  | 13    |
|         | N     | 1       | 2         | 0  | 1  | 4     |
|         | Total | 186     | 77        | 24 | 13 | 300   |

## Evaluation by Experts

Perfectly (P), Reasonably (R), Implicitly (I), Not at all (N)

|         |       | Judge B |           |    |    |       |
|---------|-------|---------|-----------|----|----|-------|
|         |       | P       | R         | I  | N  | Total |
|         | P     | 171     | 44        | 11 | 7  | 233   |
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| Judge A | I     | 2       | 4         | 6  | 1  | 13    |
|         | N     | 1       | 2         | 0  | 1  | 4     |
|         | Total | 186     | 77        | 24 | 13 | 300   |

According to the experts, ~95% instances had acceptable annotations

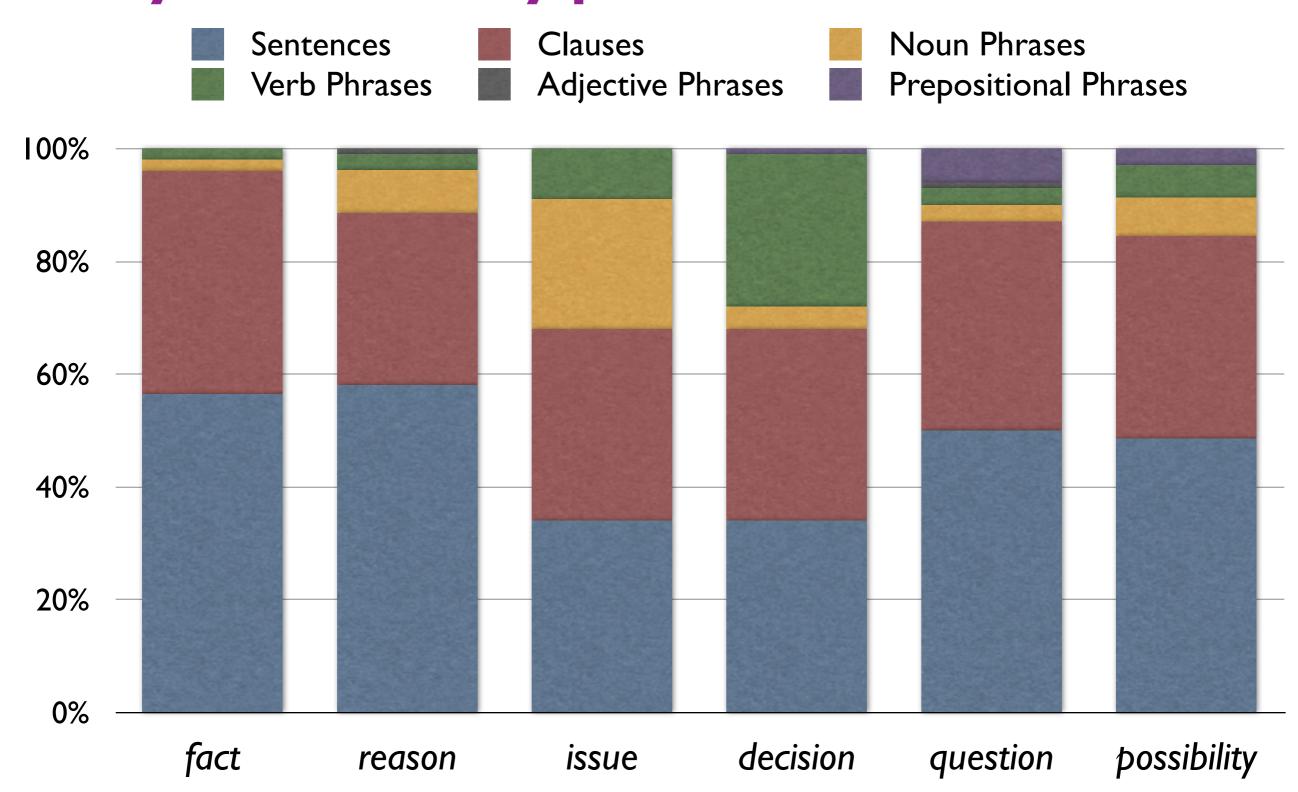
#### Conclusion

- Examined feasibility of annotating antecedents of ASNs (e.g., this issue, this fact) using crowdsourcing
  - Most of the time, at least half of the annotators converged on one answer
- Evaluated crowd-annotations using experts
- Resulted in an ASN antecedent corpus containing 1,810 instances with highconfidence annotation

# Error Analysis

- Problem with agreeing on None
- Multiple possible answers
- Hard instances
- Different strings representing similar concepts

### Syntactic Type Distribution



## Hard Examples

Several Vatican officials said, however, that any such talk has little meaning because the church does not take sides in elections. But the statements by several American bishops that Catholics who vote for Mr. Kerry would have to go to confession have raised the question in many corners about whether this is an official church position.

The church has not addressed this question publicly and, in fact, seems reluctant to be dragged into the fight..."

## Hard Examples

Any biography of Thomas More has to answer one fundamental question. Why? Why, out of all the many ambitious politicians of early Tudor England, did only one refuse to acquiesce to a simple piece of religious and politica opportunism? What was it about More that set him apart and doomed him to a spectacularly avoidable execution?

The innovation of Peter Ackroyd's new biography of More is that he places the answer to this question outside of More himself.