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# G

## Geons

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## 7 Synonyms

- 8 [Recognition-by-components \(RBC\) theory](#)

## 9 Related Concepts

- 10 ▶ [3-D Object Recognition from 2-D Images](#);  
 11 ▶ [Generalized Cylinder](#); ▶ [Human Shape Perception](#);  
 12 ▶ [Object Categorization](#); ▶ [Qualitative Shape Modeling](#)  
 13

## 14 Definition

15 Geons are a set of less than 50 qualitative 2-D or  
 16 3-D part classes derived from permuting a set of  
 17 four dichotomous and trichotomous properties of a  
 18 generalized cylinder (GC). The values of these prop-  
 19 erties are nonaccidental in that they can be resolved  
 20 from a general viewpoint, e.g., whether the axis of  
 21 a cylinder is straight or curved. Geons were origi-  
 22 nally introduced by Biederman [9, 10] as the founda-  
 23 tion for his recognition-by-components (RBC) theory  
 24 for human shape perception, whereby object-centered

models are represented as concatenations of geons, 25  
 and object recognition from a 2-D image proceeds 26  
 by matching recovered parts, typically segmented at 27  
 regions of matched concavity, and their relations to 28  
 object models. 29

## Background 30

The concept of modeling an object as a composition of 31  
 generalized cylinders dates back to Binford [18], who 32  
 spawned a generation of object-recognition systems 33  
 based on generalized cylinders, e.g., [20, 44, 45, 51, 34  
 54]. Generalized cylinders suffered from unbounded 35  
 complexity, for arbitrarily complex functions could be 36  
 used to define the axis, cross section, and sweep func- 37  
 tions. As a result, it became popular to restrict the com- 38  
 plexity of these functions, e.g., straight axis, constant 39  
 or linear sweep, rotationally symmetric cross section, 40  
 in order to facilitate their overconstrained recovery 41  
 from sparse image data. 42

In the mid-1980s, two alternative restrictions on 43  
 generalized cylinders emerged from the computer 44  
 vision and human vision communities, respectively. 45  
 Pentland [46] introduced the superquadric ellipsoid to 46  
 the computer vision community – a 3-D, symmetry- 47  
 based part representation that afforded a large degree 48  
 of descriptive power with a small number of parame- 49  
 ters. Around the same time, Biederman [9, 10] intro- 50  
 duced geons to the human vision community as part 51  
 of his recognition-by-components (RBC) theory. Like 52  
 superquadric ellipsoids, geons exploited symmetry 53  
 to reduce the complexity of a generalized cylinder. 54  
 However, while the superquadric ellipsoid was a 55

56 metric shape representation, the geon was a qualitative  
 57 shape categorization. Thus, when a superquadric ellip-  
 58 soid was recovered from an image, the recovered  
 59 parameters defined a specific shape (a generative  
 60 model), whereas when a geon was recovered from an  
 61 image, it defined a symbolic part class (non-generative  
 62 category) with only coarse (rather than exact) metric  
 63 specification.

64 The appeal of the geon was twofold: (1) its proper-  
 65 ties were based on the sorts of judgments that humans  
 66 are very good at, e.g., judging whether a line was  
 67 straight or curved rather than estimating its exact  
 68 curvature; and (2) each geon class afforded a high  
 69 degree of within-class shape deformation, offering  
 70 great potential for shape categorization and invariance  
 71 over orientation in depth. While extensive experiments  
 72 with humans and primates that lent strong support  
 73 of his RBC theory, the computer vision community  
 74 quickly set out to develop computational models for  
 75 geon recovery from 2-D images.

## 76 Theory

77 Geons define a partitioning of a subspace of the gener-  
 78 alized cylinders. Like generalized cylinders, each geon  
 79 is defined by its axis function, its cross-section func-  
 80 tion, and its sweep function. Biederman noted that  
 81 humans are (1) much better at distinguishing between  
 82 straight and curved lines than they are at estimating  
 83 curvature; (2) much better at distinguishing parallelism  
 84 from nonparallel symmetry than they are at estimating  
 85 the angle between two causally related lines; and (3)  
 86 good at distinguishing between various types of ver-  
 87 tices produced by a cotermination of contours, such  
 88 as a fork from an arrow from a L-junction. Drawing  
 89 on these properties of the human visual system, Bie-  
 90 derman mapped the spaces of the three generalized  
 91 cylinder parameters to dichotomous and trichotomous  
 92 values (Fig. 1):

- 93 • *Axis shape*: the axis takes on two possible values:  
 94 straight or curved.
- 95 • *Cross-section shape*: the cross-section shape takes  
 96 on two possible values: straight-edged or curved-  
 97 edged.
- 98 • *Sweep function*: the cross-section sweep function  
 99 takes on four possible values: constant, mono-  
 100 tonically increasing (or decreasing), monotonically

increasing and then decreasing, or monotonically 101  
 decreasing and then increasing. 102

- *Termination*: given a nonconstant sweep function, 103  
 the termination of a geon could be truncated, end 104  
 in a point (projects into an L-vertex), or end as a 105  
 curved surface. 106

Originally, Biederman [10] posited cross-section 107  
 symmetry as another attribute (with three possible 108  
 values: rotationally symmetric, possessing an axis of 109  
 reflective symmetry, or asymmetry) but that attribute 110  
 was dropped as experiments showed that people 111  
 assume symmetrical cross-sections, even when the 112  
 cross-section is asymmetrical (as with an airplane 113  
 wing). 114

Permuting the possible values of these four func- 115  
 tions defines a space of  $2 \times 2 \times 4 \times 3 = 48$  3-D 116  
 geons, as illustrated in Fig. 1. Adding 2D geons, e.g., 117  
 circle, quadrilateral, and triangle, and subtracting the 118  
 eight instances of constant sweep (2 axis shape  $\times$  2 119  
 cross-section shape  $\times$  2 point and curved terminations) 120  
 when the sweep function is constant brings the total to 121  
 about 50. 122

## Related Work

123  
 Hummel et al. [37, 38] first proposed a connection- 124  
 ist model for recovering geons from line drawings 125  
 that achieved invariance to viewpoint. In the com- 126  
 puter vision community, Bergevin and Levine were 127  
 the first to propose a computational model for geon 128  
 recovery and geon-based recognition [4–8]. Dickinson 129  
 et al. [27–29] introduced a hybrid object representa- 130  
 tion combining 3-D object-centered volumetric parts 131  
 and 2-D viewer-centered aspects modeling the parts. 132  
 While the framework was applicable to any vocab- 133  
 ulary of volumetric parts, it was demonstrated on a 134  
 qualitative shape vocabulary very similar to geons. 135  
 Many geon-based frameworks followed, including 136  
 probabilistic approaches [39], logic-based approaches 137  
 [32], parametric geon recovery from range data [26, 138  
 48, 53], deformable contour-based approaches [47], 139  
 deformable volume-based approaches [25], and active 140  
 vision approaches [24, 31]. See [23] for a panel dis- 141  
 cussion on the strengths and weakness of geons and 142  
 the challenges that lie ahead. 143

144 **Open Problems**

145 Geons have tremendous potential as a part representation in support of object categorization. They are qualitative and can support a high degree of within-class deformation, they (like generalized cylinders) map to the natural part structure of objects (when such elongated part structure exists), they are viewpoint-invariant 3-D parts that support object-centered 3-D models (which, in turn, better support scaling to large databases), and there is psychophysical support for them (the human is still, by far, the best example of an object categorization system). Despite these advantages, geons declined as a subject of study in the computer vision community in the late 1990s, in part due to the advent of appearance-based recognition and a general movement away from shape features.

160 The main reason for their decline was not necessarily a shortcoming of the representation, i.e., geons, but rather the community’s inability to extract qualitative shape from real images of real objects. Except for those approaches operating on range images, the work reviewed above operated on either line drawings or uncluttered scenes containing simple, textureless objects. The key assumption made by these systems was that a salient contour in the image maps one-to-one to a salient surface discontinuity (or occluding contour) on a geon. Unfortunately, in a real scene, objects contain texture, shadows, reflectance contours, and structural “noise” (surface discontinuities that are not salient with respect to the geon class), all of which introduce unwanted contours. Moreover, images of contours (both good and bad) may be broken or noisy, requiring complex perceptual grouping and multiscale analysis to restore and capture the salient shape of the contours. Yet despite these conditions, humans and primates have absolutely no trouble distinguishing (or abstracting) those contours that mark orientation and depth discontinuities – the critical contours for geon extraction – from contours reflecting variations in surface texture, color, lighting, shadows, etc.

184 As discussed in Dickinson [22], the recognition community’s gradual movement from shape toward appearance, coupled with the community’s interest in engineering practical systems, drew attention away from basic research on shape modeling in support of object categorization. However, the community is once again realizing that over the set of exemplars belonging

to an object category, shape is far more invariant than appearance. As a result, shape-based object categorization systems (mainly using contours) are beginning to reemerge, e.g., [33]. But a return to local contour-based features is not sufficient, as local shape features are still too exemplar-specific. Rather, such features must be perceptually grouped and abstracted to form more generic shape structures that offer the within-class deformation invariance required for effective categorization. Geons offer a powerful shape abstraction with great categorization potential, but only when more progress has been made on the mid-level challenges of perceptual grouping and intermediate-level shape abstraction. Some early work along these lines has started to appear [50].

**Experimental Results: Computer Vision**

Figure 2 illustrates three examples of geon recovery systems in the computer vision community. In Fig. 2a, the system of Bergevin and Levine [7] recovers geons from line drawings. In Fig. 2b, the system of Dickinson et al. [24] recovers geon-like volumetric parts from real images of simple objects, as does the system of Pilu and Fisher [47], as shown in Fig. 2c.

**Experimental Results: Human Vision**

There is now substantial neural and behavioral evidence for the representation of objects as an arrangement of geons, as specified by the recognition-by-components theory. This evidence can be summarized in terms of six independent assumptions. Any one (or several) of these assumptions can be made independent of RBC but, to date, RBC is the only theory from which all six derive.

The representation of an object is largely edge-based – specifically, those edges specifying orientation and depth discontinuities – rather than surface-based (i.e., color, texture).

Reaction times (RTs) and error rates for naming briefly presented images of objects are as fast for line drawings as they are for full, color photography [16]. This is also true of verification in which the observer verifies whether a name (“chair”), provided prior to an

232 image of an object, matches the object. The equiva-  
 233 lence in performance for identifying line drawings and  
 234 photography is evident even when the objects have a  
 235 diagnostic color/texture, such as a fish, fork, or banana,  
 236 as opposed to objects with nondiagnostic surface prop-  
 237 erties, e.g., a chair or a lamp, which can be any color  
 238 or texture.

239 The equivalence of photography and line drawings  
 240 is also witnessed in fMRI activity where the adaptation  
 241 (i.e., the reduction) of the BOLD signal that is evident  
 242 with a repetition of a stimulus, fMRI-a, is the same  
 243 when the images have the same format, i.e., identical  
 244 photographs or line drawings, as when they have dif-  
 245 ferent formats, one a photograph and the other a line  
 246 drawing [34]. This invariance to surface properties is  
 247 also seen in the response of many single neurons in  
 248 object-sensitive areas in the macaque [41]. In fMRI,  
 249 the processing of surface properties, color and texture,  
 250 activates different cortical areas than those activated  
 251 when processing shape [21].

252 There are few transformations to appearance as dra-  
 253 matic as rendering a line drawing from a photograph  
 254 yet the readily achieved invariance to this transfor-  
 255 mation poses a major challenge to appearance-based  
 256 theories of object recognition.

257 Objects are represented by parts rather than local features,  
 258 templates, or concepts.

259 Object priming is the facilitation that ensues as a  
 260 consequence of a prior perception of an object. It can  
 261 be readily evidenced by a reduction in RTs and error  
 262 rates in the naming of brief, masked presentations of  
 263 objects and has been documented over a 14-month  
 264 period from the first to second presentation of the  
 265 images. (The reduction in the magnitude of the BOLD  
 266 response to a repeated stimulus, termed fMRI adap-  
 267 tation, is generally attributed to more efficient coding  
 268 and is interpreted as a neural correlate of priming.)  
 269 Almost all of this priming is visual (i.e., perceptual)  
 270 rather than lexical (easier access to the name itself)  
 271 in that an object with the same name but a substan-  
 272 tially different shape, e.g., a grand piano followed by  
 273 an upright piano, evidences almost no facilitation.

274 Studies with complementary, contour-deleted line  
 275 drawings document that all the priming can be  
 276 attributed to the repetition of the parts (in their appro-  
 277 priate relations) as opposed to local features, i.e., the  
 278 specific lines and vertices in the image [14]. Thus, if  
 279 every other vertex and line from each geon is deleted

280 from one image of an object and the deleted contour  
 281 composes the other member of a complementary pair,  
 282 as in the two images of a flashlight on the left side of  
 283 Fig. 3a (so if the two were superimposed they would  
 284 comprise an intact image with no overlap of contour),  
 285 the degree of priming between members of a comple-  
 286 mentary pair – which depict the same parts though  
 287 with different local contours – is equal to the prim-  
 288 ing between identical images. This implies that none  
 289 of the priming can be attributable to the local contours  
 290 (i.e., the local lines and vertices). Presumably, the local  
 291 contours are required to activate a representation of the  
 292 part, but once that part (in its appropriate relations) is  
 293 activated there is no contribution of the initial local  
 294 image features.

295 Instead of deletion of local features, if the dele-  
 296 tion is of half the parts of a complex object, as shown  
 297 in Fig. 3b, then there is no visual priming between  
 298 members of a complementary pair. Thus the priming is  
 299 completely dependent on the overlap in the parts in the  
 300 two images. These effects on behavioral priming have  
 301 their exact counterpart in fMRI-a. Here, local feature  
 302 complements show the same reduction in the BOLD  
 303 response as when the identical images are repeated,  
 304 suggesting equivalent representations, but repetition of  
 305 part complements show a complete loss of adaptation  
 306 thus indicating that there is no overlap in visual repre-  
 307 sentations when the images are composed of different  
 308 parts, even though they are of the same subordinate  
 309 concept, e.g., both grand pianos [35].

310 Evidence against a template representation derives  
 311 from studies of the priming of depth-rotated stimuli.  
 312 As long as the same parts can be readily extracted in  
 313 two different images of the same object, recognition or  
 314 matching of a rotated object will be achieved with vir-  
 315 tually no cost. However, if because of self-occlusion  
 316 some parts disappear and other parts emerge, then  
 317 priming is reduced or object matching is impaired [15].

318 Single cell recordings in the inferior temporal lobe  
 319 (IT) of the macaque, the area generally accepted to  
 320 mediate object recognition, generally fire as strongly  
 321 to one or two of the parts of an object as they do to the  
 322 complete object [40].

323 Parts are distinguished by nonaccidental properties  
 324 (NAPs) and only coarsely by metric properties (MPs).

325 Values of various dimensions of geons can be  
 326 regarded as singular or nonsingular. A singular value,  
 327 such as 0 curvature (i.e., a straight contour), retains

328 that value as the object is rotated in depth. A nonsingu-  
 329 lar value, such as a nonzero value of curvature (i.e., a  
 330 curved contour), can vary with the orientation in depth  
 331 of that contour. In addition to curvature, parallelism of  
 332 two contours can have a singular value of zero con-  
 333 vergence (or divergence) or a nonzero value. Two or  
 334 three contours that coterminate can be regarded as a  
 335 singular value of zero separation between their termi-  
 336 nations, forming vertices, such as Ls, arrows, or forks.  
 337 This framework can define NAP differences as the  
 338 difference between singular and nonsingular values as,  
 339 e.g., a difference between a curved and a straight con-  
 340 tour produced by the parallel sides of the cylinder on  
 341 the left in the third row of Fig. 3c and the middle bar-  
 342 rel. Metric differences are differences in non-singular  
 343 values, such as two contours with unequal nonzero cur-  
 344 vatures, as with the slightly curved and more curved  
 345 barrels in the third row.

346 The aforementioned invariance to rotation in depth  
 347 holds only if the objects that are to be discrimi-  
 348 nated differ in NAPs [13, 15]. Objects differing only  
 349 in metric properties incur high costs when they are  
 350 encountered at a different orientation in depth. At equal  
 351 orientations, the discrimination of two shapes as being  
 352 same or different is markedly easier if the shapes dif-  
 353 fer in NAPs than MPs [17]. Cells in the IT region  
 354 of the macaque modulate (i.e., vary their firing rate)  
 355 much more to a change in a NAP compared to an MP  
 356 [41, 52]. Even pigeons show greater sensitivity to dif-  
 357 ferences in NAPs than MPs [1]. In these comparisons  
 358 of the sensitivity of NAPs and MPs, the physical dif-  
 359 ferences are equated according to a model of V1 [42],  
 360 the first stage of cortical shape coding.

361 Dimensions of generalized cylinders (GCs) are inde-  
 362 pendently coded and have psychophysical and neural  
 363 reality.

364 The set of geons is generated by combinations of the  
 365 values of the independent dimensions shown in Fig. 1.  
 366 (In addition, as noted previously, there can be coarse  
 367 variation in the metric of these geons, such as their  
 368 aspect ratio or degree of axis curvature.) Are simple  
 369 object parts actually coded by independent combina-  
 370 tions of these dimensions (vs. just being nondimen-  
 371 sionalized variations in shape templates)? One mea-  
 372 sure of independent coding of perceptual dimensions  
 373 is whether human observers can selectively attend to  
 374 one dimension without any cost from variations in  
 375 another, to-be-ignored, dimension. For example, the

speed and accuracy of discriminating different shapes 376  
 is unaffected by whether the colors of those shapes 377  
 are held constant or varied. It might seem plausible 378  
 that shape could be attended while ignoring a surface 379  
 feature such as color. Would efficient selective atten- 380  
 tion be manifested when observers are attending to 381  
 one shape dimension, say axis curvature, while ignor- 382  
 ing variations in another shape dimension, say aspect 383  
 ratio. The answer is clearly yes [43]. Moreover, a mul- 384  
 tidimensional analysis of the firing of a population of 385  
 IT cells to a set of stimuli similar to that depicted in 386  
 Fig. 3c shows that 95% of the variance of the spike 387  
 rates can be modeled in terms of independent coding 388  
 of the GC dimensions [40]. 389

Low sensitivity for discriminating complex, irregular 390  
 shapes (= texture?) compared to simple shapes but high 391  
 sensitivity for distinguishing regular from irregular. 392

Geons are simple and regular. What about complex, 393  
 highly irregular objects, such as a bush or a crumpled 394  
 sweater? It would be highly unlikely that people are 395  
 employing geons for the precise representation of such 396  
 objects. Interestingly, the evidence is that people do not 397  
 represent such variation in any detail beyond the fact 398  
 that the shapes are irregular and some simple nonac- 399  
 cidental characterizations, e.g., whether the surfaces 400  
 are round or pointed. This is also true of IT cells [2]. 401  
 Essentially, objects with irregular parts are treated as 402  
 texture, rather than shape. 403

There is a more general point to be made here. GCs 404  
 (and geons) were criticized for their unwieldiness for 405  
 modeling objects such as bushes. But this is confus- 406  
 ing a graphics system, in which the goal is to achieve 407  
 an exact replica of the image, with a biological recog- 408  
 nition system designed to do basic- and subordinate- 409  
 level classification in which irrelevant variation is best 410  
 ignored. 411

Objects are represented by a structural description that 412  
 specifies simple parts and relations. 413

Geons are the representation of the parts of an 414  
 object, but objects are typically composed of more than 415  
 one part. In the same manner that people are sensi- 416  
 tive to the order of phonemes, so “rough” and “fur” 417  
 have the same phonemes but in different order, peo- 418  
 ple are sensitive to the arrangement of parts of an 419  
 object, so they can say, e.g., that a vertical cylinder 420  
 is attached end-to-middle and perpendicular to the top 421  
 of a larger horizontal brick. That geons and their rela- 422  
 tions may be coded independently is documented by a 423

424 remarkable patient with a left inferior temporal lesion  
 425 who had no problem distinguishing objects differing  
 426 in their geons but could not distinguish objects that  
 427 differed in the relations among the same geons [3].  
 428 Recent neuroimaging studies show that such relations  
 429 are specified explicitly at the same cortical locus, the  
 430 lateral occipital complex, that object shape is specified  
 431 [36].

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**Geons, Fig. 1** The space of approximately 50 geons is defined by permuting the dichotomous and trichotomous properties of a restricted space of generalized cylinders

The set of geons is generated by variations in the production function for generalized cylinders that produce viewpoint-invariant (= nonaccidental) shape differences

1. Cross Section: Straight vs. Curved



2. Axis: Straight vs. Curved



3. Size of Cross Section:

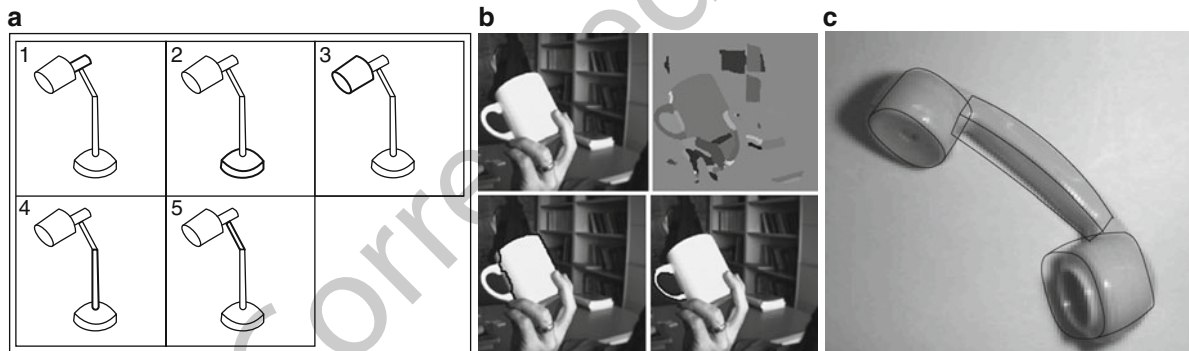
Constant (parallel sides) vs. Expand vs. Expand & Contract vs. Contract & Expand



4. Termination of Geon when Nonparallel: Truncated vs. Pointed vs. Rounded

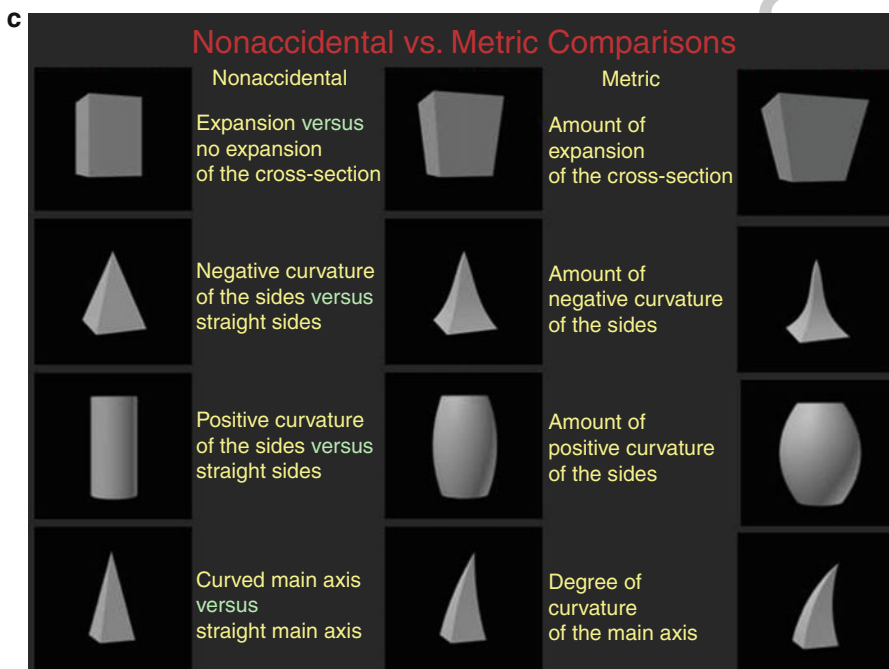
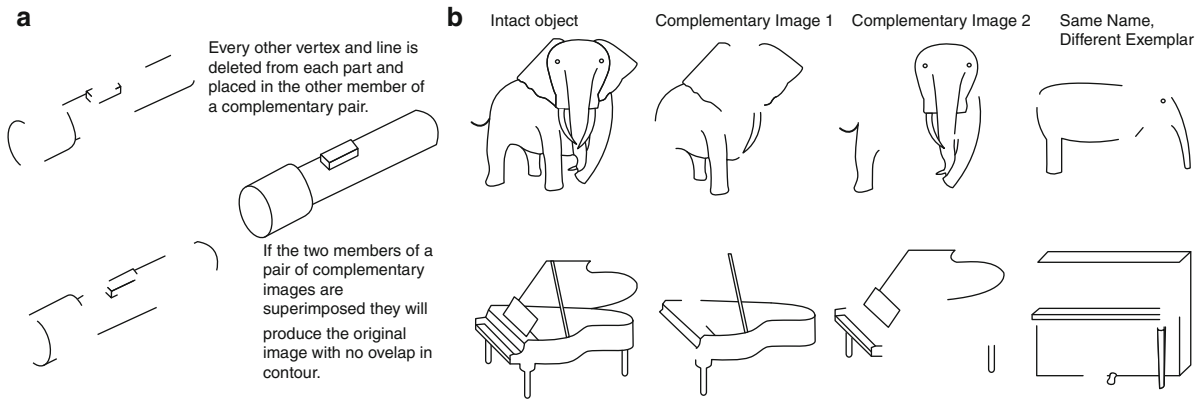


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**Geons, Fig. 2** Three examples of geon recovery in the computer vision community: (a) decomposing a line drawing of a lamp into its constituent geon parts (Bergevin and Levine [7]); (b) from a region segmentation (*upper right*) of the image of an occluded cup (*upper left*), the two recovered constituent

qualitative volumetric parts (with matched contours highlighted in black) are shown in *lower left* (body cylinder) and *lower right* (handle bent cylinder) (Dickinson et al. [24]); and (c) decomposing a phone into its constituent geons parts (Pilu and Fisher [47])



**Geons, Fig. 3** Psychophysical evidence in support of Geons: (a) members of a local contour-deleted complementary pair, which have the same parts but different local features, prime each other as much as they do themselves; priming is not attributable to local contours; (b) there is no visual priming between members of a complementary pair when they have no parts in common, as between the images of the second and

third columns [14]; and (c) equal image differences between nonaccidental (between center and left columns) and metric properties (between center and right columns). Geons are distinguished by nonaccidental properties. Discrimination is much faster and more accurate for differences in nonaccidental than metric properties