Faculty of Arts and Science
University of Toronto

Midterm Test

Department: Computer Science
Instructor: Steve Easterbrook
Date and Time: 9:10am, Friday November 5, 2004

Conditions: Closed Book
Duration: 50 minutes

This test counts for 20% of your final grade

Name: ____________________________________________
(Please underline last name)

Student Number: __________________________________

Question Marks

1 ____________/20
2 ____________/20
3 ____________/30
4 ____________/30

Total__________/100
1. [Short Questions; 20 marks total]

(a) [Software Lifecycles – 5 marks] Name two alternatives to the waterfall model. What are the advantages and disadvantages of each model when used to plan a software development project?

Spiral model.
Advantages: allows for iterative development, with prototyping and risk management built in to the process. Disadvantages: Might be expensive (and slower) to do lots of iterations. Not clear what happens if there are unexpected changes in business priorities,

Incremental development.
Advantages: don’t need to understand all the requirements before developing the first version. Lessons from early versions feed into later versions. Disadvantages: hard to plan for versions beyond the first. Lessons from early versions might be learnt too late. Might mislead customer if first version doesn’t match many of their requirements.

[Notes: Other possible lifecycles models include: Incremental development, prototyping, V-model, agile development, XP, etc. Must have both advantages and disadvantages for two different models to get full marks.]

(b) [Professional Ethics – 5 marks] Describe (in your own words) two different guidelines that you might expect to find in a professional code of ethics for software engineers. In what way are these elements important in Requirements Engineering?

Sample answer:

1) “Software Engineers should always act consistently with the public interest.”
2) “Software Engineers should ensure that their products and related modifications meet the highest professional standards possible.”

In requirements engineering, (1) suggests that the requirements engineer should take into account the needs of the general public as a stakeholder in any systems analysis. For example, if a proposed system might cause harm to people, the environment, or might disadvantage certain groups in society, this should be described fully in the analysis. (2) suggests that requirements engineers should make sure they use industry standards, and adopt state-of-the-art methods and tools, wherever these are shown to be superior.

[Notes: give credit for any other reasonable guidelines. Must give clear description of how both the stated guidelines apply in RE to get full marks.]
(c) [Systems Theory – 5 marks] In systems thinking, the principle of complementarity suggests that different stakeholders are likely to tell you different things about a system they observe. Explain the principle, and state the circumstances to which it applies. Why is it hard to eliminate complementarity?

Two descriptions of a system are said to be complementary if they overlap in some respects, but neither can be entirely explained in terms of the other. The principle of complementarity says that this is likely to occur whenever we have different stakeholders giving us partial views of a complex system.

Complementarity disappears if the views are not partial, ie. if each stakeholder describes everything that it is possible to observe about a system. However, this is hard to achieve in practice with complex systems, because it is impossible for anyone to observe and describe the system fully. Each person’s view is filtered by their own interests and values.

(d) [Use Case Diagrams – 5 marks] Draw a Use Case Diagram for a voting machine, on which voters can see a list of candidates and select one to vote for. The machine should check that each voter is eligible to vote. The electoral registrar will also want to print a summary of the total votes for each candidate, and (separately) a list of the voters who have voted, and a list of those who haven’t. In case of a dispute, the machine should also list a complete record of who voted for whom, but only a judge can use this function.

![Use Case Diagram](attachment:vote_machine.png)

Notes: The “extends” link from the judge’s use case isn’t obvious, so don’t penalize if they missed it.
2. [Entity-Relationship Models – 20 marks] The following two alternative Entity-Relationship models have been proposed as the basis for a database to hold information about elected presidents and senators in US elections:

![Entity-Relationship Models Diagram]

a) [8 marks] An instance of a relationship is a tuple of entity instances (and attribute values where applicable). Write down one example instance for each of the four relationships shown above. Make up some names if you don’t know any American politicians!

President: <George W. Bush, Republican Party, 2004>
Held: <William J. Clinton, President, 1992, 2000>
Member: <John F. Kerry, Democratic Party>

b) [4 marks] Give an example of a situation that can be represented in the first model, but not the second, and an example of a situation that can be represented in the second model but not the first.

The first model allows for the case where a politician changes party between elected offices, because party is a part of each 'elected' relationship. The second model can’t capture this.

The second model copes well with unusual length electoral terms, because the end of the elected period is explicitly represented. E.g. if an elected office was vacant for a year, it would show up as a gap in the data in the second model, but not in the first. The second model can also accommodate a candidate who is a member of more than one party at once!

c) [8 marks] Add multiplicities to both diagrams to show the following constraints. If a constraint cannot be expressed using multiplicities on one or both models, say so below.

i. A person/candidate is either a member of a single party, or is an independent.

Cannot be expressed on the first model; (0,1) between candidate and member on second

ii. A person/candidate can be elected president only twice, but a senator can be elected many times.

(0,2) and (0,n) at ‘Person’ on first model; Cannot be expressed on the second

iii. In any given year, up to 100 senators can be elected, but only one president can be elected.

(0,1) and (0,100) at ‘year elected’ on first model; Cannot be expressed on the second

iv. A person/candidate can only hold one elected office at once.

Cannot be expressed on either model.
3. **[Sequence Diagrams – 30 marks]** Draw a Sequence Diagram for the process of registering a new voter who is not currently registered to vote in an upcoming election. Assume that a voter starts by checking the online electoral registration database, to see if she is listed. When she finds that she is not listed, she contacts the electoral registrar, who sends her an application form. As part of the application, she needs to contact the police, to request a copy of her police record, as persons with recent criminal convictions cannot vote. She then sends the police record along with the application form to the registrar. The registrar checks the form is filled out correctly, and then enters her details in the registration database. The registrar then sends an acknowledgement to the voter, who finally checks the online registration database again to confirm that her application was processed.

![Sequence Diagram](image)

**Notes:** This solution assumes that the voter accesses the registration database through a separate web service front end, even though this isn’t stated explicitly in the question. It also assumes the police record is obtained by contacting a person in the police department, rather than say through a form or a web service. There are many different ways of labeling the messages, and arranging the diagram. Credit is given for any solution that captures all the important steps described in the question.
4. [Statechart Diagrams – 30 marks] Draw a statechart diagram for the states that a voter can be in before and during an election. Assume that all voters start out as unregistered. A voter can apply to be registered, at which point her status is ‘registration pending’. Once the registration is approved, the voter is ‘registered’. At any point, the voter’s status can be changed to ineligible, if she moves to a different state, or acquires a criminal record. An ineligible voter can apply to register again. All registration activity ceases once the polls open. If a voter is registered, or has registration pending, she will be allowed to vote. In order to vote, she has to queue at a polling station (if she gets bored of queuing, she can always leave, and may come back later to queue again). When she reaches the front of the queue, the registrar checks her identity. If the check passes, the voter can enter a voting booth to vote. Once she leaves the booth, she is recorded as having voted (even if no vote was cast). At 6pm on election day, the polls close, the election is over, and nobody can vote any more. However, if a voter is already in a queue waiting to vote, she will still be allowed to vote (i.e. the polls cannot close if there is anyone still in the queue or the voting booths).

Notes: This solution suggests voters who aren’t eligible to vote can’t even queue, which is probably not true in reality. Other ways of grouping states into superstates are possible – this one was chosen for convenience to minimize number of repeated transitions.