### Knowledge Compilation and Theory Approximation

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#### Overview

- Problem
- Approach
- Horn Theory and Approximation
- Computing Horn Approximations
- Empirical Results
- Generalizations

## **Problem Formulation**

- Goal: Inference in clausal propositional KBs  $\Sigma$  is the KB/theory,  $\alpha$  is a CNF formula
  - inference is checking  $\Sigma \vDash \alpha$
- NP-complete (reduction to SAT)
- Alternatives
  - Restricted language: limited expressiveness
  - Incomplete inference, either resource-bounded or non-traditional inference: inconclusive results

# Approach

- Basis: Compile (rewrite) KB into tractable language
- Not always possible, e.g. with incomplete languages
- Compromise: <u>approximate</u> KB using tractable language

# **KB** Approximation

- Approximate  $\Sigma$  using  $\Sigma_{\text{lb}}$  and  $\Sigma_{\text{ub}}$  such that
  - inference in  $\Sigma_{\text{lb,ub}}$  is fast
  - bound the original theory:  $\Sigma_{\mathsf{lb}} \vDash \Sigma \vDash \Sigma_{\mathsf{ub}}$
  - in other words,  $\mathcal{M}(\Sigma_{\mathsf{lb}}) \subseteq \mathcal{M}(\Sigma) \subseteq \mathcal{M}(\Sigma_{\mathsf{ub}})$ 
    - $\mathcal{M}(\Sigma)$ : models of  $\Sigma$
- $\Sigma_{glb}$  is greatest/weakest lower-bound -  $\neg \exists \Sigma' \cdot M(\Sigma_{glb}) \subset M(\Sigma') \subseteq M(\Sigma)$
- $\Sigma_{\text{lub}}$  is least/strongest upper-bound -  $\neg \exists \Sigma' \cdot M(\Sigma) \subseteq M(\Sigma') \subset M(\Sigma_{\text{lub}})$
- Transitivity of ⊨ leads to fast querying scheme

#### Fast Querying Scheme



# Horn Theory

• Form: Subset of CNF with at most one positive literal per clause

-e.g. clause:  $(\neg p \lor \neg q \lor r) \equiv (p \land q \Rightarrow r)$ 

- Natural form for some domains, e.g. Prolog rules
- Inference: Linear in size (number of literals) of KB and query
- Incomplete: e.g. cannot represent p ∨ q as a Horn clause (since it has two models)

### Horn Approximation Example

• Non-Horn theory  $\Sigma$ :

$$(\neg a \lor c) \land (\neg b \lor c) \land (a \lor b)$$

- e.g. Horn LB: a  $\wedge$  b  $\wedge$  c
- e.g. Horn GLBs: a  $\wedge$  c, b  $\wedge$  c
- No Horn theory Σ' ≠ a ∧ c such that
  (a ∧ c) ⊨ Σ' ⊨ Σ
- e.g. Horn UB:  $(\neg a \lor c) \land (\neg b \lor c)$
- Horn LUB: c
- No Horn theory  $\Sigma' \neq c$  such that  $\Sigma \models \Sigma' \models c$

## **Computing Horn Approximations**

- Worst-case approximation time is  $O(2^{|\Sigma|})$ 
  - either |approx| is  $O(2^{|\Sigma|})$  or – |approx| is  $O(|\Sigma|^n)$  and computation time is  $O(2^{|\Sigma|})$
- Reasonable trade-off if we do many queries
- Compromise: incremental "anytime" approximation

# Computing the Horn GLB

- Basis of method: Horn-strengthening
  - def: weakest Horn-clause subsuming a clause wrt. a positive literal
    - e.g. { $\neg p$ , q, r} has Horn-strengthenings { $\neg p$ , q} and { $\neg p$ , r}
  - so remove all but one positive literal
- Lemma 1: If a Horn theory entails clause  $\alpha$ , then it entails some Horn-strengthening of  $\alpha$
- Lemma 2: Every GLB of a theory is equivalent to some Horn-strengthening of the theory
- So searching through strengthenings for each clause will obtain the GLB; gives rise to algorithm ...

# Generate\_GLB

Input: a set of clauses  $\Sigma = \{C_1, C_2, ..., C_n\}$ Output: a Horn GLB of  $\Sigma$ 

begin

L := first Horn-strengthening of  $\Sigma$ 

loop

L' := next Horn-strengthening of  $\Sigma$ 

if none exists then exit loop

if  $L \models L'$  then L := L' /\* found weaker LB \*/

end loop

remove subsumed clauses from L

return L

end

## Computing the Horn LUB

- Basis of method: Prime implicate
  - A strongest clause implied by  $\Sigma$ - In other words:  $\Sigma \models C$  and  $\neg \exists C' \subset C \cdot \Sigma \models C'$
- Horn LUB  $\equiv$  all Horn prime implicates of  $\Sigma$
- Thus, naive Generate\_LUB: Compute all resolutions in Σ, and collect Horn prime implicates
  - resolution is complete

## Size of Approximations

- $|GLB| \leq |\Sigma|$  (recall Generate\_GLB)
- Theorem: There exist theories  $\Sigma$  such that  $|LUB| \in O(2^{|\Sigma|})$ , so LUB is EXP in the worst case
- Compromise: Theory Compaction
  - Transform original theory to obtain relatively smaller LUB
  - Theorem: compaction can't always help either

# **Empirical Results**

- Hypothesis: Fast querying with approximations can efficiently answer queries that are intractable in the original theory
- Theoretical Motivation
  - Finding model of theory with unique model is intractable
  - Probablistic analysis of inference in hard random theories

## **Empirical Results**

- Experiment: Compare execution time and coverage against Davis-Putnam on hard random theories
- Results
  - All queries answered
  - Total time (bounds and querying) significantly better than DP

#### Generalizations

- Parameters: languages of original theory, approximation, and query
- Formally, elements of framework are  $-\mathcal{L}, \vDash, \mathcal{L}_{S}, \mathcal{L}_{T}, \mathcal{L}_{O}, f_{I,U}: \mathcal{L}_{S} \times \mathbb{N} \rightarrow \mathcal{L}_{T}$
- For all classes of propositional clauses  $\boldsymbol{\theta}$ 
  - ✓ Generate\_GLB if (i)  $\theta$  is closed under resolution and (ii) every clause is subsumed by a  $\theta$  clause
  - ✓ Generate\_LUB if (iii) θ is closed under subsumption

#### **Alternative Clausal Languages**

- Satisfying (i), (ii), and (iii)
  - Reverse-horn: {p  $\lor$  q  $\lor \neg$ r}
  - Binary: {p  $\lor \neg q$ , r}
  - Unit: {p, ¬q, r}
- Requiring modification to compilation algorithms:
  - k-Horn: Horn w/at most k literals per clause (violates (i), LUB has polynomial size)

### **Alternative Logics**

- First-Order Logic
  - In general, <u>GLB</u> in ground clauses is not well-defined
    - e.g. for  $\exists x.P(x)$  exists an infinite series of better LBs
  - Special case: first-order clauses (prenex, universal, clausal body) have Horn GLBs

• e.g.  $A(p) \lor B(q) \lor \neg C(r) \Leftarrow A(p) \lor \neg C(r)$ 

- Exist finite first-order clausal theories with no finite Horn LUB (even without function symbols)
- Description Logic (concepts, subsumption)
  - Exists a tractable subset of  $\mathcal{FL}$ ,  $\mathcal{FL}^-$
  - Compute and store tractable subsumption bounds for each concept

## **Related Work**

- Darwiche and Marquis paper considers compiling to complete languages with polytime entailment
  - Horn is incomplete but permits anytime approx
  - Only considering CE here
  - D&M concerned with more general queries and theory transformation