

## CFL's and Noncomputability

These brief notes are intended to supplement the text **Introduction to the Theory of Computation** by Michael Sipser, Third Edition.

We are especially interested in the proof of Theorem 5.13 ( $ALL_{CFG}$  is undecidable). (See Sections 2.1, 2.2, and 2.4. for background on CFLs.)

Here we assume Theorem 2.20 (A language is context free iff some PDA (pushdown automaton) recognizes it). Thus (letting CFL denote the set of context free languages)

$$\text{CFL} = \{\mathcal{L}(M) : M \text{ is a PDA}\}.$$

From Section 2.4 we have the following definition:

$\text{DCFL} = \{\mathcal{L}(M) : M \text{ is a DPDA}\}$ , where DCFL is the set of deterministic context free languages and DPDA stands for deterministic pushdown automaton.

Here are some facts about DCFL:

- 1) DCFL is closed under complementation.
- 2) DCFL is not closed under union, and not closed under intersection.
- 3) Both CFL and DCFL are closed under intersection with regular sets.

Here are proof sketches for the above:

- 1) If the  $L = \mathcal{L}(M)$ , where  $M$  is a DPDA, then  $\bar{L} = \mathcal{L}(M')$ , where  $M'$  is obtained from  $M$  by changing accept states to reject states and vice versa.
- 2) Define

$$\begin{aligned} L_{abc} &= \{a^n b^n c^n : n \geq 0\} \\ A_1 &= \{a^i b^j c^k : i, j, k \geq 0\} \\ A_2 &= \{a^i b^i c^j : i, j \geq 0\} \\ A_3 &= \{a^i b^j c^j : i, j \geq 0\} \end{aligned}$$

Note that  $L_{abc}$  is NOT a context free language (this can be shown by the Pumping Lemma).

However it is easy to see that each of  $A_1, A_2$  and  $A_3$  is a deterministic CFL (and in fact  $A_1$  is a regular language). Hence  $\overline{A_1}$  is a regular language, and  $\overline{A_2}$  and  $\overline{A_3}$  are in DCFL.

It is not hard to see that

$$\overline{L_{abc}} = \overline{A_1} \cup \overline{A_2} \cup \overline{A_3} \quad (1)$$

(The union of the three sets represents the three reasons that a string might not be in  $L_{abc}$ .)

Since DCFL is closed under complementation and CFL is closed under union, it follows that  $\overline{L_{abc}}$  is a context-free language. However  $\overline{L_{abc}}$  is not a deterministic context free language, because DCFL is closed under complementation. It follows that DCFL is not closed under union. But then DCFL is not closed under intersection, since otherwise by De Morgan's laws, it would be closed under union.

- 3) Suppose that  $L_1 = L_2 \cap L_3$ , where  $L_2$  is a regular set and  $L_3$  is a context free language. Then  $L_2$  is accepted by a FA  $M_2$  and  $L_3$  is accepted by a PDA  $M_3$ . We can design a PDA  $M_1$  which accepts  $L_1$  by simultaneously simulating  $M_2$  and  $M_3$ , and  $M_1$  accepts its input iff both  $M_2$  and  $M_3$  accept. (The states of  $M_1$  consist of all pairs  $(q, q')$  where  $q$  is a state of  $M_2$  and  $q'$  is a state of  $M_3$ .) If  $M_3$  is deterministic then  $M_1$  is also deterministic.

Now in order to show  $ALL_{CFG}$  is not semidecidable we show  $\overline{HB} \leq_m ALL_{CFG}$ . Given a Turing machine  $M$  we want to construct a CFG  $G$  such that  $M$  does not halt on a blank tape iff  $\mathcal{L}(G) = \Sigma^*$ .

We will construct  $G$  so that  $\mathcal{L}(G)$  consists of all strings which do *not* code a halting of  $M$  computation starting with a blank tape. This suffices, because if  $M$  halts on a blank tape then  $\mathcal{L}(G)$  is missing exactly one string, namely the string coding the halting computation of  $M$  on a blank tape. But if  $M$  does not halt on a blank tape, then  $\mathcal{L}(G) = \Sigma^*$ , as required.

We code computations of  $M$  by the sequence of configurations  $C_1, C_2, \dots$ , except that the strings representing every second configuration are reversed (see Figure 5.14, page 226 in the text). The configurations are separated by the symbol  $\#$ .

To construct the CFG  $G$  we use the idea from equation (1) above, except now  $L_{abc}$  is replaced by the language  $L_{comp}$ , which consists of all strings encoding a halting computation of  $M$  on a blank tape. Thus  $L_{comp}$  is either empty (if  $M$  does not halt) or consists of exactly one string.

Now we construct three languages  $L_1, L_2, L_3$  (to correspond to  $A_1, A_2, A_3$  in (1)), so

$$\mathcal{L}(G) = \overline{L_{comp}} = \overline{L_1} \cup \overline{L_2} \cup \overline{L_3}$$

where  $\overline{L_1}, \overline{L_2}, \overline{L_3}$  represent the three reasons that a string might not code a halting computation of  $M$  on a blank tape.

Further  $\overline{L_1}$  is a regular set, and  $\overline{L_2}$  and  $\overline{L_3}$  are deterministic CFLs.

Thus

- 1)  $L_1$  is the set of all strings which begin with  $\#q_0b\#$  and end with  $\#u\#$  where  $u$  codes a halting configuration or its reverse, and the segment between any consecutive pair of  $\#$ 's codes a configuration (or its reverse).
- 2)  $L_2$  is the set of all strings  $w$  such that for every segment of  $w$  of the form  $\#u\#v\#$  (with the first  $\#$  preceded by an *even* number of  $\#$ 's), if  $u$  codes a configuration  $C$  of  $M$  and  $v$  has no occurrence of  $\#$ , then  $v$  codes the reverse of the successor to the configuration  $C$ .
- 3)  $L_3$  is the set of all strings  $w$  such that for every segment of  $w$  of the form  $\#u\#v\#$  (with the first  $\#$  preceded by an *odd* number of  $\#$ 's), if  $u$  codes the reverse of a configuration  $C$  of  $M$  and  $v$  has no occurrence of  $\#$ , then  $v$  codes the successor to the configuration  $C$ .

It is not hard to see that  $L_1$  is accepted by some Finite Automaton, and both  $L_2$  and  $L_3$  are accepted by deterministic PDAs. Thus  $L_1$  and  $\overline{L_1}$  are regular sets, and  $L_2, \overline{L_2}, L_3, \overline{L_3}$  are all deterministic CFLs.