

Theorem 1. *3CSP is \mathcal{NP} -complete.*

Proof. It is easy to see that 3CSP is in \mathcal{NP} (convince yourself of that by constructing an appropriate verifier!), and we focus on showing \mathcal{NP} -hardness.

Given a Boolean circuit $C: \{0,1\}^m \rightarrow \{0,1\}$ of description size n , we construct in polynomial time a list \mathcal{L} of 3-constraints over $\bar{m} \leq n$ variables such that

$$\exists x \in \{0,1\}^m : C(x) = 1 \iff \exists x \in \{0,1\}^{\bar{m}} : \forall c \in \mathcal{L}, c(x) = 1.$$

Let s be the number of non-input nodes in C . The variables for \mathcal{L} are $x_1, \dots, x_m, z_1, \dots, z_s$ (so $\bar{m} = m + s \leq n$), where we think of each z_i as associated with a node in C .

High-level idea:

- The list will be satisfied by $x_1, \dots, x_m, z_1, \dots, z_s$ if and only if $C(x_1, \dots, x_m) = 1$ and each z_i is the value of node i in $C(x_1, \dots, x_m)$.
- To enforce this, we add constraints as follows:
 - **Output constraint:** One constraint is that $z_s = 1$, which we intuitively think of as “the output gate of $C(x)$ is 1”.
 - **Consistency constraints:** For each node i in C , we add a constraint on z_i and on the two variables $z_j, z_{j'}$ representing nodes feeding into node i . The constraint is that z_i is correctly computed from $z_j, z_{j'}$, according to the gate-type of node i . For example, for an AND node, we add a constraint $z_i = z_j \wedge z_{j'}$.
- The key point is that each constraint only involves at most three variables: the variable representing node i , and the variable/s representing the node/s feeding into node i (there are at most two).

Given C of description size n , we construct a list \mathcal{L} as above:

- There are $\bar{m} = m + s$ variables for \mathcal{L} , denoted $x_1, \dots, x_m, z_1, \dots, z_s$.
- We add a constraint “ $z_s = 1$ ”.
- We iterate over nodes $i = 1, \dots, s$ in the description of C . For each node i , let f_i be the function it computes, let $child(i)_1$ be the variable representing the first node feeding into i (i.e., $child(i) = x_j$ for some $j \in [m]$ or $child(i) = z_j$ for some $j \in [s]$), and let $child(i)_2$ be defined accordingly.¹ We add a constraint on the variables $z_i, child(i)_1, child(i)_2$ asserting that $z_i = f_i(child(i)_1, child(i)_2)$.²

¹If i is a NOT node then $child(i)_1 = child(i)_2$.

²To formally type-match with the definition of a 3-constraint, convince yourself that the constraint $z_i = f_i(z_j, z_{j'})$ can be expressed as a function $g: \{0,1\}^3 \rightarrow \{0,1\}$.

Note that the procedure above is implementable in time $\text{poly}(n)$, since there are at most n nodes, and for each $i \in [n]$ we can parse the description of C to find the nodes feeding into node i (and the function f_i) in time $\text{poly}(n)$.

Turning to correctness, we need to prove that $\mathcal{L} \in 3\text{CSP}$ if and only if $C \in \text{CircuitSAT}$. (As usual, both directions are crucial.)

- If $C \in \text{CircuitSAT}$ then there is x such that $C(x) = 1$. Then, there is an assignment to $x_1, \dots, x_n, z_1, \dots, z_s$ such that all constraints are satisfied, namely assigning x to the x -variables and assigning the gate values of $C(x)$ to the z -variables.

To see that this assignment satisfies all constraints, note that $z_s = 1$, because z_s gets the value of the output node of $C(x) = 1$; and every consistency constraint is satisfied, because we assigned to each z_i the value of node i , which equals f_i applied to the values of the two nodes feeding into i .³ Hence, $\mathcal{L} \in 3\text{CSP}$.

- If $C \in \text{CircuitSAT}$ then for all x we have $C(x) = 0$. Thus, for every assignment $x_1, \dots, x_n, z_1, \dots, z_s$ to \mathcal{L} , either all of the z 's correctly represent the node values in $C(x)$, in which case $z_s = 0$ and the output constraint is unsatisfied; or there are $z_i, \text{child}(i)_1, \text{child}(i)_2$ such that $z_i \neq f_i(\text{child}(i)_1, \text{child}(i)_2)$,⁴ in which case the corresponding consistency constraint is unsatisfied. Hence, $\mathcal{L} \notin 3\text{CSP}$.

Thus, $C \in \text{CircuitSAT}$ if and only if $\mathcal{L} \in 3\text{CSP}$. ■

³If you are unconvinced, you can prove this by induction on the nodes, using topological order.

⁴Otherwise, if all $z_i = f_i(\text{child}(i)_1, \text{child}(i)_2)$, then all the z_i 's represent the gate values in $C(x)$, and in particular $z_s = C(x) = 0$.