

CSC148

## Lecture 6

Iterators and Generators  
Algorithm Analysis

# Iterators

- Iteration is not a new concept
- We've talked frequently about *iterating* through the elements of a container (such as a list)
- We also know the difference between an *iterative* algorithm and a recursive algorithm.
- So what exactly is an Iterator?

# Iterators

- An Iterator is an object that allows you to iterate through the elements in a container
  - In particular, an iterator object defines the **next()** method, which returns the next element in the container.
- Whenever you iterate through the elements of a container, a lot of the time you are implicitly using an Iterator object.

# Iterators

- Consider the following code fragment:

```
for elt in L:  
    # do something with elt
```

- Under the covers:
  - Python calls `iter(L)` to retrieve the iterator object
  - Each iteration of the loop calls the `next()` method on the iterator and assigns it to the loop variable `elt`.
  - When there are no more elements in the container, a call to `next()` raises the `StopIteration` exception.

# Iterators

- Python can iterate over instances of user-defined classes if you define a special method
- Simply define the `__iter__(self)` method in your class, and have it return an object that defines the `next()` method

# Iterators

- Examples

# Generators

- A generator is a function that returns from its call by using a **yield** statement.
- Whenever python sees a function that uses a **yield** statement, it returns a “generator object”. This object is just an iterator (i.e., it has the method `next()` defined).
- Thus a generator can be used in any context where an iterator is required (such as in a loop).

# Generators

- The benefit of using a generator function (instead of defining your own `Iterator`) is that the current position in your container can be maintained implicitly by the state of the generator.
- We'll define the `__iter__` method in the BST as a generator function (like listing 5.29 in your text).

# Algorithm Analysis

- Algorithm analysis is about determining the computing resources required by an algorithm
- Evaluating the computing resources required by an algorithm allows us to determine its efficiency compared to other algorithms
- **Computing resources** typically refers to the execution “time” an algorithm requires, but sometimes may also refer to the amount of memory an algorithm requires.
- “Time” isn't wall-clock time.

# Algorithm Analysis

- We can't just use the wall-clock execution time for the following reasons:
  - The time required for a program to execute may vary from computer to computer. (A program will probably be a lot slower on PC from the 90's than a brand-new PC that has a multicore processor.)
  - A “fast algorithm” on a slow computer may be slower than a “slow algorithm” on a fast computer on certain inputs

# Algorithm Analysis

- Our way of characterizing the time efficiency of an algorithm
  - should be independent of the machine where it may execute
  - be able to distinguish the big differences between algorithms and not concern itself so much with “little” differences
- How can we do this?

# Algorithm Analysis

- Lets try answering this by way of an example:
- We want to find the sum of N integers from 1 to N. That is,  $1+2+3+\dots+N$ .

# Algorithm Analysis

```
# one way of solving it

sum = 0
for i in range (1,N+1):
    sum = sum + i

# another way of solving it

sum = n*(n+1)/2
```

# Algorithm Analysis

- Observations:
  - The first way will take longer for larger N than smaller N.
  - Moreover, the first way will always take longer than the second way (except possibly for very small N).

# Algorithm Analysis

- We can make this more precise by looking at the number of “steps” performed by each algorithm.
- We need to define exactly what we mean by a “step”.
  - A step is a basic unit of computation and can be done in a fixed amount of time by a computer.

# Algorithm Analysis

- We want to determine the number of steps an algorithm takes as a function of its **input size**.
- How we define input size depends a lot on the problem.
- For the summation problem, the input size is N.
- Typically the input size is the number of elements in the input. For example, the input size can be the number of elements in a list that is to be sorted.

# Algorithm Analysis

- For a given algorithm, we'll use the function  $T(n)$  to denote the number of steps the algorithm takes on input size  $n$ .
- $T(n) = n+1$  for the first solution, and  $T(n) = 1$  for the second solution.
- But what if we modified the second solution to look like it does on the following slide?

# Algorithm Analysis

```
sum = N+1  
sum = sum * N  
sum = sum / 2
```

- Now  $T(n) = 3$ .
- Does this really make a difference in how efficient the algorithm is? Not really.
- The first algorithm (where we loop through all integers from 1 to  $N$ ) takes *approximately*  $N$  steps, and the second algorithm takes *approximately* 1 step.

# Algorithm Analysis

- Suppose  $T(n) = n^2 + 5*n + 100$  for some algorithm A.
- As the input size increases (i.e., as  $n$  increases), the  $n^2$  term is going to dominate the expression. (That is,  $5*n+100$  doesn't really contribute much to the overall value of  $T(n)$ .)

# Algorithm Analysis

- Suppose  $T'(n) = 2*n^2+10*n+200$  for some algorithm A'
- That is,  $T'(n) = 2*T(n)$  (where  $T(n)$  is from the previous slide)
- We can interpret this as follows: For every step taken by algorithm A, algorithm A' takes 1 additional step.
- Is the efficiency of algorithms A and A' really all that different? No.

# Algorithm Analysis

- To measure the efficiency of an algorithm, we only care about *approximately* how many steps it takes.
- We don't care about deriving an exact value for  $T(n)$  – its constant factors and non-dominant terms can be ignored.
- How can we make this idea more precise?
- Big-Oh notation!!!

# Big-Oh Notation

- We say that a function  $f(n)$  is  $O(g(n))$  if there exists positive constants  $c$  and  $B$  such that
  - $f(n) \leq c \cdot g(n)$  for all  $n \geq B$
- Whenever you see “ $f(n)$  is  $O(g(n))$ ” this can be read as “ $f$  has order  $g$ ”, or “ $f$  is big-oh of  $g$ ”.
- To estimate  $T(n)$ , the number of steps an algorithm takes, it suffices to find a function  $g(n)$  such that  $T(n)$  is  $O(g(n))$ .

# Key properties of Big-Oh Notation

- Constant factors disappear
  - examples on board
- Low-order terms disappear
  - examples on board

# Big-Oh Notation

- Big-Oh notation gives us a convenient way to approximate the number of steps an algorithm requires in the worst-case, ignoring constant factors and lower order terms.
- The two summation algorithms that we looked at before are  $O(n)$  and  $O(1)$ , respectively.

# Things to Keep in Mind

- Just because the number of steps an algorithm takes is  $O(f(n))$  does not mean that the algorithm takes anywhere near  $f(n)$  steps.
  - Technically, both summation algorithms we studied take  $O(n^2)$  steps.
  - In future courses, you'll see other notations related to Big-Oh that allow you to deal more precisely with this issue.

# Things to Keep in Mind

- Sometimes constant factors have practical significance.
- Sometimes even though a big-oh analysis may indicate one algorithm is more efficient than another, this may only occur after the input size is impractically large.

# Things to Keep in Mind

- Technically  $O(f(n))$  defines a set of functions
- see the big-oh hierarchy drawn on board.

# Examples

- Examples of big-oh notation
- Examples of analyzing the time efficiency of algorithms