CSC 311 Fall 2022 Midterm A Thursday, October 27, 2022

Q1 [7 pts] True/False and Short-Answer Questions

Q1a (1 pt)

(True or False) The greedy algorithm for generating a decision tree is guaranteed to produce the optimal decision tree. The optimal decision tree is the smallest/most compact tree for the data set.

Q1b (6 pts)

Consider linear regression and logistic regression. Circle the correct answer for each statement below. If a statement is **false**, explain why in one sentence.

1. (1 pt) (True or False) They both use linear functions.

2. (1 pt) (True or False) They both can be used to solve regression problems.

3. (1 pt) (True or False) They both use the logistic activation function.

Q1 [7 pts] continued

Q1c (3 pts)

Categorize each algorithm as parametric or non-parametric. If an algorithm is parametric, describe its parameters in one sentence.

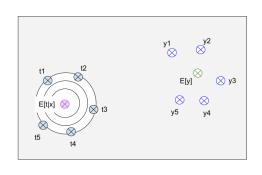
• (1 pt) K-nearest-neighbours is PARAMETRIC / NON-PARAMETRIC.

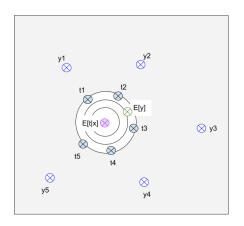
• (1 pt) Linear regression is PARAMETRIC / NON-PARAMETRIC.

 $\bullet\,$ (1 pt) The feed-forward neural network is PARAMETRIC / NON-PARAMETRIC.

Q2 [4 pts] Bias-Variance Decomposition

Figures 1a and 1b illustrate the behaviour of two machine learning algorithms. The data set contains five examples with targets $t1, \ldots, t5$. There is a fixed query point x. E[t|x] is the expected target given the query point based on the true underlying distribution. Each algorithm makes five predictions: $y1, \ldots, y5$. E[y] is the expected value of these predictions.





(a) Model 1

(b) Model 2

Circle the correct answer in each statement below. Justify each answer in one sentence.

- 1. (2 pts) Model 1 has HIGHER / LOWER bias than Model 2. Justification:
- 2. (2 pts) Model 1 has HIGHER / LOWER variance than Model 2. Justification:

Q3 [15 pts] Decision Trees

Consider the data set in Table 1. There are 11 examples. There are 2 binary discrete features: colour and length. "Colour" has two values: dark and light. "Length" has two values: long and short. Each example has a binary label: True or False.

Example	Colour	Length	Label
1	Dark	Long	True
2	Dark	Long	False
3	Dark	Long	False
4	Dark	Short	False
5	Dark	Short	False
6	Dark	Short	False
7	Light	Short	True
8	Light	Short	True
9	Light	Short	True
10	Light	Short	True
11	Light	Short	False

Table 1: Data Set for Decision Tree

Q3a [9 pts]

Complete the following decision tree for the data set. Note that we will split on "Colour" at the root of the tree.

- For each node, write down the number of true and false examples.
- Then, for each leaf (rectangle-shaped) node, write down the label/decision.

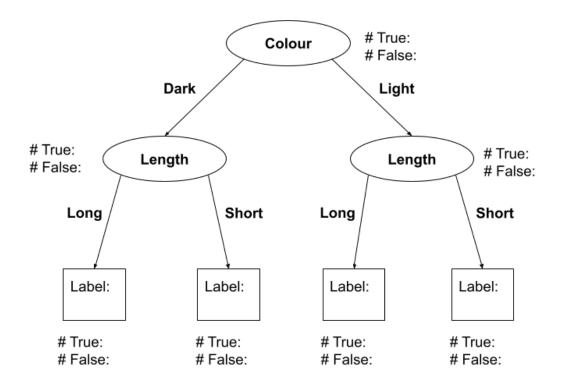


Figure 2: Complete this decision tree

Q3b [6 pts]

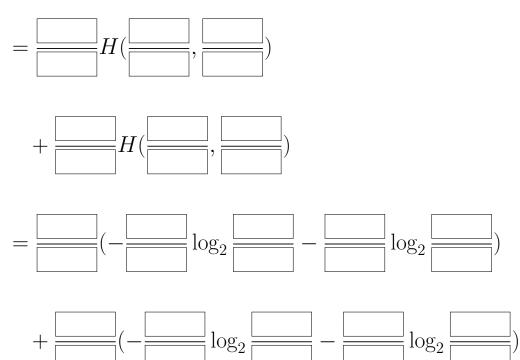
Write down the formulas for calculating the expected information gain of testing Length at the root. H denotes entropy. You do not need to calculate the result and can leave numbers as fractions. i.e. for each you need to enter one number in the numerator and another in the denominator.

(2 pts) The entropy before testing Length at the root

$$= - \frac{\log_2 - \log_2}{\log_2 - \log_2}$$

Q3b [6 pts] continued

 $(4 \mathrm{\ pts})$ The expected conditional entropy after testing Length at the root



Q4 [4 pts] Binary Linear Classification

Consider the data set in Table 2 and Figure 3. The blue circles denote positive examples and the red squares denote negative examples.

x_1	x_2	t
1	1	1
2	1	1
3	2	1
2	0	0

Table 2: Data Set for Classification

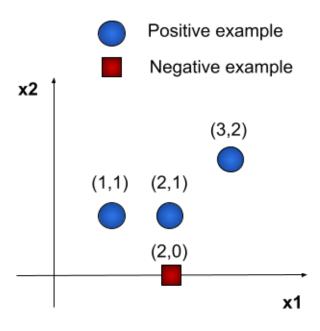


Figure 3: Data Set for Classification

Q4 [4 pts] continued

Recall the binary linear classification model with a decision rule:

$$z = w^T x$$
$$y = \begin{cases} 1, & \text{if } z \ge 0 \\ 0, & \text{if } z < 0 \end{cases}$$

Assume that the bias term is zero $(b = w_0 = 0)$.

Q4a (3 pts)

Write out the inequalities that represent the constraints that the weight space needs to satisfy.

Q4b (1 pt)

If the problem is feasible, provide at least one assignment of the weights. Show all your work.

Q5 [10pts] Gradient Descent

Consider a data set with three examples. For example i, $x^{(i)}$ is the value of the input feature and $t^{(i)}$ is the value of the target.

Example	$x^{(i)}$	$t^{(i)}$
1	1	1
2	2	4
3	3	0

Table 3: Data Set for Linear Regression

We will fit a ridge regression model to the data above resulting in on weight w and one bias term b. Recall that in vector notation (with the bias term incorporated into the design matrix via concatenation into a vector of all 1s), this corresponds to training with:

$$\mathcal{J}(\mathbf{w}) = \frac{1}{2}||X\mathbf{w} - \mathbf{t}|||^2 + \lambda \frac{1}{2}||\mathbf{w}||^2$$

Derive the gradient descent update rule using the parameter values below.

$$w = -1, b = 2, \alpha = 0.1, \lambda = 0.6$$

Assume that the cost function is the total loss over all the training examples. We recommend breaking up the calculations into easy to understand steps rather than only writing down the final answer. We will award partial marks for correct intermediate steps. You should leave your answer in the form of two equations:

$$b \leftarrow Ab + B \tag{1}$$

$$w \leftarrow Cw + D \tag{2}$$

where A, B, C, D are real valued numbers.

Q5 Answer

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