# The Complexity of Composition <br> New Approaches to Depth and Space 

Ian Mertz

Final Oral Examination<br>University of Toronto

August 16, 2022

## Composition

When can we save by doing two separate things together?

## Composition

When can we save by doing two separate things together?

Non-computational example: running all your errands in one trip

## Composition

Composition theorem:

## Composition

Composition theorem:

- functions $f$ and $g$


## Composition

Composition theorem:

- functions $f$ and $g$
- composition $f \circ g$


## Composition

Composition theorem:

- functions $f$ and $g$
- composition $f \circ g$
- complexity measure $s(\cdot)$


## Composition

Composition theorem:

- functions $f$ and $g$
- composition $f \circ g$
- complexity measure $s(\cdot)$

Central goal: determine whether

$$
\begin{gathered}
s(f \circ g) \approx s(f)+s(g) \\
\text { or } \\
s(f \circ g) \ll s(f)+s(g)
\end{gathered}
$$

## Composition and lower bounds

What happens if $s(f \circ g) \approx s(f)+s(g)$ ?

## Composition and lower bounds

What happens if $s(f \circ g) \approx s(f)+s(g)$ ?

General idea: going from easier functions $f_{1} \ldots f_{m}$ to a harder function $F=f_{1} \circ \ldots \circ f_{m}$

## Composition and lower bounds

Tree Evaluation Problem (TreeEval $:=$ TreeEval $_{k, d, h}$ )


## Composition and lower bounds


"Two kinds of composition" at work:

- sequential composition: between layers
- parallel composition: within layers


## Complexity measures

What does "save" mean? The measure matters!

## Complexity measures

What does "save" mean? The measure matters!

Errands example: can save yourself the time it takes to go downtown twice, but cannot save any money on the bills themselves.

## Complexity measures

Model 1: formulas


## Complexity measures

Model 2: Turing Machines

finite state machine
work tape


## Complexity measures

time efficient (P): Turing Machines with time $n^{O(1)}$

## Complexity measures

time efficient $(\mathrm{P})$ : Turing Machines with time $n^{O(1)}$
depth efficient $\left(\mathrm{NC}^{1}\right)$ : formulas of depth $O(\log n)$

## Complexity measures

time efficient (P): Turing Machines with time $n^{O(1)}$
depth efficient $\left(\mathrm{NC}^{1}\right)$ : formulas of depth $O(\log n)$ space efficient (L): Turing Machines with space $O(\log n)$

## Complexity measures

time efficient (P): Turing Machines with time $n^{O(1)}$
depth efficient $\left(\mathrm{NC}^{1}\right)$ : formulas of depth $O(\log n)$
space efficient (L): Turing Machines with space $O(\log n)$
Known: $\mathrm{NC}^{1} \subseteq \mathrm{P}$ and $\mathrm{L} \subseteq \mathrm{P}$

## Complexity measures

time efficient (P): Turing Machines with time $n^{O(1)}$
depth efficient $\left(\mathrm{NC}^{1}\right)$ : formulas of depth $O(\log n)$ space efficient (L): Turing Machines with space $O(\log n)$

Known: $\mathrm{NC}^{1} \subseteq \mathrm{P}$ and $\mathrm{L} \subseteq \mathrm{P}$

Central goal: show $\mathrm{NC}^{1} \subsetneq \mathrm{P}$ and $\mathrm{L} \subsetneq \mathrm{P}$ using composition

## Composition and lower bounds

TreeEval ${ }_{k, d, h} \in P$ : evaluating it bottom-up takes linear time


## Composition and lower bounds

Conjecture 1 [KRW'95]: TreeEval $\notin \mathrm{NC}^{1}$
Conjecture 2 [CMWBS'12]: TreeEval $\notin \mathrm{L}$

## Composition and depth lower bounds

KRW conjecture [KRW'95]: depth $(f \circ g) \approx \operatorname{depth}(f)+\operatorname{depth}(g)$

## Composition and depth lower bounds

KRW conjecture [KRW'95]: depth $(f \circ g) \approx \operatorname{depth}(f)+\operatorname{depth}(g)$


Depth $\omega(1) \cdot \Omega(\log n)$

## Composition and depth lower bounds

KRW conjecture [KRW'95]: depth $(f \circ g) \approx \operatorname{depth}(f)+\operatorname{depth}(g)$


Depth $\omega(1) \cdot \Omega(\log n) \rightarrow$ TreeEval $_{2, d, h} \notin \mathrm{NC}^{1}$

## Composition and space lower bounds

$z$-f conjecture [CMWBS'12]: computing $f$ while remembering output of $g$ requires space to compute $f$ plus space to remember output of $g$

## Composition and space lower bounds

$z$-f conjecture [CMWBS'12]: computing $f$ while remembering output of $g$ requires space to compute $f$ plus space to remember output of $g$


Space $\omega(1) \cdot \Omega(\log n)$

## Composition and space lower bounds

$z$-f conjecture [CMWBS'12]: computing $f$ while remembering output of $g$ requires space to compute $f$ plus space to remember output of $g$


Space $\omega(1) \cdot \Omega(\log n) \rightarrow$ TreeEval $_{k, 2, h} \notin \mathrm{~L}$

## This thesis

Progress on both questions

## This thesis

Progress on both questions...but in opposite directions!

## This thesis

Progress on both questions...but in opposite directions!

Part I: getting closer to showing KRW conjecture is true

## This thesis

Progress on both questions...but in opposite directions!

Part I: getting closer to showing KRW conjecture is true

Part II: unconditionally showing z-f conjecture is false

## Part II

## Space

## Reusing space

Conjecture [CMWBS'12]: TreeEval ${ }_{k, 2, h}$ requires space $\Omega(h \log k)$

## Reusing space

Conjecture [CMWBS'12]: TreeEval ${ }_{k, 2, h}$ requires space $\Omega(h \log k)$

Some interesting internal functions known to not be hard enough!

## Reusing space

Conjecture [CMWBS'12]: TreeEval ${ }_{k, 2, h}$ requires space $\Omega(h \log k)$

Some interesting internal functions known to not be hard enough!
[BoC'92] (rephrased): if every node of the TreeEval ${ }_{k, 2, h}$ instance computes either + or $\times$, then we can solve this instance with space $2 h+3 \log k=O(h+\log k)=O(\log n)$.

## Reusing space

Conjecture [CMWBS'12]: TreeEval ${ }_{k, 2, h}$ requires space $\Omega(h \log k)$

Some interesting internal functions known to not be hard enough!
[BoC'92] (rephrased): if every node of the TreeEval ${ }_{k, 2, h}$ instance computes either + or $\times$, then we can solve this instance with space $2 h+3 \log k=O(h+\log k)=O(\log n)$.

Proof explicitly refutes z-f conjecture for $f \in\{+, \times\}$ :

$$
\operatorname{space}(z, f)=|z| \ll|z|+\operatorname{space}(f)
$$

## Main contribution: upper bounds on TreeEval

[CM'20,21]: for any $k, h$, TreeEval ${ }_{k, 2, h}$ can be solved in space $O(h \log k / \log h)=o(h \log k)$

## Main contribution: upper bounds on TreeEval

[CM'20,21]: for any $k, h$, TreeEval ${ }_{k, 2, h}$ can be solved in space $O(h \log k / \log h)=o(h \log k)$

Tools: generalized [BoC'92] subroutine for arbitrary polynomials (instead of just + or $\times$ ); complexity based on degree

## Main contribution: upper bounds on TreeEval

[CM'20,21]: for any $k, h$, TreeEval ${ }_{k, 2, h}$ can be solved in space $O(h \log k / \log h)=o(h \log k)$

Tools: generalized [BoC'92] subroutine for arbitrary polynomials (instead of just + or $\times$ ); complexity based on degree
$+$
recasting each internal TreeEval node as a polynomial

## Main contribution: upper bounds on TreeEval

[CM'20,21]: for any $k, h$, TreeEval ${ }_{k, 2, h}$ can be solved in space $O(h \log k / \log h)=o(h \log k)$

Tools: generalized [BoC'92] subroutine for arbitrary polynomials (instead of just + or $\times$ ); complexity based on degree
$+$
recasting each internal TreeEval node as a polynomial
$+$
time-space tradeoff to reduce the degree of these polynomials

## Application: upper bounds on amortized non-uniform space

[P'17] (informally): every function $f$ can be solved in time $O(n)$ and amortized non-uniform space $O(1)$, as long as we have $2^{2^{n}-1}$ copies.

## Application: upper bounds on amortized non-uniform space

[ $\left.P^{\prime} 17\right]$ (informally): every function $f$ can be solved in time $O(n)$ and amortized non-uniform space $O(1)$, as long as we have $2^{2^{n}-1}$ copies.
[CM'22]: for any constant $\epsilon>0$, every function $f$ can be solved in time $O(n)$ and amortized non-uniform space $O(1)$, as long as we have $2^{2^{\epsilon n}}$ copies.

## Application: upper bounds on amortized non-uniform space

[P'17] (informally): every function $f$ can be solved in time $O(n)$ and amortized non-uniform space $O(1)$, as long as we have $2^{2^{n}-1}$ copies.
[CM'22]: for any constant $\epsilon>0$, every function $f$ can be solved in time $O(n)$ and amortized non-uniform space $O(1)$, as long as we have $2^{2^{\epsilon n}}$ copies.

Tools: key polynomial subroutine we developed for [CM'20,21] as a one-shot algorithm applied to $f$

## Application: upper bounds on amortized non-uniform space

[P'17] (informally): every function $f$ can be solved in time $O(n)$ and amortized non-uniform space $O(1)$, as long as we have $2^{2^{n}-1}$ copies.
[CM'22]: for any constant $\epsilon>0$, every function $f$ can be solved in time $O(n)$ and amortized non-uniform space $O(1)$, as long as we have $2^{2^{\epsilon n}}$ copies.

Tools: key polynomial subroutine we developed for [CM'20,21] as a one-shot algorithm applied to $f$
$+$
different sort of space-time tradeoff to reduce degree

Part I

## Depth

## Communication complexity

Another model of computation: communication complexity

- Alice receives $x \in \mathcal{X}$, Bob receives $y \in \mathcal{Y}$
- goal is to compute $F(x, y)$ together
- allowed to do any amount of computation on their own, charged for every bit exchanged


## Communication complexity

Another model of computation: communication complexity

- Alice receives $x \in \mathcal{X}$, Bob receives $y \in \mathcal{Y}$
- goal is to compute $F(x, y)$ together
- allowed to do any amount of computation on their own, charged for every bit exchanged
[KW'90]: $\operatorname{depth}(f)=c c\left(S_{f}\right)$ for some related problem $S_{f}$.


## Communication complexity

Another model of computation: communication complexity

- Alice receives $x \in \mathcal{X}$, Bob receives $y \in \mathcal{Y}$
- goal is to compute $F(x, y)$ together
- allowed to do any amount of computation on their own, charged for every bit exchanged
[KW'90]: $\operatorname{depth}(f)=c c\left(S_{f}\right)$ for some related problem $S_{f}$.

We do have composition-style results for communication!

## Query-to-Communication Lifting

[RM'99,GPW'15]: for any $F$ and for $g=I N D_{m}$ ( $m$ suff. large), $c c(F \circ g) \approx d t(F) \cdot \log m$

## Query-to-Communication Lifting

[RM'99,GPW'15]: for any $F$ and for $g=I N D_{m}$ ( $m$ suff. large), $c c(F \circ g) \approx d t(F) \cdot \log m$

Issues for KRW conjecture:

## Query-to-Communication Lifting

[RM'99,GPW'15]: for any $F$ and for $g=I N D_{m}$ ( $m$ suff. large), $c c(F \circ g) \approx d t(F) \cdot \log m$

Issues for KRW conjecture:

- when moving to formula depth, only gives composition results for monotone formulas


## Query-to-Communication Lifting

[RM'99,GPW'15]: for any $F$ and for $g=I N D_{m}$ ( $m$ suff. large), $c c(F \circ g) \approx d t(F) \cdot \log m$

Issues for KRW conjecture:

- when moving to formula depth, only gives composition results for monotone formulas
- strong monotone lower bounds for sufficiently broad class of problems would also give general lower bounds


## Query-to-Communication Lifting

[RM'99,GPW'15]: for any $F$ and for $g=I N D_{m}$ ( $m$ suff. large), $c c(F \circ g) \approx d t(F) \cdot \log m$

Issues for KRW conjecture:

- when moving to formula depth, only gives composition results for monotone formulas
- strong monotone lower bounds for sufficiently broad class of problems would also give general lower bounds
- only works for $g=I N D_{m}$, where $m$ is a large polynomial


## Query-to-Communication Lifting

[RM'99,GPW'15]: for any $F$ and for $g=I N D_{m}$ ( $m$ suff. large), $c c(F \circ g) \approx d t(F) \cdot \log m$

Issues for KRW conjecture:

- when moving to formula depth, only gives composition results for monotone formulas
- strong monotone lower bounds for sufficiently broad class of problems would also give general lower bounds
- only works for $g=I N D_{m}$, where $m$ is a large polynomial

Main goal: more general $g$, starting with smaller $m$

## Main contribution: better lifting

[LMMPZ'22] for any $F$ and for $g=I N D_{m}\left(m \geq n^{1+\epsilon}\right)$,

$$
c c(F \circ g) \approx d t(F) \cdot \log m
$$

## Main contribution: better lifting

[LMMPZ'22] for any $F$ and for $g=I N D_{m}\left(m \geq n^{1+\epsilon}\right)$, $c c(F \circ g) \approx d t(F) \cdot \log m$

Tools: "structure vs randomness" framework (earlier proofs)

## Main contribution: better lifting

[LMMPZ'22] for any $F$ and for $g=I N D_{m}\left(m \geq n^{1+\epsilon}\right)$,

$$
c c(F \circ g) \approx d t(F) \cdot \log m
$$

Tools: "structure vs randomness" framework (earlier proofs)
$+$
"structure vs randomness" combinatorics to directly handle the random case (the bottleneck for previous proofs)

## Application: proof complexity

[AM'20]: given $\tau \in$ UNSAT, it is NP-hard to approximate the size of the best Resolution or tree-like Resolution refutation of $\tau$.

## Application: proof complexity

[AM'20]: given $\tau \in$ UNSAT, it is NP-hard to approximate the size of the best Resolution or tree-like Resolution refutation of $\tau$.
[GKMP'20]: given $\tau \in$ UNSAT, it is NP-hard to approximate the size of the best Cutting Planes or tree-like Cutting Planes refutation of $\tau$.

## Application: proof complexity

[AM'20]: given $\tau \in$ UNSAT, it is NP-hard to approximate the size of the best Resolution or tree-like Resolution refutation of $\tau$.
[GKMP'20]: given $\tau \in$ UNSAT, it is NP-hard to approximate the size of the best Cutting Planes or tree-like Cutting Planes refutation of $\tau$.

Tools: non-approximability for Resolution or tree-like Resolution

## Application: proof complexity

[AM'20]: given $\tau \in$ UNSAT, it is NP-hard to approximate the size of the best Resolution or tree-like Resolution refutation of $\tau$.
[GKMP'20]: given $\tau \in U N S A T$, it is NP-hard to approximate the size of the best Cutting Planes or tree-like Cutting Planes refutation of $\tau$.

Tools: non-approximability for Resolution or tree-like Resolution

$$
+
$$

block-width and graduated lifting theorems using [LMMPZ'22]

## Conclusions

## Open problems

## Open problems

1. Directly improving our results (better lifting parameters, better TreeEval algorithms)

## Open problems

1. Directly improving our results (better lifting parameters, better TreeEval algorithms)
2. Broadening and applying our results (from lifting to KRW, better catalytic computing results)

## Open problems

1. Directly improving our results (better lifting parameters, better TreeEval algorithms)
2. Broadening and applying our results (from lifting to KRW, better catalytic computing results)
3. Structure of our results (lifting and combinatorics, which classes catalytic techniques inherently lie in)

## Works used

1. Shachar Lovett, Raghu Meka, Ian Mertz, Toniann Pitassi, Jiapeng Zhang. Lifting with Sunflowers. ITCS 2022.
2. Mika Göös, Sajin Koroth, Ian Mertz, Toniann Pitassi. Automating Cutting Planes is NP-Hard. STOC 2020.
3. Ian Mertz, Toniann Pitassi, Yuanhao Wei. Short Proofs Are Hard to Find. ICALP 2019.
4. James Cook, Ian Mertz. Catalytic Approaches to the Tree Evaluation Problem. STOC 2020.
5. James Cook, Ian Mertz. Encodings and the Tree Evaluation Problem. Technical note, 2021.
6. James Cook, Ian Mertz. Trading Time and Space in Catalytic Branching Programs. CCC 2022.

## Thanks!

