

# CSC 363 - Summer 2005 Assignment 4

due on Tuesday, August 9th, at 6pm

## Problem 1 [15p]

Recall that we defined **coNP** as the class of languages  $L$  such that the complement of  $L$  is in **NP**. Formally,  $\text{coNP} = \{L \mid \bar{L} \in \text{NP}\}$ .

- (a) [5p] We can define the class **coNP-hard** in a manner similar to that in which we defined the class **NP-hard**. So, we say that language  $L$  is **coNP-hard** if every language  $L' \in \text{coNP}$  is polytime reducible to  $L$ , i.e.  $L' \leq_p L$ . Furthermore, we say  $L$  is **coNP-complete** if  $L$  is both **coNP** and **coNP-hard**.

Show that, for every language  $L$ ,  $L$  is **coNP-hard** iff  $\bar{L}$  is **NP-hard**.

- (b) [10p] Show that if there exists some **NP-complete** language that is in **coNP**, then  $\text{NP} = \text{coNP}$ .

## Problem 2 [10p]

This is part of problem 7.23 on p.296 of Sipser v2. Let  $\text{CNF}_k$  be the language consisting of encodings of satisfiable CNF formulas, in which every individual variable appears at most  $k$  times. Note that we are counting both positive and negative appearances. For example,  $f = (x_1 \vee x_1 \vee x_2 \vee \bar{x}_1) \wedge (x_1 \vee x_3) \wedge \bar{x}_2$  is a satisfiable CNF formula in which  $x_1$  has 4 appearances,  $x_2$  has 2 appearances and  $x_3$  has one appearance. So  $\langle f \rangle \in \text{CNF}_4$ , but  $\langle f \rangle \notin \text{CNF}_3$ . Also note that the size of the clauses does not play any role in deciding membership to  $\text{CNF}_k$ .

Prove that  $\text{CNF}_3$  is **NP-complete**.

## Problem 3 [20p]

In the Simple Knapsack problem, we are presented with  $m$  weights,  $w_1, \dots, w_m$ , and a bound  $W$ , all in binary notation. We need to select a subset of weights  $S \subseteq \{1, \dots, m\}$ , such that the sum of those weights,  $\sum_{i \in S} w_i$  is maximum, while not exceeding  $W$ . In the decision version, we are also given a bound  $T$ , and we have to accept iff there is a subset with total weight at least  $T$  and not more than  $W$ .

Formally,

$$\text{SKD} = \{\langle w_1, \dots, w_m, T, W \rangle \mid \exists S \subseteq \{1, \dots, m\} \text{ such that } T \leq \sum_{i \in S} w_i \leq W\}.$$

It is easy to show that SKD is **NP-complete** using a reduction from SUBSET-SUM. You may use this fact without proof.

- (a) [5p] Show that, if  $\text{P} = \text{NP}$ , then there exists a polynomial time algorithm, which, on input  $\langle w_1, \dots, w_m, W \rangle$ , outputs a value  $T$ , such that  $T$  is the maximum sum achievable with these weights, that is at most  $W$ . In other words,  $T = \max(\sum_{i \in S} w_i \mid S \subseteq \{1, \dots, m\} \text{ and } \sum_{i \in S} w_i \leq W)$ .

*Note:* For this part, assume that all the values mentioned (weights, bounds) are positive integer numbers.

- (b) [15p] We have seen that for problems like CLIQUE or VC, if the target parameter is a constant or the maximum value minus a constant, the problem has polynomial time solutions; and if the target parameter is half the maximum value, the problem is still **NP-complete**. We investigate whether this is the case for SKD.

For each of the following languages, prove either that it has a polynomial time algorithm, or that it is **NP-complete**:

$$\text{HALF-SKD} = \{\langle w_1, \dots, w_m, W \rangle \mid \exists S \subseteq \{1, \dots, m\} \text{ such that } \frac{W}{2} \leq \sum_{i \in S} w_i \leq W\}$$

$$\text{K-SKD} = \{\langle w_1, \dots, w_m, W \rangle \mid \exists S \subseteq \{1, \dots, m\} \text{ such that } W - k \leq \sum_{i \in S} w_i \leq W\}$$

*Note:* For this part, assume that all the values mentioned (weights, bounds) are positive *rational* numbers. You may assume we represent the rational number  $p/q$  (where  $p, q$  are positive integers) as  $\langle p, q \rangle$ . In other words, the length of the encoding of  $p/q$  is in the order of the sum of the lengths of the encodings of  $p$  and of  $q$ :  $|\langle p, q \rangle| = O(|\langle p \rangle| + |\langle q \rangle|)$ . In a nutshell, what I'm saying is that you can perform *division* as long as both numerators and denominators are reasonably sized.