

Chapter 3

# Formal Proofs

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## Today's Topics

- **Review: Proof Structures for Quantifiers, Implications and Conjunctions**
- **Proof Structure for Disjunction**
- **Proof by Cases**

## Chapter 3

# Formal Proofs

Review: Proof Structures for Quantifiers,  
Implications and Conjunctions

## Proof of Multiple Quantifiers

### Structure

- Prove  $\forall x \in D, \exists y \in E, P(x, y)$

Assume  $x \in D$ . #  $x$  is a typical element of  $D$

Let  $y = \underline{\quad}$ . # choose a particular element of the domain

Then  $y \in E$ . # this may be obvious, otherwise prove it

$\vdots$  # prove  $P(x, y)$

Then  $P(x, y)$ .

Then  $\exists y \in E, P(x, y)$ . # introduce existential

Then  $\forall x \in D, \exists y \in E, P(x, y)$ . # introduce universal

## Proof of Multiple Quantifiers

### Structure

- Prove  $\exists x \in D, \forall y \in E, P(x, y)$

Let  $x = \underline{\quad}$ . # choose a particular element of the domain

Then  $x \in D$ . # this may be obvious, otherwise prove it

Assume  $y \in E$ . #  $y$  is a typical element of  $E$

$\vdots$  # prove  $P(x, y)$

Then  $P(x, y)$ .

Then  $\forall x \in D, P(x, y)$ . # introduce universal

Then  $\exists y \in E, \forall x \in D, P(x, y)$ . # introduce existential

## Proof of Conjunction

### Structure

- Prove  $\forall x \in D, P(x) \wedge Q(x)$

Assume  $x \in D$ . #  $x$  is a typical element of  $D$

$\vdots$  # prove  $P(x)$

Then  $P(x)$ .

$\vdots$  # prove  $Q(x)$

Then  $Q(x)$ .

Then  $P(x) \wedge Q(x)$ . # introduce conjunction

Then  $\forall x \in D, P(x) \wedge Q(x)$ . # introduce universal

## Chapter 3

# Formal Proofs

## Proof Structure for Disjunction

## Proof of Disjunction

### Structure

- Prove  $\forall x \in D, P(x) \vee Q(x)$
- Assume  $x \in D$ . #  $x$  is a typical element of  $D$ 
  - $\vdots$  # prove  $P(x)$   
Then  $P(x)$ .  
Then  $P(x) \vee Q(x)$ . # introduce disjunction
  - Then  $\forall x \in D, P(x) \vee Q(x)$ . # introduce universal
- Assume  $x \in D$ . #  $x$  is a typical element of  $D$ 
  - $\vdots$  # prove  $Q(x)$   
Then  $Q(x)$ .  
Then  $P(x) \vee Q(x)$ . # introduce disjunction
  - Then  $\forall x \in D, P(x) \vee Q(x)$ . # introduce universal



## Chapter 3

# Formal Proofs

## Proof by Cases

## Proof by Cases

### Implications with Disjunctive Antecedents

- Consider an **implication** which has a **disjunction** as the **antecedent**:
  - $S_1 : (A_1 \vee A_2) \Rightarrow C$ .
- How can we prove  $S_1$ ?
  - $(A_1 \vee A_2) \Rightarrow C$  is equivalent with  $(A_1 \Rightarrow C) \wedge (A_2 \Rightarrow C)$ .

### General Structure

Assume  $A_1 \vee A_2$ .

**Case 1:** Assume  $A_1$ .

⋮ # prove  $C$

Then  $C$ .

Then  $A_1 \Rightarrow C$ . # assuming  $A_1$  leads to  $C$

**Case 2:** Assume  $A_2$ .

⋮ # prove  $C$

Then  $C$ .

Then  $A_2 \Rightarrow C$ . # assuming  $A_2$  leads to  $C$

Then  $(A_1 \Rightarrow C) \wedge (A_2 \Rightarrow C)$ . # introduce conjunction

Then  $(A_1 \vee A_2) \Rightarrow C$ . # logically equiv. the previous statement

## Proof by Cases

### General Case

- $S_2 : (A_1 \vee \dots \vee A_n) \Rightarrow C$ .
- $S_2$  is equivalent with  $(A_1 \Rightarrow C) \wedge \dots \wedge (A_n \Rightarrow C)$ .

### General Structure

Assume  $A_1 \vee \dots \vee A_n$ .

**Case 1:** Assume  $A_1$ .

∴ # prove  $C$

Then  $C$ .

Then  $A_1 \Rightarrow C$ . # assuming  $A_1$  leads to  $C$

∴

**Case n:** Assume  $A_n$ .

∴ # prove  $C$

Then  $C$ .

Then  $A_n \Rightarrow C$ . # assuming  $A_n$  leads to  $C$

Then  $(A_1 \Rightarrow C) \wedge \dots \wedge (A_n \Rightarrow C)$ . # introduce conjunction

Then  $(A_1 \vee \dots \vee A_n) \Rightarrow C$ . # logically equiv. the previous statement

# Proof by Cases

## General Case

- **Assumption:**  $(A_1 \vee \dots \vee A_n)$ .
- **Claim:**  $C$ .

## General Structure

Assume  $A_1 \vee \dots \vee A_n$ .

**Case 1:** Assume  $A_1$ .

∴ # prove  $C$

Then  $C$ .

∴

**Case n:** Assume  $A_n$ .

∴ # prove  $C$

Then  $C$ .

Then  $C$ . # assuming  $A_1 \vee \dots \vee A_n$  leads to  $C$

## Proof by Cases

### Exercise

- Prove that if  $n$  is an integer number, then  $n^2 + n$  is **even**.

### Solution

- **Step 1:** Translate the claim to logical notation.
  - For all integers  $n$ ,  $n^2 + n$  is even.  
 $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ .
- **Step 2:** Find a plan for the proof :
  - Consider two cases:  $n$  is **odd** or  $n$  is **even**.
- **Step 3:** Translate the assumptions/facts to logical notation
  - $\forall n \in \mathbb{Z}, (\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ .
- **Step 4:** Choose an **appropriate proof structure**. Use the **assumptions/facts** to prove the **claim**.

## Proof by Cases

### Exercise

- **Assumption:**  $\forall n \in \mathbb{Z}, (\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ .
- **Claim:**  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ .

### Solution

Assume  $n \in \mathbb{Z}$ . #  $n$  is a typical integer number

⋮

Then  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ . # introduction of universal

## Proof by Cases

### Exercise

- **Assumption:**  $\forall n \in \mathbb{Z}, (\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ .
- **Claim:**  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ .

### Solution

Assume  $n \in \mathbb{Z}$ . #  $n$  is a typical integer number

$\vdots$

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ .

Then  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ . # introduction of universal

## Proof by Cases

### Exercise

- **Assumption:**  $\forall n \in \mathbb{Z}, (\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ .
- **Claim:**  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ .

### Solution

Assume  $n \in \mathbb{Z}$ . #  $n$  is a typical integer number

Then  $(\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ . # by Assumption,  $n \in \mathbb{Z}$

$\vdots$

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ .

Then  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ . # introduction of universal



## Proof by Cases

### Exercise

- **Assumption:**  $\forall n \in \mathbb{Z}, (\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ .
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Assume  $n \in \mathbb{Z}$ . #  $n$  is a typical integer number

Then  $(\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ . # by Assumption,  $n \in \mathbb{Z}$

Case 1: Assume  $\exists k \in \mathbb{Z}, n = 2k + 1$ .

$\vdots$

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . # true in all (both) possible cases

Then  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ . # introduction of universal

## Proof by Cases

### Exercise

- **Assumption:**  $\forall n \in \mathbb{Z}, (\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ .
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### Solution

Assume  $n \in \mathbb{Z}$ . #  $n$  is a typical integer number

Then  $(\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ . # by Assumption,  $n \in \mathbb{Z}$

Case 1: Assume  $\exists k \in \mathbb{Z}, n = 2k + 1$ .

Let  $k_0 \in \mathbb{Z}$  be such that  $n = 2k_0 + 1$ . # instantiate existential

⋮

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Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . # true in all (both) possible cases

Then  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ . # introduction of universal

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Let  $k_0 \in \mathbb{Z}$  be such that  $n = 2k_0 + 1$ . # instantiate existential

Then  $n^2 + n = n(n + 1) = (2k_0 + 1)(2k_0 + 2) = 2(2k_0 + 1)(k_0 + 1)$ . #  
some algebra

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Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . # true in all (both) possible cases

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Then  $(\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ . # by Assumption,  $n \in \mathbb{Z}$

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Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . #  $k = (2k_0 + 1)(k_0 + 1) \in \mathbb{Z}$

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Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . #  $k = (2k_0 + 1)(k_0 + 1) \in \mathbb{Z}$

Case 2: Assume  $\exists k \in \mathbb{Z}, n = 2k$ .

⋮

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . # true in all (both) possible cases

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Let  $k_0 \in \mathbb{Z}$  be such that  $n = 2k_0 + 1$ . # instantiate existential

Then  $n^2 + n = n(n + 1) = (2k_0 + 1)(2k_0 + 2) = 2(2k_0 + 1)(k_0 + 1)$ . # some algebra

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . #  $k = (2k_0 + 1)(k_0 + 1) \in \mathbb{Z}$

Case 2: Assume  $\exists k \in \mathbb{Z}, n = 2k$ .

Let  $k_0 \in \mathbb{Z}$  be such that  $n = 2k_0$ . # instantiate existential

$\vdots$

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . # true in all (both) possible cases

Then  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ . # introduction of universal

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### Solution

Assume  $n \in \mathbb{Z}$ . #  $n$  is a typical integer number

Then  $(\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ . # by Assumption,  $n \in \mathbb{Z}$

Case 1: Assume  $\exists k \in \mathbb{Z}, n = 2k + 1$ .

Let  $k_0 \in \mathbb{Z}$  be such that  $n = 2k_0 + 1$ . # instantiate existential

Then  $n^2 + n = n(n + 1) = (2k_0 + 1)(2k_0 + 2) = 2(2k_0 + 1)(k_0 + 1)$ . #  
some algebra

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . #  $k = (2k_0 + 1)(k_0 + 1) \in \mathbb{Z}$

Case 2: Assume  $\exists k \in \mathbb{Z}, n = 2k$ .

Let  $k_0 \in \mathbb{Z}$  be such that  $n = 2k_0$ . # instantiate existential

Then  $n^2 + n = n(n + 1) = 2k_0(2k_0 + 1) = 2[k_0(2k_0 + 1)]$ .

⋮

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . # true in all (both) possible cases

Then  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ . # introduction of universal

## Proof by Cases

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- **Claim:**  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ .

### Solution

Assume  $n \in \mathbb{Z}$ . #  $n$  is a typical integer number

Then  $(\exists k \in \mathbb{Z}, n = 2k + 1) \vee (\exists k \in \mathbb{Z}, n = 2k)$ . # by Assumption,  $n \in \mathbb{Z}$

Case 1: Assume  $\exists k \in \mathbb{Z}, n = 2k + 1$ .

Let  $k_0 \in \mathbb{Z}$  be such that  $n = 2k_0 + 1$ . # instantiate existential

Then  $n^2 + n = n(n + 1) = (2k_0 + 1)(2k_0 + 2) = 2(2k_0 + 1)(k_0 + 1)$ . # some algebra

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . #  $k = (2k_0 + 1)(k_0 + 1) \in \mathbb{Z}$

Case 2: Assume  $\exists k \in \mathbb{Z}, n = 2k$ .

Let  $k_0 \in \mathbb{Z}$  be such that  $n = 2k_0$ . # instantiate existential

Then  $n^2 + n = n(n + 1) = 2k_0(2k_0 + 1) = 2[k_0(2k_0 + 1)]$ .

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . #  $k = k_0(2k_0 + 1) \in \mathbb{Z}$

Then  $\exists k \in \mathbb{Z}, n^2 + n = 2k$ . # true in all (both) possible cases

Then  $\forall n \in \mathbb{Z}, \exists k \in \mathbb{Z}, n^2 + n = 2k$ . # introduction of universal



## Proof by Cases

### Exercise

- Prove that the square of a natural is a multiple of 3 or a multiple of 3 plus 1.

### Solution

- **Step 1:** Translate the claim to logical notation.
  - $\forall n \in \mathbb{N}, (\exists k \in \mathbb{N}, n^2 = 3k) \vee (\exists k \in \mathbb{N}, n^2 = 3k + 1)$ .
- **Step 2:** Find a plan for the proof :
  - Consider three cases:  $n = 3k$  or  $n = 3k + 1$  or  $n = 3k + 2$ .
- **Step 3:** Translate the assumptions/facts to logical notation
  - $\forall n \in \mathbb{N}, (\exists k \in \mathbb{N}, n = 3k \vee n = 3k + 1 \vee n = 3k + 2)$ .
- **Step 4:** Choose an appropriate proof structure. Use the assumptions/facts to prove the claim.

## Proof by Cases

### Structure

- Disjunction in the **assumptions**  $\rightarrow$  proof by cases
- Disjunction in the **claim**  $\rightarrow$  proof structure for disjunction

- **Assumption:**  $P \vee Q$ .

- **Claim:**  $S \vee R$ .

Assume  $P \vee Q$

**Case 1:** Assume  $P$ .

$\vdots$  # prove  $R$

Then  $R$ .

**Case 2:** Assume  $Q$ .

$\vdots$  # prove  $S$

Then  $S$ .

Thus  $R \vee S$ . # introduce disjunction

## Proof by Cases

### Structure

- Disjunction in the **assumptions**  $\rightarrow$  proof by cases
- Disjunction in the **claim**  $\rightarrow$  proof structure for disjunction

- **Assumption:**  $P \vee Q$ .

- **Claim:**  $S \vee R$ .

Assume  $P \vee Q$

**Case 1:** Assume  $P$ .

$\vdots$  # prove  $R$

Then  $R$ .

Then  $R \vee S$ . # introduce disjunction

**Case 2:** Assume  $Q$ .

$\vdots$  # prove  $S$

Then  $S$ .

Then  $R \vee S$ . # introduce disjunction

Thus  $R \vee S$ . # introduce disjunction