

# Chapter 3

# Formal Proofs

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# Announcements

- **Term Test 1:**
  - Section **L0101**: Tuesday **Feb 03, 2:10-3:30** Location: **MP203**
  - Section **L0201**: Thursday **Feb 05, 2:10-3:30** Location: **MP103**
  - You **must** write the quiz in the **section** that you are **enrolled** in, unless you have talked to the instructors and they allowed you to switch.
  - **Content:** **Chapter 2.** Review **lecture** and **course** notes!
- **TA office Hours:**
  - **Monday**, Feb 02, **1-3pm, 4:30-6:30pm** in **BA3201**
  - **Wednesday**, Feb 04, **12-2pm, 3:30-5:30pm** in **BA3201**

## Today's Topics

- Direct Proof of the Existential
- Proof of Multiple Quantifiers, Implications, and Conjunctions
  - Example of Proving a Statement about a Sequence
  - Example of Disproving a Statement about a Sequence

# Chapter 3

# Formal Proofs

## Direct Proof of the Existential

# Direct Proof of the Existential

## General Form

- **Prove:**  $\exists x \in D, P(x)$ .
- **How to prove:**  
Find **one** element in  $D$  that **satisfies**  $P$ .

## Structure for the Direct Proof of Existential

Let  $\mathbf{x} = \dots$  # choose a particular element of the domain

Then  $x \in D$ . # this **may be obvious**, otherwise prove it

$\vdots$  # prove  $P(x)$

Then **P(x)**. # you've shown that  $\mathbf{x}$  **satisfies** **P**

$\exists x \in D, P(x)$ . # introduce existential

# Direct Proof of the Existential

## Exercise

- Prove  $\exists x \in \mathbb{R}, x^2 + 2x + 1 = 0.$

Let  $x = -1.$  # choose a particular element that will work

Then  $x \in \mathbb{R}.$  # since  $-1 \in \mathbb{R}$

Then  $x^2 + 2x + 1 = (-1)^2 + 2(-1)^2 + 1 = 1 - 2 + 1 = 0.$  #

substitute  $-1$  for  $x$

Then  $\exists x \in \mathbb{R}, x^2 + 2x + 1 = 0.$  # we gave an example, so we introduce existential

## Direct Proof of the Existential

### Exercise

- Prove  $\exists x \in \mathbb{Z}, x = -x$ .

Let  $x = 0$ . # choose a particular element that will work

Then  $x \in \mathbb{Z}$ . # since  $0 \in \mathbb{Z}$

Then  $x = 0 = -0 = -x$ . # substitute 0 for  $x$

Then  $\exists x \in \mathbb{Z}, x = -x$ . # we gave an example, so we introduce existential

# Chapter 3

# Formal Proofs

**Proof of Multiple Quantifiers, Implications, and  
Conjunctions**

## Proving a Statement about a Sequence

- **A<sub>1</sub>** :  $a_i = i^2, i \in \mathbb{N}$ .

|                      |   |   |   |   |    |    |     |
|----------------------|---|---|---|---|----|----|-----|
| <i>i</i>             | 0 | 1 | 2 | 3 | 4  | 5  | ... |
| <i>a<sub>i</sub></i> | 0 | 1 | 4 | 9 | 16 | 25 | ... |

- **C<sub>1</sub>** :  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ .

- Verify if **C<sub>1</sub>** is **True** for **A<sub>1</sub>**.

- *i = 0*:  $a_0 = 0^2 \leq 0$ , but  $0 \not< 0$ .
- *i = 1*:  $a_1 = 1^2 \leq 1$ , but  $1 \not< 1$ .
- *i = 2*:
  - $a_0 = 0^2 \leq 2$ , and  $0 < 2$ .
  - $a_1 = 1^2 \leq 2$ , and  $1 < 2$ .
  - For all  $j \geq 2$ ,  $a_j = j^2 \not\leq 2$ .

## Proving a Statement about a Sequence

- **A<sub>1</sub>** :  $a_i = i^2, i \in \mathbb{N}$ .
  - **C<sub>1</sub>** :  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ .
- 
- Prove that **C<sub>1</sub>** is **True** for **A<sub>1</sub>**.

## Reminder: Direct Proof of Universally Quantified Implication

### Structure of an Direct Proof

- Prove  $\forall x \in D, P(x) \Rightarrow Q(x)$

Assume  $x \in D$ . #  $x$  is a typical element of  $D$

    Assume  $P(x)$ . #  $x$  has property  $P$ , the antecedent

⋮

    Then  $Q(x)$ . # the **consequence!**

    Then  $P(x) \Rightarrow Q(x)$ . # assuming antecedent leads to consequent

    Then  $\forall x \in D, P(x) \Rightarrow Q(x)$ . #  $x$  was a typical element of  $D$

## Reminder: Indirect Proof of Universally Quantified Implication

### Structure of an Indirect Proof

- Prove  $\forall x \in D, P(x) \Rightarrow Q(x)$

Assume  $x \in D$ . #  $x$  is a typical element of  $D$

Assume  $\neg Q(x)$ . # negation of the **consequent**!

⋮

Then  $\neg P(x)$ . # negation of the **antecedent**!

Then  $\neg Q(x) \Rightarrow \neg P(x)$ . # assuming  $\neg Q(x)$  leads to  $\neg P(x)$

Then  $P(x) \Rightarrow Q(x)$ . # implication is equivalent to contrapositive

Then  $\forall x \in D, P(x) \Rightarrow Q(x)$ . #  $x$  was a typical element of  $D$

## Proving a Statement about a Sequence

- **A<sub>1</sub>** :  $a_i = i^2, i \in \mathbb{N}$ .
- **C<sub>1</sub>** :  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ .

- Prove that **C<sub>1</sub>** is **True** for **A<sub>1</sub>**.

Let  $i = 2$ . Then  $i \in \mathbb{N}$ . #  $2 \in \mathbb{N}$

$\vdots$

Then  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce existential

## Proving a Statement about a Sequence

- **A<sub>1</sub>** :  $a_i = i^2, i \in \mathbb{N}$ .
- **C<sub>1</sub>** :  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ .

- Prove that **C<sub>1</sub>** is **True** for **A<sub>1</sub>**.

Let  $i = 2$ . Then  $i \in \mathbb{N}$ . #  $2 \in \mathbb{N}$

Assume  $j \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

⋮

Then  $\forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ . # introduce universal

Then  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ . # introduce existential

## Proving a Statement about a Sequence

- **A<sub>1</sub>** :  $a_i = i^2, i \in \mathbb{N}$ .
- **C<sub>1</sub>** :  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ .

- Prove that **C<sub>1</sub>** is **True** for **A<sub>1</sub>**.

Let  $i = 2$ . Then  $i \in \mathbb{N}$ . #  $2 \in \mathbb{N}$

Assume  $j \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

⋮

Then  $a_j \leq 2 \implies j < i$ . #

Then  $\forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce universal

Then  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce existential

## Proving a Statement about a Sequence

- **A<sub>1</sub>** :  $a_i = i^2, i \in \mathbb{N}$ .
- **C<sub>1</sub>** :  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ .

- Prove that **C<sub>1</sub>** is **True** for **A<sub>1</sub>**.

Let  $i = 2$ . Then  $i \in \mathbb{N}$ . #  $2 \in \mathbb{N}$

Assume  $j \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

⋮

Then  $\neg(j < i) \implies \neg(a_j \leq 2)$ . #

Then  $a_j \leq 2 \implies j < i$ . # impl. equivalent to contrapos.

Then  $\forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce universal

Then  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce existential

## Proving a Statement about a Sequence

- **A<sub>1</sub>** :  $a_i = i^2, i \in \mathbb{N}$ .
- **C<sub>1</sub>** :  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ .

- Prove that **C<sub>1</sub>** is **True** for **A<sub>1</sub>**.

Let  $i = 2$ . Then  $i \in \mathbb{N}$ . #  $2 \in \mathbb{N}$

Assume  $j \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

Assume  $\neg(j < i)$ . # antecedent for contrapositive

⋮

Then  $a_j > 2$ . #

Then  $\neg(j < i) \implies \neg(a_j \leq 2)$ . # anteced. leads to conseq.

Then  $a_j \leq 2 \implies j < i$ . # impl. equivalent to contrapos.

Then  $\forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce universal

Then  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce existential

## Proving a Statement about a Sequence

- **A<sub>1</sub>** :  $a_i = i^2, i \in \mathbb{N}$ .
- **C<sub>1</sub>** :  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ .

- Prove that **C<sub>1</sub>** is **True** for **A<sub>1</sub>**.

Let  $i = 2$ . Then  $i \in \mathbb{N}$ . #  $2 \in \mathbb{N}$

Assume  $j \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

Assume  $\neg(j < i)$ . # antecedent for contrapositive

Then  $j \geq 2$ . # negation of  $j < i$  when  $i = 2$

⋮

Then  $a_j > 2$ . #

Then  $\neg(j < i) \implies \neg(a_j \leq 2)$ . # anteced. leads to conseq.

Then  $a_j \leq 2 \implies j < i$ . # impl. equivalent to contrapos.

Then  $\forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce universal

Then  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce existential

## Proving a Statement about a Sequence

- **A<sub>1</sub>** :  $a_i = i^2, i \in \mathbb{N}$ .
- **C<sub>1</sub>** :  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \Rightarrow j < i$ .

- Prove that **C<sub>1</sub>** is **True** for **A<sub>1</sub>**.

Let  $i = 2$ . Then  $i \in \mathbb{N}$ . #  $2 \in \mathbb{N}$

Assume  $j \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

Assume  $\neg(j < i)$ . # antecedent for contrapositive

Then  $j \geq 2$ . # negation of  $j < i$  when  $i = 2$

Then  $a_j = j^2 \geq 2^2 = 4$ . # since  $a_j = j^2$ , and  $j \geq 2$

Then  $a_j > 2$ . # since  $4 > 2$

Then  $\neg(j < i) \implies \neg(a_j \leq 2)$ . # anteced. leads to conseq.

Then  $a_j \leq 2 \implies j < i$ . # impl. equivalent to contrapos.

Then  $\forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce universal

Then  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, a_j \leq i \implies j < i$ . # introduce existential

# Proof of Multiple Quantifiers

## Structure

- Prove  $\forall x \in D, \exists y \in E, P(x, y)$

Assume  $x \in D$ . #  $x$  is a typical element of  $D$

Let  $y = \underline{\hspace{2cm}}$ . # choose a particular element of the domain

Then  $y \in E$ . # this may be obvious, otherwise prove it

⋮ # prove  $P(x, y)$

Then  $P(x, y)$ .

Then  $\exists y \in E, P(x, y)$ . # introduce existential

Then  $\forall x \in D, \exists y \in E, P(x, y)$ . # introduce universal

# Proof of Multiple Quantifiers

## Structure

- Prove  $\exists x \in D, \forall y \in E, P(x, y)$

Let  $x = \underline{\hspace{2cm}}$ . # choose a particular element of the domain

Then  $x \in D$ . # this may be obvious, otherwise prove it

Assume  $y \in E$ . #  $y$  is a typical element of  $E$

⋮ # prove  $P(x, y)$

Then  $P(x, y)$ .

Then  $\forall y \in E, P(x, y)$ . # introduce universal

Then  $\exists x \in D, \forall y \in E, P(x, y)$ . # introduce existential

## Disproving a Statement about a Sequence

- **A<sub>2</sub>** :  $a_0 = 0, a_1 = 0, a_i = a_{i-2} + 1, i \geq 2, i \in \mathbb{N}$

|                      |   |   |   |   |   |   |   |   |   |   |    |     |
|----------------------|---|---|---|---|---|---|---|---|---|---|----|-----|
| <i>i</i>             | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | ... |
| <i>a<sub>i</sub></i> | 0 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5  | ... |

- **C<sub>2</sub>** :  $\exists i \in \mathbb{N}, \forall j \in \mathbb{N}, j > i \Rightarrow a_j = a_i.$

- **How to disprove C<sub>2</sub>?**

Prove  $\neg C_2$

- $\neg C_2 : \forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$

## Disproving a Statement about a Sequence

- **A<sub>2</sub>** :  $a_0 = 0, a_1 = 0, a_i = a_{i-2} + 1, i \geq 2, i \in \mathbb{N}$

|                      |   |   |   |   |   |   |   |   |   |   |    |     |
|----------------------|---|---|---|---|---|---|---|---|---|---|----|-----|
| <i>i</i>             | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | ... |
| <i>a<sub>i</sub></i> | 0 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5  | ... |

- **¬C<sub>2</sub>** :  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$

- Prove that **C<sub>2</sub>** is **False** for **A<sub>2</sub>**.

Assume  $i \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

⋮

Then  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of universal

## Disproving a Statement about a Sequence

- **A<sub>2</sub>** :  $a_0 = 0, a_1 = 0, a_i = a_{i-2} + 1, i \geq 2, i \in \mathbb{N}$

|                      |   |   |   |   |   |   |   |   |   |   |    |     |
|----------------------|---|---|---|---|---|---|---|---|---|---|----|-----|
| <i>i</i>             | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | ... |
| <i>a<sub>i</sub></i> | 0 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5  | ... |

- **¬C<sub>2</sub>** :  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$

- Prove that **C<sub>2</sub>** is **False** for **A<sub>2</sub>**.

Assume  $i \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

Let  $j = \underline{\hspace{2cm}}$ . Then  $j \in \mathbb{N}$ .

⋮

Then  $\exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of existential

Then  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of universal

## Disproving a Statement about a Sequence

- **A<sub>2</sub>** :  $a_0 = 0, a_1 = 0, a_i = a_{i-2} + 1, i \geq 2, i \in \mathbb{N}$

|                      |   |   |   |   |   |   |   |   |   |   |    |     |
|----------------------|---|---|---|---|---|---|---|---|---|---|----|-----|
| <i>i</i>             | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | ... |
| <i>a<sub>i</sub></i> | 0 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5  | ... |

- **¬C<sub>2</sub>** :  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$

- Prove that **C<sub>2</sub>** is **False** for **A<sub>2</sub>**.

Assume  $i \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

Let  $j = \underline{\hspace{2cm}}$ . Then  $j \in \mathbb{N}$ .

$\vdots$

Then  $j > i \wedge a_j \neq a_i$ .

Then  $\exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of existential

Then  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of universal

## Disproving a Statement about a Sequence

- **A<sub>2</sub>** :  $a_0 = 0, a_1 = 0, a_i = a_{i-2} + 1, i \geq 2, i \in \mathbb{N}$

|                      |   |   |   |   |   |   |   |   |   |   |    |     |
|----------------------|---|---|---|---|---|---|---|---|---|---|----|-----|
| <i>i</i>             | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | ... |
| <i>a<sub>i</sub></i> | 0 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5  | ... |

- $\neg \mathbf{C}_2$  :  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$

- Prove that **C<sub>2</sub>** is **False** for **A<sub>2</sub>**.

Assume  $i \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

Let  $j = \underline{\hspace{2cm}}$ . Then  $j \in \mathbb{N}$ .

⋮

Then  $j > i$ .

⋮

Then  $a_j \neq a_i$ .

Then  $j > i \wedge a_j \neq a_i$ . # introduction of conjunction

Then  $\exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of existential

Then  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of universal

## Disproving a Statement about a Sequence

- **A<sub>2</sub>** :  $a_0 = 0, a_1 = 0, a_i = a_{i-2} + 1, i \geq 2, i \in \mathbb{N}$

|       |   |   |   |   |   |   |   |   |   |   |    |     |
|-------|---|---|---|---|---|---|---|---|---|---|----|-----|
| $i$   | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | ... |
| $a_i$ | 0 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5  | ... |

- $\neg C_2$  :  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$

- Prove that **C<sub>2</sub>** is **False** for **A<sub>2</sub>**.

Assume  $i \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

Let  $j = i + 2$ . Then  $j \in \mathbb{N}$ . #  $i, 2 \in \mathbb{N}$ , and  $\mathbb{N}$  is closed under +  
Then  $j > i$ . #  $2 > 0$ , so  $i + 2 > i$

⋮

Then  $a_j \neq a_i$ .

Then  $j > i \wedge a_j \neq a_i$ . # introduction of conjunction Then

$\exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of existential

Then  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of universal

## Disproving a Statement about a Sequence

- **A<sub>2</sub>** :  $a_0 = 0, a_1 = 0, a_i = a_{i-2} + 1, i \geq 2, i \in \mathbb{N}$

|       |   |   |   |   |   |   |   |   |   |   |    |     |
|-------|---|---|---|---|---|---|---|---|---|---|----|-----|
| $i$   | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | ... |
| $a_i$ | 0 | 0 | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5  | ... |

- **¬C<sub>2</sub>** :  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$

- Prove that **C<sub>2</sub>** is **False** for **A<sub>2</sub>**.

Assume  $i \in \mathbb{N}$ . # typical element of  $\mathbb{N}$

Let  $j = i + 2$ . Then  $j \in \mathbb{N}$ . #  $i, 2 \in \mathbb{N}$ , and  $\mathbb{N}$  is closed under  $+$

Then  $j > i$ . #  $2 > 0$ , so  $i + 2 > i$

Then  $a_j = a_{i+2} = a_i + 1$  # since  $j \geq 2$  and by Def. of  $A_2$

Then  $a_j \neq a_i$ . #  $1 > 0$ , so  $a_i + 1 > a_i$

Then  $j > i \wedge a_j \neq a_i$ . # introduction of conjunction Then

$\exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of existential

Then  $\forall i \in \mathbb{N}, \exists j \in \mathbb{N}, j > i \wedge a_j \neq a_i$ . # introduction of universal

# Proof of Conjunction

## Structure

- Prove  $\forall x \in D, P(x) \wedge Q(x)$

Assume  $x \in D$ . #  $x$  is a typical element of  $D$

⋮ # prove  $P(x)$

Then  $P(x)$ .

⋮ # prove  $Q(x)$

Then  $Q(x)$ .

Then  $P(x) \wedge Q(x)$ . # introduce conjunction

Then  $\forall x \in D, P(x) \wedge Q(x)$ . # introduce universal