

LOGICS FOR UNRANKED TREES

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Paper in the proceedings

- A much shortened version of the survey.
- Full version on my webpage
 - google.com \Rightarrow libkin \Rightarrow “I’m feeling lucky”
- Why? Limits on the number of pages in a single volume.

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- Why? Limits on the number of pages in a single volume.
- Apparently there is no **Moore’s Law** in book binding technology:
 - Gutenberg’s Bible, published in 1455 — **1282 pages**
 - Springer’s ICALP Proceedings, published in 2005 – **1477 pages**

Trees are everywhere

- One of the most common objects we see in CS.
- Logics and automata on trees found many applications:
 - verification;
 - program analysis;
 - logic programming/constraint programming;
 - linguistics;
 - databases

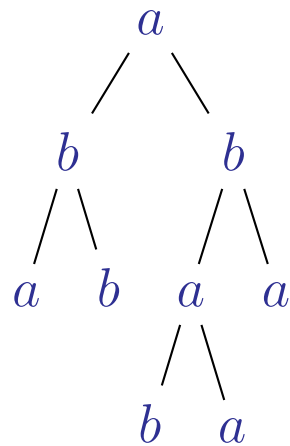
Logic/automata connection

- **Regular** tree languages = given by **tree automata**.
- Typically characterized via **MSO** — **M**onadic **S**econd-**O**rder logic
 - MSO is an extension of first-order logic with quantification over sets.
- Classical results (about 35 years old):
 - **Thatcher-Wright**: For finite binary trees, Regular = MSO-definable.
 - **Rabin**: Same is true for infinite trees. Hence **S2S**, the MSO-theory of two successors, is decidable.
 - This is one of the most powerful decidability results.
- Many more results followed (Thomas+colleagues, Wilke, Walukiewicz, Segoufin, Schwentick, Neven, etc etc)

Ranked Trees

Typically one works with **ranked** trees; e.g., binary, ternary, etc trees.

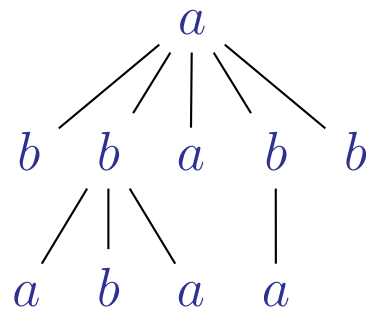
A **binary** tree:



Unranked trees

Recently focus has shifted towards **unranked** trees.

In them, nodes can have arbitrarily many children, and different nodes may have different number of children.



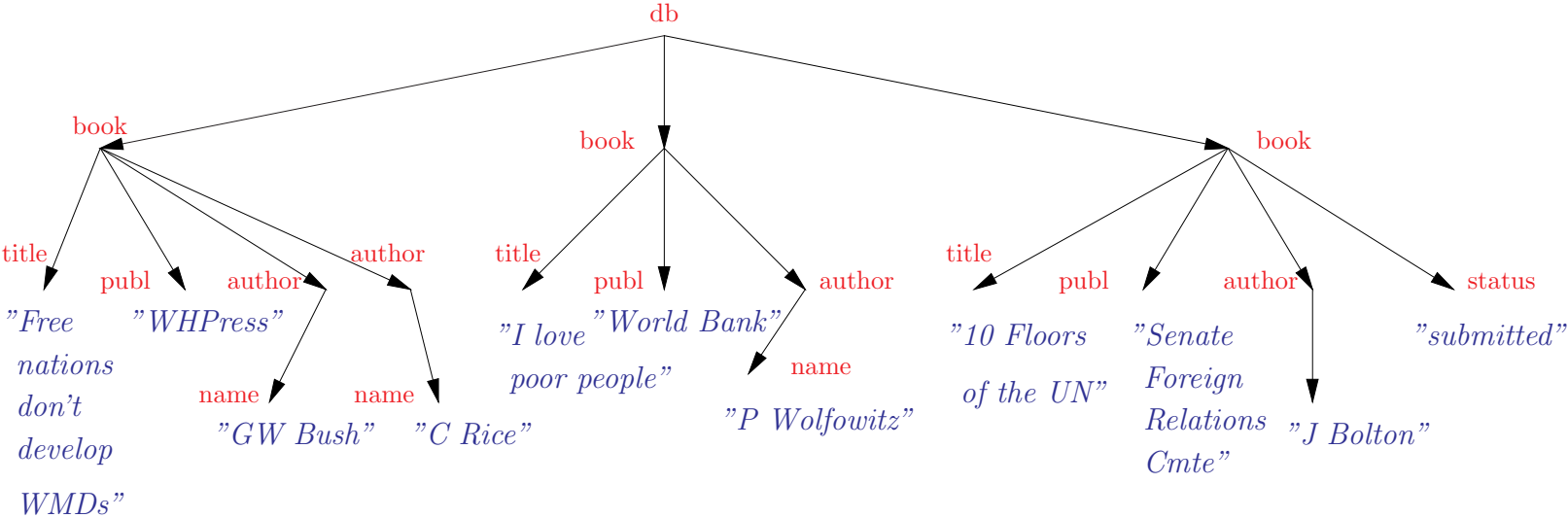
Why unranked trees?

- Main reason: XML.
- XML = eXtensible Markup Language, the standard for exchanging data on the web.
- XML data is modeled as unranked trees.
- A lot of recent work on XML: W3C standards such as XML Schema, XPath, XSLT, XQuery define types, navigation mechanism, transformations, and query languages for XML.
- Active work on XML in many communities, especially databases, information retrieval.
- Brings techniques (sometimes old and almost forgotten) from formal language theory and merges them nicely with logic.

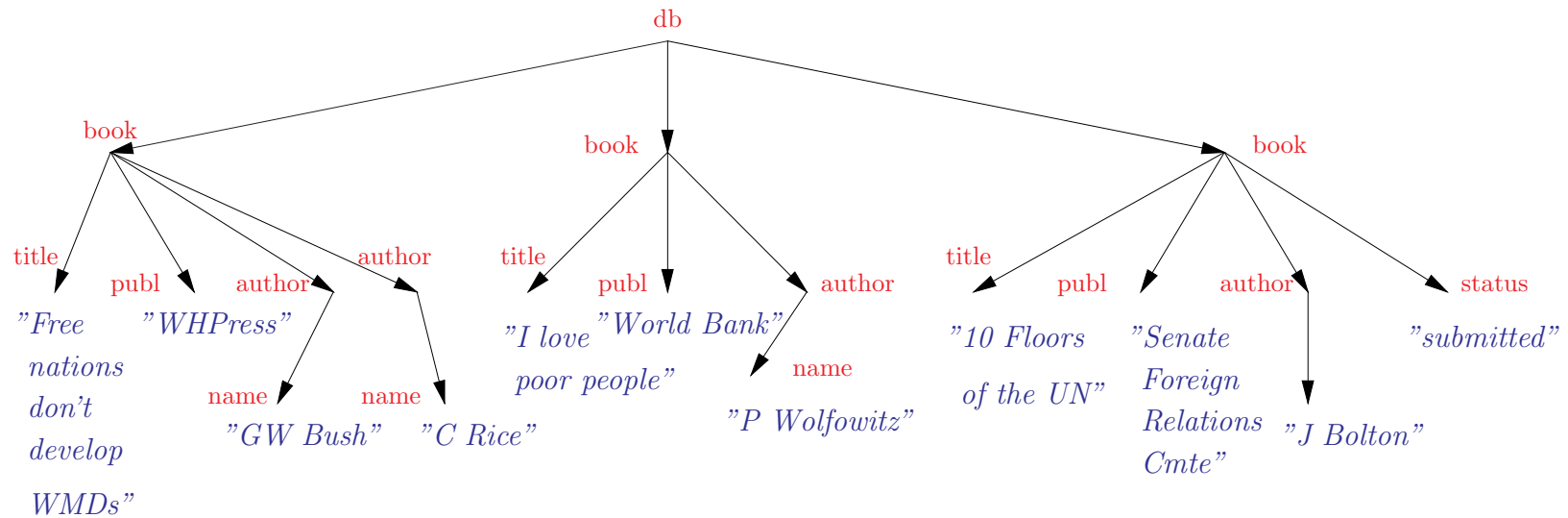
XML documents look like this

```
<db>
  <book>
    <title attr_title="Free Nations don't develop WMD"></title>
    <publisher publ_attr="White House Press"></publisher>
    <author>
      <name name_attr="GW Bush">
    </author>
    <author>
      <name name_attr="C Rice">
    </author>
  </book>
  <book>
    <title attr_title="I Love Poor People"></title>
    <publisher publ_attr="World Bank Press"></publisher>
    <author>
      <name name_attr="P Wolfowitz">
    </author>
  </book>
  <book>
    <title attr_title="10 Floors of the United Naion"></title>
    <publisher publ_attr="Senate Foreign relations Committee"></publisher>
    <status status_attr="submitted"></status>
    <author>
      <name name_attr="J Bolton">
    </author>
  </book>
</db>
```

But we like to view them as unranked trees:



But we like to view them as unranked trees:



Document description (DTD = Document Type Definition)

db \rightarrow book*
 book \rightarrow title, publ, author⁺, status?
 author \rightarrow name

plus attribute names.

Why are we interested in logics?

- XML documents describe **data**.
- Standard relational database approach:
 - data model – relations
 - declarative languages for specifying queries
 - procedural languages for evaluating queries
- Standard declarative languages are all logic-based:
 - relational calculus = **first-order logic (FO)**
 - datalog = fragment of **fixed-point logic**
 - basic SQL = **FO with counting**

What does XML add?

- New logics.
- New procedural languages:
 - logic-automata connection.

What do logics do?

Most commonly they define:

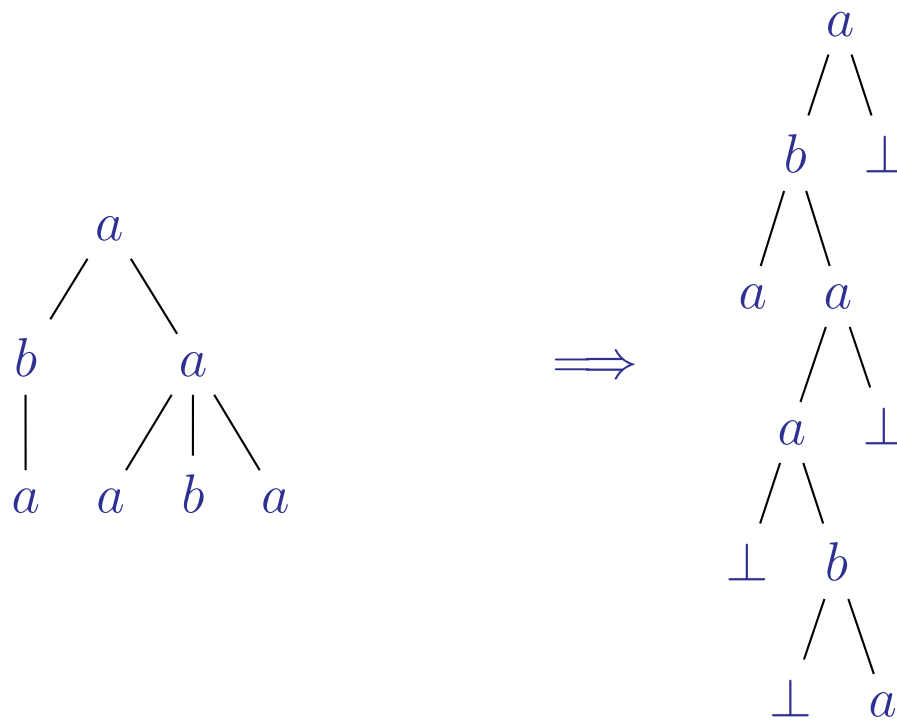
- **Boolean** (that is, **yes/no**) queries:
 - DTD conformance
 - Existence of certain paths
- **Unary** queries which **select nodes** in trees:
 - all nodes reachable by a certain path from the root;
 - all nodes with a certain label or certain data element.

Commonalities between logics

- (Almost) all have associated **automata** models.
- Crucial aspect is **navigation**.
- Hence we often see close connection with **temporal logics**.
- Logics are **specifically** designed for unranked trees.

Ranked/Unranked Connection

(used by Rabin in 1970 to interpret $S\omega S$ in $S2S$):



It preserves recognizability by automata, MSO-definability, FO-definability...

Why not just use it?

- Instead of defining logics for unranked trees, just translate them into binary trees and use known logical formalisms.
- Problem: **hard to navigate!**
- A path in a translation becomes a union of **arbitrarily** many **child** paths and **sibling** paths.
- Hard (at least not natural) to express many properties such as DTD conformance.

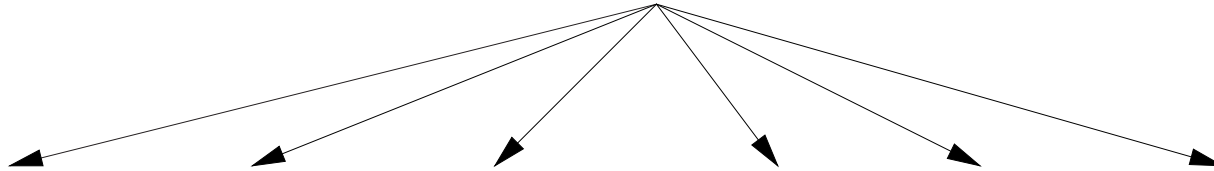
Classification: Yardstick logic

Most logics are based either on FO or MSO.

- FO:
 - Boolean connectives \vee, \wedge, \neg ,
 - quantifiers $\exists x, \forall x$ ranging over nodes of trees.
- MSO: in addition
 - quantifiers $\exists X, \forall X$ ranging over sets of nodes;
 - plus new formulae $x \in X$.

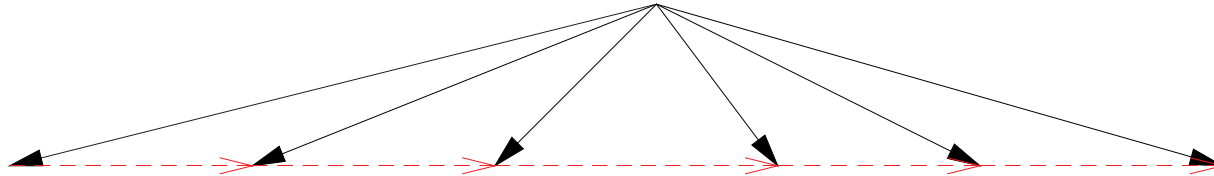
Classification: Ordered vs Unordered Trees

In **unordered** trees, there is no order among siblings (children of the same node).



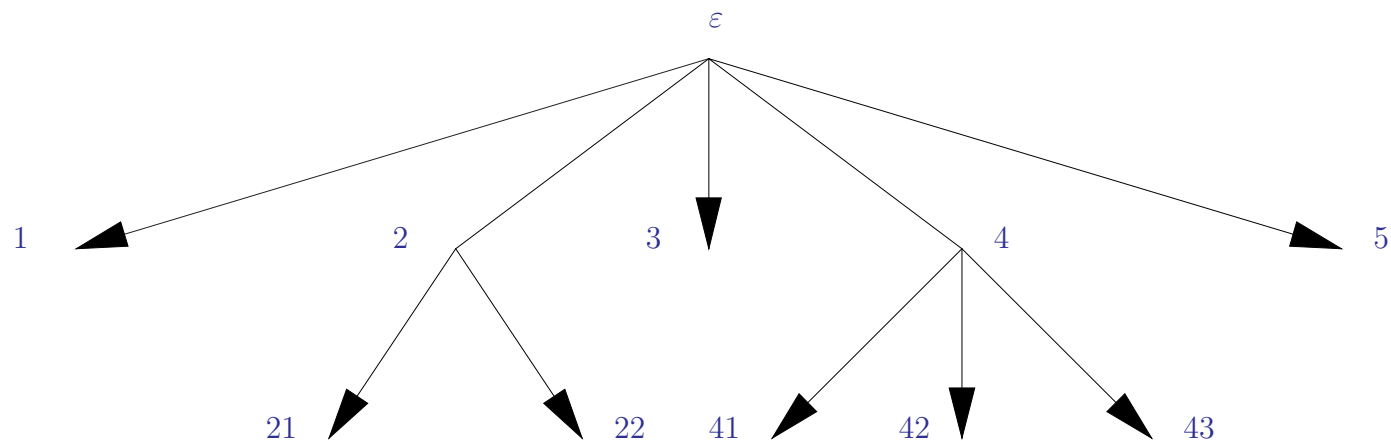
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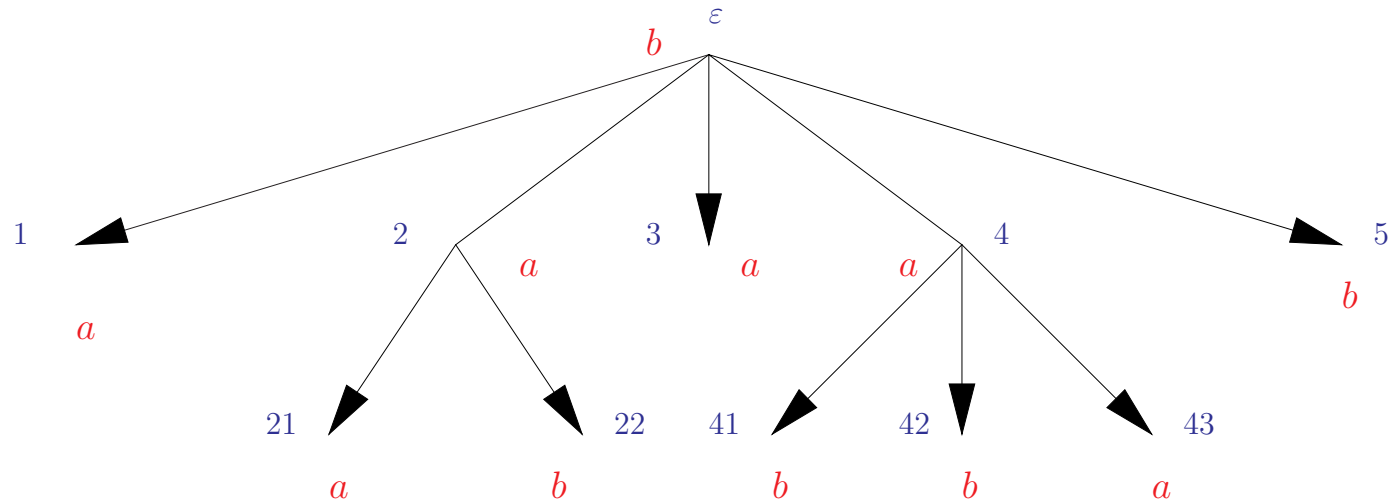
In **ordered** trees, siblings are ordered (from the oldest to the youngest).

Formal definition of unranked trees



Tree domain: prefix-closed subset D of \mathbb{N}^* such that $s \cdot i \in D$ implies $s \cdot j \in D$ for $j < i$.

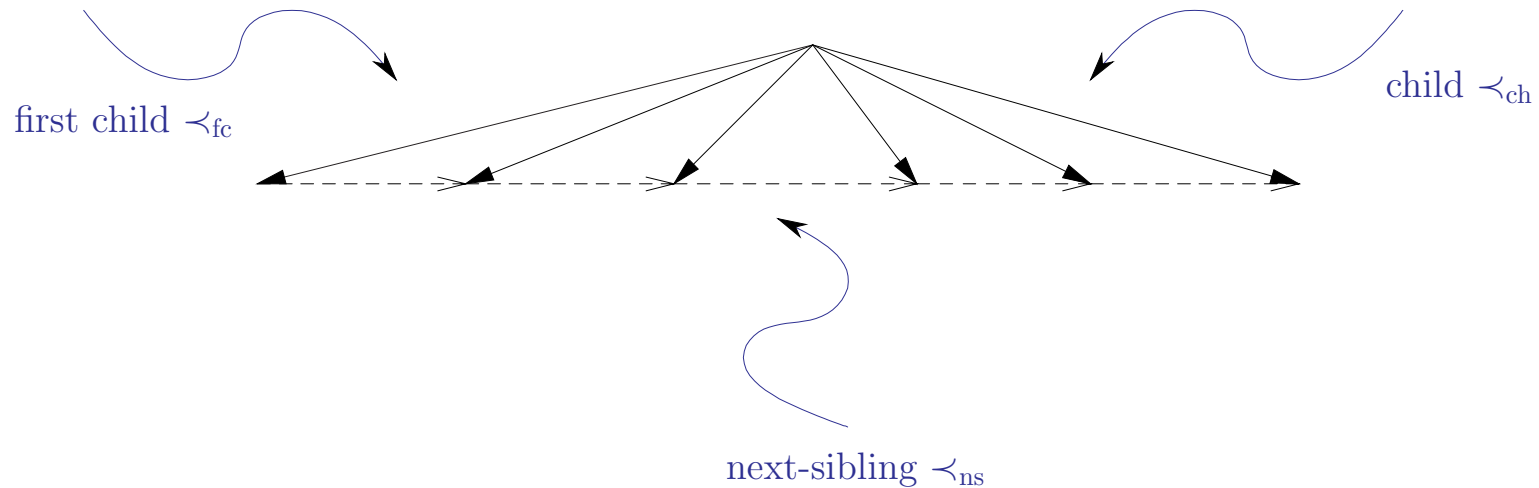
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Tree over finite alphabet Σ : tree domain plus a mapping from it to Σ .

Basic predicates



- Transitive closures:
- \prec_{ch}^* of \prec_{ch} (descendant)
 - \prec_{ns}^* of \prec_{ns} (younger child)

We normally use transitive closures (since they are **not** definable in FO).

For MSO, we can use either \prec_{ch} , \prec_{ns} or \prec_{ch}^* , \prec_{ns}^* as they are interdefinable.

LOGICS FOR ORDERED TREES

Logic/automata connection

A set \mathcal{T} of trees is **definable** in a logic \mathcal{L} iff there is a sentence φ of \mathcal{L} such that

$$T \in \mathcal{T} \quad \Leftrightarrow \quad T \models \varphi$$

A set \mathcal{T} of trees is **regular** if it is recognizable by a tree automaton.

Theorem

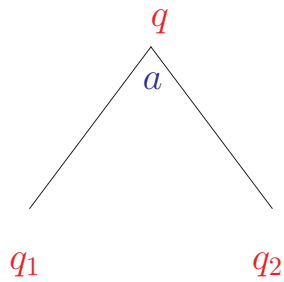
- A set of binary trees is regular iff it is **MSO**-definable (Thatcher-Wright, 1966).
- A set of **unranked** trees is regular iff it is **MSO**-definable (almost folklore; stated many times by different authors).

Tree automata: the ranked case

Transitions are $\delta : \text{States} \times \text{States} \times \Sigma \rightarrow 2^{\text{States}}$.

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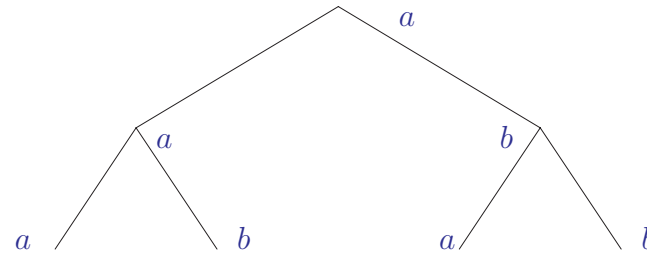
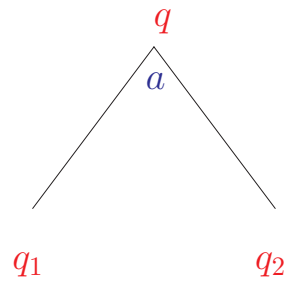
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if $q \in \delta(q_1, q_2, a)$

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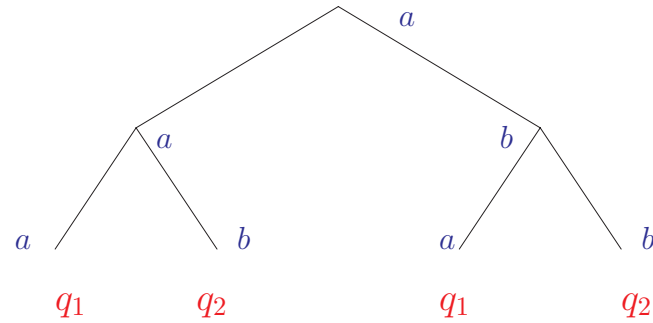
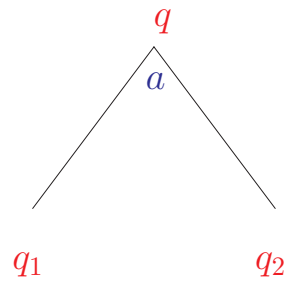
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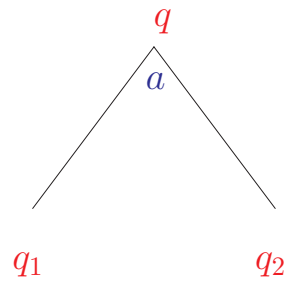
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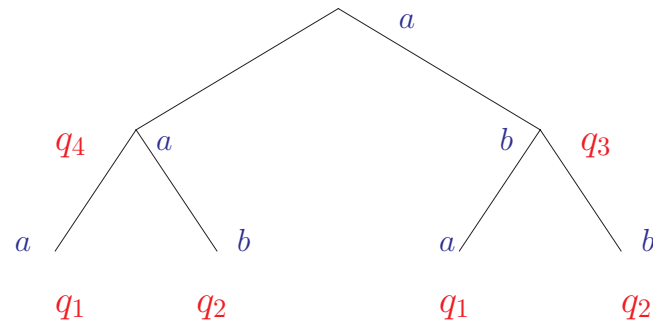
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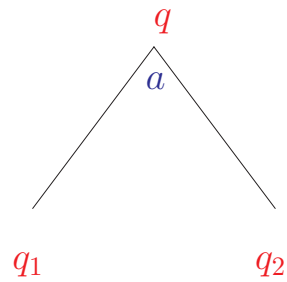


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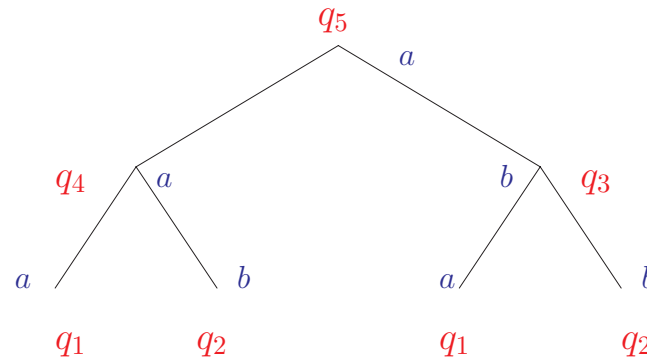


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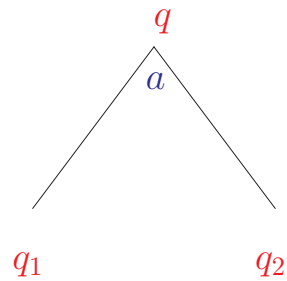


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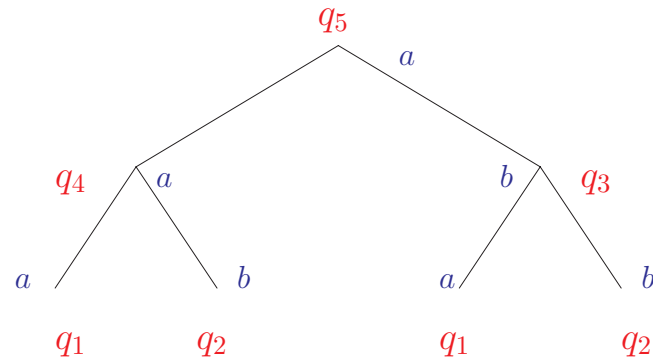


Tree automata: the ranked case

Transitions are $\delta : \text{States} \times \text{States} \times \Sigma \rightarrow 2^{\text{States}}$.



if $q \in \delta(q_1, q_2, a)$



accepted if q_5 is a final state

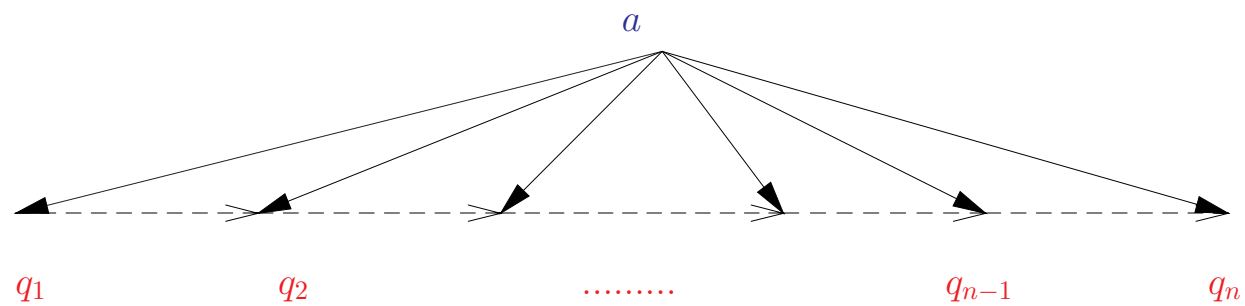
Tree automata: unranked case

Transitions are $\delta : \text{States} \times \Sigma \rightarrow 2^{\text{States}^*}$ so that each $\delta(q, a) \subseteq \text{States}^*$ is a **regular language**.

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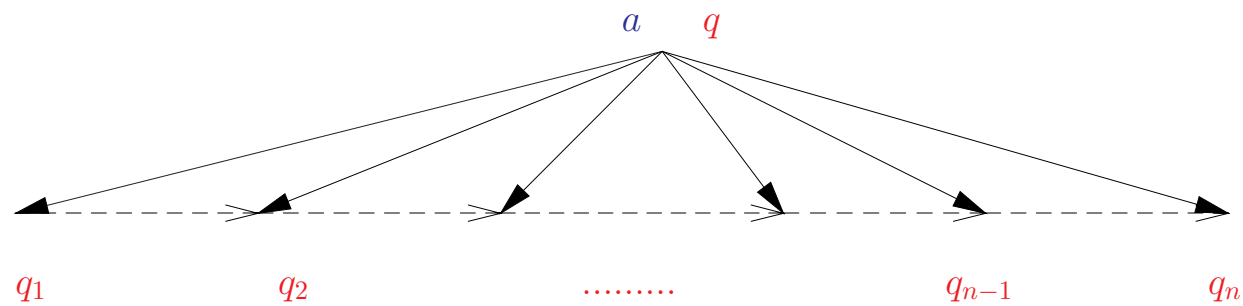
The run is the same as before:



Tree automata: unranked case

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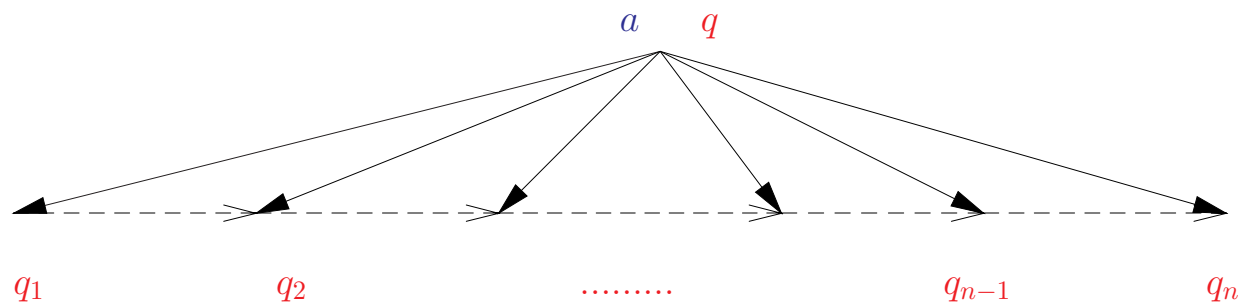


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The run is the same as before:



if $q_1 \cdots q_n \in \delta(q, a)$

A tree is **accepted** if there is a run such that the root is assigned an accepting state.

Unary queries

- A unary query selects a set of nodes in a tree.
- A surprisingly simple automaton model captures them.
- **Query automaton** QA = unranked tree automaton + selecting set $S \subseteq \text{States} \times \Sigma$.
- QA selects a node s from a tree T if there is a run that assigns a state q to s such that

$$(q, a) \in S.$$

Theorem (Neven/Schwentick, 1999) For unary queries over unranked trees,

$$\text{Query Automata} = \text{MSO}.$$

MSO and DTDs

- Recall that DTDs have rules such as

book \rightarrow title, publ, author⁺, status?

- Since regular string languages are precisely those MSO-definable, it follows that all DTDs are MSO-definable.
- Are DTDs and MSO equal?
- The answer is negative.

MSO and DTDs cont'd

- **EDTDs** = **E**xtended DTDs: these are DTDs over a larger alphabet $\Sigma' \supseteq \Sigma$ together with a projection $\pi : \Sigma' \rightarrow \Sigma$.
- Trees over Σ that conform to an EDTD: projections of conforming trees over Σ'

Theorem (Thatcher 1967; rediscovered several times recently)

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Theorem (Thatcher 1967; rediscovered several times recently)

$$\text{EDTDs} = \text{MSO}$$

- DTDs are **not** even closed under \vee and \neg .
- Unions of DTDs correspond to the existential fragment of **MSO** over a smaller vocabulary.

MSO over trees: Complexity

- Model-checking problem:

INPUT:	tree T , sentence φ
QUESTION:	Is $T \models \varphi$?

- Two parameters:
 - $\|T\|$ – data complexity
 - $\|\varphi\|$ – query complexity
- By translation to automata: The data complexity of MSO is linear.
- **Problem:** if we keep data complexity linear, the query complexity is necessarily non-elementary! (Frick/Grohe, 2002)
- Can we do better?
- **Yes**, by finding different logics that have the power of MSO, and yet better model-checking properties.

Changing syntax to lower complexity: LTL

Syntax:

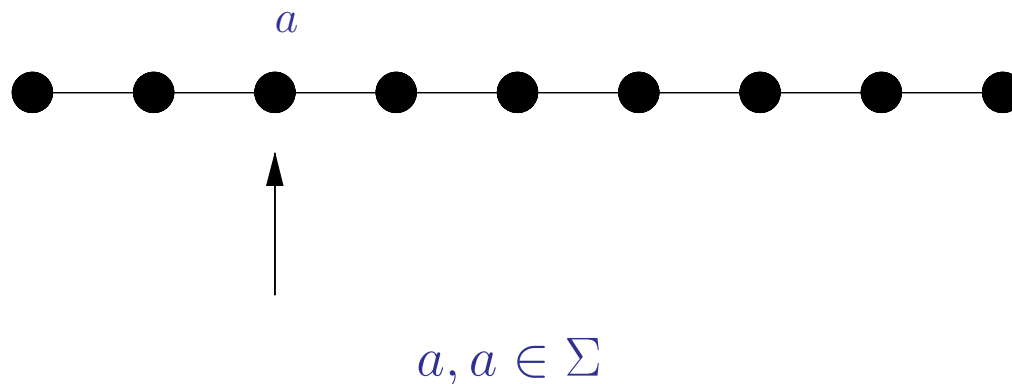
$$\varphi := a(\in \Sigma) \mid \varphi \vee \varphi' \mid \neg\varphi \mid \mathbf{X}\varphi \mid \varphi\mathbf{U}\varphi'$$

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Semantics:

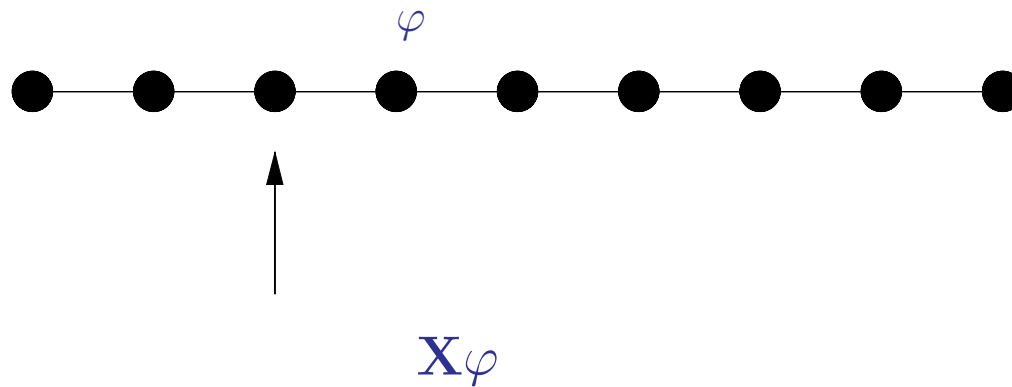


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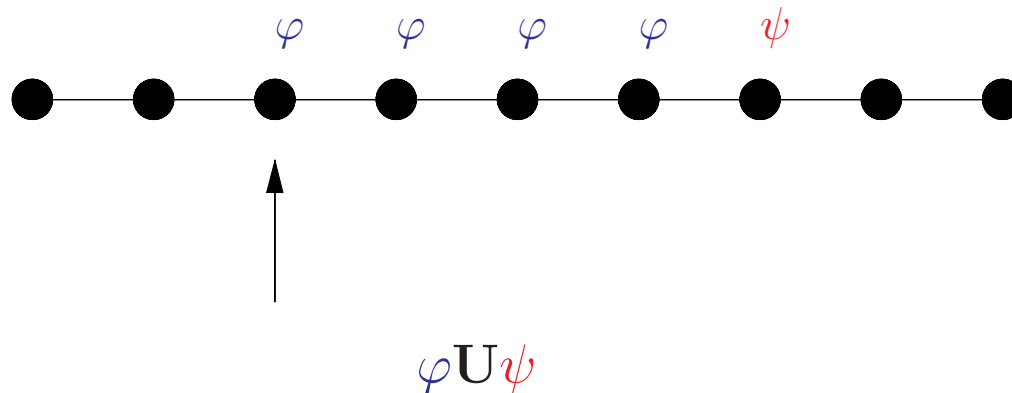


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Syntax:

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Semantics:



LTL cont'd

- LTL = FO over strings (Kamp's theorem).
- To evaluate LTL with linear **data** complexity, one needs **non-elementary query** complexity (modulo some complexity-theoretic assumptions; Frick/Grohe 2002)

- But LTL over strings can be evaluated in time

$$2^{O(\|\varphi\|)} \cdot |s|$$

- Of course this implies that translation from FO to LTL is non-elementary.

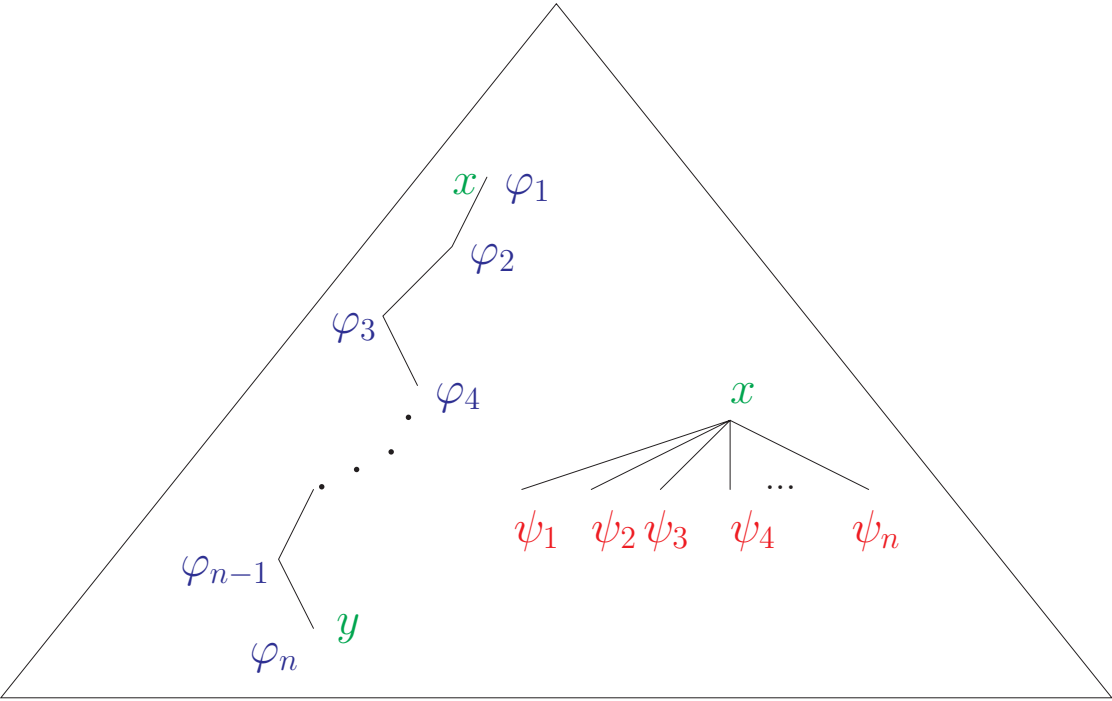
Efficient Tree Logic (ETL)

Neven/Schwentick, 2000

Idea of ETL: take MSO and

- Disallow:
 - next-sibling \prec_{ns} ;
 - arbitrary quantification;
- Add:
 - guarded quantification over children or (sets of) descendants;
 - regular expressions over formulae.
 - and put some syntactic restrictions.

Efficient Tree Logic (ETL) cont'd



$$\varphi_e(x, y) : \varphi_1 \cdots \varphi_n \in e$$

$$\psi_e(x) : \psi_1 \cdots \psi_n \in e$$

Efficient Tree Logic (ETL) cont'd

Theorem (Neven/Schwentick)

- ETL = MSO.
- ETL formulae can be evaluated in time

$$2^{O(\|\varphi\|)} \cdot \|T\|$$

Can one do better? – Monadic Datalog

- Datalog = database query language; essentially extension of positive FO with **least fixed point**.
- Can also be viewed as prolog without function symbols.
- Datalog program is **monadic** if all introduced predicates (intensional predicates) are monadic – have one free variable.
- Example: select (in predicate D) all nodes s such that all their descendants (including s) are labeled a :

$$\begin{aligned} D(x) &:- P_a(x), && \text{Leaf}(x) \\ D(x) &:- P_a(x), && x \prec_{\text{fc}} y, && S(y) \\ S(y) &:- P_a(x), && \text{LastChild}(y), && D(y) \\ S(y) &:- P_a(x), && x \prec_{\text{ns}} y, && S(y), && D(y) \end{aligned}$$

Monadic Datalog cont'd

Assume that `Leaf` and `LastChild` are available as basic predicates.

Theorem (Gottlob/Koch, 2002)

- Monadic Datalog = MSO
- A Monadic Datalog program \mathcal{P} can be evaluated on a tree T in time

$$O(\|\mathcal{P}\| \cdot \|T\|)$$

μ -calculus over unranked trees

- μ -calculus (Kozen 82): extension of a temporal logic with the **least fixed point** operator.
- Subsumes many logics used in verification: LTL, CTL, CTL*.
- Syntax:

$$\varphi := S \mid a \mid \varphi \vee \varphi' \mid \varphi \wedge \varphi' \mid \neg\varphi \mid \mathbf{X}_E\varphi \mid \mu S \varphi(S)$$

- S must occur positively;
- E ranges over relations \prec_{ch} and \prec_{ns}
- **Full** μ -calculus: one can talk about the past.
 - That is, E also ranges over inverses of \prec_{ch} and \prec_{ns}

μ -calculus over unranked trees cont'd

Theorem (Barcelo, L., '05) Over unranked trees,

$$\text{full } \mu\text{-calculus} = \text{MSO}$$

For Boolean queries:

- **MSO** = alternation-free μ -calculus (all negations pushed to atomic formulae)
- Complexity of model-checking (Mateescu, '02):
 - $O(\|\varphi\|^2 \cdot \|T\|)$ for μ -calculus;
 - $O(\|\varphi\| \cdot \|T\|)$ for alternation-free μ -calculus.

Remark: it is well known that over infinite *binary* trees μ -calculus and MSO are the same (Niwinski 1988).

First-Order based formalisms

- These are often studied in connection with XPath
- XPath – a W3C standard, essentially the navigation language for XML.

XPath – an informal introduction

- XPath has two kinds of formulae: **node tests** and **path formulae**.
- Node tests are closed under Boolean connectives and can check if a path satisfying a path formula can start in a given node.
- Path formulae can:
 - test if a node test is true in the first node of a path;
 - test if a path starts by going to a child, first child, next child, previous child, parent, descendant, ancestor, etc;
 - take union or composition of two paths.

Example: `//book[/author[name="GW Bush"]]/title`
gives titles of books coauthored by Bush.

CTL* vs XPath

- There is a well-known logic, **CTL***, that similarly combines node (called *state*) and path formulae.
- Syntax:

$$\begin{array}{ll} \text{state formulae} & \alpha := a \mid \alpha \vee \alpha' \mid \neg\alpha \mid \mathbf{E}\beta \\ \text{path formulae} & \beta := \text{LTL over state formulae} \end{array}$$

Example: all descendants of a given node (including self) are labeled a (with $\Sigma = \{a, b\}$):

$$\neg\mathbf{E} \left((a \vee b) \mathbf{U} b \right)$$

CTL* and FO over trees

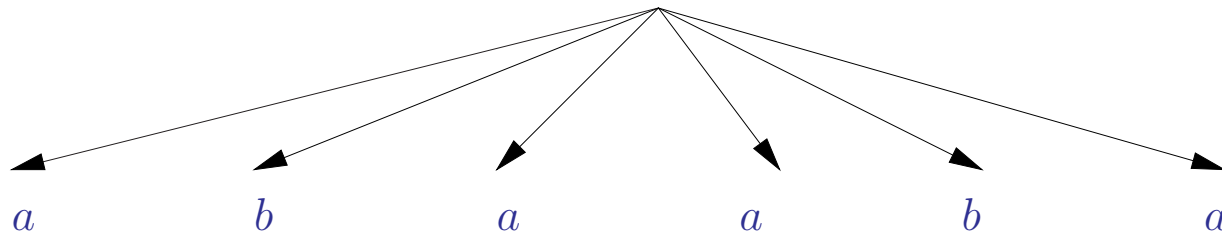
Theorem With respect to Boolean queries:

- over binary trees, $CTL^* = FO$
(Hafer, Thomas, 1987).
- over unranked trees, $CTL^* = FO$
(Barcelo, L., 2005; closely related to Marx 2004)
- For unary queries, one adds reasoning about the **past** (temporal operators **Y** – yesterday, and **S** – since).
- A technical issue: what is a path in an unranked tree? It could be a path that may change directions from siblings to children, or one could use two different kinds of path formulae.
- It turns out that this decision does not affect expressiveness.

LOGICS FOR UNORDERED TREES

Easy punchline

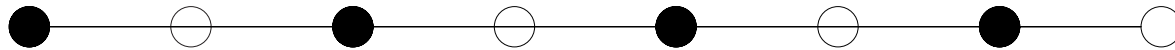
- Order buys us **counting**.
- Without order, counting has to be introduced explicitly.



- There is no way to say in a temporal logic that there are at least 2 children labeled *a*.

MSO, order, and counting

- With MSO, ordering gives us even more powerful modulo counting.
- Example: parity in MSO



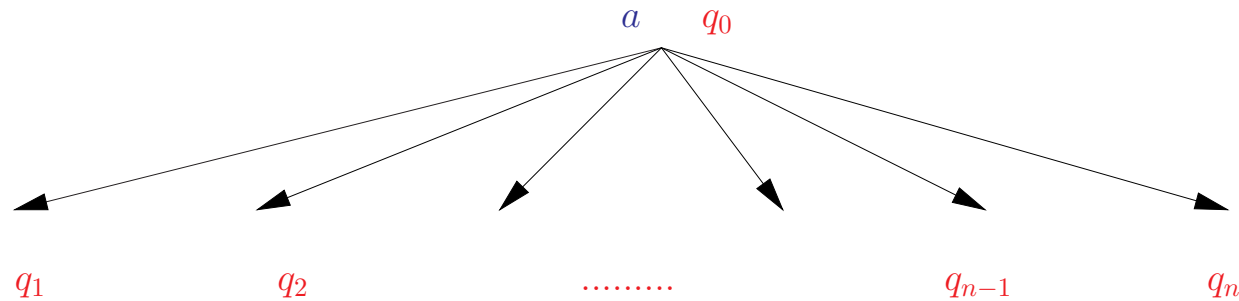
- The black set:
 - contains the first element;
 - contains every other element;
 - does not contain the last element.
- But if we only have:



we cannot say it.

Automata with counting

- New transition: $\delta : \text{States} \times \Sigma \rightarrow \text{Boolean function over}(V)$
- $V = \{v_q^k \mid k \in \mathbb{N}, q \in \text{States}\}$.
- A new notion of run:



- For each q , set v_q^k to **true** if the number of children in state q is at least k .
- If $\delta(q_0, a)$ evaluates to true, then state q_0 can be assigned.

Counting in temporal logics

Extend μ -calculus and CTL* to counting versions by changing X to X^k , meaning the existence of at least k elements satisfying a formula.

Theorem For Boolean queries:

- MSO = counting μ -calculus
(Walukiewicz et al, 2002)
- FO = counting CTL*
(Moller, Rabinovich, 2003)
- For unary queries, one needs both counting and past
(Schlingloff 1992, Barcelo, L, 2005)

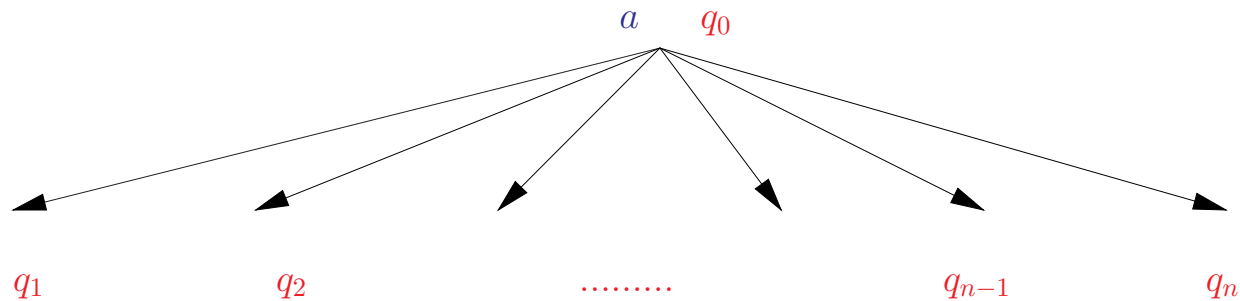
Adding an arbitrary ordering

- Parity example: an order is needed, but it does **not** matter which one!
- Such properties are called **order-invariant**.
- **CMSO** = **C**ounting MSO: extension of **MSO** with
 $\text{Mod}_q(X)$ meaning $|X| = 0 \pmod{q}$

Theorem (Courcelle 1991) Over trees,

$$\text{order-invariant MSO} = \text{CMSO}.$$

Even more powerful counting



- For each q , let v_q be the **number** of nodes assigned state q .
- In a more powerful counting automata, transition $\delta(q_0, a)$ could be a formula of **Presburger Arithmetic** (that is, $\langle \mathbb{N}, + \rangle$) over v_q 's. For example,

$$\delta(q_0, a) = (v_{q_1} + v_{q_2} = 2 \cdot v_{q_3}) \wedge \exists x (v_{q_4} = x + x + x)$$

- Such automata investigated by Seidl, Schwentick, Muscholl, '03–04.
- Decidability results and fixed-point logic characterizations.

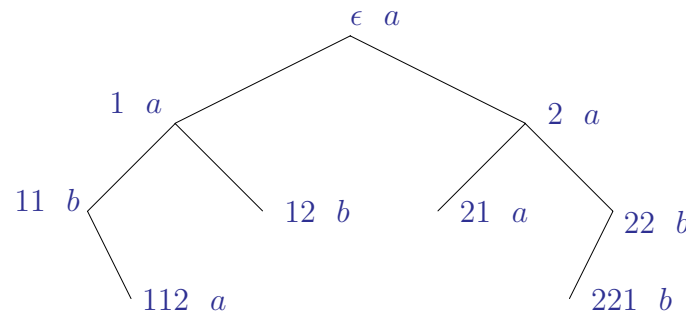
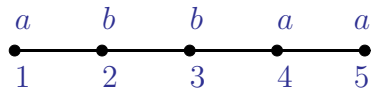
AUTOMATIC STRUCTURES

Strings, trees, and logic: a reminder

Strings and trees are viewed as **finite structures**.

Universe: $\{1, \dots, n\}$ or a prefix-closed subset of $\{1, 2\}^*$

Predicates: \prec – prefix, P_a and P_b for positions labeled a and b



A string s or a tree T is a structure, M_s or M_T , of vocabulary (\prec, P_a, P_b) .

If Φ is a sentence of a logic \mathcal{L} , then

$$\{s \mid M_s \models \Phi\} \quad \{T \mid M_T \models \Phi\}$$

define string and tree languages.

Classical Results Regular languages = MSO-definable

A different approach: automatic structures

If $\mathcal{M} = \langle \Sigma^*, \Omega \rangle$ is a first-order structure, then a formula $\varphi(x)$ defines the language

$$\{s \in \Sigma^* \mid \mathcal{M} \models \varphi(s)\}$$

A structure is **automatic** if all such languages are regular.

Operations on strings:

- $x \prec y$: x is a prefix of y
- $f_a(x) = x \cdot a, a \in \Sigma$
- $\text{el}(x, y)$ (equal length): $|x| = |y|$

$$\mathfrak{S} \stackrel{\text{def}}{=} \langle \Sigma^*, \prec, (f_a)_{a \in \Sigma}, \text{el} \rangle$$

Folklore Theorem \mathfrak{S} is the **universal** automatic structure: relations definable by formulae $\varphi(x_1, \dots, x_n)$ are precisely the regular relations.

Regular relations

These are n -tuples of strings accepted by **letter-to-letter** automata.

$$\begin{aligned} s_1 &= a \ a \ b \ \dots \ a \ b \ c \\ s_2 &= a \ b \ a \ \dots \ a \\ s_3 &= b \ b \ \dots \\ \dots & \\ s_n &= a \ b \ b \ \dots \ a \ c \end{aligned}$$

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The alphabet of this automaton is $(\Sigma \cup \{\#\})^n$.

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A reduct of \mathfrak{S} : $\mathfrak{S}_p \stackrel{def}{=} \langle \Sigma^*, \prec, (f_a)_{a \in \Sigma} \rangle$

Theorem (Benedikt, L., Schwentick, Segoufin, 2001)

Languages definable over \mathfrak{S}_p are precisely the **star-free** languages.

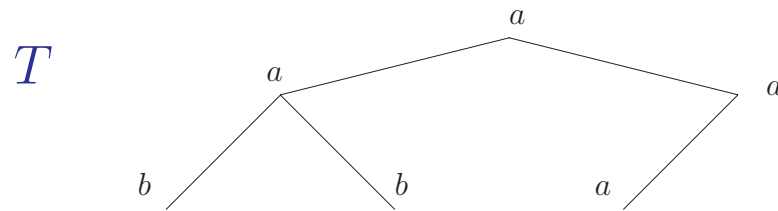
Automatic structures on binary trees

$\text{Trees}(\Sigma)$ – the infinite set of all binary Σ -labeled trees.

Operations and predicates on trees:

T' extends T

(or T is subsumed by T'): $T \prec T'$



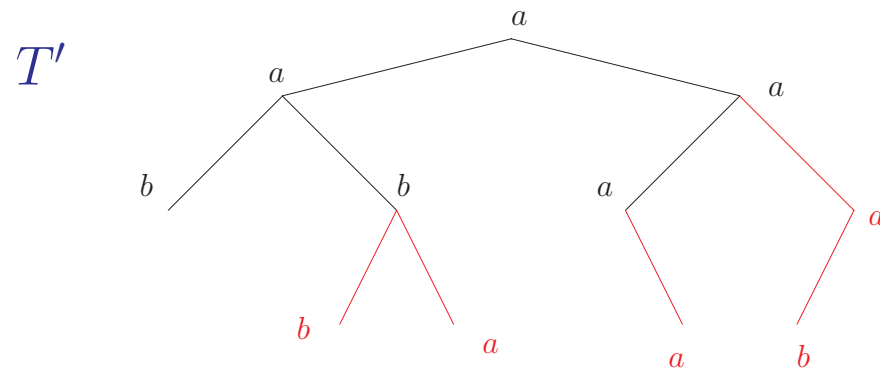
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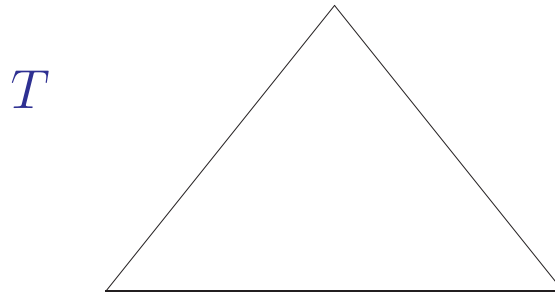
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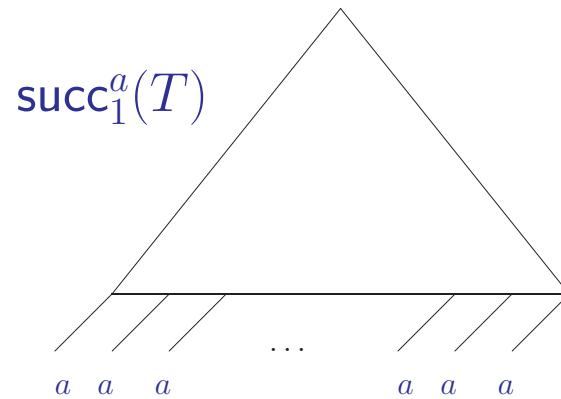
Operations on binary trees cont'd

Successor operations: succ_1^a , succ_1^b , succ_2^a , succ_2^b .
 succ_1^a adds, to each leaf, a left child labeled a :



Operations on binary trees cont'd

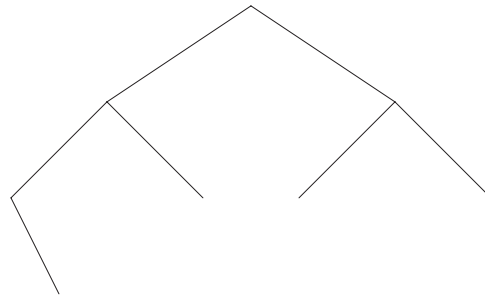
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Operations on binary trees cont'd

Analog of equal length – domain equivalence:

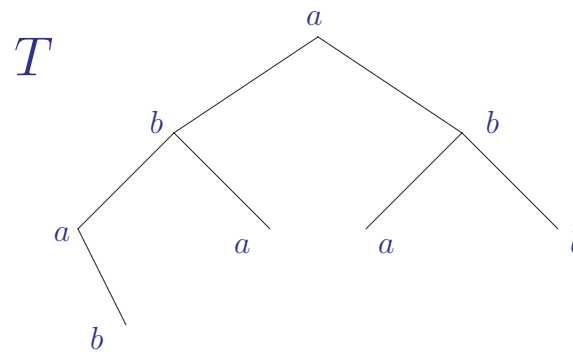
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Operations on binary trees cont'd

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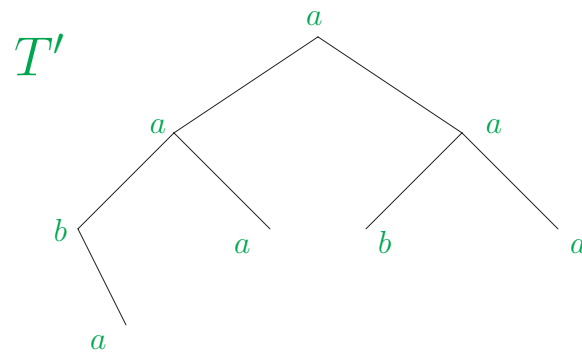
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Tree-Automatic Structures

$$\mathcal{T} = \langle \text{Trees}(\Sigma), \prec, \text{succ}_{1,2}^{a,b}, \approx_{\text{dom}} \rangle$$

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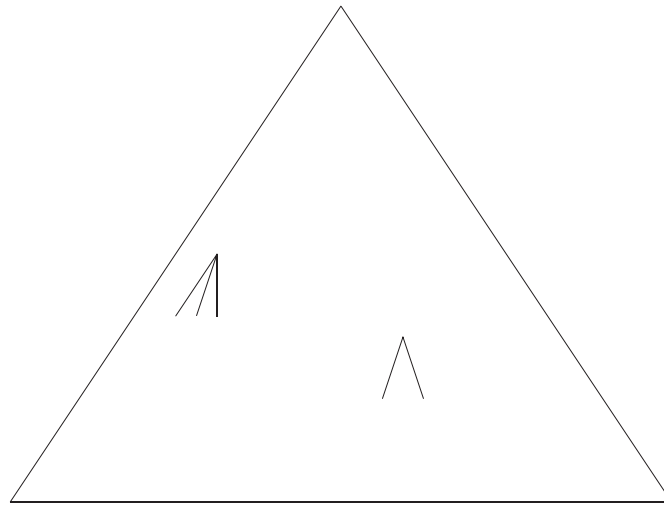
$$\mathfrak{T}_p = \langle \text{Trees}(\Sigma), \prec, \text{succ}_{1,2}^{a,b} \rangle$$

Theorem (Benedikt, L., 2002)

- For both \mathfrak{T}_p and \mathfrak{T} , the class of definable sets is precisely the class of regular tree languages.
- \mathfrak{T} is the **universal** tree-automatic structure: a relation on $\text{Trees}(\Sigma)$ is \mathfrak{T} -definable iff it is regular.
- \mathfrak{T}_p is weaker than \mathfrak{T} .

Operations on unranked trees

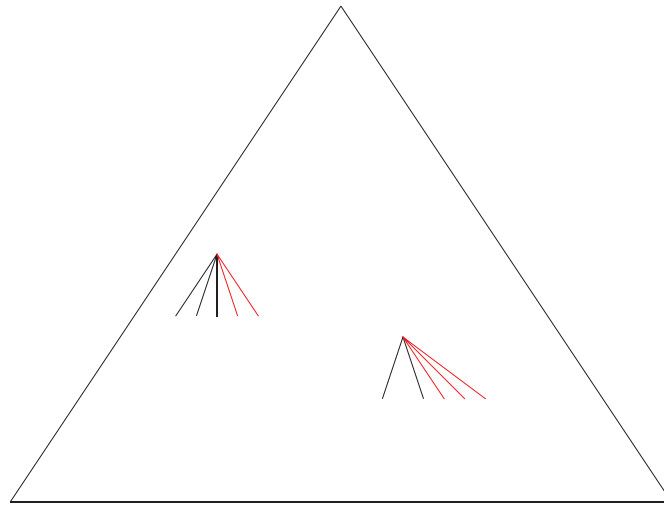
Reusing the extension operation \prec requires infinitely many successor operations, which is undesirable. Hence, we split it into two: extension right \prec_{\rightarrow} and extension down \prec_{\downarrow} .



$$T \prec_{\rightarrow} T'$$

Operations on unranked trees

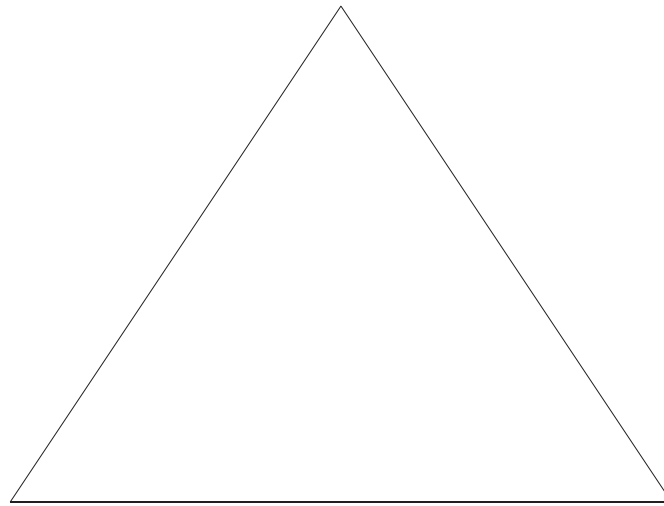
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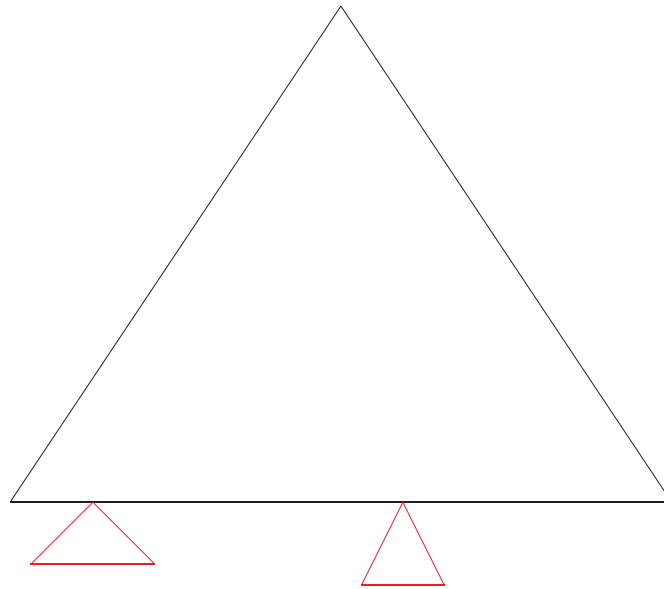
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Unranked Tree-Automatic Structures

$$\mathfrak{T}^{\text{II}} = \langle \text{UTrees}(\Sigma), \prec_{\rightarrow}, \prec_{\downarrow}, (L_a)_{a \in \Sigma}, \approx_{\text{dom}} \rangle$$

Here $L_a(T)$ is true if the rightmost node of T is labeled a .

Unranked Tree-Automatic Structures

$$\mathfrak{T}^u = \langle \text{UTrees}(\Sigma), \prec_{\rightarrow}, \prec_{\downarrow}, (L_a)_{a \in \Sigma}, \approx_{\text{dom}} \rangle$$

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Unranked Tree-Automatic Structures: basic results

Theorem (L., Neven, 2003)

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Ranked and unranked branches

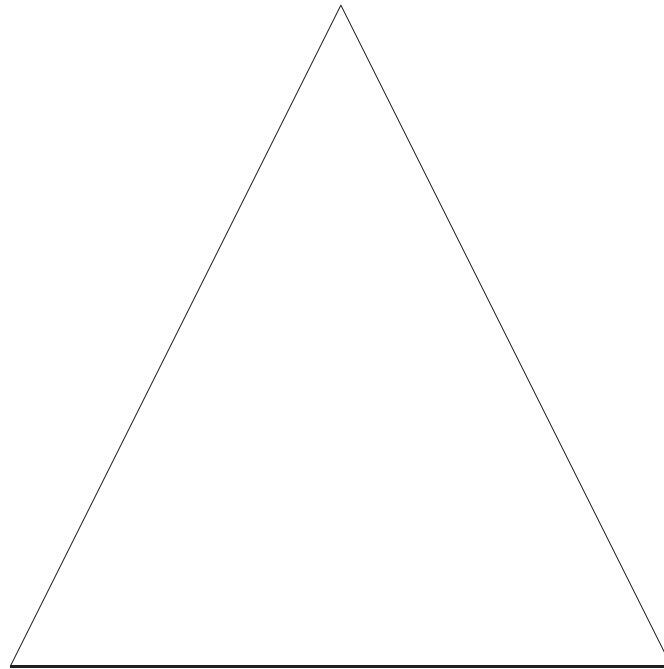
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$$\forall T', T'' \preceq T \left((T' \preceq T'') \vee (T'' \preceq T') \right)$$

Ranked an unranked branches

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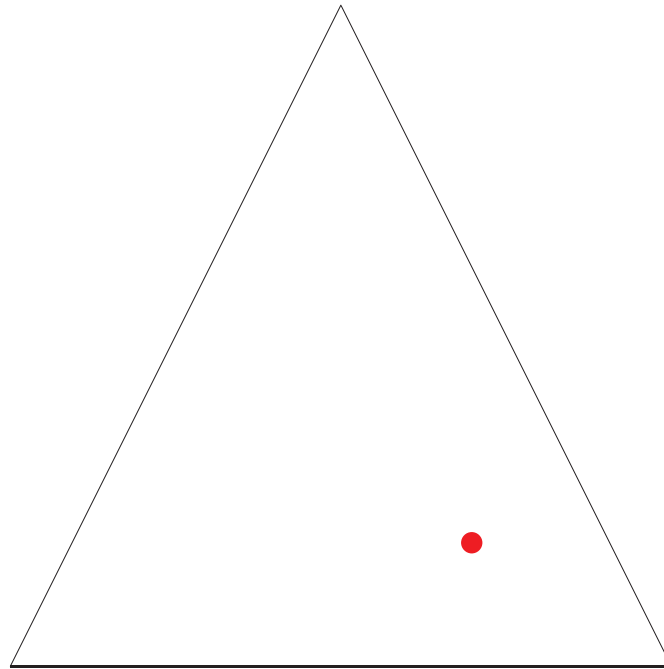
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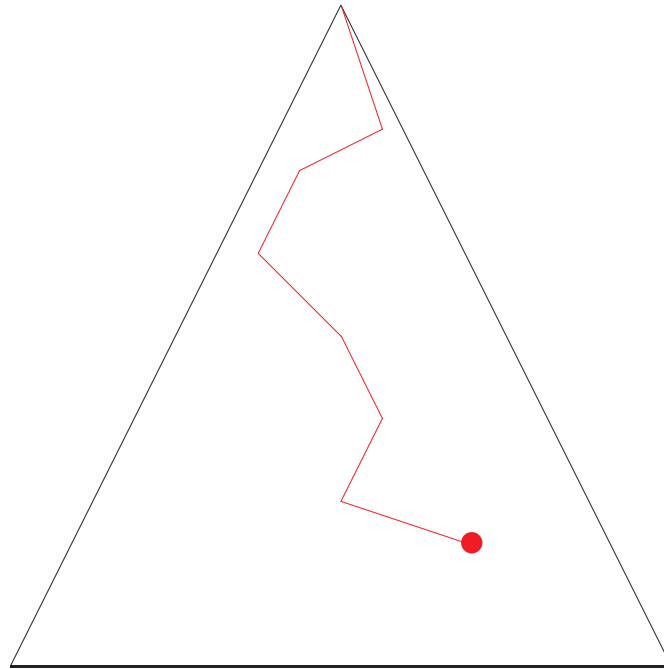
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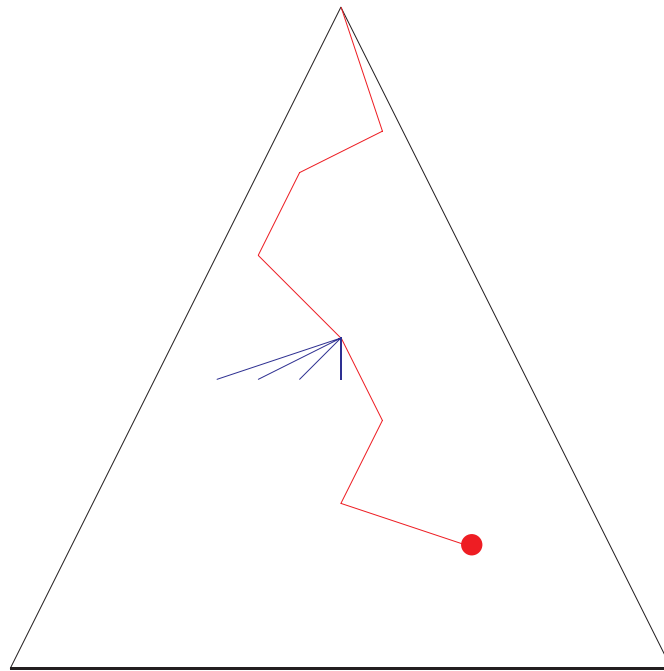


Ranked branch

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Unranked branch

Logics with branch quantification

We write \mathbf{FO}_η to indicate that we quantify only over branches.

Then definable sets of trees have analog in the classical theory of logical definability over trees, which uses logics such as \mathcal{FO} , \mathcal{MSO} , \mathcal{MSO}^{path} (only quantification over chains is allowed).

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Theorem Over ranked trees:

$\mathbf{FO}_\eta(\mathbb{T}_p)$ -definable = \mathcal{FO} -definable

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Over unranked trees:

$$\mathbf{FO}_\eta(\mathbb{T}_p^{\parallel})\text{-definable} = \mathcal{FO}\text{-definable}$$

$$\mathbf{FO}_\eta(\mathbb{T}^{\parallel})\text{-definable} = \mathcal{MSO}_{\rightarrow}^{\uparrow}\text{-definable}$$

$\mathcal{MSO}_{\rightarrow}^{\uparrow}$ is \mathcal{MSO} with quantification restricted to vertical and horizontal paths: an analog of \mathcal{MSO}^{path} for unranked trees.

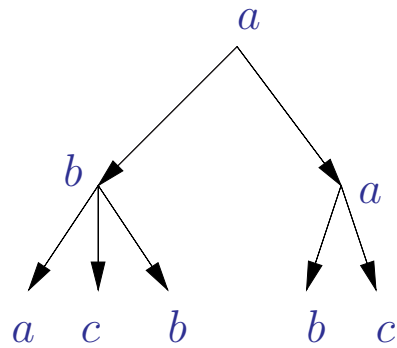
What else is in the survey?

- Edge-labeled trees.
- They occur in a variety of areas:
 - computational linguistics;
 - ambient and spatial logics.
- Logics have quite a different flavor.
- Connections between them and logics considered here are being explored.

Other directions

- We have seen plenty of declarative specification languages with good associated procedural formalisms in terms of model-checking properties.
- What causes them to be good?
- One way to look at this: succinctness (Grohe/Schweikardt).
How big are formulae for expressing certain properties?

Other directions: streaming



```
<a>  
<b>  
<a></a>  
<c></c>  
<b></b>  
</b>  
<a>  
<b></b>  
<c></c>  
</a>  
</a>
```

Streamed representation:

abaācĉbb̄bab̄bc̄āā

Question: what properties of trees can we check by a **finite automaton** over the streamed representation?

Since the language of balanced parentheses is **not** regular, we may assume the input is already a valid stream.

Other directions: streaming cont'd

- **Example** The following DTD is not stream-verifiable (Segoufin/Vianu 2002):

$$a \rightarrow ab \mid ca \mid \varepsilon$$

$$b \rightarrow \varepsilon$$

$$c \rightarrow \varepsilon$$

- Originally an involved pumping-lemma argument, but logic gives a much simpler proof:
- For every MSO sentence φ one can find two strings of the form

$$ab\bar{b}ab\bar{b} \dots ab\bar{b}a \dots a\bar{a}c\bar{c} \dots \bar{a}c\bar{c}\bar{a} \dots \bar{a}$$

that agree on φ ; one of them corresponds to the above DTD, and the other one to:

$$a \rightarrow a \mid ab \mid ca \mid \varepsilon$$

$$b \rightarrow \varepsilon$$

$$c \rightarrow \varepsilon$$

Other directions: streaming cont'd

- There is a characterization of a fragment of **MSO** over trees that defines precisely the “streamable” properties (checked by string automata).
- However, **decidability** of that fragment remains **open**.

Other directions: data values

- So far we considered only **labels** on trees (e.g., **book**, **author**) but no **data values** (e.g., "WH Press").
- Adding data values quickly leads to **undecidability**.
- Example: DTDs + key/foreign key constraints.
 - **Satisfiability** problem: is a specification consistent?
 - Some known results (Fan, L., 2001):
 - It is **NP-complete** for unary constraints (e.g. **title** determines **publisher**).
 - It is **undecidable** even for binary constraints (e.g., **title** and **author** determine **publisher**).

Other directions: data values

- Proofs were not logic-based (mostly combinatorial plus integer linear programming).
- But it appears that logic can provide an explanation.
- Consider **strings** with data values attached to positions.
- Bojanczyk, Muscholl, Schwentick, Segoufin, 2005:
 - FO^2 , first-order with two variables, is **decidable**.
 - FO^3 , first-order with three variables, is **undecidable**.
- One needs two variables to talk about unary constraints, and more for binary, etc., constraints.

Summing up

- XML application give theoreticians nice problems to work on.
- Combination of well developed tools:
 - formal languages,
 - logic,
 - string and tree automata
- Not everything is a straightforward adaption of old and known results.