

# Image Pyramids

**Goal:** Develop filter-based representations to decompose images into information at multiple scales, to extract features/structures of interest, and to attenuate noise.

## **Motivation:**

- extract image features such as edges at multiple scales
- redundancy reduction and image modeling for
  - efficient coding
  - image enhancement/restoration
  - image analysis/synthesis

## **Examples:**

- Gaussian Pyramid
- Laplacian Pyramid

**Matlab Tutorials:** imageTutorial.m and pyramidTutorial.m (up to line 200).

## Linear Transform Framework

**Projection Vectors:** Let  $\vec{\mathbf{I}}$  denote a 1D signal, or a vectorized representation of an image (so  $\vec{\mathbf{I}} \in \mathcal{R}^N$ ), and let the transform be

$$\vec{\mathbf{a}} = \mathbf{P}^T \vec{\mathbf{I}}. \quad (1)$$

Here,

- $\vec{\mathbf{a}} = [a_0, \dots, a_{M-1}] \in \mathcal{R}^M$  are the transform coefficients.
- The columns of  $\mathbf{P} = [\vec{\mathbf{p}}_0, \vec{\mathbf{p}}_1, \dots, \vec{\mathbf{p}}_{M-1}]$  are the projection vectors; the  $m^{\text{th}}$  coefficient,  $a_m$ , is the inner product  $\vec{\mathbf{p}}_m^T \vec{\mathbf{I}}$
- When  $\mathbf{P}$  is complex-valued, we should replace  $\mathbf{P}^T$  by the conjugate transpose  $\mathbf{P}^{*T}$

**Sampling:** The transform  $\mathbf{P}^T \in \mathcal{R}^{M \times N}$  is said to be *critically sampled* when  $M = N$ . Otherwise it is over-sampled (when  $M > N$ ), or under-sampled (when  $M < N$ ).

**Basis Vectors:** For many transforms of interest there is a corresponding basis matrix  $\mathbf{B}$  satisfying

$$\vec{\mathbf{I}} = \mathbf{B} \vec{\mathbf{a}}. \quad (2)$$

The columns  $\mathbf{B} = [\vec{\mathbf{b}}_0, \vec{\mathbf{b}}_1, \dots, \vec{\mathbf{b}}_{M-1}]$  are called basis vectors as they form a linear basis for  $\vec{\mathbf{I}}$ :

$$\vec{\mathbf{I}} = \sum_{m=0}^{M-1} a_m \vec{\mathbf{b}}_m$$

## Linear Transform Framework (cont)

### Completeness

- the transform is complete, encoding all image structure, if it is invertible.
- when critically sampled, it is complete if  $\mathbf{B} = (\mathbf{P}^T)^{-1}$  exists.
- if over-sampled, the transform is complete if  $rank(\mathbf{P}) = N$ .

In this case  $\mathbf{B}$  is not unique – one choice is the pseudoinverse of  $P^T$

$$\mathbf{B} = (\mathbf{P}\mathbf{P}^T)^{-1} \mathbf{P}$$

- if undersampled, then  $rank(\mathbf{P}) < N$  and it is not invertible in general.

### Self-Inverting

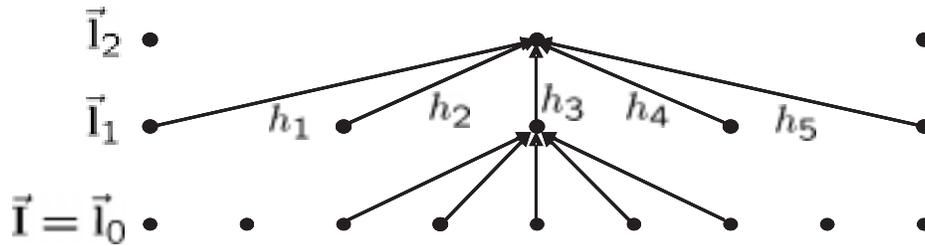
- the transform is self-inverting if  $PP^T = \alpha I_N$  for some constant  $\alpha$ . Here  $I_N$  is the  $N \times N$  identity matrix. In this case, the basis matrix is simply  $B = \frac{1}{\alpha}P$ .
- in the critically sampled case the transform is orthogonal (unitary), up to the constant  $\alpha$ .

**Example.** The Fourier transform is a critically sampled, complex-valued, self-inverting linear transform (remember to use the conjugate transpose  $\mathbf{P}^{*T}$ ).

## Gaussian Pyramid

Sequence of low-pass, down-sampled images,  $[\vec{I}_0, \vec{I}_1, \dots, \vec{I}_N]$ .

Usually constructed with a separable 1D kernel  $\mathbf{h} = [h_1, h_2, h_3, h_4, h_5]$ , and a down-sampling factor of 2 (in each direction):



In matrix notation (for 1D) the mapping from one level to the next has the form:

$$\vec{I}_{k+1} = \mathbf{R} \vec{I}_k = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & & \\ 0 & 0 & 1 & 0 & 0 & \dots & \\ 0 & 0 & 0 & 0 & 1 & & \\ & & \vdots & & & \ddots & \\ & & & & & & \ddots \end{bmatrix} \begin{bmatrix} \dots & & & & & & \\ & -\mathbf{h} & & & & & \\ & & -\mathbf{h} & & & & \\ & & & -\mathbf{h} & & & \\ & & & & \dots & & \end{bmatrix} \vec{I}_k$$

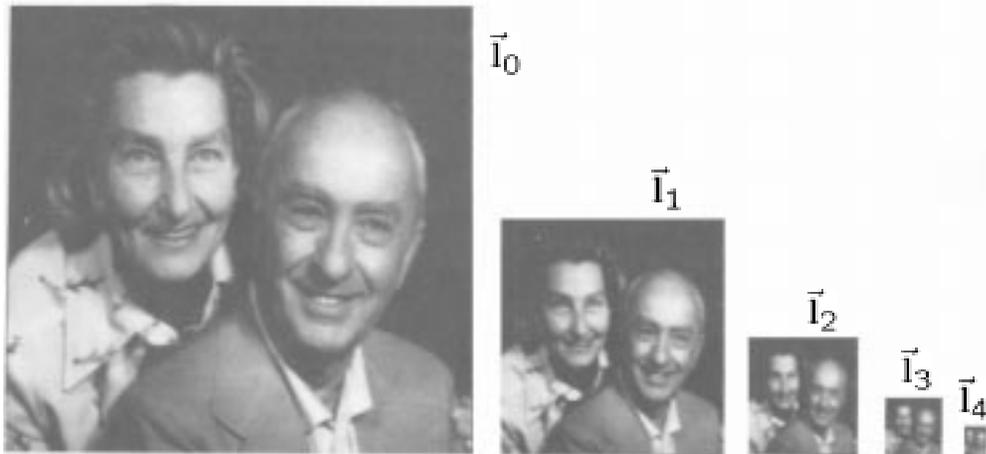
down-sampling                  convolution

Typical weights for the impulse response from binomial weights

$$\mathbf{h} = \frac{1}{16} [1, 4, 6, 4, 1]$$

## Gaussian Pyramid (cont)

Example of image and next four pyramid levels:



First three levels scaled to be the same size:



Properties of Gaussian pyramid:

- used for multi-scale edge estimation,
- efficient to compute coarse scale images. Only 5-tap 1D filter kernels are used,
- highly redundant, coarse scales provide much of the information in the finer scales.

## Laplacian Pyramid

Over-complete decomposition based on difference-of-lowpass filters; the image is recursively decomposed into low-pass and highpass bands.

- Each band of the Laplacian pyramid is the difference between two adjacent low-pass images of the Gaussian pyramid,  $[\vec{\mathbf{I}}_0, \vec{\mathbf{I}}_1, \dots, \vec{\mathbf{I}}_N]$ .

That is:

$$\vec{\mathbf{b}}_k = \vec{\mathbf{I}}_k - \mathbf{E} \vec{\mathbf{I}}_{k+1}$$

where  $\mathbf{E} \vec{\mathbf{I}}_{k+1}$  is an up-sampled, smoothed version of  $\vec{\mathbf{I}}_{k+1}$  (so that it will have the same dimension as  $\vec{\mathbf{I}}_k$ ).

$$\mathbf{E} \vec{\mathbf{I}}_{k+1} = \begin{bmatrix} \ddots & & & & & \\ & -g- & & & & \\ & & -g- & & & \\ & & & -g- & & \\ & & & & \ddots & \\ & & & & & \ddots \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 & & \\ 0 & 0 & 0 & 0 & & \\ 0 & 1 & 0 & 0 & \cdots & \\ 0 & 0 & 0 & 0 & & \\ & & \vdots & & \ddots & \end{bmatrix} \vec{\mathbf{I}}_{k+1}$$

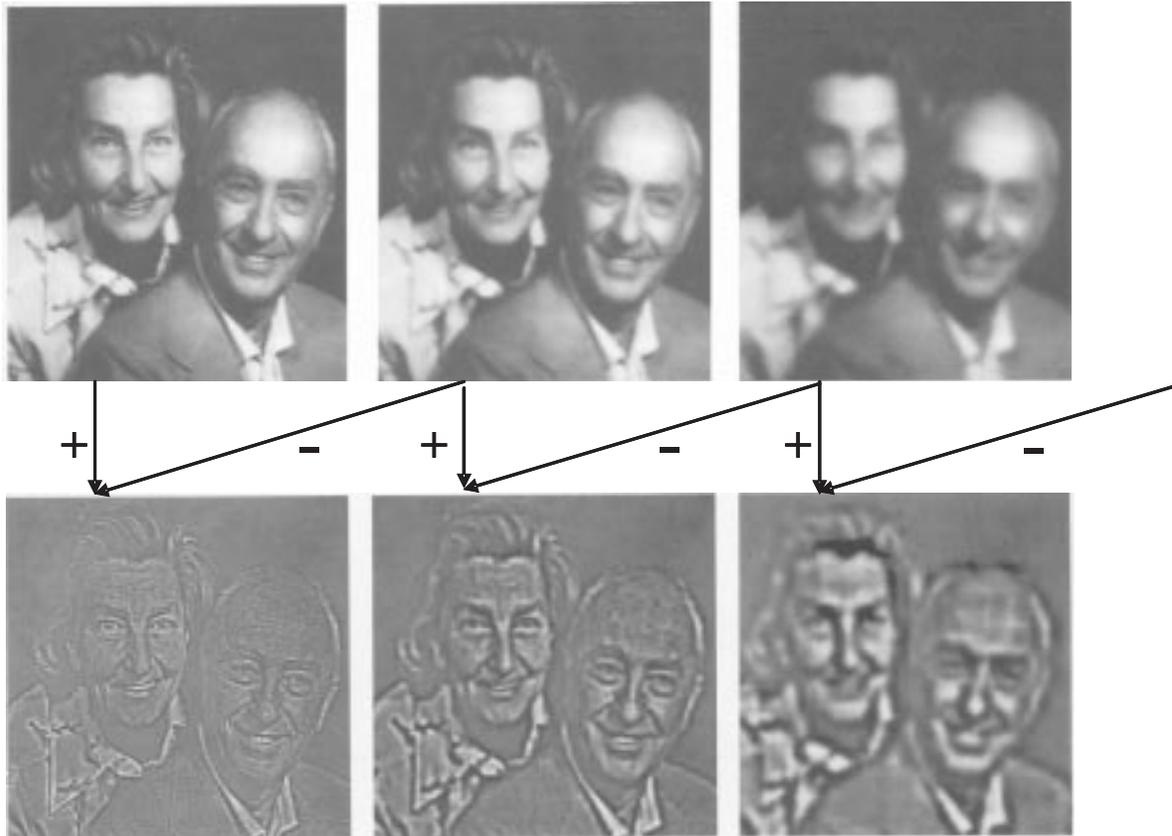
convolution                  up-sampling

Often the filters used to construct the Gaussian and Laplacian pyramids,  $\mathbf{g}$  and  $\mathbf{h}$ , are identical.

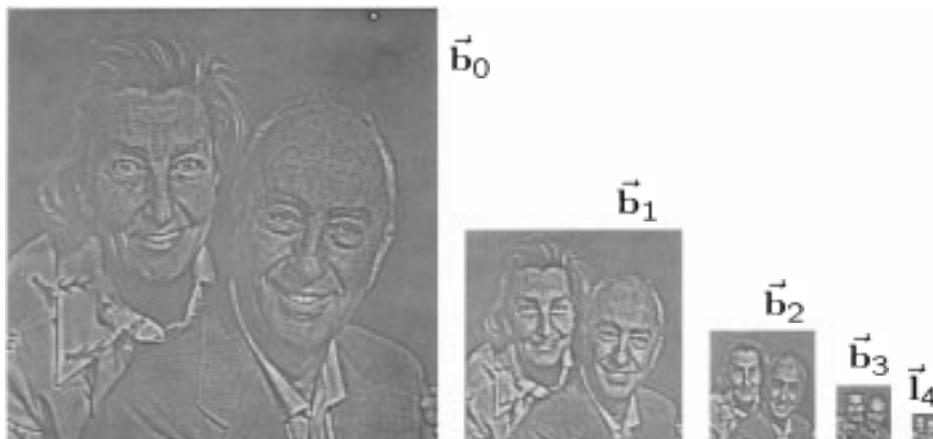
The **Laplacian pyramid** with  $L$  levels is given by  $[\vec{\mathbf{b}}_0, \vec{\mathbf{b}}_1, \dots, \vec{\mathbf{b}}_{L-1}, \vec{\mathbf{I}}_L]$ . The representation is overcomplete by a factor of roughly  $\frac{4}{3}$  for 2D images (i.e.  $1 + 1/4 + 1/16 + \dots = 4/3$ ).

## Laplacian Pyramid (cont)

Construction of the Laplacian bands:



A Laplacian pyramid with four levels:



## Laplacian Pyramid (cont)

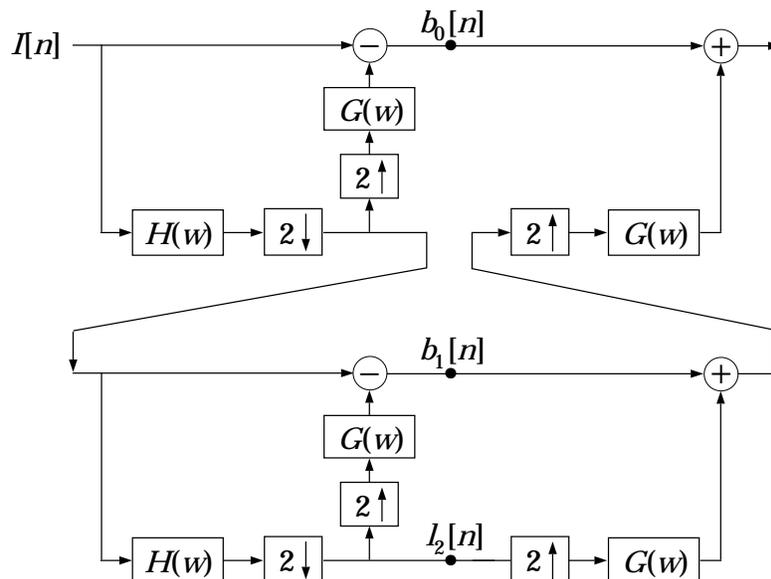
**Construction:** of  $[\vec{b}_0, \vec{b}_1, \dots, \vec{b}_{L-1}, \vec{l}_L]$ .

$$\begin{aligned}\vec{l}_0 &= \vec{I} \\ \vec{l}_{k+1} &= \mathbf{R} \vec{l}_k \\ \vec{b}_k &= \vec{l}_k - \mathbf{E} \vec{l}_{k+1}\end{aligned}$$

**Reconstruction:** of  $\vec{I}$  is exact (for any filters) and straightforward:

$$\begin{aligned}\vec{l}_k &= \vec{b}_k + \mathbf{E} \vec{l}_{k+1} \\ \vec{I} &= \vec{l}_0\end{aligned}$$

**System Diagram:** shows the filters and sampling steps used to compute the pyramid, and to then reconstruct the image from the transform coefficients. Gaussian pyramid levels are computed using  $h(n)$ . Filter  $g(n)$  is used with up-sampling so that adjacent Gaussian levels can be subtracted.



Analysis/synthesis diagram for a 2-layer Laplacian pyramid

## Laplacian Pyramid Filters

### In practice:

- often use same filters for  $h$  and  $g$  (apply same operators for smoothing and interpolation in construction and reconstruction)
- use separable lowpass filters
- desire isotropy so all orientations handled the same way.

### Constraints on 5-tap lowpass filter $h$ :

- even-symmetry means that taps are  $h = \left(\frac{a_2}{2}, \frac{a_1}{2}, a_0, \frac{a_1}{2}, \frac{a_2}{2}\right)$ .
- assume that  $dc$  signal is preserved, i.e.  $\hat{h}(0) = 1$ :

$$\hat{h}(0) = \sum_{n=-2}^2 h(n) e^{-i0n} = a_0 + a_1 + a_2 = 1.$$

- assume that spectrum decays to 0 at fold-over rate, i.e.  $\hat{h}(\pi) = 0$ :

$$\hat{h}(\pi) = \sum_{n=-2}^2 h(n) e^{-i\pi n} = a_0 - a_1 + a_2 = 0.$$

- So there are two linear equations for the three unknowns  $a_0$ ,  $a_1$ , and  $a_2$ . There is therefore one free degree of freedom.
- For example, choose  $a_0 = \frac{6}{16}$ , then  $h(n)$  is the binomial 5-tap filter

$$h(n) = \frac{1}{16} (1, 4, 6, 4, 1).$$

## On the name “Laplacian”

The well-known Laplacian derivative operator (isotropic second derivative) is given by

$$\nabla^2 f(x, y) = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}$$

For Gaussian kernels,  $g(x; \sigma) = \frac{1}{\sqrt{2\pi}\sigma} e^{-x^2/2\sigma^2}$ ,

$$\begin{aligned}\frac{dg(x; \sigma)}{dx} &= \frac{-x}{\sigma^2} g(x; \sigma) \\ \frac{d^2g(x; \sigma)}{dx^2} &= \left( \frac{x^2}{\sigma^2} - 1 \right) \frac{1}{\sigma^2} g(x; \sigma) \\ \frac{dg(x; \sigma)}{d\sigma} &= \left( \frac{x^2}{\sigma^2} - 1 \right) \frac{1}{\sigma} g(x; \sigma)\end{aligned}$$

Therefore

$$\frac{d^2g(x; \sigma)}{dx^2} = c_0(\sigma) \frac{dg(x; \sigma)}{d\sigma} \approx c_1(\sigma) (g(x; \sigma) - g(x; \sigma + \Delta\sigma))$$

That is, if the low-pass filter  $h$  used to create the Laplacian pyramid is Gaussian, then the Laplacian pyramid levels approximate the second derivative of the image at scale  $\sigma$ .

## Uses of Laplacian Pyramid: Coding

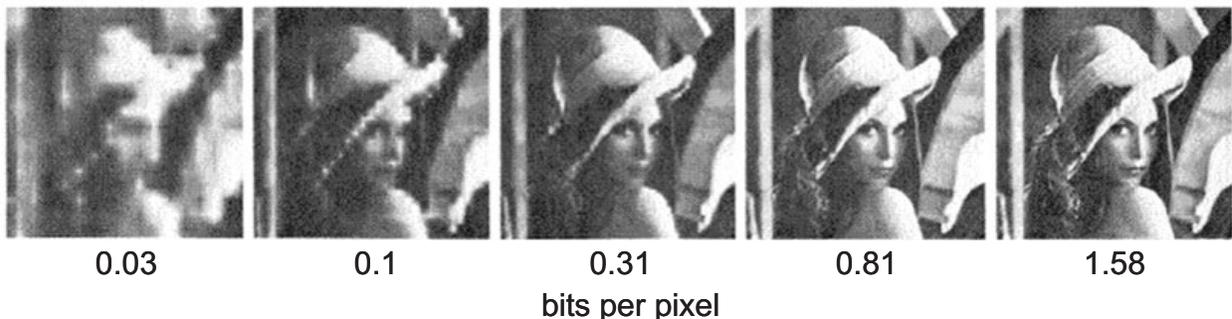
Multiscale image representations are natural for image coding and transmission. The same basic ideas underly jpeg encoding.

**Approach:** Use quantization levels that become more coarse as one moves to higher frequency pass bands.

- high frequency coefficients are more coarsely coded (i.e., to fewer bits) than lower frequency bands.
- this quantization matches human contrast sensitivity
- vast majority of the coefficients are in high frequency bands.

### Advantages:

- Eliminates blocking artifacts of JPEG at low frequencies because of the overlapping basis functions.
- approach also allows for progressive transmission, since low-pass representations are reasonable approximations to the image.
- coding and image reconstruction are simple

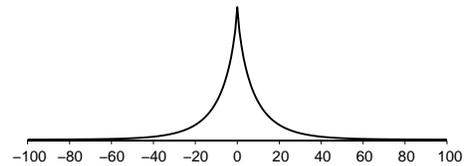


## Uses of Laplacian Pyramid: Restoration (Coring)

Transform coefficients for the Laplacian transform are often near zero. Significantly non-zero values are generally sparse.

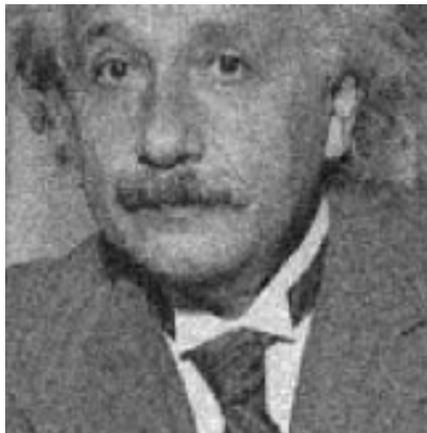
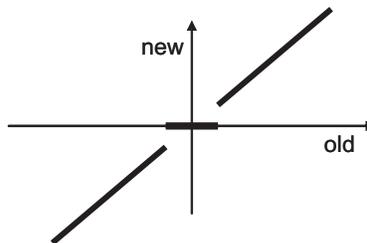
Histograms of transform coefficients are often well approximated by a so-called "generalized Laplacian" density,  $c e^{-|x/s|^\gamma}$ , where

- $\gamma$  is usually between 0.7 and 1.2
- $s$  controls the variance
- peaked at 0, with heavy tails

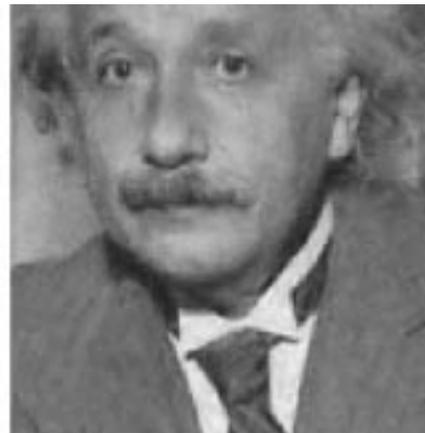


### Coring:

- set all sufficiently small transform coefficients to zero,
- leave others unchanged, and possibly clip at large magnitudes.



Original image + additive noise (SNR = 9dB)



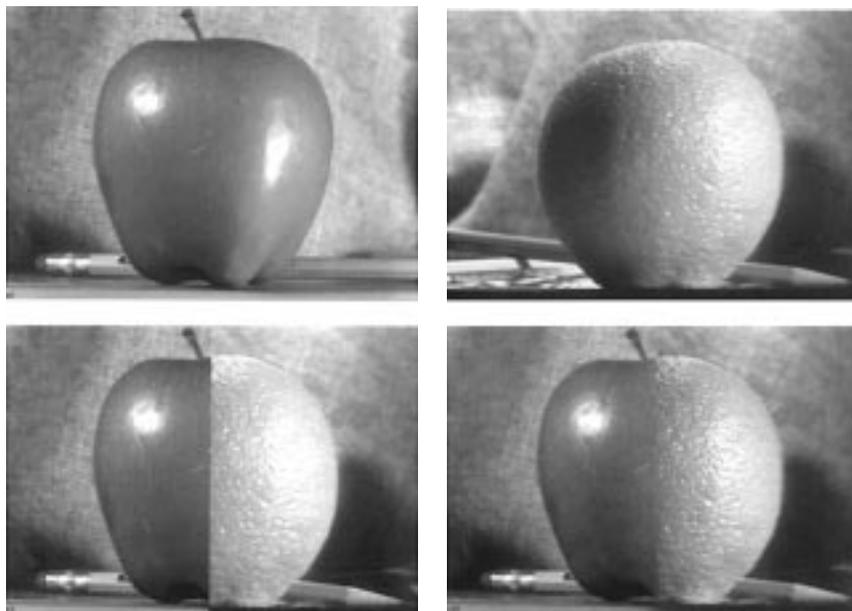
Cored image (SNR = 13.82dB)

## Uses of Laplacian Pyramid: Image Compositing

**Goal:** Seamlessly stitch together images into an image mosaic (i.e., *register* the images and *blurring* the boundary), by smoothing the boundary in a scale-dependent way to avoid boundary artifacts.

### Method:

- assume images  $I_1(\vec{n})$  and  $I_2(\vec{n})$  are registered and let  $m_1(\vec{n})$  be a mask that is 1 at pixels where we want the brightness from  $I_1(\vec{n})$  and 0 otherwise (i.e., where we want to see  $I_2(\vec{n})$ ).
- create Gaussian pyramid for  $m_1(\vec{n})$ , denoted  $\{l_0(\vec{n}), l_1(\vec{n}), \dots, l_L(\vec{n})\}$
- create Laplacian pyramids for  $I_1(\vec{n})$  and  $I_2(\vec{n})$ , denoted by  $\{b_{1,0}(\vec{n}), \dots, b_{1,L-1}(\vec{n}), l_{1,L}(\vec{n})\}$  and  $\{b_{2,0}(\vec{n}), \dots, b_{2,L-1}(\vec{n}), l_{2,L}(\vec{n})\}$
- create blended pyramid  $\{b_{0,0}(\vec{n}), \dots, b_{0,L-1}(\vec{n}), l_{0,L}(\vec{n})\}$  where
$$b_{0,j}(\vec{n}) = b_{1,j}(\vec{n}) l_j(\vec{n}) + b_{2,j}(\vec{n}) (1 - l_j(\vec{n}))$$
$$l_{0,L}(\vec{n}) = l_{1,L}(\vec{n}) l_L(\vec{n}) + l_{2,L}(\vec{n}) (1 - l_L(\vec{n}))$$
- collapse blended pyramid to reconstruct image



## Uses of Laplacian Pyramid: Enhancement

**Goal:** Create a high fidelity image from a set of images take with different focal lengths, shutter speeds, etc.

- Images with different focal lengths will have different image regions in focus.
- Images with different shutter speeds may have different contrast and luminance levels in different regions.

### Approach:

- Given pyramids for two images  $I_1(\vec{n})$  and  $I_2(\vec{n})$ , construct 2 or 3 levels of a Laplacian pyramid:

$$\{b_{1,0}(\vec{n}), \dots, b_{1,L-1}(\vec{n}), l_{1,L}(\vec{n})\} \quad \text{and} \quad \{b_{2,0}(\vec{n}), \dots, b_{2,L-1}(\vec{n}), l_{2,L}(\vec{n})\}$$

- at level  $j$ , define a mask  $m(\vec{n})$  that is 1 when  $|b_{1,j}(\vec{n})| > |b_{2,j}(\vec{n})|$  and 0 elsewhere.
- then form the blended pyramid with levels  $b_{0,j}[\vec{n}]$  given by

$$b_{0,j}[\vec{n}] = m[\vec{n}] b_{1,j}[\vec{n}] + (1 - m[\vec{n}]) b_{2,j}[\vec{n}]$$

- averaged the low-pass bands from the two pyramids.



Image 1



Image 2



Composite