# Utilizing Optical Aberrations for Extended-Depth-of-Field Panoramas – Supplementary Material

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### 1 Deriving the Lens Model

#### 1.1 Deriving Eq. (2)

Assume the cone of rays exiting an idealized point light source forms a focused image at  $(x_f, 0, f)$ . In this section, we derive the paraxial intersection of one such ray (s, t, 0) with the front lens, knowing that it intersects the back lens at (u, v, 0), as shown in Fig. 11.

After refracted by the front lens, the cone of light exiting the point light source, are focused at a virtual "object point". A thin lens does not bend a ray passing through its center, the ray path from this point to (0,0,0) to  $(x_f,0,f)$  is straight. Therefore we denote this virtual "object point" as  $(m_B x_f, 0, m_B f)$  by similar triangles.

Now this gives us an expression of (s, t, -w), based on the fact that it is aligned on the same line with (u, v, 0) and  $(m_B x_f, 0, m_B f)$ .

$$\binom{s}{t} = \binom{u}{v} + \frac{-w}{m_B f} \left[ \binom{m_B x_f}{0} - \binom{u}{v} \right] = \left[ 1 + \frac{w}{m_B f} \right] \binom{u}{v} + \frac{-w}{f} \binom{x_f}{0}$$
(14)



Fig. 11: Derivation of Eq. (2).

Denote

$$m_P \doteq 1 + \frac{w}{m_B f} \tag{15}$$

$$s_0 \doteq \frac{-w}{f} x_f \tag{16}$$

Eq. (14) becomes

$$\begin{pmatrix} s \\ t \end{pmatrix} = m_P \begin{pmatrix} u \\ v \end{pmatrix} + \begin{pmatrix} s_0 \\ 0 \end{pmatrix}$$
(17)

Because the virtual object  $(m_B x_f, 0, m_B f)$  is imaged by the back lens at  $(x_f, 0, f)$ , by the thin lens law

$$\frac{1}{f} + \frac{1}{-m_B f} = \frac{1}{F}$$
(18)

Substituting Eq. (18) into Eq. (15), we have

$$m_P \doteq 1 + \frac{w}{m_B f} = w(1/w + \frac{1}{m_B f}) = w(1/w + 1/f - 1/F)$$
(19)

Thus, Eqs. (17), (19) and (16) give Eq. (2) in the paper:

$$\binom{s}{t} = m_P \binom{u}{v} + \binom{s_0}{0}, \text{ where } m_P = w \left(\frac{1}{w} + \frac{1}{f} - \frac{1}{F}\right) \text{ and } s_0 = \frac{-x_f w}{f}$$

#### 1.2 Deriving Eq. (4) and Eq. (5)

In this section we derive the expression for the ray displacement on the image plane due to Seidel aberrations as a function of back lens intersection (u, v)and the expressions for the Seidel coefficients as a function of focal distance f and lens parameters. As shown in Fig. 12, this is by decomposing the ray displacement into two parts: the displacement due to the aberration of the front lens  $(\dot{x}, \dot{y}) - (\bar{x}, \bar{y})$ , and the displacement due to the aberration of the back lens  $(x', y') - (\dot{x}, \dot{y})$ .

First we locate  $(\bar{x}, \bar{y})$ , the sensor intersection of the refracted ray under paraxial approximation. Since (u, v, 0),  $(\bar{x}, \bar{y}, d)$  and  $(x_f, 0, f)$  are aligned on the same line

$$\begin{pmatrix} \bar{x} \\ \bar{y} \end{pmatrix} = \left(1 - \frac{d}{f}\right) \begin{pmatrix} u \\ v \end{pmatrix} + \frac{d}{f} \begin{pmatrix} x_f \\ 0 \end{pmatrix}$$
(20)

Consider an virtual point light source at (s, t, -w). Among rays exiting this light source, the ray passing through (0, 0, 0) is not bent, therefore we can assume the image of this point light source to be at  $(m'_B s, m'_B t, -m'_B w)$ .

By the thin lens law

$$\frac{1}{w} + \frac{1}{-m'_B w} = \frac{1}{F}$$
(21)



Fig. 12: Derivation of Eq. (4) and Eq. (5)

Now consider another paraxial ray path  $(s, t, -w) \rightarrow (u', v', 0) \rightarrow (m'_B s, m'_B t, -m'_B w)$ . Assume this ray intersects the back lens at  $(\dot{x}, \dot{y}, d)$ . By similar triangles

$$\begin{pmatrix} \dot{x} \\ \dot{y} \end{pmatrix} - \begin{pmatrix} \bar{x} \\ \bar{y} \end{pmatrix} = (1 - \frac{d}{-m'_B w}) \left[ \begin{pmatrix} u' \\ v' \end{pmatrix} - \begin{pmatrix} u \\ v \end{pmatrix} \right] = \left( 1 + d\frac{F - w}{Fw} \right) \left[ \begin{pmatrix} u' \\ v' \end{pmatrix} - \begin{pmatrix} u \\ v \end{pmatrix} \right]$$
(22)

Because the angular aberrations are cubic polynomial of ray height,

$$\begin{pmatrix} u'\\v' \end{pmatrix} - \begin{pmatrix} u\\v \end{pmatrix} = w \cdot c_F(s^2 + t^2) \begin{pmatrix} s\\t \end{pmatrix}$$
(23)

$$\begin{pmatrix} x'\\y' \end{pmatrix} - \begin{pmatrix} \dot{x}\\\dot{y} \end{pmatrix} = d \cdot c_B (u'^2 + v'^2) \begin{pmatrix} u'\\v' \end{pmatrix} \approx d \cdot c_B (u^2 + v^2) \begin{pmatrix} u\\v \end{pmatrix}$$
(24)

Therefore, the overall lateral ray displacement on the image plane is

$$\begin{pmatrix} \Delta x(u,v) \\ \Delta y(u,v) \end{pmatrix} \doteq \begin{pmatrix} x' \\ y' \end{pmatrix} - \begin{pmatrix} x \\ y \end{pmatrix} = \left[ \begin{pmatrix} x' \\ y' \end{pmatrix} - \begin{pmatrix} \dot{x} \\ \dot{y} \end{pmatrix} \right] + \left[ \begin{pmatrix} \dot{x} \\ \dot{y} \end{pmatrix} - \begin{pmatrix} x \\ y \end{pmatrix} \right]$$
$$= d \cdot c_B (u^2 + v^2) \begin{pmatrix} u \\ v \end{pmatrix} + (w + d\frac{F - w}{F}) \cdot c_F (s^2 + t^2) \begin{pmatrix} s \\ t \end{pmatrix}$$
(25)

From Eq. (17),

$$(s^{2} + t^{2}) \begin{pmatrix} s \\ t \end{pmatrix} = \left( (m_{P}u + s_{0})^{2} + (m_{P}v)^{2} \right) \begin{pmatrix} m_{P}u + s_{0} \\ m_{P}v \end{pmatrix}$$
$$= m_{P}^{3}(u^{2} + v^{2}) \begin{pmatrix} u \\ v \end{pmatrix} + m_{P}^{2}s_{0} \begin{pmatrix} 3u^{2} + v^{2} \\ 2uv \end{pmatrix} + m_{P}s_{0}^{2} \begin{pmatrix} 3u \\ v \end{pmatrix} + s_{0}^{3} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$
(26)

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Substituting Eq. (26) into Eq. (25), we arrive at Eq. (4), the expression for the lateral ray displacement on the image plane:

$$\begin{pmatrix} \Delta x(u,v) \\ \Delta y(u,v) \end{pmatrix} \doteq \begin{pmatrix} x' \\ y' \end{pmatrix} - \begin{pmatrix} \bar{x} \\ \bar{y} \end{pmatrix} = \underbrace{\alpha_1(u^2 + v^2) \begin{pmatrix} u \\ v \end{pmatrix}}_{\text{spherical}} + \underbrace{\alpha_2 x_f \begin{pmatrix} 3u^2 + v^2 \\ 2uv \end{pmatrix}}_{\text{coma}} + \underbrace{\alpha_3 x_f^2 \begin{pmatrix} u \\ 0 \end{pmatrix}}_{\text{astigmatism}} + \underbrace{\alpha_4 x_f^2 \begin{pmatrix} u \\ v \end{pmatrix}}_{\text{field curvature}} + \underbrace{\alpha_5 x_f^3 \begin{pmatrix} 1 \\ 0 \end{pmatrix}}_{\text{field distortion}}$$

and Eq. (5), the expression of Seidel coefficients as a function of lens parameters and focus setting f:

$$\alpha_{1} = dc_{B} + c_{F}(w + d\frac{F - w}{F})m_{P}^{3}, \quad \alpha_{2} = -c_{F}(w + d\frac{F - w}{F})m_{P}^{2}(w/f)$$
  

$$\alpha_{3} = 2c_{F}(w + d\frac{F - w}{F})m_{P}(w/f)^{2}, \quad \alpha_{4} = c_{F}(w + d\frac{F - w}{F})m_{P}(w/f)^{2}$$
  

$$\alpha_{5} = -c_{F}(w + d\frac{F - w}{F})(w/f)^{3}$$

## 2 Deriving Eq. (14)

By the convolution theorem, the formation of the blurry path of depth  $\lambda$  can be written in the Fourier domain:

$$\mathcal{F}[\varphi_j](\mu,\nu) = \mathcal{F}[k_\lambda^j](\mu,\nu)\mathcal{F}[\psi](\mu,\nu) + \mathcal{F}[n](\mu,\nu), \forall \mu,\nu,j$$
(27)

In the following we denote  $\Phi_j$  and  $\Psi$  as the 1D vector forms of  $\mathcal{F}[\varphi_j]$  and  $\mathcal{F}[\psi]$ , and  $K^j_{\lambda}$  as a diagonal matrix with the non-zero entires being pixels in  $\mathcal{F}[k^j_{\lambda}]$ .

Since n is random Gaussian noise of variance  $\eta^2$ , the probability of  $\Phi_j$  conditioned on  $\Psi$  and  $\lambda$  is

$$\Pr(\Phi_j; \Psi, \lambda) \sim \mathcal{N}\left(\Phi_j | K_d^j \Psi, \eta^2 I_P\right)$$
(28)

with  $I_D$  being the  $D \times D$  unit matrix. Here P is the number of pixels in  $\psi$ .

Assuming that  $\Psi$  is a Gaussian of zero mean and isotropic variance S,

$$\Pr(\Psi) \sim \mathcal{N}(0, SI_P) \tag{29}$$

From Eq. (28) and (29), the frequencies in the observed images

$$\boldsymbol{\varPhi} = \left[\varPhi_1, \cdots, \varPhi_j, \cdots, \varPhi_N\right]$$

are also Gaussian:

$$\Pr(\Phi;\lambda) = \mathcal{N}(0,\eta^2 I_{NP} + SK_{\lambda}^T \overline{K_{\lambda}})$$
(30)

where  $K_{\lambda}$  is a matrix of  $P \times NP$  elements corresponding to the horizontal concatenate of vectorized OTFs under the depth hypothesis  $\lambda$ 

$$K_{\lambda} = \left[ K_{\lambda}^{1}, \cdots, K_{\lambda}^{j}, \cdots, K_{\lambda}^{N} \right]$$
(31)

In the following we define  $K_1$  and  $K_2$  as the  $K_{\lambda}$  for depth hypothesis  $\lambda_1$  and  $\lambda_2$ . Now consider the distribution of  $\Phi$  under these two depth hypothesis, their variance are

$$\Sigma_1 = \eta^2 I_{NP} + SK_1^T \overline{K_1}, \quad \Sigma_2 = \eta^2 I_{NP} + SK_2^T \overline{K_2}$$
(32)

The Kullback-Leibler(KL) divergence between these two Gaussian distributions are therefore

$$\operatorname{KL}(\lambda_1, \lambda_2) = \int \operatorname{Pr}(\Phi; \lambda_1) \left( \log \operatorname{Pr}(\Phi; \lambda_1) - \log \operatorname{Pr}(\Phi; \lambda_2) \right) \lambda \Phi$$
  
=  $\frac{1}{2} \left( \log |\Sigma_1^{-1} \Sigma_2| + \operatorname{tr}(\Sigma_1^{-1} \Sigma_2) - NP \right)$  (33)

Now, we compute the determinant and trace of  $\Sigma_1^{-1}\Sigma_2$ . By the matrix determinant formula

$$|\Sigma_1| = \eta^{2NP} \cdot |I_P + \eta^{-2} S \overline{K_1} K_1^T|$$
(34)

$$|\Sigma_2| = \eta^{2NP} \cdot |I_P + \eta^{-2} S \overline{K_2} K_2^T|$$
(35)

From Eq. (31),

$$\overline{K_{\lambda}}K_{\lambda}^{T} = \operatorname{diag}(\sum_{j} |\mathcal{F}[k_{\lambda}^{j}]|^{2})$$
(36)

therefore

$$|\Sigma_1| = \prod_{\mu,\nu} (\eta^2 + \sum_j |\mathcal{F}[k_1^j](\mu,\nu)|^2)$$
(37)

$$|\Sigma_2| = \prod_{\mu,\nu} (\eta^2 + \sum_j |\mathcal{F}[k_2^j](\mu,\nu)|^2)$$
(38)

The determinant of  $\Sigma_1^{-1}\Sigma_2$  is thus

$$|\Sigma_1^{-1}\Sigma_2| = \frac{|\Sigma_2|}{|\Sigma_1|} = \prod_{\mu,\nu} \left( \frac{\eta^2 + S\sum_j |\mathcal{F}[k_2^j](\mu,\nu)|^2}{\eta^2 + S\sum_j |\mathcal{F}[k_1^j](\mu,\nu)|^2} \right)$$
(39)

From the Woodbury matrix identity,

$$\Sigma_1^{-1} = \frac{1}{\eta^2} \left( I_{NP} - \frac{S}{\eta^2} K_1^T (I_P + \frac{S}{\eta^2} \overline{K_1} K_1^T)^{-1} \overline{K_1} \right)$$
(40)

Therefore,

$$\Sigma_{1}^{-1}\Sigma_{2} = \left(I_{NP} - \frac{S}{\eta^{2}}K_{1}^{T}(I_{P} + \frac{S}{\eta^{2}}\overline{K_{1}}K_{1}^{T})^{-1}\overline{K_{1}}\right)\left(I_{NP} + \frac{S}{\eta^{2}}K_{2}^{T}\overline{K_{2}}\right)$$
$$= I_{NP} + \frac{S}{\eta^{2}}K_{2}^{T}\overline{K_{2}} - \frac{S}{\eta^{2}}K_{1}^{T}(I_{P} + \frac{S}{\eta^{2}}\overline{K_{1}}K_{1}^{T})^{-1}\overline{K_{1}}$$
$$- \left(\frac{S}{\eta^{2}}\right)^{2}K_{1}^{T}(I_{P} + \frac{S}{\eta^{2}}\overline{K_{1}}K_{1}^{T})^{-1}\overline{K_{1}}K_{2}^{T}\overline{K_{2}}$$
(41)

From Eq. (44),

$$\operatorname{tr}(\frac{S}{\eta^2} K_2^T \overline{K_2}) = \frac{S}{\eta^2} \sum_{\mu,\nu} \sum_j |\mathcal{F}[k_2^j](\mu,\nu)|^2 \qquad (42)$$

$$\operatorname{tr}(\frac{S}{\eta^2}K_1^T(I_P + \frac{S}{\eta^2}\overline{K_1}K_1^T)^{-1}\overline{K_1}) = \sum_{\mu,\nu}\sum_j \frac{|\mathcal{F}[k_1^j](\mu,\nu)|^2}{\frac{\eta^2}{S} + |\mathcal{F}[k_1^j](\mu,\nu)|^2}$$
(43)

Since

$$\overline{K_1}K_2^T = \operatorname{diag}(\sum_j \overline{\mathcal{F}[k_1^j]}\mathcal{F}[k_2^j])$$
(44)

we have

$$\operatorname{tr}\left(\left(\frac{S}{\eta^{2}}\right)^{2} K_{1}^{T} (I_{NP} + \frac{S}{\eta^{2}} \overline{K_{1}} K_{1}^{T})^{-1} \overline{K_{1}} K_{2}^{T} \overline{K_{2}}\right)$$

$$= \operatorname{tr}\left(\left(\frac{S}{\eta^{2}}\right)^{2} K_{1}^{T} \operatorname{diag}\left(\frac{\sum_{j} \overline{\mathcal{F}[k_{1}^{j}]} \mathcal{F}[k_{2}^{j}]}{1 + \frac{S}{\eta^{2}} \sum_{j} |\mathcal{F}[k_{\lambda}^{j}]|^{2}}\right) \overline{K_{2}}\right)$$

$$= \frac{S}{\eta^{2}} \sum_{\mu,\nu} \frac{|\sum_{j} \mathcal{F}[k_{1}^{j}](\mu,\nu) \overline{\mathcal{F}[k_{2}^{j}](\mu,\nu)}|^{2}}{\sum_{j} (\frac{\eta^{2}}{S} + |\mathcal{F}[k_{1}^{j}](\mu,\nu)|^{2})}$$

$$(45)$$

The trace of  $\Sigma_1^{-1}\Sigma_2$  is

$$\operatorname{tr}\left(\Sigma_{1}^{-1}\Sigma_{2}\right) = NP + \frac{S}{\eta^{2}} \sum_{\mu,\nu,j} |\mathcal{F}[k_{2}^{j}](\mu,\nu)|^{2} - \sum_{\mu,\nu,j} \frac{S|\mathcal{F}[k_{1}^{j}]|^{2}(\mu,\nu)}{\eta^{2} + S|\mathcal{F}[k_{1}^{j}]|^{2}(\mu,\nu)} - \frac{S}{\eta^{2}} \sum_{\mu,\nu} \frac{|\sum_{j} |\mathcal{F}[k_{1}^{j}](\mu,\nu)\overline{\mathcal{F}[k_{2}^{j}](\mu,\nu)}|^{2}}{\sum_{j} (\frac{\eta^{2}}{S} + |\mathcal{F}[k_{1}^{j}](\mu,\nu)|^{2})}$$

$$(46)$$

Substituting Eq. (39) and Eq. (46) into Eq. (33) gives Eq. (14)

$$\begin{aligned} \mathrm{KL}(\lambda_1, \lambda_2) &= \frac{1}{2} \sum_{\mu, \nu} \left[ \log \left( \frac{\eta^2 + S \sum_j |\mathcal{F}[k_2^j]||^2}{\eta^2 + S \sum_j |\mathcal{F}[k_1^j]||^2} \right) + \frac{S}{\eta^2} \sum_j |\mathcal{F}[k_2^j]|^2 \\ &- \sum_j \frac{S|\mathcal{F}[k_1^j]|^2}{\eta^2 + S|\mathcal{F}[k_1^j]|^2} - \frac{S}{\eta^2} \frac{S|\sum_j \mathcal{F}[k_1^j] \overline{\mathcal{F}}[k_2^j]|^2}{\sum_j \eta^2 + S|\mathcal{F}[k_1^j]|^2} \right] (\mu, \nu) \;. \end{aligned}$$