3. Chart parsing

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Bird et al: 8.4, online extras 8.2 to end of
section “Chart Parsing in NLTK”.

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Efficient parsing

- Want to avoid problems of blind search:
  - Avoid redoing analyses that are identical in more than one path of the search.

- Guide the analysis with both
  - the actual input
  - the expectations that follow from the choice of a grammar rule.

- Combine strengths of both top-down and bottom-up methods.
Efficient parsing

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  - Avoid redoing analyses that are identical in more than one path of the search.
- Guide the analysis with both
  - the actual input
  - the expectations that follow from the choice of a grammar rule.
- Combine strengths of both top-down and bottom-up methods.
Chart parsing

• Main idea:
  • Use data structures to maintain information: a chart and an agenda

• Agenda:
  • List of constituents that need to be processed.

• Chart:
  • Records ("memoizes") work; obviates repetition.
  • Related ideas: Well-formed substring table (WFST); CKY parsing; Earley parsing; dynamic programming.
• Notation for positions in sentence from 0 to $n$ (length of sentence):

$0 \; \text{The} \; 1 \; \text{kids} \; 2 \; \text{opened} \; 3 \; \text{the} \; 4 \; \text{box} \; 5$

From: Steven Bird, Ewan Klein, and Edward Loper, Natural Language Processing in Python, v. 9.5.3, July 2008. Used under Creative Commons licence.
• Contents of chart:
  1. Completed constituents (inactive arcs).
  • Representation: Labelled arc (edge) from one point in sentence to another (or same point).
  • Directed; always left-to-right (or to self).
  • Label is the *left nonterminal* of the grammar rule that derived it.
• Contents of chart:

2. Partially built constituents (also called **active arcs**).
   Can think of them as **hypotheses**.

• Representation: Labelled arc (edge) from one point in sentence to another (or same point).

• Directed; always left-to-right (or to self).

• Label is grammar rule used for arc.
Notation for arc labels

- **Notation:** ‘•’ means ‘complete to here’.
- \( A \rightarrow X \ Y \ • \ Z \)
  ‘In parsing an A, we’ve so far seen an X and a Y, and our A will be complete once we’ve seen a Z.’
- \( A \rightarrow X \ Y \ Z \ • \)
  ‘We have seen an X, a Y, and a Z, and hence completed the parse of an A.’
- \( A \rightarrow • \ X \ Y \ Z \)
  ‘In parsing an A, so far we haven’t seen anything.’
Fundamental rule of chart parsing

• **Arc extension:**

Let $X$, $Y$, $Z$ be sequences of symbols, where $X$ and $Y$ are possibly empty.

If the chart contains an active arc from $i$ to $j$ of the form

$$A \rightarrow X \cdot B \ Y$$

and a completed arc from $j$ to $k$ of the form

$$B \rightarrow Z \cdot \quad \text{or} \quad B \rightarrow \text{word}$$

then add an arc from $i$ to $k$

$$A \rightarrow X \ B \cdot Y$$
A → X • B Y

Adapted from: Steven Bird, Ewan Klein, and Edward Loper, *Natural Language Processing in Python*, v. 9.5.3, July 2008. Used under Creative Commons licence.
Part of a chart from the NLTK chart parser demo, `nltk.app.chartparser()`
Part of a chart from the NLTK chart parser demo,

```
nltk.app.chartparser()
```
• An arc can connect any positions $i, j$ ($0 \leq i \leq j \leq n$).
• Can have > 1 arc on any $i,j$...
• But only one label for any $i-j$ arc!
• Can associate all arcs on positions $i,j$ with cell $ij$ of upper-triangular matrix.
The matrix for a seven-word sentence from the NLTK chart parser demo

```
nltk.app.chartparser()
```

Arcs in top right corner cell cover the whole sentence. Those for S are parse edges.
• **Arc addition** (or prediction): If the chart contains an completed arc from $i$ to $j$ of the form
  \[ A \rightarrow X \cdot \]
  and the grammar contains a rule
  \[ B \rightarrow A Z \]
  then add an arc from $i$ to $i$
  \[ B \rightarrow \cdot A Z \]
  or an arc $B \rightarrow A \cdot Z$ from $i$ to $j$. 
A → X •

B → A • Z

A → X •

B → • A Z

Adapted from: Steven Bird, Ewan Klein, and Edward Loper, Natural Language Processing in Python, v. 9.5.3, July 2008. Used under Creative Commons licence.
Bottom-up chart parsing  BKL’s view

• Initialize chart with each word in the input sentence (and, in effect, with their lexical categories).

• Loop until nothing more happens:
  • Apply the bottom-up prediction rule wherever you can.
  • Apply the fundamental rule wherever you can.

• Return the trees corresponding to the parse edges in the chart.

Implies that trees are built as parse progresses and are associated with each arc, or that each arc keeps pointers to the arcs of its constituents to allow post hoc reconstruction of trees.
>>> nltk.app.chartparser()
Observations

• Builds all constituents exactly once (almost – at least it won’t add more than one inactive edge with the same label and $i-j$).
• Never re-computes the prefix of an RHS (of the same rule – it will if two rules share the same prefix).
• Exploits context-free nature of rules to reduce the search. How?
Controlling the process

• “Wherever you can”: too uncontrolled. Try to avoid predictions and expansions that will lead nowhere.

• So use *agenda* — a list of completed arcs.
  • When an arc is completed, it is initially added to the agenda, not the chart.
  • Agenda rules decide which completed arc to move to the chart next.
  • *E.g.*, treat agenda as stack or as queue; or pick item that looks “most efficient” or “most likely”; or pick NPs first; or …. 
• Initialize agenda with the list of lexical categories of each word in the input sentence.

• Until agenda is empty, repeat:
  – Move next constituent $C$ from agenda to chart.
    a. Find rules whose RHS starts with $C$ and add corresponding active arcs to the chart.
    b. Find active arcs that continue with $C$ and extend them; add the new active arcs to the chart.
    c. Find active arcs that have been completed; add their LHS as a new constituent to the agenda.
INITIALIZE:
set Agenda = list of all possible categories of each input word
        (in order of input);
set n = length of input;
set Chart = ();

ITERATE:
loop
    if Agenda = () then
        if there is at least one S constituent from 0 to n then
            return SUCCESS
        else
            return FAIL
        end if
    else ...
end if
Bottom-up chart parsing algorithm 2

Set \( C_{i,j} = \text{First}(\text{Agenda}); \) /* Remove first item from agenda. */ /* \( C_{i,j} \) is a completed constituent of type C from position i to position j */
Add \( C_{i,j} \) to Chart;

\textbf{ARC UPDATE:}

\begin{enumerate}
\item \textbf{BOTTOM-UP ARC ADDITION (PREDICTION)}:
  \begin{enumerate}
  \item for each grammar rule \( X \rightarrow C \ X_1 \ldots X_N \) \textbf{do}
    Add arc \( X \rightarrow C \cdot X_1 \ldots X_N \), from \( i \) to \( j \), to Chart;
  \end{enumerate}
\item \textbf{ARC EXTENSION (FUNDAMENTAL RULE)}:
  \begin{enumerate}
  \item for each arc \( X \rightarrow X_1 \ldots \cdot C \ldots X_N \), from \( k \) to \( i \), \textbf{do}
    Add arc \( X \rightarrow X_1 \ldots C \cdot \ldots X_N \), from \( k \) to \( j \), to Chart;
  \end{enumerate}
\item \textbf{ARC COMPLETION}:
  \begin{enumerate}
  \item for each arc \( X \rightarrow X_1 \ldots X_N \ C \cdot \) added in step (a) or step (b) \textbf{do}
    Move completed constituent \( X \) to Agenda;
  \end{enumerate}
\end{enumerate}
end if
end loop
Problem with bottom-up chart parsing

• Ignores useful top-down knowledge (rule contexts).
>>> nltk.app.chartparser()

Add ambiguity to lexicon:

N → saw
V → dog
NP → N

Parse bottom-up:

the dog saw John
Top-down chart parsing

- Same as bottom-up, except new arcs are added to chart *only* if based on predictions from existing arcs.

- Initialize chart with unstarted active arcs for S.
  
  \[
  S \rightarrow \bullet X Y \\
  S \rightarrow \bullet Z Q 
  \]

- Whenever an active arc is added, also add unstarted arcs for its next needed constituent.
>>> nltk.app.chartparser()

Add ambiguity to lexicon:
N → saw
V → dog
NP → N

Parse top-down:
the dog saw John
Top-down chart parsing algorithm

**INITIALIZE:**

set $\text{Agenda}$ = list of all possible categories of each input word (in order of input);

set $n$ = length of input;

set $\text{Chart}$ = ();

for each grammar rule $S \rightarrow X_1 \ldots X_N$ do

   Add arc $S \rightarrow \cdot X_1 \ldots X_N$ to $\text{Chart}$ at position 0;

   apply $\text{TOP-DOWN ARC ADDITION}$ [step (a') below] to the new arc;

end for

**ITERATE:**

loop

   if $\text{Agenda} = ()$ then

      if there is at least one $S$ constituent from 0 to $n$ then

         return $\text{SUCCESS}$

      else

         return $\text{FAIL}$

   else ...

else …
Top-down chart parsing algorithm 2

Set $C_{i,j} = \text{First}(\text{Agenda})$; /* Remove first item from agenda. */
/* $C_{i,j}$ is a completed constituent of type C from position $i$ to position $j$ */
Add $C_{i,j}$ to Chart;

\begin{itemize}
  \item \textbf{ARC UPDATE:}
    \item b. \textbf{ARC EXTENSION (FUNDAMENTAL RULE)}:
      \begin{verbatim}
      for each arc $X \rightarrow X_1 \cdots \cdot C \cdots X_N$, from $k$ to $i$, \textbf{do}
      Add arc $X \rightarrow X_1 \cdots C \cdots X_N$, from $k$ to $j$, to Chart;
      \end{verbatim}
    \item a’. \textbf{TOP-DOWN ARC ADDITION (PREDICTION)}:
      /* Recursive: until no new arcs can be added */
      \begin{verbatim}
      for each arc $X \rightarrow X_1 \cdots \cdot XL \cdots X_N$, from $k$ to $j$, added in step (b) or (a’), \textbf{do}
      Add arc $XL \rightarrow \cdot Y_1 \cdots YM$, from $j$ to $j$, to Chart;
      \end{verbatim}
  \item c. \textbf{ARC COMPLETION}:
    \begin{verbatim}
    for each arc $X \rightarrow X_1 \cdots X_N C \cdot$ added in step (b) \textbf{do}
    Move completed constituent $X$ to $\text{Agenda}$;
    \end{verbatim}
\end{itemize}
Notes on chart parsing

- Chart parsing separates:
  1. Policy for selecting constituent from agenda;
  2. Policy for adding new arcs to chart;
  3. Policy for initializing chart and agenda.
- “Top-down” and “bottom-up” now refer to arc-addition rule.
  - Initialization rule gives bottom-up aspect in either case.
- Polynomial algorithm (around $O(n^3)$), instead of exponential.