

Computational Linguistics

CSC 485/2501
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2

2. Introduction to syntax and parsing

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Reading: Jurafsky & Martin: 5.0–1, 12.0–12.3.3, 12.3.7,
[13.1–2]. Bird et al: 8.0–4.

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Syntactic structure 1

- ***Syntax:***
 - The combinatorial structure of words.
 - How words can be linearly organized: ***left/right precedence***, and ***contiguity***.
 - How words can be hierarchically organized into ***phrases*** and ***sentences***.

Syntactic structure 2

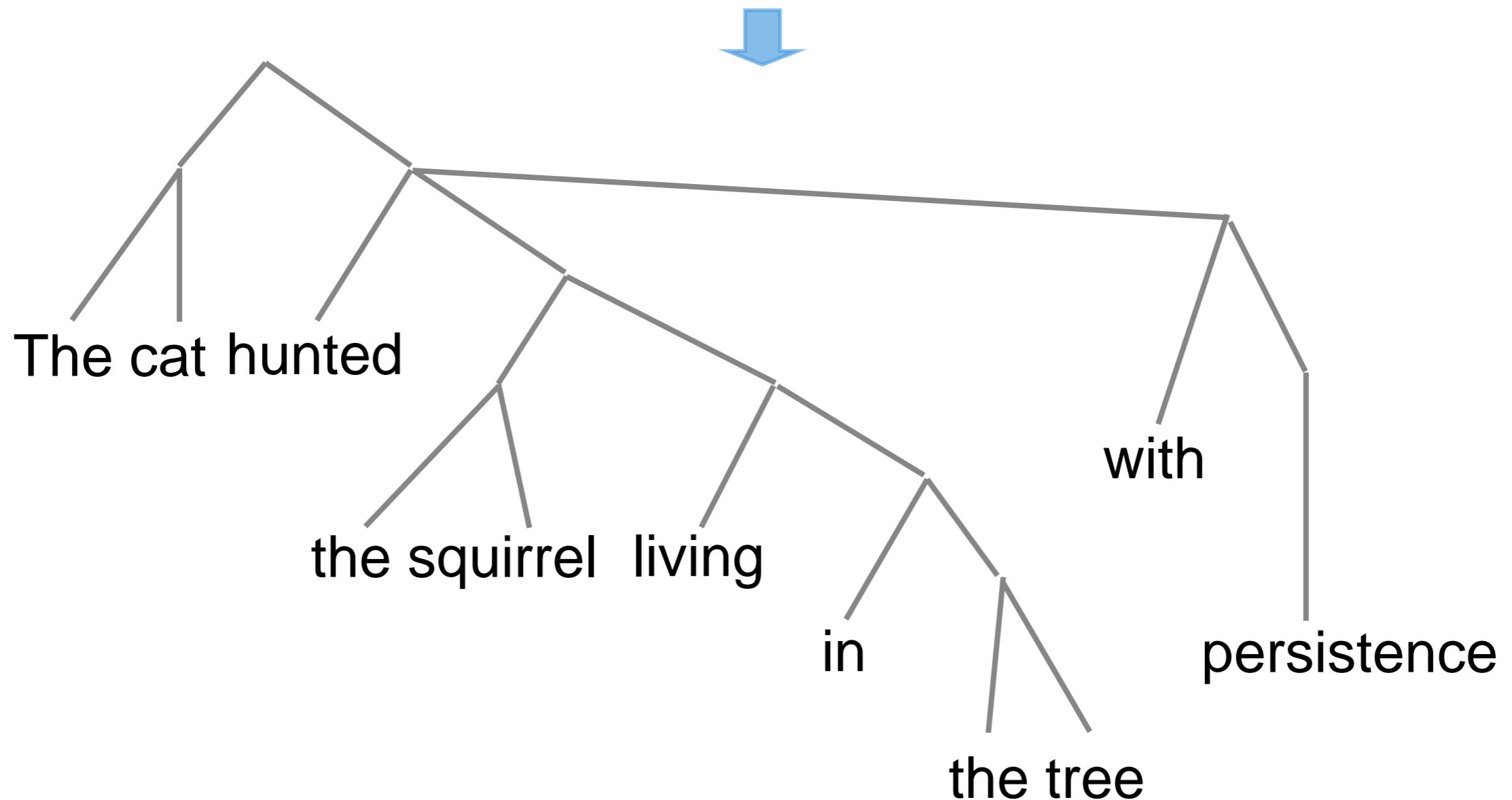
The cat hunted the squirrel living in the tree with persistence.



```
[ [The cat]
  [hunted [the squirrel [living [in [the tree] ] ] ]
    [with [persistence] ] ] ]
```

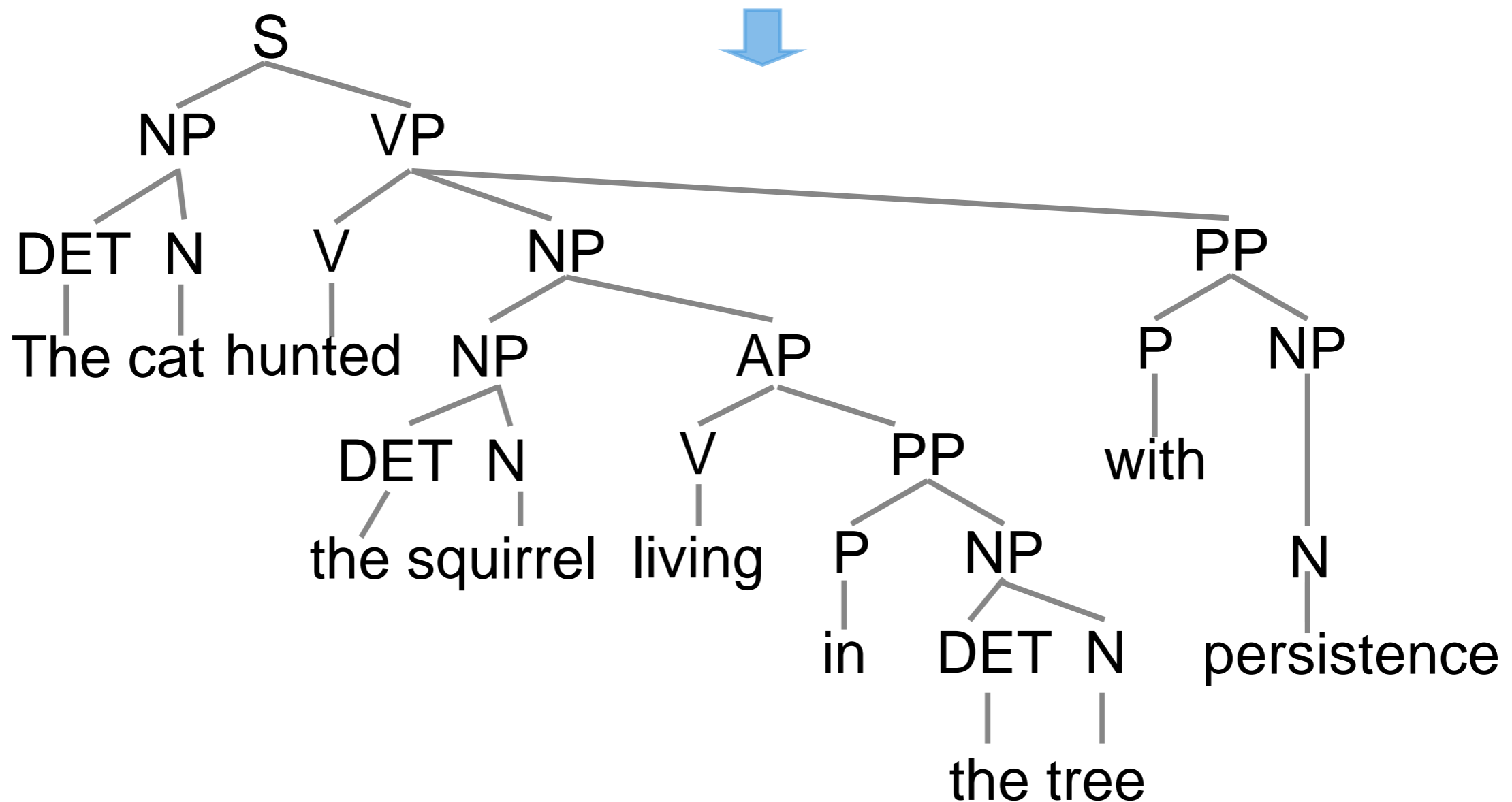
Syntactic structure 2

The cat hunted the squirrel living in the tree with persistence.



Syntactic structure 2

The cat hunted the squirrel living in the tree with persistence.



Syntactic structure 3

- **Goal:** meaning, interpretation, semantics.
- So why do we care about syntax?

Grammars and parsing

- ***Grammar:***
 - **Formal specification** of allowable structures.
 - Knowledge
 - Representation
- ***Parsing:***
 - **Analysis** of string of words to determine the structure assigned by grammar.
 - Algorithm
 - Process

Using grammar to capture structure

- Main issues:
 - Which words are grouped together into phrases.
 - How words within a phrase project the properties of a single, common **word** (the **head** of the phrase).
 - How different phrases **relate** to each other.
- Grammar encodes these relations. Some grammars interpret these relations with respect to meaning.

Good and bad grammars

- There are many possible grammars for any natural language.
 - Some are better than others.
- Desiderata:
 - Faithfulness to (vastly divergent) details about language.
 - Economy of description.
 - Fidelity to some prevailing linguistic intuition.
 - Efficiency of parsing.

Elements of grammar

- **Primitives:** lexical categories or parts of speech.
 - Each ***word-type*** is a member of one or more.
 - Each ***word-token*** is an instance of exactly one.
- e.g. *The cat in the hat sat.*
- Categories are ***open*** or ***closed*** to new words.
- ~~Eight~~ main categories, many subcategories.

~~Nine~~ ~~Seven~~

Twenty-three

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 - ~~Nine~~ ~~Seven~~
 - Twenty-three
- The categories might possibly be language-specific as well.

Parts of speech 1

- **Nouns:** denote an object, a concept, a place, ...
 - **Count nouns:** *dog, spleen, Band-Aid, ...*
 - **Mass nouns:** *water, wheat, ...*
 - **Proper nouns:** *Fred, New York City, ...*
- **Pronouns:** *he, she, you, I, they, ...*
- **Adjectives:** denote an attribute of the denotation of a noun.
 - **Intersective:** *pink, furry, ...*
 - **Measure:** *big, ...*
 - **Intensional:** *former, alleged, ...*

Parts of speech 2

- ***Determiners, articles:*** specify certain attributes of the denotation of a noun that are grammatically relevant.
 - *the, a, some, ...*
- ***Verbs:*** predicates, denote an action or a state. Numerous distinctions, e.g. transitivity:
 - **Intransitive:** *sleep, die, ...*
 - **Transitive:** *eat, kiss, ...*
 - **Ditransitive:** *give, sell, ...*
 - **Copula:** *be, feel, become, ...*

Parts of speech 3

- **Adverbs:** denote an attribute of the denotation of a predicate.
 - **Time and place:** *today, there, now, ...*
 - **Manner:** *happily, furtively, ...*
 - **Degree:** *much, very, ...*
- **Prepositions:** relate two phrases with a location, direction, manner, etc.
 - *up, at, with, in front of, before, ...*

Parts of speech 4

- **Conjunctions:** combine two clauses or phrases:
 - **Coordinating conjunctions:** *and, or, but*
 - **Subordinating conjunctions:** *because, while, ...*
- **Interjections:** stand-alone emotive expressions:
 - *um, wow, oh dear, balderdash, crikey, ...*

Elements of grammar

- **Combinations:**
 - **Phrase:** a hierarchical grouping of words and/or phrases.
 - **Clause:** a phrase consisting of a verb and (almost) all of its dependents.
 - **Sentence:** a clause that is syntactically independent of other clauses.
- Can be represented by tree (or a labelled bracketing).
- Terminology: A ***constituent*** is a well-formed phrase with overtones of semantic and/or psychological significance.

Types of phrase 1

- Noun phrase (NP):
 - *a mouse*
 - *mice*
 - *Mickey*
 - *the handsome marmot*
 - *the handsome marmot on the roof*
 - *the handsome marmot whom I adore*
- Verb phrase (VP):
 - *laughed loudly*
 - *quickly gave the book to Mary*

Types of phrase 2

- Adjective phrase (AP):
 - *green*
 - *proud of Kyle*
 - *very happy that you went*
- Prepositional phrase (PP):
 - *in the sink*
 - *without feathers*
 - *astride the donkey*

Clauses and sentences 1

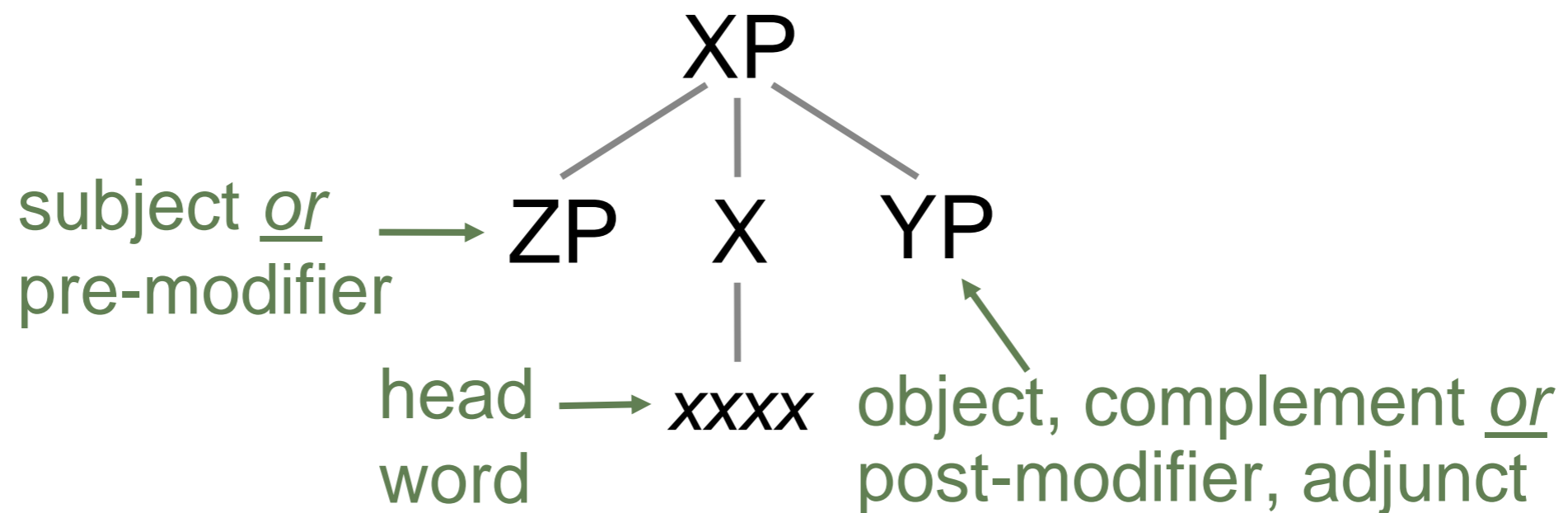
- Clauses:
 - *Ross remarked upon Nadia's dexterity*
 - *to become a millionaire by the age of 30*
 - *that her mother had lent her for the banquet*
- Sentences:
 - *Ross remarked upon Nadia's dexterity.*
 - *Nathan wants to become a millionaire by the age of 30.*
 - *Nadia rode the donkey that her mother had lent her for the banquet.*
 - *The handsome marmot on the roof [in dialogue].*

Clauses and sentences 2

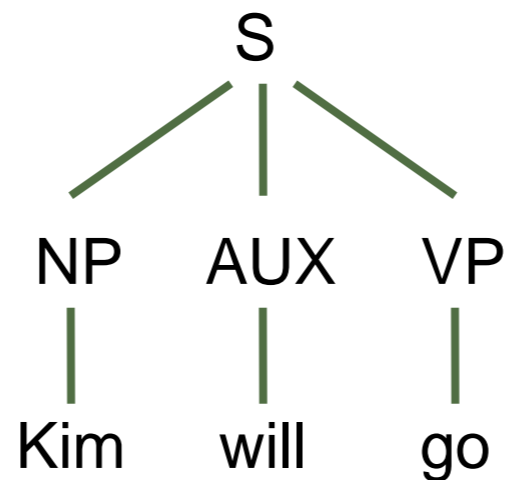
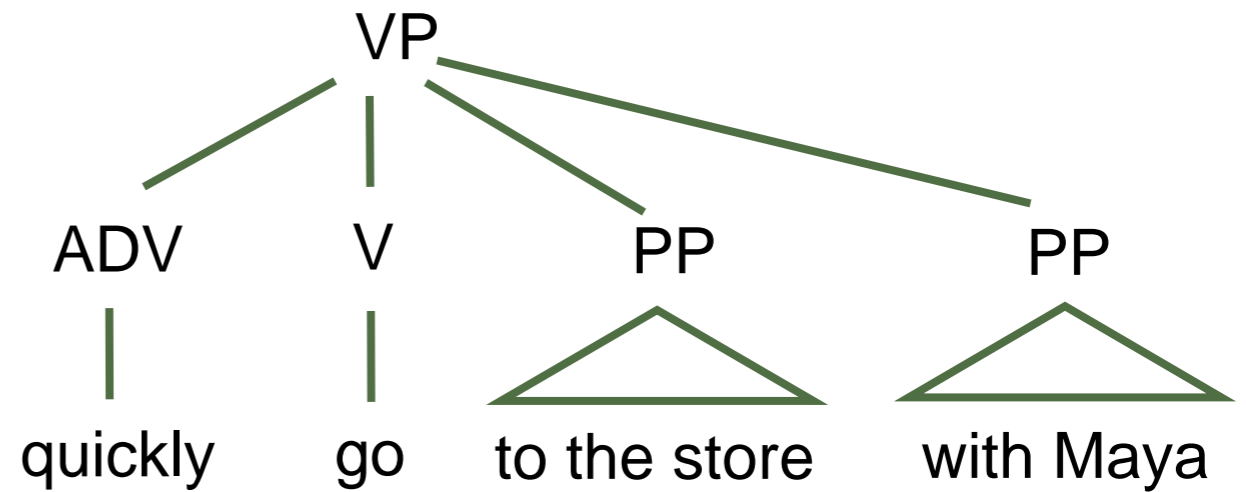
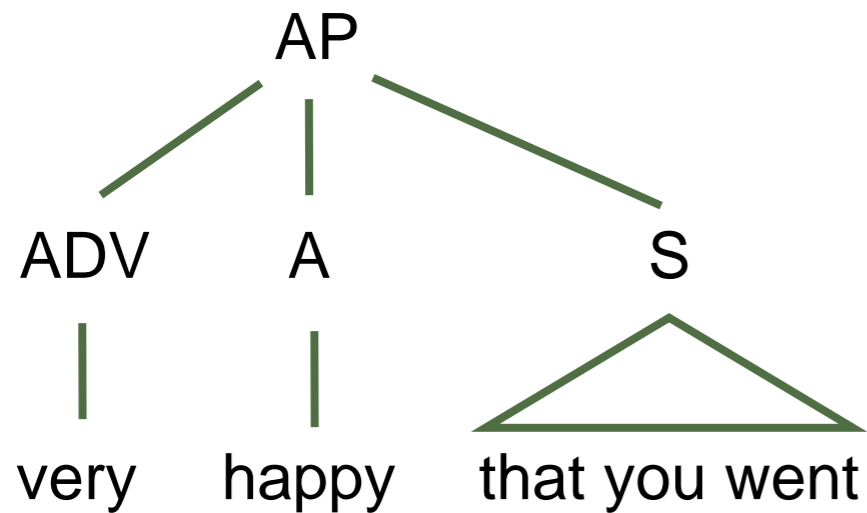
- Clauses may act as noun phrases:
 - *To become a millionaire by the age of 30 is what Ross wants.*
 - *Nadia riding her donkey is a spectacular sight.*
 - *Ross discovered that Nadia had been feeding his truffles to the donkey.*

The structure of an idealized phrase

$XP \rightarrow ZP \ X \ YP$



Example phrases



Formal definition of a CFG

- A **context-free grammar** is a quadruple $G = (V_t, V_n, P, S)$, where
 - V_t is a finite set of **terminal** symbols.
 - V_n is a finite set of **non-terminal** symbols.
 - P is a finite set of **production rules** of the form
$$A \rightarrow \alpha$$
where $A \in V_n$ and α is a sequence of symbols in $(V_n \cup V_t)^*$.
 - $S \in V_n$ is the **start** symbol.

A very simple grammar

$$S = S, P = \left\{ \begin{array}{l} S \rightarrow NP VP \\ NP \rightarrow Det N \\ NP \rightarrow Det Adj N \\ NP \rightarrow NP PP \\ VP \rightarrow V \\ VP \rightarrow V NP \\ PP \rightarrow P NP \end{array} \right.$$

V_t and V_n can be inferred from the production rules.

The lexicon:
In practice, a separate data structure

Lexical categories:

NT's that rewrite as a single T.

$$\left. \begin{array}{l} Det \rightarrow the \mid a \mid an \\ Adj \rightarrow old \mid red \mid happy \mid \dots \\ N \rightarrow dog \mid park \mid statue \mid contumely \mid run \mid \dots \\ V \rightarrow saw \mid ate \mid run \mid disdained \mid \dots \\ P \rightarrow in \mid to \mid on \mid under \mid with \mid \dots \end{array} \right\}$$

Terminology

- **Non-terminal (NT):**

A symbol that occurs on the left-hand side (LHS) of some rule.

- **Pre-terminal:** a kind of non-terminal located on the LHS of a lexical entry.

- **Terminal (T):**

A symbol that never occurs on the LHS of a rule.

- **Start symbol:**

A specially designated NT that must be the root of any tree derived from the grammar.

In our grammars, it is usually S for sentence.

Parsing 1

- ***Parsing:*** Determining the structure of a sequence of words, given a grammar.
 - Which grammar rules should be used?
 - To which symbols (words / terminals and nodes / non-terminals) should each rule apply?

Parsing 2

- Input:

- A context-free grammar.

- A sequence of words

Time flies like an arrow

or, more precisely, of sets of parts of speech.

{*noun,verb*} {*noun,verb*} {*verb,prep*} {*det*} {*noun*}

- Process:

- (Working from left to right?,) **guess** how each word fits in.

Depth-first Parsing 3

- If a guess leads to failure (parse is stymied), back up to a ***choice point*** and try a different guess.
 - Backtracking, non-determinism.
 - At each guess, must save state of parse on a stack.
 - (Or, explore in parallel.)
- Want to guess right:
 - Order of preference for rules.

Top-down parsing 1

- ***Top-down* or *rule-directed*** parsing:
“Can I take these rules and match them to this input?”
 - Initial goal is an S.
 - Repeatedly look for rules that decompose /expand current goals and give new goals.
E.g., goal of S may decompose to goals NP and VP.
 - Eventually get to goals that look at input.
E.g., goal of NP may decompose to *det noun*.
 - Succeed iff entire input stream is accounted for as S.

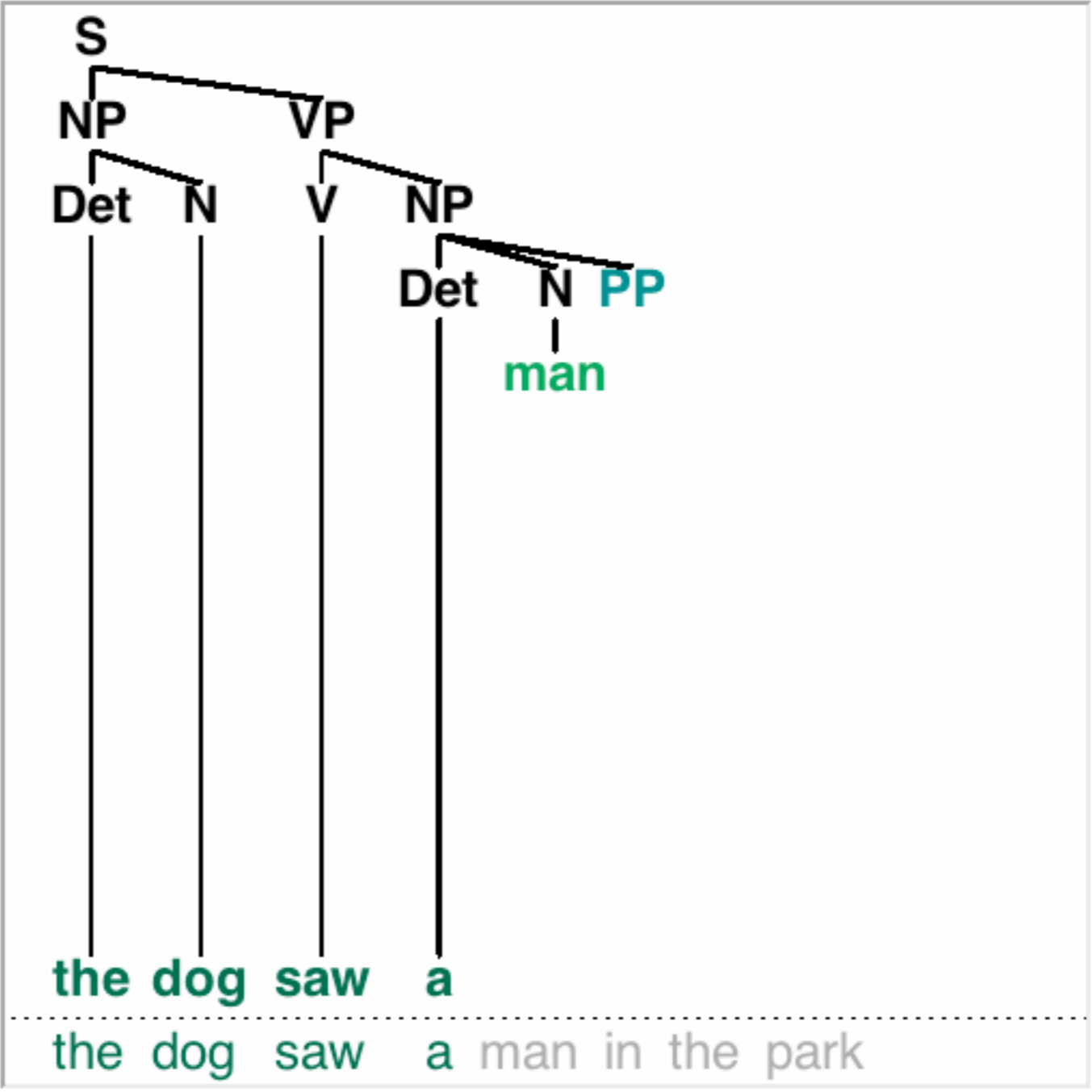
Top-down parsing 2

- Example: A ***recursive descent parser***.
>>> `nltk.app.rdparser()`
- Operations on ***leftmost frontier node***:
 - ***Expand*** it.
 - ***Match*** it to the next input word.



Available Expansions

- S -> NP VP
- NP -> Det N PP
- NP -> Det N
- VP -> V NP PP
- VP -> V NP
- VP -> V
- PP -> P NP
- NP -> 'I'
- Det -> 'the'
- Det -> 'a'
- N -> 'man'
- N -> 'park'
- N -> 'dog'
- N -> 'telescope'
- V -> 'ate'
- V -> 'saw'
- P -> 'in'
- P -> 'under'
- P -> 'with'



Last Operation: **Expand: N -> 'man'**

Top-down parsing 3

- Choice of next operation (in NLTK demo):
 - If it's a terminal, try matching it to input.
 - If it's a non-terminal, try expanding with first-listed untried rule for that non-terminal.

Bottom-up parsing 1

- ***Bottom-up*** or ***data-directed*** parsing:
“Can I take this input and match it to these rules?”
 - Try to find rules that match a possible PoS of the input words ...
 - ... and then rules that match the constituents so formed.
 - Succeed iff the entire input is eventually matched to an S.

Bottom-up parsing 2

- Example: A **shift–reduce parser**.

```
>>> nltk.app.srparser()
```

- Operations:

- **Shift** next input word onto stack.
- Match the top n elements of stack to RHS of rule, **reduce** them to LHS.

Shift Reduce Parser Demo

Available Reductions

- S -> NP VP
- NP -> Det N
- NP -> NP PP
- VP -> VP PP
- VP -> V NP PP
- VP -> V NP
- PP -> P NP
- NP -> 'I'
- Det -> 'the'
- Det -> 'a'
- N -> 'man'
- V -> 'saw'
- P -> 'in'
- P -> 'with'
- N -> 'park'
- N -> 'dog'
- N -> 'statue'
- Det -> 'my'

Stack	Remaining Text
	in the park with a statue

Last Operation: Reduce: NP -> Det N

Bottom-up parsing 3

- Choice of next operation (in NLTK demo):
 - Always prefer reduction to shifting.
 - Choose the first-listed reduction that applies.
- Choice of next operation (in real life):
 - Always prefer reduction to shifting for words, but not necessarily for larger constituents.

Problems

- Neither top-down nor bottom-up search exploits useful idiosyncrasies that CFG rules, alone or together, often have.
- **Problems:**
 - Recomputation of constituents.
 - Recomputation of common prefixes.
- **Solution:** Keep track of:
 - Completed constituents.
 - Partial matches of rules.