

# Speech recognition in Alzheimer's disease with personal assistive robots

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# Introduction

- **Alzheimer's disease (AD)** is a progressive neuro-degenerative dementia characterized by **declines** in:
  - Cognitive ability (e.g., memory, visual-spatial reasoning),
  - Functional capacity (e.g., executive power), and
  - Social ability (e.g., linguistic abilities).
- **Caregivers** often assist individuals with AD, either at **home** or in **long-term care facilities**.
  - **>\$100B** are spent annually in the U.S. on caregiving for AD.
  - As the population ages, the incidence of AD may **double** or **triple** in the next decade (Bharucha *et al.*, 2009).
  - **Demographic crisis!**

# The HomeLab

- **'COACH'** automates support of daily tasks often assisted by human caregivers.
  - E.g., hand-washing, tooth-brushing.
  - Based on partially-observable Markov decision processes (POMDPs) and **vision-only** input.
- *But what if the user does not want to spend their day in front of the sink?*



# ED the robot



Our goal is to implement two-way spoken dialogue in ED that can *identify* and *recover* from communication breakdowns.

# Language in AD and dementia

- Common features in dialogue in AD: *Repetition, incomplete words*, and *paraphrasing* (Guinn and Habash, 2012).
    - *Pauses, filler words, formulaic speech*, and *restarts* were **not**.
      - Surprisingly, this seems to contradict Davis and Maclagan (2009), and Snover *et al.* (2004).
  - Effects of AD on *syntax* remains controversial.
    - **Agrammatism** could be due to **memory deficits** (Reilly *et al.*, 2011).
- 
- Pakhomov *et al.* (2010) found *pause-to-word* and *pronoun-to-noun ratios* were discriminative of frontotemporal lobar degeneration.
  - Roark *et al.* (2011) found *pause frequency* and *duration* were indicative of mild cognitive impairment.

# Data collection: tea for two



- Ten individuals (6 female) with AD recruited at Toronto Rehab.
  - Age: 77.8 years ( $\sigma = 9.8$ )
  - Education: 13.8 years ( $\sigma = 2.7$ )
  - MMSE: 20.8/30 ( $\sigma = 5.5$ )
- Three phases with different partners:
  - A **familiar** human-human dyad (during informed consent),
  - A human-robot dyad (during **tea-making**), and
  - An **unfamiliar** human-human dyad (during post-study interview).

# Data collection: tea for two

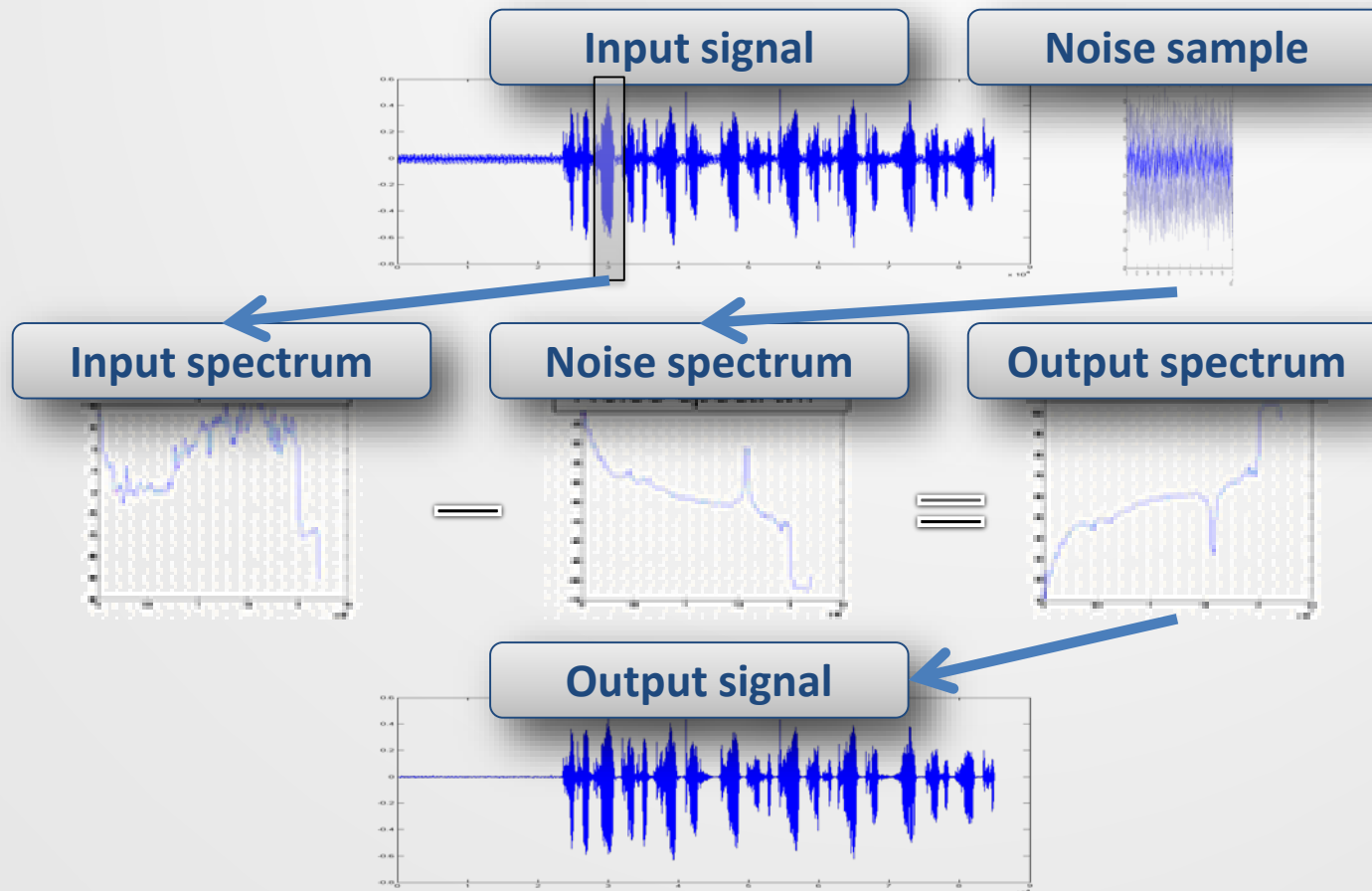
- Our data are **very noisy**. Signal-to-noise: **-2.1 dB to 7.63 dB**
  - **Clean speech typically 40 dB to 60 dB.**
  - Can we do **speech recognition** in this environment **accurately?**
- We assume that our recordings can be decomposed as:

$$y(t) = x(t) + d(t)$$



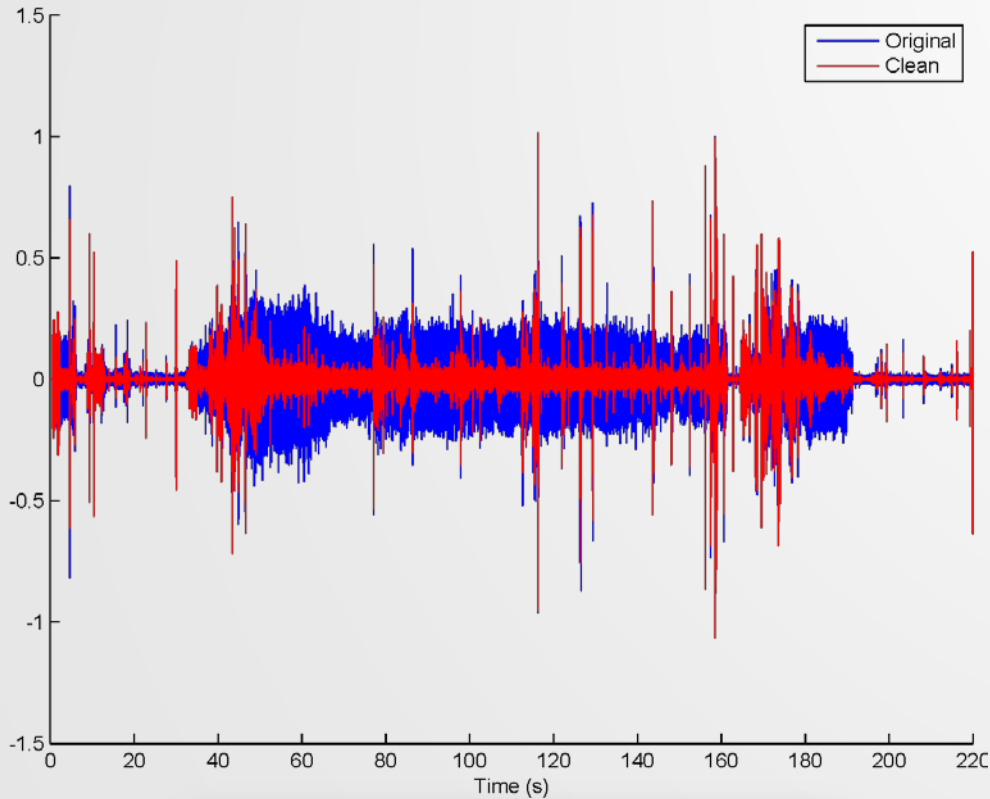
# Noise reduction

- Subtraction with log-spectral amplitude estimator (LSAE)
  - Requires an annotated sample of the noise.

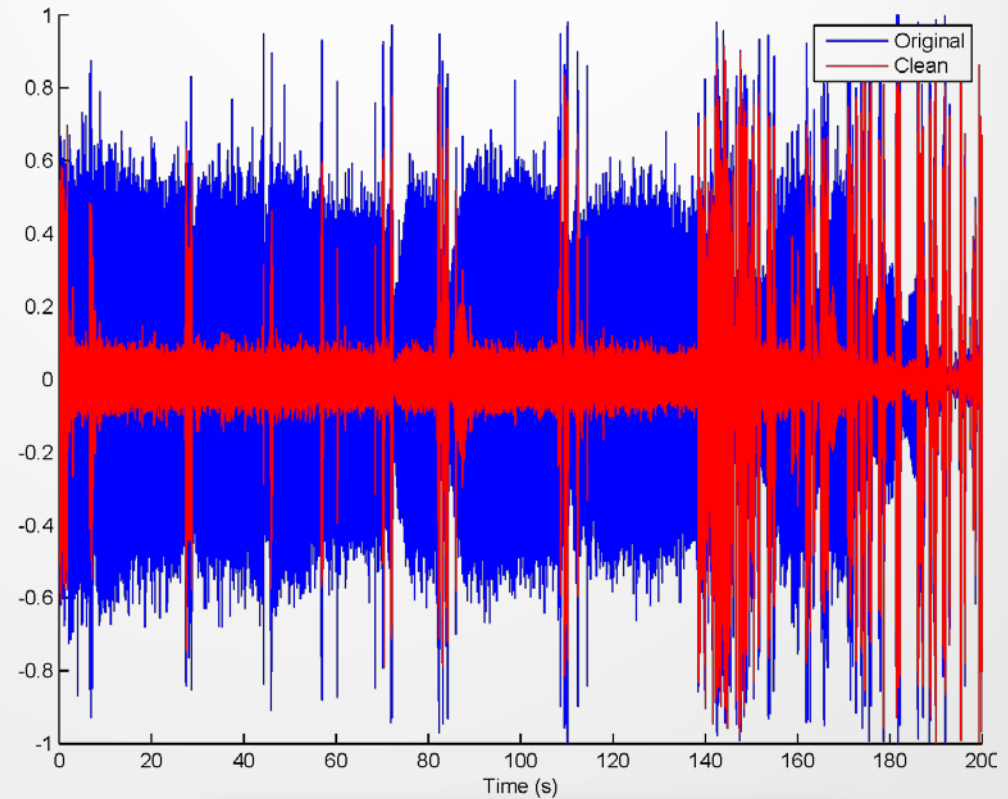




# Noise reduction



**Moderate**



**Severe**

# Speech recognition

- Semi-continuous **hidden Markov model** with 42-dimensional MFCC input (incl.  $\delta$  and  $\delta\delta$ ), z-scaled.
- Two **trigram language models** derived from English Gigaword (**small**: top 5000 words, **large**: top 64,000 words).
- Five **speaker-independent acoustic models** derived from WSJ over 100 speakers with 1, 2, 4, 8, and 16 Gaussians/state.
- **Empirically** adjust other parameters (e.g., beam width).

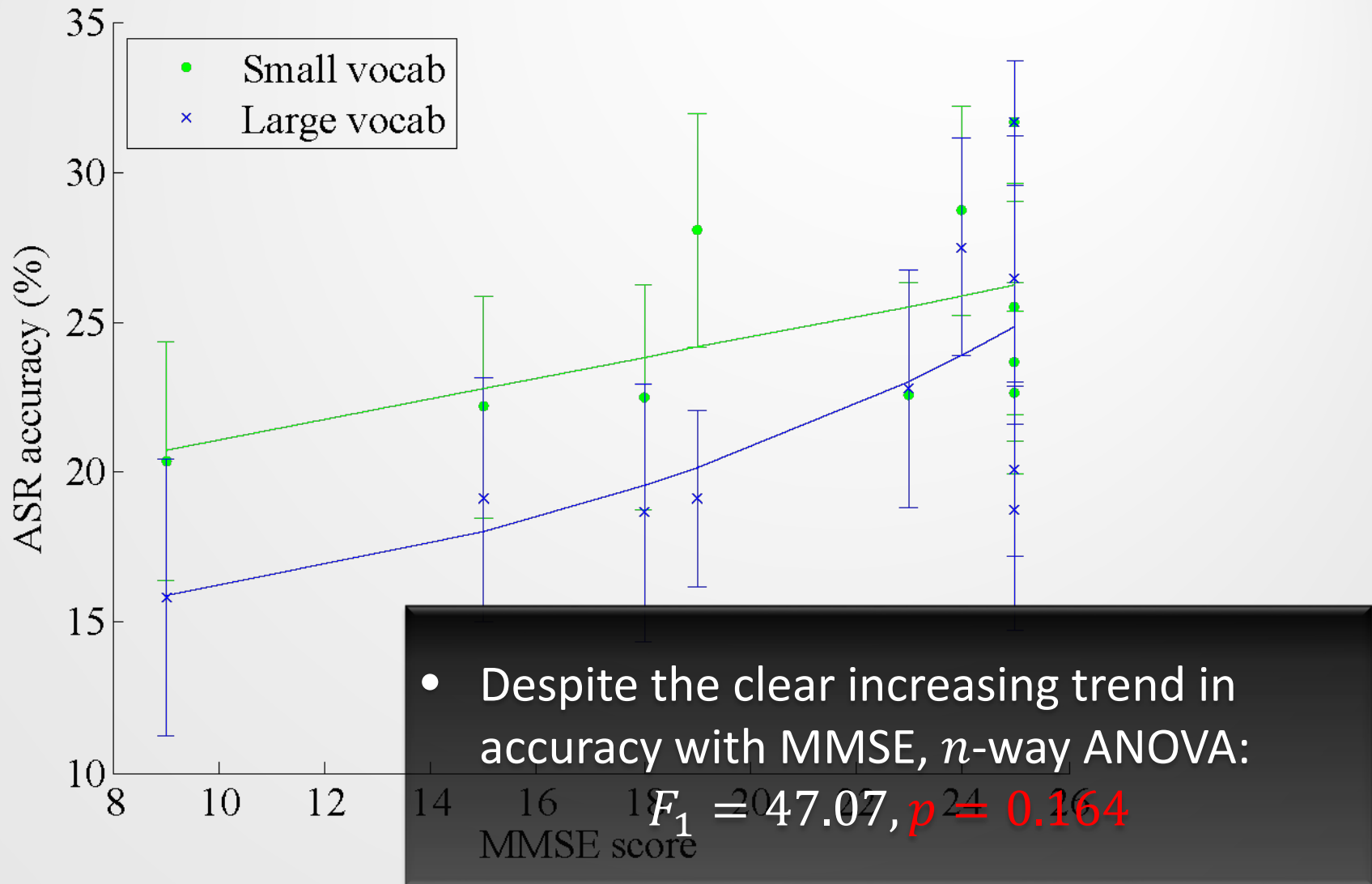
# Results

Vocab.	Scenario	Noise reduction	AD (%)	Caregiver (%)
Small	Interview	None	25.1 ( $\sigma = 9.9$ )	28.8 ( $\sigma = 6.0$ )
		LSAE	40.9 ( $\sigma = 5.6$ )	40.2 ( $\sigma = 5.3$ )
	In task	None	13.7 ( $\sigma = 3.7$ )	-
		LSAE	22.2 ( $\sigma = 5.2$ )	-
Large	Interview	None	13.7 ( $\sigma = 3.7$ )	10.0 ( $\sigma = 10.0$ )
		LSAE	38.2 ( $\sigma = 6.3$ )	35.1 ( $\sigma = 11.2$ )
	In task	None	5.8 ( $\sigma = 3.7$ )	-
		LSAE	14.3 ( $\sigma = 12.8$ )	-

$t(58) = 3.9,$   
 $p < 0.005$

$t(39) = 8.7,$   
 $p < 0.0001$

# Accuracy and MMSE



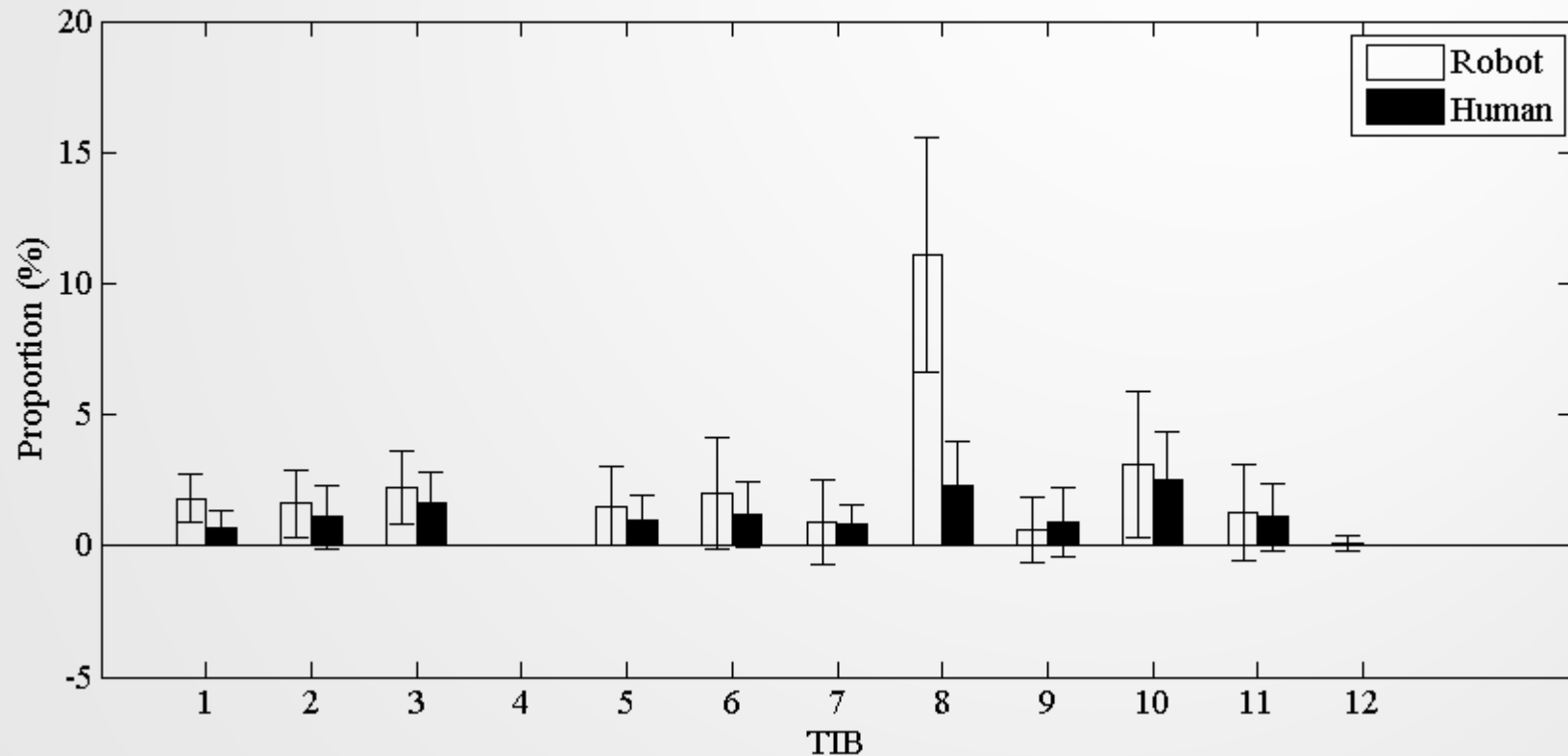
# Communication strategies

- To be useful, **ED** needs to mimic some **verbal techniques** employed by caregivers.
- Caregivers are commonly trained to use **communication strategies** (Small et al., 2003) , such as:
  - Using a **relatively slow** rate of speech,
  - **Repeating** misunderstood prompts **verbatim**,
  - Posing **closed-ended** questions (e.g., yes/no questions),
  - **Simplifying** the **syntactic complexity** of sentences,
  - Giving one question or **one direction at a time**, and
  - Using pronouns minimally.

# How to identify breakdowns?

- **Trouble Indicating Behaviors (TIB)** (Watson, 1999).
  - Difficulties can be phonological, morpho/syntactic, semantic (e.g., lexical access), discourse (e.g., misunderstanding topic).
  - 7 seniors with AD use TIBs significantly more ( $p < 0.005$ ) than matched controls (Watson, 1999).
- >33% of moderate AD dyads display related '**trouble-source repair**' (Orange, Lubinsky, Higginbotham, 1996).
  - **Most common trouble:** discourse  
(e.g., inattention, working memory)
  - **Most common repair:** *wh*-questions and hypotheses  
(e.g., "*Do you mean ...?*").

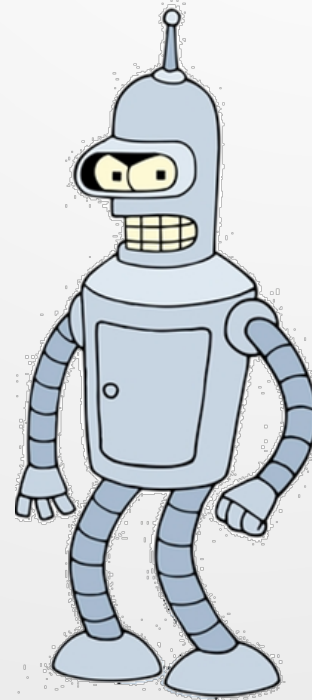
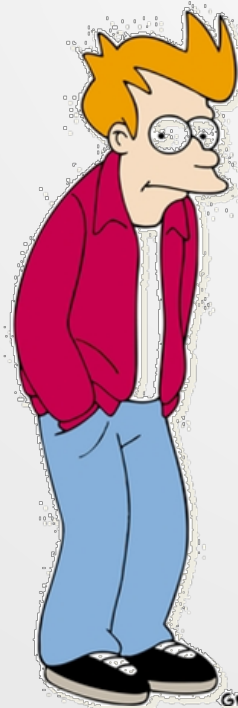
# How to identify breakdowns?



- People with AD were much ( $t(18) = -5.8, p < 0.0001$ ) more likely to exhibit **TIB 8 (lack of uptake)** with the robot ...

# How to identify breakdowns?

- ... people with AD were much more likely ( $t(18) = -4.78$ ,  $p < 0.0001$ ) to have **successful** interactions with a **robot** (18.1%) than with a non-familiar **human** (6.7%).





# Ongoing work

- We can achieve up to **40% word accuracy** in AD using standard acoustic/language models and **noise reduction**.
  - **Accuracy depends on MMSE**, but not significantly.
  - We are currently **improving ASR** by adapting **vocabularies, acoustic and language models**.
- Older adults with AD are very likely to **ignore** the robot, but when they *don't* they have **more fluid dialogues** than with unfamiliar humans.
- Automatically **identify TIBs** from > 200 acoustic and lexical/syntactic features with an accuracy of ████████%.