

Week 5: Embedded SQL

Update Statements



Embedded SQL

- Traditional applications often need to “embed” SQL statements inside the instructions of a procedural programming language (C, COBOL, etc.)
- Programs with embedded SQL use a **pre-compiler** to manage SQL statements. Embedded statements are preceded by ‘\$’ or ‘EXEC SQL’ or some distinguished token
- Program variables may be used as parameters in the SQL statements (preceded by ‘:’)
- **select** statements producing a single row and update statements can be embedded easily.
- The SQL environment offers a predefined variable **sqlcode** which describes the execution status of an SQL statement (=0 if it executed successfully).

Interactive vs. Non-Interactive SQL

- **Non-interactive SQL**: Statements are included in an application program written in a host language — such as C, Java, COBOL
- **Interactive SQL**: Statements input from terminal; DBMS outputs to screen
- Interactive SQL is inadequate for most uses:
 - ✓ It may be necessary to process the data before output;
 - ✓ Amount of data returned not known in advance;
 - ✓ SQL has limited expressive power — **note: not Turing-complete.**

Application Program

- **Host language**: A conventional programming language (e.g., C, Java) that supplies control structures, computational capabilities, interaction with physical devices.
- **SQL**: supplies ability to interact with database.
- **Using the facilities of both**: the application program can act as an intermediary between the user at a terminal and the DBMS.

Preparation

- Before any SQL statement is executed, it must be **prepared** by the DBMS:
 - ✓ What indices can be used?
 - ✓ In what order should tables be accessed?
 - ✓ What constraints should be checked?
- Decisions are based on schema, table sizes, etc.
- Result is a **query execution plan**.
- Preparation is a complex activity, usually done at run time, justified by the complexity of query processing.

Introducing SQL Into the Application

- SQL statements can be incorporated into an application program in two different ways.
- **Statement Level Interface** (SLI): Application program is a mixture of host language statements and SQL statements and directives.
- **Call Level Interface** (CLI): Application program is written entirely in host language.
- SQL statements are values of string variables that are passed as arguments to host language (library) procedures

Statement Level Interface

- SQL statements and directives in the application have a **special syntax** that sets them off from host language constructs
e.g., EXEC SQL SQL_statement
- **Pre-compiler** scans program and translates SQL statements into calls to host language library procedures that communicate with DBMS.
- **Host language compiler** then compiles program.

Statement Level Interface

- SQL constructs in an application take two forms:
 - ✓ Standard SQL statements (**static** or **embedded** SQL): Useful when SQL portion of program is known at **compile time**
 - ✓ Directives (**dynamic** SQL): Useful when SQL portion of program not known at compile time. Application constructs SQL statements **at run time** as values of host language variables that are manipulated by directives
- Pre-compiler translates statements and directives into arguments of calls to library procedures.

Call Level Interface

- Application program written entirely in host language (no precompiler)
Examples: JDBC, ODBC
- SQL statements are values of string variables constructed *at run time* using host language
Similar to dynamic SQL
- Application uses string variables as arguments of library routines that communicate with DBMS
e.g. `executeQuery("SQL query statement")`

Static SQL

```
EXEC SQL BEGIN DECLARE S;  
  unsigned long num_enrolled;  
  char crs_code;  
  char SQLSTATE [6];  
EXEC SQL END DECLARE SE;
```

Variables
shared by host
and SQL

```
.....  
EXEC SQL SELECT C.NumEnrolled  
  INTO :num_enrolled  
  FROM Course C  
  WHERE C.CrsCode = :crs_code;
```

":" used to
set off host
variables

- Declaration section for host/SQL communication.
- Colon convention for value (WHERE) and result (INTO) parameters.

Status

```
EXEC SQL SELECT C.NumEnrolled  
  INTO :num_enrolled  
  FROM Course C  
  WHERE C.CrsCode = :crs_code;  
if ( !strcmp (SQLSTATE, "00000") ) {  
  printf ( "statement failed" )  
};
```

Out parameter

In parameter

Connections

- To connect to an SQL database, use a connect statement
CONNECT TO *database_name* AS
connection_name USING *user_id*

Transactions

- No explicit statement is needed to begin a transaction: A transaction is initiated when the first SQL statement that accesses the database is executed.
- The mode of transaction execution can be set with
**SET TRANSACTION READ ONLY
ISOLATION LEVEL SERIALIZABLE**
- Transactions are terminated with **COMMIT** or **ROLLBACK** statements.

Example: Course Deregistration

```
EXEC SQL CONNECT TO :dbserver;
if (! strcmp (SQLSTATE, "00000") ) exit (1);
.....
EXEC SQL DELETE FROM Transcript T
  WHERE T.StudId = :studid AND T.Semester = 'S2000'
        AND T.CrsCode = :crscode;
if (! strcmp (SQLSTATE, "00000") ) EXEC SQL ROLLBACK;
else {
  EXEC SQL UPDATE Course C
    SET C.Numenrolled = C.Numenrolled - 1
    WHERE C.CrsCode = :crscode;
  if (! strcmp (SQLSTATE, "00000") ) EXEC SQL ROLLBACK;
  else EXEC SQL COMMIT;
}
```

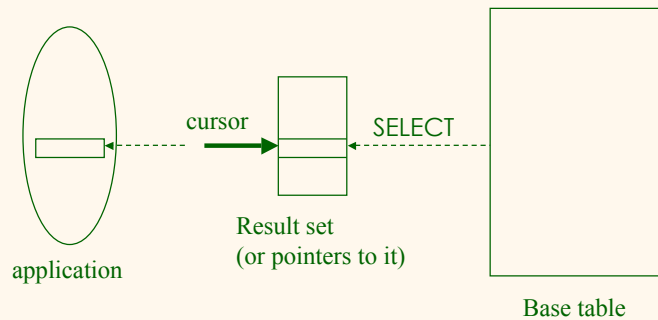
Buffer Mismatch Problem

- **Problem:** SQL deals with tables (of arbitrary size); host language program deals with fixed size buffers
 - ✓ How is the application to allocate storage for the result of a SELECT statement?
- **Solution:** Fetch a single row at a time
 - ✓ Space for a single row (number and type of *out* parameters) can be determined from schema and allocated in application

Cursors

- **Result set** – set of rows produced by a SELECT statement
- **Cursor** – pointer to a row in the result set.
- **Cursor operations:**
 - ✓ **Declaration**
 - ✓ **Open** – execute SELECT to determine result set and initialize pointer
 - ✓ **Fetch** – advance pointer and retrieve next row
 - ✓ **Close** – deallocate cursor

Cursors (cont'd)



Example of Cursor Use

```
EXEC SQL DECLARE GetEnroll INSENSITIVE CURSOR FOR
  SELECT T.StudId, T.Grade — cursor is not a schema element
  FROM Transcript T
  WHERE T.CrsCode = :crscode AND T.Semester = 'S2000';
.....
EXEC SQL OPEN GetEnroll;
if ( !strcmp ( SQLSTATE, "00000" )) {... fail exit... };
.....
EXEC SQL FETCH GetEnroll INTO :studid, :grade;
while ( SQLSTATE = "00000" ) {
  ... process the returned row...
  EXEC SQL FETCH GetEnroll INTO :studid, :grade;
}
if ( !strcmp ( SQLSTATE, "02000" )) {... fail exit... };
.....
EXEC SQL CLOSE GetEnroll;
```

*Reference resolved at compile time,
Value substituted at OPEN time*

Cursor Types

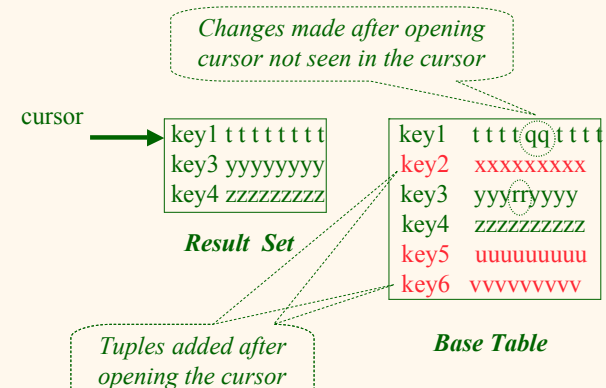
→ **Insensitive cursor:** Result set (effectively) computed and stored in a separate table at OPEN time

- ✓ Changes made to base table subsequent to OPEN (by any transaction) do not affect result set
- ✓ Cursor is read-only

→ **Cursors that are not insensitive:** Specification not part of SQL standard

- ✓ Changes made to base table subsequent to OPEN (by any transaction) can affect result set
- ✓ Cursor is updatable

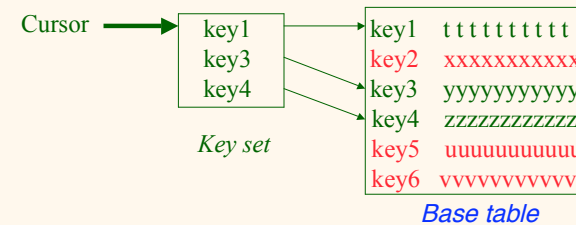
Insensitive Cursor



Keyset-Driven Cursor

- Example of a cursor that is not insensitive.
- Primary key of each row in result set is computed at open time.
- UPDATE or DELETE of a row in base table by a concurrent transaction between OPEN and FETCH might be seen through cursor.
- INSERT into base table, however, not seen through cursor.
- Cursor is updatable.

Keyset-Driven Cursor



Tuples added after cursor is open are not seen, but updates to key1, key3, key4 are seen in the cursor.

Cursors

```
DECLARE cursor-name [INSENSITIVE] [SCROLL]
CURSOR FOR table-expr
[ ORDER BY column-list ]
[ FOR {READ ONLY | UPDATE [ OF column-list ] } ]
```

For updatable (not insensitive, not read-only) cursors

```
UPDATE table-name — base table
SET assignment
WHERE CURRENT OF cursor-name
DELETE FROM table-name — base table
WHERE CURRENT OF cursor-name
```

Restriction – *table-expr* must satisfy restrictions of updatable view

Scrolling

- If SCROLL option not specified in cursor declaration, FETCH always moves cursor forward one position
- If SCROLL option is included in DECLARE CURSOR section, cursor can Get previous tuple arbitrary ways around result set:

```
FETCH PRIOR FROM GetEnroll INTO :studid,
:grade;
```

- Also: FIRST, LAST, ABSOLUTE *n*, RELATIVE *n*

Stored Procedures

- **Procedure** – written in a conventional algorithmic language
 - ✓ Included as schema element (stored in DBMS)
 - ✓ Invoked by the application
- **Advantages:**
 - ✓ Intermediate data need not be communicated to application (time and cost savings)
 - ✓ Procedure's SQL statements prepared in advance
 - ✓ Authorization can be done at procedure level
 - ✓ Added security since procedure resides in server
 - ✓ Applications that call the procedure need not know the details of database schema – all database access is encapsulated within the procedure

Dynamic SQL

```
stcopy (tmp, "SELECT C.NumEnrolled FROM Course C \
        WHERE C.CrsCode = ?" );
EXEC SQL PREPARE st FROM :tmp;
EXEC SQL EXECUTE st INTO :num_enrolled USING placeholder de;
```

- **st** is an **SQL variable**; names the SQL statement
- **tmp, crscode, num_enrolled** are **host language variables** (note colon notation)
- **crscode** is an **in parameter**; supplies value for placeholder (?)
- **num_enrolled** is an **out parameter**; receives value from *C.NumEnrolled*

Dynamic SQL

- **PREPARE** names SQL statement **st** and sends it to DBMS for preparation
- **EXECUTE** causes the statement named **st** to be executed

Parameters for Static SQL

For Static SQL:

- Names of (host language) parameters are contained in SQL statement and available to pre-compiler.
- Address and type information in symbol table.
- Routines for fetching and storing argument values can be generated.
- Complete statement (with parameter values) sent to DBMS when statement is executed.

```
EXEC SQL SELECT C.NumEnrolled
        INTO :num_enrolled
        FROM Course C
        WHERE C.CrsCode = :crs_code;
```

Parameters for Dynamic SQL

→ **Dynamic SQL**: SQL statement constructed at run time when symbol table is no longer present

→ **Case 1**: Parameters are known at compile time

```
stcopy (tmp, "SELECT C.NumEnrolled FROM Course C \
        WHERE C.CrsCode = ?" );
```

```
EXEC SQL PREPARE st FROM :tmp;
```

Parameters are named in EXECUTE statement: *in* parameters in USING; *out* parameters in INTO clauses

```
EXEC SQL EXECUTE st INTO :num_enrolled USING :crs_code;
```

✓ EXECUTE statement is compiled using symbol table

- *fetch()* and *store()* routines generated

Parameters for Dynamic SQL (Case 1: parameters known at compile time)

- ✓ Fetch and store routines are executed at client when EXECUTE is executed to communicate argument values with DBMS
- ✓ EXECUTE can be invoked multiple times with different values of *in* parameters
 - Each invocation uses same query execution plan
- ✓ Values substituted for placeholders by DBMS (in order) at invocation time and statement is executed

Parameters in Dynamic SQL (parameters supplied at runtime)

→ **Case 2**: Parameters not known at compile time

→ **Example**: Statement input from terminal

- ✓ Application cannot parse statement and might not know schema, so it does not have any parameter information

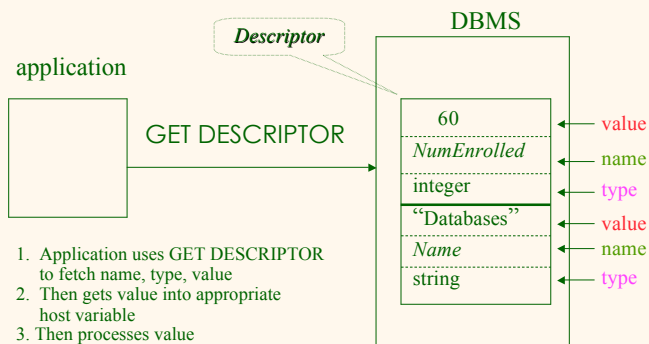
→ EXECUTE statement cannot name parameters in INTO and USING clauses

Parameters in Dynamic SQL (Case 2: parameters supplied at runtime)

- ✓ DBMS determines number and type of parameters after preparing the statement
- ✓ Information stored by DBMS in a *descriptor* – a data structure inside the DBMS, which records the *name*, *type*, and *value* of each parameter
- ✓ Dynamic SQL provides directive GET DESCRIPTOR to get information about parameters (e.g., number, name, type) from DBMS and to fetch value of *out* parameters
- ✓ Dynamic SQL provides directive SET DESCRIPTOR to supply value to *in* parameters

Descriptors

```
temp = "SELECT C.NumEnrolled, C.Name FROM Course C \
WHERE C.CrsCode = 'CS305' "
```



1. Application uses GET DESCRIPTOR to fetch name, type, value
2. Then gets value into appropriate host variable
3. Then processes value

CSC343 Introduction to Databases — University of Toronto

Embedded SQL — 33

Dynamic SQL Calls when Descriptors are Used

```
... .. construct SQL statement in temp ... ..
EXEC SQL PREPARE st FROM :temp; // prepare statement

EXEC SQL ALLOCATE DESCRIPTOR 'desc'; // create descriptor
EXEC SQL DESCRIBE OUTPUT st USING
SQL DESCRIPTOR 'desc'; // populate desc with info
// about out parameters

EXEC SQL EXECUTE st INTO // execute statement and
SQL DESCRIPTOR AREA 'desc'; // store out values in desc

EXEC SQL GET DESCRIPTOR 'desc' ...; // get out values

... .. similar strategy is used for in parameters ... ..
```

CSC343 Introduction to Databases — University of Toronto

Embedded SQL — 34

Example: Nothing Known at Compile Time

```
sprintf(my_sql_stmt,
"SELECT * FROM %s WHERE COUNT(*) = 1",
table); // table – host var; even the table is known only at run
time!
```

```
EXEC SQL PREPARE st FROM :my_sql_stmt;
EXEC SQL ALLOCATE DESCRIPTOR 'st_output';
```

```
EXEC SQL DESCRIBE OUTPUT st USING SQL DESCRIPTOR
'st_output'
✓ The SQL statement to execute is known only at run time
✓ At this point DBMS knows what the exact statement is (including
the table name, the number of out parameters, their types)
✓ The above statement asks to create descriptors in st_output for
all the (now known) out parameters
```

```
EXEC SQL EXECUTE st INTO SQL DESCRIPTOR 'st_output';
```

CSC343 Introduction to Databases — University of Toronto

Embedded SQL — 35

Example: Getting Meta-Information from a Descriptor

```
// Host var colcount gets the number of out parameters in
// the SQL statement described by st_output
EXEC SQL GET DESCRIPTOR 'st_output' :colcount =
COUNT;

// Set host vars coltype, collength, colname with the type,
// length, and name of the colnumber's out parameter in
// the SQL statement described by st_output
EXEC SQL GET DESCRIPTOR 'st_output' VALUE
:colnumber;
:coltype = TYPE, // predefined integer constants,
// such as SQL_CHAR, SQL_FLOAT,...
:collength = LENGTH,
:colname = NAME;
```

CSC343 Introduction to Databases — University of Toronto

Embedded SQL — 36

Example: Using Meta-Information to Extract Attribute Value

```

char strdata[1024];
int intdata;
... ..
switch (coltype) {
case SQL_CHAR:
EXEC SQL GET DESCRIPTOR 'st_output' VALUE :colnumber strdata=DATA;
break;
case SQL_INT:
EXEC SQL GET DESCRIPTOR 'st_output' VALUE :colnumber
:intdata=DATA;
break;
case SQL_FLOAT:
... ..
}

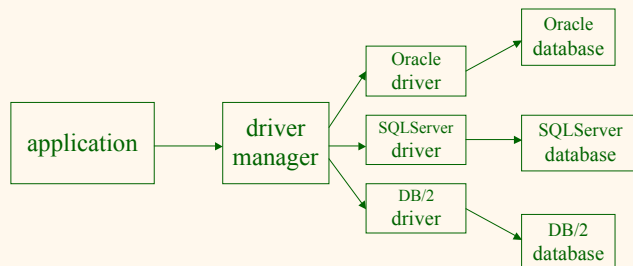
```

Put the value of attribute
colnumber into the
variable strdata

JDBC

- Call-level interface (CLI) for executing SQL from a Java program
- SQL statement is constructed at run time as the value of a Java variable (as in dynamic SQL)
- JDBC passes SQL statements to the underlying DBMS. Can be interfaced to any DBMS that has a JDBC driver
- Part of SQL:2003

JDBC Run-Time Architecture



Executing a Query

```
import java.sql.*; // import all classes in package java.sql
```

```
Class.forName(driver name); // static method of class Class
// loads specified driver
```

```
Connection con = DriverManager.getConnection(Url, Id, Passwd);
```

- Static method of class DriverManager; attempts to connect to DBMS
- If successful, creates a connection object, con, for managing the connection

```
Statement stat = con.createStatement();
```

- Creates a statement object stat
- Statements have executeQuery() method

Executing a Query (cont'd)

```
String query = "SELECT T.StudId FROM Transcript T" +  
              "WHERE T.CrsCode = 'cse305' " +  
              "AND T.Semester = 'S2000' ";
```

```
ResultSet res = stat.executeQuery (query);
```

- Creates a result set object, res.
- Prepares and executes the query.
- Stores the result set produced by execution in res (analogous to opening a cursor).
- The query string can be constructed at run time (as above).
- The input parameters are plugged into the query when the string is formed (as above)

Preparing and Executing a Query

```
String query = "SELECT T.StudId FROM Transcript T" +  
              "WHERE T.CrsCode = ? AND T.Semester = ?";
```

placeholders

```
PreparedStatement ps = con.prepareStatement ( query );
```

- Prepares the statement
- Creates a prepared statement object, ps, containing the prepared statement
- **Placeholders (?)** mark positions of in parameters; special API is provided to plug the actual values in positions indicated by the ?'s

Preparing and Executing a Query (cont'd)

```
String crs_code, semester;
```

```
.....
```

```
ps.setString(1, crs_code); // set value of first in parameter  
ps.setString(2, semester); // set value of second in parameter
```

```
ResultSet res = ps.executeQuery ();
```

- Creates a result set object, res
- Executes the query
- Stores the result set produced by execution in res

```
while ( res.next () ) { // advance the cursor  
    j = res.getInt ("StudId"); // fetch output int-value  
    ...process output value...  
}
```

Result Sets and Cursors

→ Three types of result sets in JDBC:

- ✓ **Forward-only**: not scrollable
- ✓ **Scroll-insensitive**: scrollable; changes made to underlying tables after the creation of the result set are not visible through that result set
- ✓ **Scroll-sensitive**: scrollable; updates and deletes made to tuples in the underlying tables after the creation of the result set are visible through the set

Result Set

```
Statement stat = con.createStatement (
    ResultSet.TYPE_SCROLL_SENSITIVE,
    ResultSet.CONCUR_UPDATABLE );
```

- Any result set type can be declared *read-only* or *updatable* – **CONCUR_UPDATABLE** (assuming SQL query satisfies the conditions for updatable views)
- *Updatable*: Current row of an updatable result set can be changed or deleted, or a new row can be inserted. Any such change causes changes to the underlying database table

```
res.updateString ("Name", "John" ); // change the attribute "Name" of
// current row in the row buffer.
res.updateRow (); // install changes to the current row buffer
// in the underlying database table
```

Handling Exceptions

```
try {
    ...Java/JDBC code...
} catch ( SQLException ex ) {
    ...exception handling code...
}
```

- try/catch is the basic structure within which an SQL statement should be embedded
- If an exception is thrown, an exception object, *ex*, is created and the catch clause is executed
- The exception object has methods to print an error message, return SQLSTATE, etc.

Transactions in JDBC

- Default for a connection is
 - ✓ Transaction boundaries
 - *Autocommit mode*: each SQL statement is a transaction.
 - To group several statements into a transaction use `con.setAutoCommit (false)`
 - ✓ Isolation
 - default isolation level of the underlying DBMS
 - To change isolation level use `con.setTransactionIsolationLevel (TRANSACTION_SERIALIZABLE)`
- With autocommit off:
 - ✓ transaction is committed using `con.commit()`.
 - ✓ next transaction is automatically initiated (chaining)
- Transactions on each connection committed separately

SQLJ

- A statement-level interface to Java
 - ✓ A dialect of embedded SQL designed specifically for Java
 - ✓ Translated by precompiler into Java
 - ✓ SQL constructs translated into calls to an SQLJ runtime package, which accesses database through calls to a JDBC driver
- Part of SQL:2003

SQLJ

- Has some of efficiencies of embedded SQL
 - ✓ Compile-time syntax and type checking
 - ✓ Use of host language variables
 - ✓ More elegant than embedded SQL
- Has some of the advantages of JDBC
 - ✓ Can access multiple DBMSs using drivers
 - ✓ SQLJ statements and JDBC calls can be included in the same program

SQLJ Example

```
#SQL {  
    SELECT C.Enrollment  
    INTO :numEnrolled  
    FROM Class C  
    WHERE C.CrsCode = :crsCode  
           AND C.Semester =  
           :semester  
};
```

Example of SQLJ Iterator

- Similar to JDBC's ResultSet; provides a cursor mechanism

```
#SQL iterator GetEnrolledIter (int studentId,  
    String studGrade);  
GetEnrolledIter iter1;
```

*Method names by
which to access the
attributes StudentId
and Grade*

```
#SQL iter1 = {  
    SELECT T.StudentId as "studentId",  
           T.Grade as "studGrade"  
    FROM Transcript T  
    WHERE T.CrsCode = :crsCode  
           AND T.Semester = :semester  
};
```

Iterator Example (cont'd)

```
int id;  
String grade;  
while ( iter1.next() ) {  
    id = iter1.studentId();  
    grade = iter1.studGrade();  
    ... process the values in id and grade  
    ...  
};  
iter1.close();
```

ODBC

- Call level interface that is database independent
- Related to SQL/CLI, part of SQL:1999
- Software architecture similar to JDBC with driver manager and drivers
- Not object oriented
- Low-level: application must specifically allocate and deallocate storage

Sequence of Procedure Calls Needed for ODBC

```
SQLAllocEnv(&henv);           // get environment handle
SQLAllocConnect(henv, &hdbc); // get connection handle
SQLConnect(hdbc, db_name, userId, password); // connect
SQLAllocStmt(hdbc, &hstmt);  // get statement handle
SQLPrepare(hstmt, SQL statement); // prepare SQL statement
SQLExecute(hstmt);
SQLFreeStmt(hstmt);          // free up statement space
SQLDisconnect(hdbc);
SQLFreeEnv(henv);           // free up environment space
```

ODBC Features

- Cursors
 - ✓ *Statement handle* (for example hstmt) is used as name of cursor
- Status Processing
 - ✓ Each ODBC procedure is actually a function that returns status

```
RETCODE retcode1;
Retcode1 = SQLConnect ( ...)
```
- Transactions
 - ✓ Can be committed or aborted with

```
SQLTransact (henv, hdbc, SQL_COMMIT)
```

Cursors

- Fundamental problem with database technology: *impedance mismatch* — traditional programming languages process records one-at-a-time (tuple-oriented); SQL processes tuple sets (set-oriented).
- Cursors solve this problem: A cursor accesses the result of a query in a set-oriented way, returns tuples for the program to process one-by-one.
- Syntax of cursor definition:

```
declare CursorName [ scroll ]
cursor for SelectSQL
[ for < read only | update [ of Attribute {,
Attribute}]>]
```

Operations on Cursors

- To execute the query associated with a cursor:
open *CursorName*
- To extract one tuple from the query result:
fetch [*Position* from] *CursorName* into
FetchList
- To free the cursor, discarding the query result:
close *CursorName*
- To access the current tuple (when a cursor reads
a relation, in order to update it):
current of *CursorName*
(in a where clause)

Example of Embedded SQL

```
void DisplayDepartmentSalaries(char DeptName[])
{ char FirstName[20], Surname[20];
  long int Salary;
  $ declare DeptEmp cursor for
    select FirstName, Surname, Salary
    from Employee
    where Dept = :DeptName;
  $ open DeptEmp;
  $ fetch DeptEmp into :FirstName, :Surname, :Salary;
  printf("Department %s\n",DeptName);
  while (sqlcode == 0)
  { printf("Name: %s %s ",FirstName,Surname);
    printf("Salary: %d\n",Salary);
  $   fetch DeptEmp into :FirstName, :Surname,
    :Salary; }
  $ close DeptEmp; }
```

Dynamic SQL

- When applications do not know at compile-time the SQL statement to execute, they need *dynamic SQL*.
- Major problem: managing the transfer of parameters between the program and the SQL environment.
- For direct execution:
execute immediate *SQLStatement*
- For execution preceded by the analysis of the statement:
prepare *CommandName* from *SQLStatement*
followed by:
execute *CommandName* [into *TargetList*]
[using *ParameterList*]

Procedures

- SQL-2 allows for the definition of procedures, also known as *stored procedures*.
- Stored procedures are part of the schema
procedure AssignCity
(:Dep char(20), :City char(20))
update Department
set City = :City
where Name = :Dep
- SQL-2 does not support the the definition of complex procedures
- Most systems offer SQL extensions that support complex procedures (e.g., Oracle PL/SQL).

Procedure in Oracle PL/SQL

```
Procedure Debit(ClientAcct char(5),Withdr int) is
  OldAmount integer; NewAmount integer;
  Threshold integer;
begin
  select Amount,Overdraft into OldAmount, Thresh
  from BankAcct where AcctNo = ClientAcct
  for update of Amount;
  NewAmount := OldAmount - WithDr;
  if NewAmount > Thresh
  then update BankAcct
    set Amount = NewAmount
    where AcctNo = ClientAcct;
  else insert into OverDraftExceeded
    values(ClientAcct,Withdr,sysdate);
  end if;
end Debit;
```