## Week 4 & 5: SQL

The SQL Query Language
Select Statements
Joins, Aggregate and Nested Queries
Insertions, Deletions and Updates
Assertions, Views, Triggers and Access
Control

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## **SQL** as a Query Language

- →SQL expresses queries in declarative way queries specify the properties of the result, not the way to obtain it.
- →Queries are translated by the query optimizer into the procedural language internal to the DBMS.
- → The programmer focuses on readability, not on efficiency.

#### **SQL Queries**

- →SQL queries are expressed by the select statement.
- →Syntax:

```
select AttrExpr [[as] Alias ] {, AttrExpr [[as] Alias ] }
from Table [[as] Alias ] {, [[as] Alias ] }
[ where Condition ]
```

- →The three parts of the query are usually called: *target list*, *from clause*, *where clause*.
- → The query first builds the Cartesian product of the tables in the **from clause**, then selects only the rows that satisfy the condition in the **where clause** and for each row evaluates the attribute expressions in the target list.

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## **Example Database**

**EMPLOYEE** 

FirstName	Surname	Dept	Office	Salary	City
Mary	Brown	Administration	10	45	London
Charles	White	Production	20	36	Toulouse
Gus	Green	Administration	20	40	Oxford
Jackson	Neri	Distribution	16	45	Dover
Charles	Brown	Planning	14	80	London
Laurence	Chen	Planning	7	73	Worthing
Pauline	Bradshaw	Administration	75	40	Brighton
Alice	Jackson	Production	20	46	Toulouse

DEPARTMENT	DeptName	Address	City
	Administration	Bond Street	London
	Production	Rue Victor Hugo	Toulouse
	Distribution	Pond Road	Brighton
	Planning	Bond Street	London
	Research	Sunset Street	San José

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## **Simple SQL Query**

→ "Find the salaries of employees named Brown":

```
select Salary as Remuneration
from Employee
where Surname = 'Brown'
```

→Result:

Remuneration
45
80

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## \* in the Target List

→ "Find all the information relating to employees named Brown":

```
select *
from Employee
where Surname = 'Brown'
```

→ Result:

FirstName	Surname	Dept	Office	Salary	City
Mary	Brown	Administration	10	45	London
Charles	Brown	Planning	14	80	London

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## **Attribute Expressions**

→ Find the monthly salary of the employees named White:

```
select Salary / 12 as
MonthlySalary
from Employee
where Surname = `White'
```

→ Result:

MonthlySalary 3.00

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## **Simple Join Query**

→ "Find the names of employees and their cities of work":

```
select Employee.FirstName,
Employee.Surname, Department.City
from Employee, Department
```

where Employee.Dept = Department.DeptName

→Result:

FirstName	Surname	City
Mary	Brown	London
Charles	White	Toulouse
Gus	Green	London
Jackson	Neri	Brighton
Charles	Brown	London
Laurence	Chen	London
Pauline	Bradshaw	London
Alice	Jackson	Toulouse

#### **Table Aliases**

→ "Find the names of employees and the cities where they work" (using an alias):

select FirstName, Surname, D.City
from Employee, Department D
where Dept = DeptName

→Result:

FirstName	Surname	City
Mary	Brown	London
Charles	White	Toulouse
Gus	Green	London
Jackson	Neri	Brighton
Charles	Brown	London
Laurence	Chen	London
Pauline	Bradshaw	London
Alice	Jackson	Toulouse

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## **Predicate Conjunction**

→"Find the first names and surnames of employees who work in office number 20 of the Administration department":

→Result:

FirstName	Surname
Gus	Green

## **Predicate Disjunction**

→"Find the first names and surnames of employees who work in either the Administration or the Production department":

→Result:

FirstName	Surname
Mary	Brown
Charles	White
Gus	Green
Pauline	Bradshaw
Alice	Jackson

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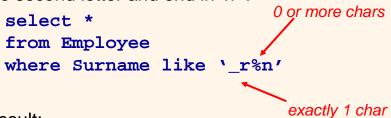
## **Complex Logical Expressions**

→ "Find the first names of employees named Brown who work in the Administration department or the Production department":

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## Operator like

→ "Find employees with surnames that have 'r' as the second letter and end in 'n'":



→ Result:

FirstName	Surname	Dept	Office	Salary	City
Mary	Brown	Administration	10	45	London
Gus	Green	Administration	20	40	Oxford
Charles	Brown	Planning	14	80	London

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## **Management of Null Values**

- →Null values may mean that:
  - ✓a value is not applicable
  - √ a value is applicable but unknown
  - √it is unknown if a value is applicable or not
- →SQL-89 uses a two-valued logic
  - √a comparison with null returns FALSE
- →SQL-2 uses a three-valued logic
  - √a comparison with null returns UNKNOWN
- →To test for null values:

Attribute is [ not ] null

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# Algebraic Interpretation of SQL Queries

→The generic query:

select 
$$T_1$$
.  $Attr_{11}$ , ...,  $T_h$ .  $Attr_{hm}$  from  $Table_1 T_1$ , ...,  $Table_n T_n$  where  $Condition$ 

corresponds to the relational algebra query:

$$\pi_{T_1.Attr_{11},...,T_h.Attr_{hm}}(\sigma_{Condition}(Table_1 \times ... \times Table_n))$$

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## **Duplicates**

- →In the relational algebra and calculus the results of queries do not contain duplicates.
- →In SQL, tables may have identical rows.
- →Duplicates can be removed using the keyword distinct:

select City from Department

City
London
Toulouse
Brighton
London
San José

select distinct City
from Department

City London Toulouse Brighton San José

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#### Joins in SQL-2

→SQL-2 introduced an alternative syntax for the representation of joins, representing them explicitly in the *from* clause:

- → JoinType can be any of inner, right [outer], left [outer] or full [outer].
- →The keyword natural may precede JoinType (rarely implemented).

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#### **Inner Join in SQL-2**

→"Find the names of the employees and the cities in which they work":

→Result:

FirstName	Surname	City
Mary	Brown	London
Charles	White	Toulouse
Gus	Green	London
Jackson	Neri	Brighton
Charles	Brown	London
Laurence	Chen	London
Pauline	Bradshaw	London
Alice	Jackson	Toulouse

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# **Another Example: Drivers and Cars**

#### DRIVER

FirstName	Surname	DriverID	
Mary	Brown	VR 2030020Y	
Charles	White	PZ 1012436B	
Marco	Neri	AP 4544442R	

#### AUTOMOBILE

CarRegNo	Make	Model	DriverID
ABC 123	BMW	323	VR 2030020Y
DEF 456	BMW	Z3	VR 2030020Y
GHI 789	Lancia	Delta	PZ 1012436B
BBB 421	BMW	316	MI 2020030U

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## **Left Join**

```
→ "Find all drivers and their cars, if any":
```

```
select FirstName, Surname,
   Driver.DriverID, CarRegNo, Make, Model
from Driver left join Automobile on
   (Driver.DriverID =
   Automobile.DriverID)
```

#### →Result:

FirstName	Surname	DriverID	CarRegNo	Make	Model
Mary	Brown	VR 2030020Y	ABC 123	BMW	323
Mary	Brown	VR 2030020Y	DEF 456	BMW	Z3
Charles	White	PZ 1012436B	GHI 789	Lancia	Delta
Marco	Neri	AP 4544442R	NULL	NULL	NULL

#### **Full Join**

→"Find all possible drivers and their cars": select

#### → Result:

FirstName	Surname	DriverID	CarRegNo	Make	Model
Mary	Brown	VR 2030020Y	ABC 123	BMW	323
Mary	Brown	VR 2030020Y	DEF 456	BMW	Z3
Charles	White	PZ 1012436B	GHI 789	Lancia	Delta
Marco	Neri	AP 4544442R	NULL	NULL	NULL
NULL	NULL	NULL	BBB 421	BMW	316

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### **Table Variables**

- →Table aliases may be interpreted as table variables. These correspond to the renaming operator ρ.
- → "Find all first names and surnames of employees who have the same surname and different first names with someone in the Administration department":

```
select E1.FirstName, E1.Surname
from Employee E1, Employee E2
where E1.Surname = E2.Surname and
        E1.FirstName <> E2.FirstName and
        E2.Dept = 'Administration'
```

→ Result:

FirstName	Surname
Charles	Brown

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## The order by Clause

→order by — appearing at the end of a query — orders the rows of the result; syntax:

```
order by OrderingAttribute [asc | desc ]
{, OrderingAttribute [asc | desc ]}
```

→Extract the content of the Automobile table in descending order with respect to make and model:

select \*

from Automobile

order by Make desc, Model desc

→ Result:

CarRegNo	Make	Model	DriverID
GHI 789	Lancia	Delta	PZ 1012436B
DEF 456	BMW	Z3	VR 2030020Y
ABC 123	BMW	323	VR 2030020Y
BBB 421	BMW	316	MI 2020030U

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## **Aggregate Queries**

- → Aggregate queries cannot be represented in relational algebra.
- →The result of an aggregate query depends on functions that take as an argument a set of tuples.
- →SQL-2 offers five aggregate operators:
  - ✓ count
  - √ sum
  - √ max
  - √ min
  - √avq

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#### Operator count

→count returns the number of elements (or, distinct elements) of its argument:

```
count(< * | [ distinct | all ] AttributeList >)
```

→ "Find the number of employees":

```
select count(*)from Employee
```

→ "Find the number of different values on attribute Salary for all tuples in Employee":

```
select count(distinct Salary)
        from Employee
```

→ "Find the number of tuples in Employee having nonnull values on the attribute Salary":

```
select count(all Salary) from Employee
```

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## Sum, Average, **Maximum and Minimum**

- →Syntax:
  - < sum | max | min | avg > ([ distinct | all ] AttributeExpr)
- → "Find the sum of all salaries for the Administration. department":

```
select sum(Salary) as SumSalary
from Employee
where Dept = 'Administration'
```

→ Result:

**SumSalary** 125

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## **Aggregate Queries with Join**

→ "Find the maximum salary among the employees who work in a department based in London":

→ Result:

MaxLondonSal 80

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## **Aggregate Queries and Target List**

```
→Incorrect query:
```

→Find the maximum and minimum salaries among all

employees:

select max(Salary) as MaxSal,
 min(Salary) as MinSal

from Employee

→Result:

MaxSal MinSal

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## Group by Queries

- →Queries may apply aggregate operators to subsets of rows.
- → "Find the sum of salaries of all the employees of the same department":

select Dept, sum(Salary) as TotSal
from Employee
group by Dept

→Result:

Dept	TotSal
Administration	125
Distribution	45
Planning	153
Production	82

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## Semantics of group by Queries - I

→ First, the query is executed without group by and without aggregate operators:

select Dept, Salary
from Employee

Dept	Salary
Administration	45
Production	36
Administration	40
Distribution	45
Planning	80
Planning	73
Administration	40
Production	46

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## Semantics of group by Queries - II

- →... then the query result is divided in subsets characterized by the same values for the attributes appearing as argument of the group by clause (in this case attribute Dept):
- → Finally, the aggregate operator is applied separately to each subset

	Dept	Salary
	Administration	45
	Administration	40
	Administration	40
-	Distribution	45
	Planning	80
	Planning	73
	Production	36
	Production	46

Dept	TotSal
Administration	125
Distribution	45
Planning	153
Production	82

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```
group by Queries and Target List
```

```
→Incorrect query:
```

```
select <u>Office</u> from Employee group by Dept
```

→Incorrect query:

→ Correct query:

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## **Group Predicates**

- →When conditions are defined on the result of an aggregate operator, it is necessary to use the having clause
- → "Find which departments spend more than 100 on salaries":

```
select Dept
from Employee
group by Dept
having sum(Salary) > 100
```

→ Result:

**Dept**Administration
Planning

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## where or having?

- →Only predicates containing aggregate operators should appear in the argument of the having clause
- → "Find the departments where the average salary of employees working in office number 20 is higher than 25":

```
select Dept
from Employee
where Office = '20'
group by Dept
having avg(Salary) > 25
```

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## Syntax of an SQL Query ...so far!

→ Considering all clauses discussed so far, the syntax of an SQL query is:

```
select TargetList
from TableList
[where Condition]
[group by GroupingAttributeList]
[having AggregateCondition]
[order by OrderingAttributeList]
```

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#### **Set Queries**

- → A single select statement cannot represent any set operation.
- →Syntax:

- →"Find all first names and surnames of employees": select FirstName as Name from Employee union
  - select Surname as Name from Employee
- →Duplicates are removed (unless the all option is used)

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#### Intersection

→"Find surnames of employees that are also first names":

```
select FirstName as Name
from Employee
  intersect
select Surname as Name
from Employee

(equivalent to:
  select E1.FirstName as Name
  from Employee E1, Employee E2
  where E1.FirstName = E2.Surname
)
```

#### **Difference**

→ "Find the surnames of employees that are not first names":

```
select Surname as Name
from Employee
except
select FirstName as Name
from Employee
```

→Can also be represented with a nested query (see later.)

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#### **Nested Queries**

- → A where clause may include predicates that:
  - √ Compare an attribute (or attribute expression) with the result of an SQL query;

```
syntax: ScalarValue Op <any | all> SelectSQL any - the predicate is true if at least one row returned by SelectSQL satisfies the comparison all - predicate is true if all rows satisfy comparison;
```

- ✓ Use the existential quantifier on an SQL query; syntax: exists SelectSQL the predicate is true if SelectSQL is non-empty.
- →The query appearing in the where clause is called a nested query.

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## **Simple Nested Query**

→ "Find the employees who work in departments in London":

```
select FirstName, Surname
from Employee
where Dept = any (select DeptName
    from Department
    where City = 'London')

(Equivalent to:
    select FirstName, Surname
    from Employee, Department D
    where Dept = DeptName and
        D.City = 'London'
)

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```

#### ...Another...

→"Find employees of the Planning department, having the same first name as a member of the Production department":

## **Negation with Nested Queries**

```
→"Find departments where there is no one named
Brown":
    select DeptName
    from Department
    where DeptName <>
        all (select Dept from Employee
            where Surname = 'Brown')

→(Alternatively:)
    select DeptName from Department
        except
    select Dept from Employee
    where Surname = 'Brown'
```

## Operators in and not in

## max and min within a Nested Query

- → Queries using the aggregate operators max and min can be expressed with nested queries
- →"Find the department of the employee earning the highest salary":

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from Employee SQL-44

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## **A Complex Nested Query**

- →A nested query may use variables of the outer query ('transfer of bindings').
- → Semantics: the nested query is evaluated for each row of the outer query.
- →"Find all persons who have the same first name and surname with someone else ("synonyms"), but different tax codes":

```
select * from Person P
where exists (select * from Person P1
  where P1.FirstName = P.FirstName
  and P1.Surname = P.Surname
  and P1.TaxCode <> P.TaxCode)

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```

### ...Another...

```
→"Find all persons who have no synonyms":
    select * from Person P
    where not exists
    (select * from Person P1
        where P1.FirstName =
        P.FirstName
        and P1.Surname = P.Surname
        and P1.TaxCode <> P.TaxCode)
```

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### **Tuple Constructors**

- →The comparison within a nested query may involve several attributes bundled into a tuple.
- →A tuple constructor is represented in terms of a pair of angle brackets.
- The previous query can also be expressed as:
   select \* from Person P
   where <FirstName,Surname> not in
   (select FirstName,Surname
   from Person P1
   where P1.TaxCode <> P.TaxCode)

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### **Comments on Nested Queries**

- →The use of nested queries may produce less declarative queries, but often results in improved readability.
- → Complex queries can become very difficult to understand.
- →The use of variables must respect scoping conventions: a variable can be used only within the query where it is defined, or within a query that is recursively nested in the query where it is defined.

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## **Scope of Variables**

#### **Data Modification in SQL**

- → Modification statements include:
  - ✓Insertions (insert);
  - ✓ Deletions (delete);
  - ✓ Updates of attribute values (update).
- → All modification statements operate on a set of tuples (no duplicates.)
- →In the condition part of an update statement it is possible to access other relations.

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#### **Insertions**

#### **Notes on Insertions**

- →The ordering of attributes (if present) and of values is meaningful -- first value for the first attribute, etc.
- →If AttributeList is omitted, all the relation attributes are considered, in the order they appear in the table definition.
- → If AttributeList does not contain all the relation attributes, left-out attributes are assigned default values (if defined) or the null value.

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#### **Deletions**

→Syntax:

delete from TableName [where Condition ]

→ "Remove the Production department":

```
delete from Department
  where DeptName = 'Production'
```

→"Remove departments with no employees":

```
delete from Department
  where DeptName not in
    (select Dept from Employee)
```

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#### **Notes on Deletions**

- →The delete statement removes from a table all tuples that satisfy a condition.
- →The removal may produce deletions from other tables — if a referential integrity constraint with cascade policy has been defined.
- → If the where clause is omitted, delete removes all tuples. For example, to remove all tuples from Department (keeping the table schema):

```
delete from Department
```

→ To remove table **Department** completely (content and schema):

```
drop table Department cascade
```

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## **Updates**

```
→Syntax:
  update TableName
    set Attribute = < Expression | SelectSQL | null |</pre>
    default >
    {, Attribute = < Expression | SelectSQL | null |
    default >}
    [where Condition]
→Examples:
  update Employee set Salary = Salary + 5
    where RegNo = M2047'
  update Employee set Salary = Salary * 1.1
     where Dept = 'Administration'
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```

## **Notes on Updates**

→ As with any side effect statement, the order of updates is important:

```
update Employee
 set Salary = Salary * 1.1
 where Salary <= 30
update Employee
 set Salary = Salary * 1.15
 where Salary > 30
```

→In this example, some employees may get a double raise! How can we fix this?

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## **Generic Integrity Constraints**

- →The check clause can be used to express arbitrary constraints during schema definition.
- →Syntax:

```
check (Condition)
```

- → Condition is what can appear in a where clause including nested queries.
- → For example, the definition of an attribute **Superior** in the schema of table **Employee**:

```
Superior character(6)
  check (RegNo like "1%" or
    Dept = (select Dept from Employee E
      where E.RegNo = Superior)

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```

#### **Assertions**

- → Assertions permit the definition of constraints independently of table definitions.
- → Assertions are useful in many situations -- e.g., to express generic inter-relational constraints.
- →An assertion associates a name to a check clause; syntax:

create assertion AssertName check (Condition)

→ "There must always be at least one tuple in table Employee":

#### **Views**

- → Views are "virtual tables" whose rows are computed from other tables (base relations).
- →Syntax:

create view ViewName [(AttributeList)] as SelectSQL
[with [local|cascaded] check option]

→Examples:

```
create view AdminEmployee
   (RegNo,FirstName,Surname,Salary) as
select RegNo,FirstName,Surname,Salary
from Employee
where Dept = 'Admin' and Salary > 10
create view JuniorAdminEmployee as
select * from AdminEmployee
where Salary < 50 with check option</pre>
```

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#### **Notes on Views**

- →SQL views cannot be mutually dependent (no recursion).
- → check option executes when a view is updated.
- → Views can be used to formulate complex queries -views decompose a problem and produce more readable solutions.
- →Views are sometimes necessary to express certain queries:
  - Queries that combine and nest several aggregate operators;
  - Queries that make fancy use of the union operator.

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### **Views and Queries**

→ "Find the department with highest salary expenditures" (without using a view):

```
select Dept from Employee
  group by Dept
  having sum(Salary) >= all
    (select sum(Salary) from
Employee
      group by Dept)
```

→This solution may not work with all SQL systems.

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#### **Views and Queries**

→"Find the department with highest salary expenditures" (using a view):

```
create view SalBudget
(Dept,SalTotal) as
  select Dept,sum(Salary)
   from Employee group by Dept
select Dept from SalBudget
  where SalTotal =
    (select max(SalTotal) from
SalBudget)
```

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#### Views and Queries

→ "Find the average number of offices per department": Incorrect solution (SQL does not allow a cascade of aggregate operators):

```
select avg(count(distinct Office))
 from Employee group by Dept
Correct solution (using a view):
 create view
   DeptOff(Dept,NoOfOffices) as
    select Dept,count(distinct Office)
    from Employee group by Dept
 select avg(NoOfOffices)
   from DeptOffice
```

#### **Access Control**

- → Every element of a schema can be protected (tables, attributes, views, domains, etc.)
- →The owner of a resource (the creator) assigns privileges to the other users.
- → A predefined user <u>system</u> represents the database administrator and has access to all resources.
- →A privilege is characterized by:

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- √ a resource;
- ✓ the user who grants the privilege;
- √ the user who receives the privilege;
- ✓ the action that is allowed on the resource;
- ✓ whether or not the privilege can be passed on to other users.
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## **Types of Privileges**

- →SQL offers six types of privilege:
  - ✓insert: to insert a new object into the resource:
  - ✓ update: to modify the resource content;
  - ✓delete: to remove an object from the resource;
  - ✓ select: to access the resource content;
  - ✓ references: to build a referential integrity constraint with the resource;
  - ✓ usage: to use the resource in a schema definition (e.g., a domain)

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#### grant and revoke

→To grant a privilege to a user:

grant < Privileges | all privileges > on
 Resource
 to Users [with grant option]

- → grant option specifies whether the privilege can be propagated to other users.
- → For example,

grant select on Department to Stefano

→To take away privileges:

revoke Privileges on Resource from Users
[restrict | cascade]

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## **Database Triggers**

- → Triggers (also known as ECA rules) are element of the database schema.
- →General form:

on <event> when <condition> then <action>

- √ Event- request to execute database operation
- √ Condition predicate evaluated on database state
- √ Action execution of procedure that might involve database updates
- →Example:

on "updating maximum enrollment limit"
"# registered > new max enrollment limit "
then "deregister students using LIFO policy"

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if

## **Trigger Details**

- → Activation occurrence of the *event* that activates the trigger.
- →Consideration the point, after activation, when condition is evaluated; this can be immediate or deferred.
  - ✓ Deferred means that condition is evaluated when the database operation (transaction) currently executing requests to commit.
- → Condition might refer to both the state before and the state after event occurs.

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## **Trigger Execution**

- → This is the point when the *action* part of the trigger is carried out.
- →With deferred consideration, execution is also deferred.
- →With immediate consideration, execution can occur immediately after consideration or it can be deferred
  - ✓ If execution is immediate, execution can occur before, after, or instead of triggering event.
  - ✓ Before triggers adapt naturally to maintaining integrity constraints: violation results in rejection of event.

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## **Event Granularity**

Event granularity can be:

- → Row-level: the event involves change of a single row,
  - √This means that a single update statement might result in multiple events;
- → Statement-level: here events result from the execution of a whole statement; for example, a single update statement that changes multiple rows constitutes a single event.

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## **Multiple Trigger Executions**

- → Should we allow multiple triggers to be activated by a single event?
- →If so, how do we handle trigger execution?
  - ✓ Evaluate one condition at a time and if true immediately execute action; or
  - ✓ Evaluate all conditions, then execute all associated actions.
- →The execution of an action can affect the truth of a subsequently evaluated condition so the choice is significant.

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## **Triggers in SQL-3**

- →Events: insert, delete, or update statements or changes to individual rows caused by these statements.
- →Condition: Anything allowed in a where clause.
- → Action: An individual SQL statement or a program written in the language of Procedural Stored Modules (PSM) -- which can contain embedded SQL statements.

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## **Triggers in SQL-3**

- →Consideration = immediate condition can refer to both the state of the affected row or table before and after the event occurs.
- → Execution = *immediate* can be before or after the execution of the triggering event
- →Note that the action of a before-trigger cannot modify the database.
- → Granularity: Both *row-level* and *statement-level*.

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## **Before-Trigger with Row Granularity**

```
Check that
CREATE TRIGGER Max_EnrollCheck
                                          enrollment
 BEFORE INSERT ON Transcript
                                           < limit
     REFERENCING NEW AS N -- row to be added
  FOR EACH ROW
 WHEN
  ((SELECT COUNT (T.StudId) FROM Transcript T
   WHERE T.CrsCode = N.CrsCode
           AND T. Semester = N. Semester
  (SELECT C.MaxEnroll FROM Course C
   WHERE C.CrsCode = N.CrsCode))
 THEN ABORT TRANSACTION
                                          Action
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```

## **After-Trigger with Row Granularity**

CREATE TRIGGER **LimitSalaryRaise**AFTER UPDATE OF *Salary* ON **Employee**REFERENCING OLD AS O
NEW AS N

No salary raises greater than 5%

**FOR EACH ROW** 

WHEN (N.Salary - O.Salary > 0.05 \* O.Salary)

THEN UPDATE **Employee** -- action

SET Salary = 1.05 \* O.Salary

WHERE Id = O.Id

[Note: The action itself is a triggering event; however, in this case a chain reaction is not possible.]

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# After-Trigger with Statement Granularity

CREATE TRIGGER **RecordNewAverage**AFTER UPDATE OF *Salary* ON **Employee**FOR EACH STATEMENT
THEN INSERT INTO **Log**VALUES (CURRENT\_DATE,

SELECT AVG (Salary)
FROM Employee)

Keep track of salary averages in the log

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