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ABSTRACT

Professional software developers spend a significant amount of time fixing builds, but this has received little attention as a problem in automatic program repair. We present a new deep learning architecture, called Graph2Diff, for automatically localizing and fixing build errors. We represent source code, build configuration files, and compiler diagnostic messages as a graph, and then use a Graph Neural Network model to predict a diff. A diff specifies how to modify the code's abstract syntax tree, represented in the neural network as a sequence of tokens and of pointers to code locations. Our network is an instance of a more general abstraction which we call Graph2Tocopo, which is potentially useful in any development tool for predicting source code changes. We evaluate the model on a dataset of over 500k real build errors and their resolutions from professional developers. Compared to the approach of DeepDelta [23], our approach tackles the harder task of predicting a more precise diff but still achieves over double the accuracy.

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1 INTRODUCTION

Professional software developers spend a significant amount of time fixing builds; for example, one large-scale study found that developers build their code 7–10 times per day [31], with a significant number of builds being unsuccessful. Build errors include simple errors such as syntax errors, but for professional developers these are a small minority of errors; instead, the majority are linking errors such as unresolved symbols, type errors, and incorrect build dependencies [31]. A recent paper by Google reports roughly 10 developer-months of effort are spent every month fixing small

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build errors [23]. Therefore, automatically repairing build errors is a research problem that has potential to ease a frequent pain point in the developer workflow. Happily, there are good reasons to think that automatic build repair is feasible: fixes are often short (see Section 3), and we can test a proposed fix before showing it to a developer simply by rebuilding the project. Build repair is thus a potential "sweet spot" for automatic program repair, hard enough to require new research ideas, but still just within reach.

However, there is only a small literature on repairing build errors. Previous work on syntax errors has been very successful generating repairs resolving missing delimiters and parentheses [10, 15]. In contrast, in our corpus of professional build errors (Table 1), fixes are more subtle, often requiring detailed information about the project APIs and dependencies. Recently, the DEEPDELTA system [23] aimed to repair build errors by applying neural machine translation (NMT), translating the text of the diagnostic message to a description of the repair in a custom domain-specific language (DSL). Although this work is very promising, the use of an off-the-shelf NMT system severely limits the types of build errors that it can fix effectively.

To this end, we introduce a new deep learning architecture, called *Graph2Diff networks*, specifically for the problem of predicting edits to source code, as a replacement for the celebrated sequence-to-sequence model used for machine translation. Graph2Diff networks map a *graph* representation of the broken code to a *diff*¹ in a domain-specific language that describes the repair. The diff can contain not only tokens, but also pointers into the input graph (such as "insert token HERE") and copy instructions (i.e. "copy a token from HERE in the input graph"). Thus, Graph2Diff networks combine, extend, and generalize a number of recent ideas from neural network models for source code [3, 4, 22, 44].

Graph2Diff networks are based on three key architectural ideas from deep learning: graph neural networks, pointer models, and copy mechanisms. Each of these ideas addresses a key challenge in modelling source code and in program repair. First, graph neural networks [19, 29] can explicitly encode syntactic structure, semantic information, and even information from program analysis in a form that neural networks can understand, allowing the network to learn to change one part of the code based on its relationship to another part of the code. Second, pointer models [38] can generate locations in the initial AST to be edited, which leads to a compact way of generating changes to large files (as diffs). Much work on program

¹We slightly abuse terminology here and use "diff" to mean a sequence of edit operations that can be applied to the broken AST to obtain the fixed AST.

repair divides the problem into two separate steps of fault localization and generating the repair; unfortunately, fault localization is a difficult problem [21]. Using pointers, the machine learning component can predict both where and how to fix. Finally, the *copy* mechanism addresses the well-known out-of-vocabulary problem of source code [5, 16, 17]: source code projects often include projectspecific identifiers that do not occur in the training set of a model. A copy mechanism can learn to copy any needed identifiers from the broken source code, even if the model has never encountered the identifier in the training set. Essentially, the copy mechanism is a way to encode into a neural network the insight from prior research on program repair [6, 18] that often code contains the seeds of its own repair. Copy mechanisms give the model a natural way to generate these fixes. Graph2Diff networks are an instantiation of a more general abstraction that we introduce, called Graph2Tocopo, which encapsulates the key ideas of graphs, copy mechanisms, and pointers into a simple conceptual framework that is agnostic to the machine learning approach, i.e., not just deep learning.

Our contributions are:

- (1) We study the challenges in repairing build errors seen in production code drawn from our collected dataset of 500, 000 build repairs (Sec. 3). These observations motivate the requirements for a build repair tool: source code context is required, disjoint but correlated changes are often required, and repairs do not always take place at diagnostic locations.
- (2) We introduce the *Graph2Tocopo* abstraction (Sec. 4) and *Graph2Diff network* for predicting source code edits (Sec. 6). They are particularly well-suited to code-to-edit problems and have desirable properties relative to Sequence-to-Sequence models and other Graphto-Sequence models. In this paper we show the value of this architecture for build repair, but in general this formulation is relevant to other tasks which require predicting changes to code.
- (3) Based on an *extensive evaluation* of our large historical data set of build errors, we find that the Graph2Diff networks have remarkable performance, achieving a precision of 61% at producing the exact developer fix when suggesting fixes for 46% of the errors in our data set. They also achieve over double the accuracy of the state-of-the-art DEEPDELTA system. Finally, we show that in some cases where the proposed fix does not match the developer's fix, the proposed fix is actually preferable.

Overall, our results suggest that incorporating the syntactic and semantic structure of code has a significant benefit in conjunction with deep learning. In future work, we hope that our work provides a framework for enhancing deep learning with more sophisticated semantic information from programs, ranging from types to program analysis.

2 PROBLEM FORMULATION

Here we formulate the problem of resolving a set of build diagnostics. The input is the state of the source tree at the time of a broken build and a list of diagnostics returned by the compiler. The target output is a diff that can be applied to the code to resolve all of the diagnostics. For our purposes, a diff is a sequence of transformations to apply to the original source code to generate the repaired version. Compiler diagnostics do not always identify the source of the fault that needs to be repaired, so we require the models to predict the locations that need changing in addition to the repairs. This combines the well-studied problems of automated fault localization and automated program repair.

2.1 Input data format

We represent source code files as Abstract Syntax Trees (ASTs) in order to capture the syntactic structure of the code (e.g., a statement is within a block that is within a for loop within a method declaration within a class, etc). Following [23], we also parse build configuration files into a similar structure.

Build errors are represented as a set of compiler diagnostics. A compiler diagnostic includes the kind of diagnostic (e.g., compiler.err.cant.resolve), the text associated with the diagnostic (e.g., "Cannot resolve symbol WidgetMaker"), and a location that is composed of a filename and line number. We further assume that the diagnostic text can be decomposed into a text template and a list of arguments (e.g., template "Cannot resolve symbol" and arguments list ["WidgetMaker"]).

2.2 Output data format

The target output is a sequence of edits that can be applied to the ASTs of the initial "broken" code in order to resolve all the diagnostics (thus producing the "fixed" ASTs). In general, a resolution may require changing multiple files, but in this paper we restrict attention to fixes that only require changing a single file. To enable the use of ASTs, we also discard broken code that cannot be parsed, not counting these cases in the results for this paper.

We use the GumTree code differencing tool [14] to compute the difference between the broken AST and the fixed AST. We convert the tree differences into an edit script, which is a sequence of insertion, deletion, move, and update operations that can be applied to the broken AST to produce the fixed AST. There is a question of how to represent edit scripts so that they can most easily be generated by machine learning models. In Sec. 4 we present a general abstraction for representing edit scripts, and in Sec. 5 we present the specific instantiation that we use for fixing build errors.

2.3 Problem Statement

We can now state the problem that we focus on. Given a set of build diagnostics, the AST of the file that needs to be changed, and the associated BUILD file AST, generate the edit script that the developer applied to resolve the diagnostics.

We view the problem as the second, core stage of a two-stage prediction process. Stage 1 predicts the file that needs to be changed from the build diagnostics. Stage 2 uses the result of Stage 1 to construct the AST of the file that needs to be changed and an AST of the associated BUILD file. The Stage 2 problem is then the problem statement above. Because Stage 2 is the core challenge that we are interested in, we use a heuristic for Stage 1 of choosing to edit the file with the most diagnostics associated with it, and we limit our experiments to examples where the Stage 1 prediction is correct (~90% in our data). In practice if one were deploying such a system, the 10% of cases where the Stage 1 prediction is incorrect should be treated as errors.

3 DATASET

Before describing our approach, we describe the data in more detail, as it motivates some of the modeling decisions. To collect data, we take advantage of the highly-instrumented development process at Google [26], extending previous work by [23].

3.1 Build Repair Dataset

We collected data by looking at one year of build logs and finding successive build attempts where the first build produced compiler diagnostics and the second resulted in no diagnostics. We then retrieved the associated "broken" and "fixed" snapshots of the code. These are examples where a developer repaired one or more build errors. We limit attention to examples with edit scripts of 7 or fewer edit operations, where the broken snapshot can be parsed successfully, and that fix the build by changing the Java code of the file with the most number of diagnostics (i.e., discard fixes that only change build configuration files, command line flags, and unexpected files). We do not restrict the kinds of diagnostics, the vocabulary used in the edit scripts, or the sizes of input files. The result is a dataset of ~500k fixes. Figure 1 shows six examples from the dataset (with identifiers renamed) and Figure 2 shows quantitative statistics. We make the following observations:

Variable Misuse [3] errors occur. Row A shows one of 6% of the cases where the fix replaces a single wrong usage of a variable.

Source-code context is required since the same diagnostic kind has many different resolutions. Rows A-C are cant.resolve diagnostics, and rows D-F are incompatible.types diagnostics. Each requires a different replacement pattern. Figure 2(c) shows the frequency of diagnostic kinds in the dataset. A small number of diagnostic kinds dominate, but the graph has a heavy tail and there are numerous resolution patterns per diagnostic, which means that a learning-based solution (as opposed to attempting to build a hand crafted tool for these diagnostics) seems a good option.

Edit scripts can be relatively long. Row E requires an edit script of length 4; by comparison, a Variable Misuse bug such as in Row A can be fixed with an edit script of length 1. Figure 2(a) shows the overall distribution of edit script lengths.

Fixes do not always occur at the diagnostic location. Row A shows an example where the identifier in the diagnostic is not the one that needs changing. Rows C and F show examples where the diagnostics indicate a different line to the one that needs changing. 36% of cases require changing a line not pointed to by a diagnostic.

There can be multiple diagnostics. Row C shows an example where there are multiple diagnostics. Figure 2(b) shows the distribution of diagnostic frequency per build. Approximately 25% of failures had more than one diagnostic.

Single fixes can span multiple locations. In Row G, multiple code locations need to be changed in order to fix the error. The changes at the different locations are part of a single fix but require different changes at the different locations. This shows that we need a flexible model which does not assume that fixes at different locations are independent as in DeepFix [15], or that multi-hunk fixes apply the same change at different locations as in [28]. 21% of the data requires editing more than one contiguous region.

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4 GRAPH2TOCOPO ABSTRACTION

Our aim is to develop a machine learning approach that can handle all of the complexities described in the previous section. These challenges appear not only in fixing build errors, but also in many other code editing tasks. To match the generality of the challenges, we start by developing an abstraction for code editing called *Graph2Tocopo*. Graph2Tocopo aims to formalize the interface between the machine learning method and the code-editing problem — like program repair, auto-completion, refactoring, etc. Graph2Tocopo is a single abstraction that unifies ideas from many recent works in modelling source code [3, 4, 8, 22, 35, 44]. Though we will take a deep learning approach in Sec. 6, Graph2Tocopo is *not* specific to deep learning.

Graph2Tocopo aims to crystallize three key concepts that recur across code editing tasks: representing code as graphs, representing pointers to code elements, and copying names from code. Representation of code as a graph gives a convenient way of representing code abstractly; of combining multiple sources of information, such as code, error messages, documentation, historical revision information, and so on; and for integrating statistical, syntactic, and semantic structure by constructing edges. Graphs are a natural choice because they are already a lingua franca for (non-learning based) syntactic and semantic analysis of code. At a high level, the goal of the Graph2Tocopo abstraction is to do for code-editing tasks what the celebrated Seq2Seq abstraction [34] has done for natural language processing (NLP): Graph2Tocopo aims to serve as an interface between tool developers, that is, software engineering researchers who create new development tools based on predicting code edits, and model developers, machine learning researchers developing new model architectures and training methods. Part of our goal is to encourage modularity between these two research areas, so advances one on side can immediately benefit the other.

When designing a tool for a particular code-editing task, we envision that the tool developer will develop two formalisms, one for the input and one for the output. On the input side, the tool developer designs a graph to represent the program to be edited, abstracting the code in a way that reveals the most important information for the task (Sec. 4.1). On the output side, the tool developer develops an *edit-domain specific language (eDSL)* that formalizes the class of code edits that is necessary for the tool. Statements in the eDSL are *Tocopo sequences* (Sec. 4.2), that is, sequences that contain either tokens or two different types of location references, called copy operations and pointers. Afterwards, we envision that the tool developer could choose between many different learning methods for Graph2Tocopo (such as Sec. 6), without going into the details of how the models are implemented.

4.1 Code as Graphs

We represent code and related context as a directed multi-graph with discrete labels on nodes and edges. For different tasks, the graph can include different information such as abstract syntax trees, error messages, results of program analyses, and edges relating these components, e.g., a diagnostic line number refers to a location in the code. Each node is specified by an integer index *i* and is associated with a tuple of node features (t_i, v_i) , where t_i is a member of a finite set of node types \mathcal{T} and v_i is a string called the *node value*. E.g., to represent ASTs, \mathcal{T} can be the set of nonterminals Preprint, Aug 2019, not for distribution. Daniel Tarlow, Subhodeep Moitra, Andrew Rice, Zimin Chen, Pierre-Antoine Manzagol, Charles Sutton, and Edward Aftandilian

Row	Diagnostics	Fix		
A	cannot find symbol 'widgetSet()'	<pre>- widgetsForX.widgetSet().stream().forEach(+ widgetCounts.widgetSet().stream().forEach(</pre>		
В	cannot find symbol 'of'	 Framework.createWidget(new FooModule.of(this)).add(this); Framework.createWidget(FooModule.of(this)).add(this); 		
С	(line 10) cannot find symbol 'longName' (line 15) cannot find symbol 'longName'	<pre>- String longname = "reallylongstringabcdefghijklmnopqrstuvw" + String longName = "reallylongstringabcdefghijklmnopqrstuvw" x = f(longName) // (line 10) Diagnostic pointed here // y = g(a, b, c, longName) // (line 15) Diagnostic pointed here</pre>		
D	incompatible types: ParamsBuilder cannot be converted to Optional <params></params>	<pre>- return new ParamsBuilder(args); + return new ParamsBuilder(args).build();</pre>		
E	incompatible types: RpcFuture <longnameresponse> cannot be converted to LongNameResponse</longnameresponse>	 LongNameResponse produceLongNameResponseFromX(+ ListenableFuture<longnameresponse> produceLongNameResponseFromX</longnameresponse> 		
F	incompatible types: WidgetUnit cannot be converted to Long	<pre>- public Widget setDefaultWidgetUnit(WidgetUnit defaultUnit) { + public Widget setDefaultWidgetUnit(Long defaultUnit) { this.defaultUnit = defaultUnit; // Diagnostic pointed here</pre>		
G	cannot find symbol 'of(Widget,Widget)'	<pre>- import com.google.common.collect.ImmutableCollection; + import com.google.common.collect.ImmutableSet; // - ImmutableCollection.of(+ ImmutableSet.of(</pre>		

Figure 1: Example diagnostics and fixes from our dataset.



Figure 2: Quantitative data statistics.

used by Java, and node values v_i could represent literals, keywords, and identifiers. Edges are specified by a triple (i, j, e), which means that there is an edge from $i \rightarrow j$ with type e.

4.2 Edits as Tocopo Sequences

The second challenge is how to represent a code edit. We propose to use a sequence of code locations and tokens that we call a *Tocopo sequence*. In more detail, a Tocopo sequence is a sequence of Tocopo expressions, where a Tocopo expression is one of (a) a *token expression* of the form TOKEN(*t*). These represent literal tokens, which could be commonly occurring identifier names, or editing commands like INSERT and UPDATE, (b) a *copy expression*, which refers to a value in the input graph (c) an *input pointer expression*, which refers to a specific node in the input graph, and (d) an *output pointer expression* which refers to a previous element in the Tocopo sequence. This syntax is given in Figure 3.

We assume that a tool designer creates an eDSL to represent edits to source code in a way that is appropriate for the task at hand. The tool designer chooses a set of keywords for the edit DSL and how they are combined with code locations and code tokens to represent an edit. Just as a programming language is a subset of the set of all sequences of tokens, an edit DSL is as a subset of the

Tocopo sequence		
Tocopo expression		
Token expression		
Copy expression		
Input pointer expression		
Output pointer expression		

Figure 3: Syntax of Tocopo sequences. Here t denotes a token, and n and m integers.

set of all Tocopo sequences, that is, sequences of tokens, copy, and pointer operations. These three types of expressions are useful for constructing eDSLs for a variety of code editing tasks.

The concept of Tocopo sequence is extremely generic, and does not say anything about what the edits do. What we can say about Tocopo sequences at this general level is what the references mean, that is, the pointers and the copy operations. Given a graph G, a token expression TOKEN(t) can be interpreted simply as referring to t. A copy expression COPY(n) refers to the value v_n of node n. An input pointer expression $INPUT_POINTER(n)$ refers to node index n in G. Finally, given a Tocopo sequence $s_1 \dots s_M$, $OUTPUT_POINTER(j)$ for j < M refers to s_j . This allows us to define two Tocopo sequences $s_1 \dots s_M$ and $s'_1 \dots s'_M$ to be referentially equivalent, which we write $\mathbf{s} \Leftrightarrow_G \mathbf{s}'$, if for all $i \leq M$, the two expression s_i and s'_i refer to the same entity. In practice the equivalence arises when token t referred to in one expression is equivalent to the node value referred to by a copy operation in the other expression. A key constraint on the design of eDSLs, which we will leverage in the learning algorithm (Sec. 4) is that if $\mathbf{s}' \Leftrightarrow_G \mathbf{s}$, then \mathbf{s} and \mathbf{s}' specify the same edit to G.

Remarks. First, it may be unclear why we need output pointers. These are useful for adding new larger subtrees to code, because using output pointers we can add multiple new nodes to the AST 2019-08-28 10:51. Page 4 of 1–15. with a desired relationship among them. Second, the distinction between pointers and copy operations is subtle, but important. Sometimes, it is important to specify an exact code *location*, such as when specifying where a new AST node should be inserted. This is a pointer. Other times, it is useful to refer to the *value* of an input node, such as when inserting a usage of a previously-defined variable, but any other location with the same node value will do just as well. This is a copy. Essentially, this is the code-editing version of the classic distinction between pass-by-value and pass-by-reference in programming languages.

4.3 Program Repair as Graph2Tocopo

To be more concrete, we give examples of several program repair methods from the literature, showing how they can be represented as Graph2Tocopo problems. (An example of a build repair specified as a Tocopo sequence is shown in Figure 4.) First, Allamanis et al. [3] propose learning to repair variable misuse errors, such as the one in Figure 1A, using a graph neural network. They proposed using a graph called an augmented AST, that is, the AST of the program with additional edges to represent adjacent tokens, data flow, and control flow. Additionally, in each program, there is one identifier expression for which we know a previously-declared variable should be used, but we do not know which one. This expression is represented by a special node in the graph of type HOLE, which has child nodes of type CANDIDATE that represent all of the variables in scope. The goal is to predict which of the candidate nodes is the correct variable. This task can represented as a Graph2Tocopo problem in a very simple way. The input graph is simply the augmented AST, and the output Tocopo sequence has the form INPUT_POINTER(*j*), where *j* is the node ID of one of the candidate nodes in the graph.

Vasic et al. [35] suggest a method for jointly localizing and repairing variable misuse errors, using two pointers: the first is a reference to the variable usage that is an error (or to a special sequence position that indicates no error), and the second pointer is a reference to another variable that should be used in place of the first. This can be represented as a Tocopo sequence of INPUT_POINTER(i) COPY(j), where *i* is the node id of the incorrect variable usage, and *j* is the node id of any usage of the correct replacement. Note the difference between pointers and copy operations. A pointer is necessary for the first usage, while a copy provides more flexibility for the second.

4.4 Learning for Graph2Tocopo

Combining the graph-structured input with the Tocopo-structured output results in the Graph2Tocopo abstraction. A training set is collected of code snapshots represented as graphs, and target edits represented as Tocopo sequences in the eDSL in question. We can then treat learning as a supervised learning problem to map graphs to Tocopo sequences. A variety of learning algorithms can be applied to this task. Many learning methods, especially in deep learning, can define a probability distribution $p(\mathbf{s}|G, w)$ over Tocopo sequences \mathbf{s} given graphs G and learnable weights w. (For now, we treat this distribution as a black box; see Sec. 6 for how it is defined in the build repair application.) Then, given a training set $D = \{(G, \mathbf{s})\}$ of graphs and target Tocopo sequences, the learning algorithm 2019-08-28 10:51. Page 5 of 1–15.

chooses weights to maximize the probability of the data, that is, to maximize the objective function $\mathcal{L}(w) = \sum_{(G,s) \in D} \log p(s|G, w)$.

For Graph2Tocopo sequences, though, we can do better than this standard approach, in a way that eases the burden on the tool designer to choose which of potentially many reference-equivalent sequences should be provided as target output. Consider a single example (*G*, **s**) from the training set. The tool designer should not worry about which equivalent sequence is desired when they all correspond to the same edit. Thus, we recommend training the model to maximize the probability assigned to the *set* of expressions equivalent to **s**. That is, let $I_G(\mathbf{s}) = \{\mathbf{s}' | \mathbf{s}' \Leftrightarrow_G \mathbf{s}\}$ be the set of equivalent expressions, and train using the objective function

$$\mathcal{L}(w) = \sum_{(G,\mathbf{s})\in D} \log \sum_{\mathbf{s}'\in \overline{I}_G(\mathbf{s})} p(\mathbf{s}' \mid G, w).$$
(1)

This rewards a model for producing any Tocopo sequence that is reference-equivalent to the provided target sequence, i.e., the model is free to use the copy mechanism as it sees fit. It might seem that computing the objective function (1) is computationally intractable, as it may involve a sum over many sequences. However, it can often be computed efficiently, and Graph2Diff models are constrained so that it becomes inexpensive to compute.

5 BUILD REPAIR AS GRAPH2TOCOPO

Now we cast build repair as a Graph2Tocopo problem.

5.1 Input Graph Representation

The input graph is composed of several subgraphs:

Code Subgraph. We roughly follow [3] to represent source code as a graph, creating nodes for each node in the AST. For identifiers and literals, the node value is the string representation that appears in the source code text. For internal nodes in the AST, the node value is a string rendering of the node kind. The node type is the kind of AST node as determined by the Java compiler.

Diagnostic Subgraphs. There is one diagnostic subgraph for each compiler diagnostic. Nodes in this subgraph come from four sources. First, there is a node representing the diagnostic kind as reported by the compiler, for example, compiler.err.cant.resolve. Second, the text of the diagnostic message is tokenized into a sequence of tokens, each of which is added as a node in the graph. Third, there is one node for each diagnostic argument (see Sec. 2.1) from the parsed diagnostic message. Finally, there is a diagnostic root node. The subgraph has a backbone tree structure where the root node is a parent of each other listed node, and the nodes are ordered as above. For purposes of creating edges, we treat this tree as an AST.

BUILD File Subgraph. BUILD file are usually an XML-style document (e.g., BUILD file in Bazel, POM file in Maven, build.xml in Ant), which we encode as a tree.

The subgraphs are connected by several types of edges, and we are planning to add more edge types. An ablation study that removes all edges (Supplementary Materials) in the input graphs shows the importance of these edges. Currently, we have:

- AST child: Connects all parents to their children.
- Next node: Connects to the next node in a depth-first traversal.

- Next lexical use: Connects nodes with a given value in a chain structure, with neighbors in the chain being nearest uses when nodes are ordered by the depth-first traversal.
- Diagnostic location: Connects each diagnostic root node to all nodes in the code subgraph on the line where the error occurred.
- **Diagnostic argument:** Connects diagnostic argument nodes to the corresponding nodes in the code subgraph where its string value is equivalent to the diagnostic argument.

5.2 Output eDSL Design

Here we describe the eDSL that we use for representing repairs to build errors. A program in our eDSL, which we call an *edit script*, specifies how to transform the broken AST into the fixed AST. Our goals in designing the eDSL are (a) given two ASTs for a broken file and a manually repaired file (which is what we have in our data), it is easy to generate a corresponding edit script, and (b) edit script should fully specify the change to the AST.² An edit script is a sequence of edit operations. Each edit operation specifies one change to be made to the broken AST. As shorthand, we write TOKEN(*t*) as *t* and use POINTER to mean that either an INPUT_POINTER or OUTPUT_POINTER is valid:

- INSERT POINTER(<parentId>) POINTER(<siblingId>) <type> <value>. Inserts a new node of node type type and value value into the AST as a child of the node specified by parentId. It is inserted into the children list after the referenced previousSibling node. If the new node should be the first sibling, then the special FIRST_CHILD token is used in place of POINTER(<siblingId>).
- DELETE INPUT_POINTER(<nodeId>) deletes a node.
- UPDATE INPUT_POINTER(<nodeId>) <value> sets the value of the referenced node to value.
- MOVE INPUT_POINTER(<sourceId>) POINTER(<newParentId>) POINTER(<newSiblingId>) moves the subtree rooted at source so that it is a child of newParent, occuring just after newSibling.
- DONE indicates the end of the edit script.

For example, Figure 4 shows an edit script that implements the fix from Figure 1E. Each operation in the edit script adds one node of a three-node subtree in the AST that specifies a Java parameterized type to be inserted, and then the old Java type is deleted. This uses input pointers, output pointers, and values.

6 GRAPH2DIFF ARCHITECTURE

Finally we are able to describe our new deep learning architecture for Graph2Tocopo problems. This architecture, which we call Graph2Diff has two components. The first is a *graph encoder* that converts the input graph into a $N \times H$ matrix called the *node states*, where N is the number of nodes in the input graph, and H is the dimension of the hidden states in the network. Each row of the node states matrix is a vector, which we call a *node representation*, that corresponds to one node in the input graph, and represents all the information about that node that the model has learned might be useful in predicting a fix. The second component of Graph2Diff is an *edit-script decoder* that predicts an edit script one Tocopo expression at a time, taking the node states and previous predictions as input. The decoder is based on modern deep learning ideas for sequences, namely the celebrated Transformer architecture [36]

which is used in models like GPT-2 [27] and BERT [13], but requires custom modifications to handle the special Tocopo features of input pointers, output pointers, and copy operations. Due to space constraints, we provide only a high-level description here. Full details are in the Supplementary Materials.

6.1 Graph Encoder

Inspired by [3], we use a Gated Graph Neural Network (GGNN) to encode the graph into a matrix of node states. At a high level, a GGNN consists of a series of propagation steps. At each step, a new representation of each node is computed by passing the representations of the node's neighbors at the last step through a neural network. To initialize the node representations, we use a learnable continuous embedding for node's type and value, summing them together with a positional embedding [36] based on the order in the depth-first traversal. We run GGNN propagation for a fixed number of steps (see Sec. 7.1.1 for details), resulting in a representation for each node in the graph.

6.2 Edit-Script Decoder

The decoder is a neural network that predicts the edit script one Tocopo expression at a time. If V is the size of the vocabulary, a Tocopo expression is either one of V token expressions, one of Ninput pointer expressions, or one of N copy expressions. So we can treat predicting the next Tocopo expression as a classification problem with V + 2N outputs and predict this with a neural network.³ The inputs to the decoder are (a) the node representations from the graph encoder, and (b) a representation of the partial edit script generated so far. Our decoder then builds on the decoder from the Transformer model, which is based on a type of neural network called an attention operation. An attention operation is a network that updates the representation of a *target sequence* of length N_2 , represented as a $N_2 \times H$ matrix, based on information from a source sequence of length N_1 , represented as a $N_1 \times H$ matrix. The attention operation produces an updated $N_2 \times H$ matrix representing the target. For mathematical details, see our Supplementary Material.

Our edit-script decoder extends the Transformer decoder to handle the pointer and copy operations of Tocopo. Two main extensions are needed. First, the partial edit script contains Tocopo expressions, not just tokens as in Transformer, so we need a way of representing Tocopo expressions as vectors that can be used within a deep network. To do this, we start with an "output embedding" step, which produces a $T \times H$ matrix of hidden states, where T is the length of the partial edit script. Then several layers of attention operations alternate between (i) exchanging information amongst the outputs, via an attention operation where both the source and target are the partial edit script (known as "causal self-attention"), and (ii) sending information from the sequence of nodes in the input graph to the output edit script, via an attention operation where the source is the input graph and the target is the partial edit script (which we call "output-input attention"). This results finally in a $T \times H$ matrix representing the partial edit script, which is the input to an output layer, which predicts the next expression.

²As natural as requirement (b) sounds, it is not always respected in previous work.

³Our current implementation of the decoder handles output pointers in a simplified way, predicting only where output pointers occur, but not predicting what they point to. Therefore, we treat OUTPUT_POINTER as a vocabulary item in this discussion.

 LongNameResponse produceLongNameResponseFromX(ListenableFuture<LongNameResponse> produceLongNameResponseFromX(0: MethodDef 1: Type 0:INSERT 1:INPUT_POINTER(1) 2:INPUT_POINTER(2) 3:PARAMETERIZED_TYPE 4:TYPEAPPLY LongNameResponse 2: 5:INSERT 6:OUTPUT_POINTER(0) 7:FIRST_CHILD 8:IDENTIFIER 9:ListenableFuture Τd 3. 10:INSERT 11:OUTPUT_POINTER(0) 12:OUTPUT_POINTER(5) 13:IDENTIFIER 14:LongNameResponse produceLongNameResponseFormX 4: 15:DELETE 16:INPUT_POINTER(2) 5: 17 · DONE Args

Figure 4: An example edit script that makes the change specified in Figure 1E. (Top) a textual diff of the change, (Left) A subset of the original AST annotated with input ids. (Right) An edit script implementing the change, annotated with output ids.

This leads to the second extension. The output layer must produce a V + 2N sized output of token, copy, and pointer predictions (whereas Transformer outputs just tokens). To do this, our output layer makes three separate predictions, which we call "heads". The token head is an $H \times V$ matrix that maps the final hidden state to a length V vector of token scores. The copy head and the pointer heads are both attention operations with different parameters to produce length N vectors of copy scores and pointer scores, respectively, as in [38]. The three output vectors are concatenated into the V + 2N outputs, and a softmax is used to turn this into a distribution over predictions.

A final point is important but a bit technical. In order to be able to efficiently train under the objective from (1), we require that the representation of the Tocopo prefix provided to the decoder is the same for all reference-equivalent prefixes; i.e., the network should make the same future predictions regardless of whether previous predictions used a token or an equivalent copy operation. We impose this constraint by representing the partial edit script as a list of *sets* of all Tocopo expressions that are reference-equivalent to each expression in the partial edit script. These sets can be used within our attention operations with only minor modifications. For details, see the Supplementary Material.

7 EXPERIMENTS

We have several goals and research questions (RQs) for the empirical evaluation. First, we would like to evaluate our design choices in Graph2Diff networks and better understand how they make use of available information. We ask RQ1: How is Graph2Diff performance affected by (a) the amount of code context in the input graph? (b) the model architectural choices? and (c) the amount of training data available? This question is important because the performance of deep learning methods can often be sensitive to model architectural choices. To understand how our results fit in context with existing literature, we ask RQ2: How do Graph2Diff networks compare to previous work on Seq2Seq for fixing build errors? We compare to the most closely related work, which is DeepDelta [23]. Even though DeepDelta can only be evaluated on a less-stringent task than exact developer fix match, we find that Graph2Diff networks achieve over double the accuracy, which shows that Graph2Diff networks are far more accurate than previous work. Turning attention to how the system would be used in practice, we ask RQ3: How often do incorrect predictions build successfully?, because fixes that fail to build can be filtered out and not presented to developers. We find that $26\% \pm 13\%$ of the incorrect predictions build successfully, leading to an estimated 2019-08-28 10:51. Page 7 of 1-15.

precision of 61% at producing the exact developer fix when suggesting fixes for 46% of the errors in our data set. Finally, we ask the qualitative **RQ4: What kinds of fixes does the model get correct? What kinds of predictions are incorrect but build successfully?** There are some cases where the fix is semantically incorrect and not desirable, but also cases where the predicted fix is preferable over the one provided by the developer.

7.1 RQ1: Graph2Diff performance

7.1.1 *Experimental details.* We follow standard machine learning experimental details, using train, validation and test splits and grid search for choosing hyperparameters. Details appear in the Supplementary Materials. Our main metric is sequence-level accuracy, which is how often the model predicts the full sequence correctly. This is a strict metric that only gives credit for exactly matching the developer's change. In the future we plan to show proposals to developers and measure how often they find them useful.

7.1.2 *Effect of context size and model depth.* It is possible to reduce the size of the input graphs by pruning nodes that are far away from the source of an error. Reducing graph sizes increases training throughput because less computation is needed for each example, and it may be possible for the learning problem to become easier if the removed nodes are irrelevant to the fix.

However, pruning nodes may also hurt performance of the model, for three reasons. First, if the error produced by the compiler is not near the source of the fault, then pruning can remove the location of code that needs to be changed and make it impossible for the model to correctly output the pointer elements in the output DSL. Second, the fix may require generating tokens that are out of vocabulary but present as the value of some input node. In this case, it is possible to generate the correct fix by outputting a copy element. However, if pruning removes all potential copy targets, then it will become impossible for the model to generate the correct answer. Third, there may be context in the distant nodes that are useful for the machine learning model in a less clear-cut way.

Our first experiment explores this question. Results appear in Figure 5 (left), showing that including more context and performing more propagation steps helps performance.

7.1.3 *Effect of dataset size.* To measure the effect of amount of data, we trained models using a random subsampling of the data. Figure 5 (right) shows the best resulting validation accuracy versus the number of training examples. The x-axis is on a log-scale but clearly shows that increasing data size leads to improved performance.

Prune			Sequence-	Sequence-level Validation Accuracy			
Distance	Avg. # Nodes	# Possible	2 prop steps	4 prop steps	8 prop steps		
1	25	125k	14.9%	15.5%	15.7%		
2	41	145k	16.3%	16.9%	16.7%		
4	192	240k	19.9%	22.4%	23.4%		
8	1524	310k	23.8%	26.3%	28.0%		
12	2385	315k	22.8%	25.6%	27.1%		

Figure 5: (Left) Best sequence-level validation accuracy achieved for various degrees of graph pruning. As the Prune Distance increases, more nodes are included in the graph, it becomes possible to get more training examples correct (the needed locations and vocabulary appear in the input graph), and accuracy generally increases. More propagation steps leads to improved performance in most cases. (Right) Best validation accuracy vs training set size.

7.2 RQ2: Comparison to Sequence2Sequence

DeepDelta [23] casts the problem of fixing build errors in the Sequence2Sequence framework. Here we compare Graph2Diff to DeepDelta across the two axes that they vary: Graph versus Sequence as input, and Diff versus Sequence as output.

Input: Graph vs Sequence. The main difference in the input representation is the amount of information provided to the models about the source code surrounding the error. Within the context of Graph2Diff models, we can test how this choice affects performance while holding all else fixed. To use the same input information as DeepDelta, we prune all nodes in the input graph except for the nodes on a path from the location of a diagnostic to the root of the AST. We leave the diagnostic subgraph the same as in Graph2Diff models. The result is a family of graph models that have a sequence input representation like is used in DeepDelta. We call these models SeqGraph2X models, because they have sequential code input representations but are implemented within the Graph2Tocopo framework. A benefit of the Graph2Tocopo framework is that they have a copy mechanism, unlike DeepDelta.

Output: Diff vs Sequence. Our diff output is more precise than the sequence output of DeepDelta in three ways: (a) we refer to locations by pointing to nodes in the input graph, which resolves ambiguity when more than one input node has a given value (e.g., when changing a private modifier to public it becomes clear which private to change); (b) we include a previous sibling pointer to specify where we should insert into the list of children under the specified node, which resolves ambiguity about, e.g., order in argument lists; (c) we generate AST types of new nodes to insert along with their value, which, e.g., resolves ambiguity between generating method invocations and method references. The extra specificity in the diff output is important, because it provides enough information to automatically apply a fix generated by the model without additional heuristics or human judgement, which is crucial towards putting the system into practice. Further, evaluating correctness in terms of matching an imprecise target output gives an overestimate of how the system will perform in practice.

The Graph2Tocopo framework makes it possible to run a series of experiments that gradually change the specificity of the output DSL from our precise diff output to the imprecise output from DeepDelta. We compare four output DSLs: (1) ImpreciseDiff (Imprec): the output format from DeepDelta; (2) ImpreciseWith-Pointers (Imprec+P): ImpreciseDiff but representing locations more precisely with pointers; (3) ImpreciseWithPointersAndSiblings (Imprec+PS): ImpreciseWithPointers but adding previous sibling pointers; and (4) Diff: the Graph2Diff output DSL.

)⁴ 10⁵ set size

Graph2Diff vs DeepDelta. Finally, we compare to a more direct reimplementation of DeepDelta, which uses the same sequential input representation but uses the Google Neural Machine Translation model [40] for the Seq2Seq learning. There is no pointer mechanism in this model, so it is not possible to evaluate on the more specific output DSLs and we compare just on ImpreciseDiff. We equivalently refer to the DeepDelta method as Seq2ImpreciseDiff.

Experiment details and results. We used the same experimental protocol as in the previous section to train the cross-product of options {Seq, SeqGraph, Graph} × {Diff, ImpreciseWithPointersAndSiblings, ImpreciseWithPointers, ImpreciseDiff}. Accuracy is measured based on whether the model predicted the full sequence of its corresponding output correct (so generally predicting more abstract outputs is expected to produce higher accuracy). We report differences in absolute performance compared to the Graph2Diff model on validation data. Results appear in Figure 6.

Comparing the first to the second row, we see that the Seq-Graph2Tocopo formulation improves over the pure Seq2Seq formulation, which we attribute primarily to the copy mechanism that comes with the Tocopo-based output model. This is inline with other recent work that shows a benefit of a copy mechanism in program repair [11]. Comparing the second row to the third, the graph-structured input improves performance regardless of the output DSL, and the importance of the graph grows as the specificity of the output DSL increases. Also, as expected, performance increases as the output DSL becomes more abstract (but recall that we expect those other than Diff to overestimate real-world performance). One other interesting comparison is Graph2ImpreciseWithPointers versus Graph2ImpreciseDiff. These output DSLs are the same except ImpreciseWithPointers represents locations with pointers and ImpreciseDiff represents locations with the values of the nodes. By using the copy mechanism, it would be possible in principle for the ImpreciseDiff model to mimic the ImpreciseWithPointers model. We suspect the difference in performance comes from the stronger supervision in the ImpreciseWithPointers model-supervision about locations points to exactly the region of the graph that needs to be

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	Diff	Imprec+PS	Imprec+P	Imprec
Seq2	-	-	_	-12.9% (DeepDelta)
SeqGraph2	-20.3%	-13.5%	-11.4%	-4.8%
Graph2	0.0%	+3.3%	+8.0%	+4.7%

Figure 6: Absolute sequence-level accuracy difference versus Graph2Diff model. Rows correspond to input representations and columns correspond to output DSLs with increasingly less precision. Only the Diff column contains precise information needed to apply the change unambiguously.

edited. In the ImpreciseDiff model, the supervision about locations only narrows the location to a set of possible locations that could be copied from. We also evaluated test accuracy for the DeepDelta and Graph2Diff models that achieve best validation accuracy. Deep-Delta test accuracy is 10% and Graph2Diff is 26%. In other words, *Graph2Diff has more than double the accuracy of DeepDelta, even though Graph2Diff predicts the change more precisely.*

7.3 RQ3: How often do incorrect fixes build?

When deploying the system in practice, we can increase precision by filtering out suggestions that do not actually result in a successful build. In this section we evaluate how effective this filtering step is. As a first filtering step, we remove all proposed test set fixes from the best Graph2Diff model that do not follow the grammar of our DSL (5%). From the remaining incorrect examples, we sample 50 examples and attempt to build the predicted change. Of these, 13 (26%) build successfully. If we extrapolate these results, this means that the model is able to make suggestions for 46% of the build errors in our data set. Of these, 61% of the time, the fix exactly matches the one that was eventually suggested by the developer.

7.4 RQ4: Where is the model successful & not?

7.4.1 Example Correct and Incorrect Fixes. To illustrate correct and incorrect predictions, we choose to zoom in on a single diagnostic kind (Incompatible types), because it gives a clearer sense of the variety of fix patterns needed to resolve similar errors. It is also interesting because it is not the most common diagnostic kind (it is fifth), so this allows exploration of what the model has learned about the long tail of potential repairs. Figure 7 shows examples where the model predicted the full output sequence correctly (top) and incorrectly (bottom). Interestingly, in many of these cases the fixes seem to depend on details of the relevant APIs. For example, in the first and fourth correct examples, it generates an extra method call, presumably using the diagnostic message and surrounding context to determine the right method to call. The second example replaces the type in a declaration, which requires generating a rare token via the copy mechanism. The third example is a relatively small change in terms of text but takes 17 tokens in the output sequence to generate (see Figure 4 (b)). The third example correctly converts an integer literal to a long literal. At the bottom, the first example illustrates that one limitation of the approach is understanding the type signatures of rare methods (getWidget). The last example is simply hard to predict without knowing more developer intent.

7.4.2 Accuracy by Diagnostic Kind. Figure 8 reports accuracy by the kind of the first diagnostic message. We show results for diagnostic kinds that appear at least 10 times in the validation data. The model learns to fix many kinds of errors, although there is a clear difference in the difficulty of different kinds. For example, the model never correctly fixes a "missing return statement" error. We suspect these fixes are difficult because they are closer to program synthesis, where the model needs to generate a new line of code that satisfies a variety of type constraints imposed by the context.

7.4.3 Incorrect Fixes that Build Successfully. Finally, we provide three examples from the sampled predictions where the fix is not equivalent to the ground truth, but still builds. The ground truth fix is marked by // Ground truth and the Graph2Diff fix is marked by // Graph2Diff fix. Listing 1 shows that Graph2Diff is able to import from a different package with the same method name. In fact, in this case, the package imported by the developer is deprecated and the model's proposed fix is preferred. Listing 2 renamed the method differently compared to the ground truth fix. It is one example of suggesting new method name, which has been explored by previous approach [2]. Listing 3 is one example where the predicted fix is semantically different to the ground truth fix, and it is unlikely that the predicted fix is what the developer intended to do. This is a example of false positive, and it is known as the overfitting problem in the automated program repair community [32].

- + import static junit.framework.Assert.assertFalse; // Ground truth
- + import static org.junit.Assert.assertFalse; // Graph2Diff fix
 - Listing 1: Import different package
- public void original_method_name() throws Exception
- + public void ground_truth_method_name() throws Exception // Ground truth
- + public void predicted_method_name() throws Exception // Graph2Diff fix

Listing 2: Changed to a different method name

- if (id.isEmpty() || Long.parseLong(id).equals(0L))
- + if (id.isEmpty() || Long.valueOf(id).equals(0L)) // Ground truth
- + if (id.isEmpty() || Long.parseLong(id) != 0) // Graph2Diff fix

Listing 3: Semantically different bug fix

8 RELATED WORK

Graph Neural Networks to Sequences. There has been much recent work on graph neural networks (GNNs) [41] but less work on using them to generate sequential outputs. Li et al. [19] map graphs to a sequence of outputs including tokens and pointers to graph nodes. The main difference is in the decoder model, which we improve by adding a copy mechanism, feeding back previous outputs (see Supplementary Materials for experiments demonstrating improved performance), and training under weak supervision. Xu et al. [42] present a Graph2Seq model for mapping from graphs to sequences using an attention-based decoder. Beck et al. [7] develop a graph-to-sequence model with GNNs and an attention-based decoder. In both cases, there is no copy or pointer mechanism. Song et al. [33] develop a model for generating text from Abstract Meaning Representation graphs, which maps from a graph structured input to a sequence output that also has a copy mechanism but not an equivalent of our pointer mechanism. Finally, there are also some similarities between our model and generative models of graphs

Preprint, Aug 2019, not for distribution. Daniel Tarlow, Subhodeep Moitra, Andrew Rice, Zimin Chen, Pierre-Antoine Manzagol, Charles Sutton, and Edward Aftandilian

Diagnostics	Fix
incompatible types: Builder cannot be converted to WidgetGroup	<pre>- WidgetGroup widgetGroup = converter.getWidgetGroup(); + WidgetGroup widgetGroup = converter.getWidgetGroup().build();</pre>
incompatible types: RpcFuture <longnameresponse> cannot be converted to LongNameResponse</longnameresponse>	 LongNameResponse produceLongNameResponseFromX(+ ListenableFuture<longnameresponse> produceLongNameResponseFromX(</longnameresponse>
incompatible types: int cannot be converted to Long	<pre>- Long jobId = 1; + Long jobId = 1L;</pre>
incompatible types: ListenableFuture <fooresult> cannot be converted to FooResult</fooresult>	<pre>- FooResult x = client.sendFoo(request, protocol); + FooResult x = client.sendFoo(request, protocol).get();</pre>
incompatible types: GetWidgetResponse cannot be converted to Widget	<pre>- return widget.start().get(); + return widget.start().get().getWidget();</pre>
incompatible types: FooResponse cannot be converted to Optional <barresponse></barresponse>	<pre>- return LONG_CONSTANT_NAME; + return Optional.empty();</pre>

Figure 7: Incompatible type error validation examples predicted (Top) correctly and (Bottom) incorrectly.

Acc	First diagnostic kind
86%	compiler.err.unreachable.stmt
69%	compiler.err.cant.assign.val.to.final.var
45%	compiler.err.unreported.exception.need.to.catch.or.throw
42%	compiler.err.non-static.cant.be.ref
33%	compiler.err.cant.resolve
29%	compiler.misc.inconvertible.types
29%	compiler.err.var.might.not.have.been.initialized
20%	compiler.err.except.never.thrown.in.try
17%	compiler.err.doesnt.exist
13%	compiler.err.class.public.should.be.in.file
12%	compiler.err.cant.apply.symbols
10%	compiler.err.cant.apply.symbol
9%	compiler.misc.incompatible.upper.lower.bounds
9%	compiler.err.abstract.cant.be.instantiated
9%	compiler.err.cant.deref
6%	compiler.err.does.not.override.abstract
3%	compiler.err.already.defined
0%	compiler.err.missing.ret.stmt

Figure 8: Accuracy by kind of the first diagnostic for those that appeared at least 10 times in validation data.

[9, 20, 43], in that these models map from a graph to a sequence of decisions that can include selecting nodes (to determine edges), though it does not appear that either subsumes the other.

Learning Program Repair. We refer the reader to [24] for a comprehensive review of program repair. We focus here on the most similar methods. SequenceR [11] addresses the problem of program repair based on failing test cases and uses an external fault localization tool to propose buggy lines. A sequence-based neural network with copy mechanism is used to predict a fixed line, using context around the buggy line including the method containing the buggy line and surrounding method signatures. The main differences are that our approach can learn to edit anywhere in the input graph and the use of a graph-structured input representation.

Allamanis et al. [3] introduce the Variable Misuse problem and builds a GNN model to predict which variable should be used in a given location. It does not directly address the problem of deciding where to edit, instead relying on an external enumerative strategy. Vasic et al. [35] uses a recurrent neural network with two output pointers to learn to localize and repair Variable Misuse bugs. In our context, the first pointer, which localizes the error, can be thought of as a Tocopo pointer, and the second pointer, which points to the variable that should replace the buggy one, can be thought of as a copy operation in Tocopo. Similar to [19], the first predicted pointer is not fed back into the prediction of the second pointer.

DeepFix is an early work that uses deep learning to fix compilation errors [15]. They use a seq2seq neural network with attention mechanism to repair a single code line, and multi-line errors can be fixed by multiple passes. The input is the whole program, and variables are renamed to reduce the vocabulary. Then, the model takes the input and predicts the line number along with the bug fix. TRACER [1] followed the same idea and improved upon Deep-Fix. The most significant changes compared to DeepFix are: 1) The fault localization and patch generation step are separated. TRACER relies on the line number reported by the compiler to localize the bug, while DeepFix outputs a line number and the corresponding bug fix. 2) TRACER's input to the model is much smaller; only the lines surrounding the buggy line are used as input. We have taken different design decisions compared to these two approaches. First, we use a copy mechanism to solve the out-of-vocabulary problem instead of renaming the variables, as we believe variable names contain valuable information for understanding the source code. Second, we take into account the whole program as well as the diagnostic information. Third, we do not assume that multi-line bugs are independent (e.g., row G in Figure 1) and fix them in multiple passes. Instead, we use the pointer network to specify different locations and generate all bug fixes simultaneously.

The approach by [23] to fix compilation errors is the closest related work, though we focus on all kinds of errors rather than a few common kinds. We compared experimentally and discussed extensively in Sec. 7.2. Similarly, Getafix also only focuses on a few kinds of errors [30]. They use a clustering algorithm to extract common edit patterns from past bug fixes, and try to apply them on new unseen programs. We have achieved similar results (Figure 8), but on more error types. Our post filtering steps also allow us to obtain a higher precision rate.

9 DISCUSSION

We have presented an end-to-end neural network-based approach for localizing and repairing build errors that more than doubles the accuracy of previous work. Evaluation on a large dataset of errors encountered by professional developers doing their day-to-day work shows that the model learns to fix a wide variety of errors.

We hope that the Graph2Tocopo abstraction is particularly useful for developing new tools to predict code changes. Graph2Tocopo provides two ways for tool developers to incorporate their domain expertise into machine learning models. First, the input graphs can be expanded to include arbitrary information about the program, including type information and the results of static and dynamic analysis. Once these are added to the graph, the deep learning method can learn automatically when and how this information is statistically useful for predicting fixes. Second, the edit DSLs can be augmented with higher-level actions that perform more complex edits that are useful for a specific tool, such as inserting common idioms, applying common big fixes, or even refactoring operations. Having designed these, the tool developer gains access to state of the art neural network approaches. The framework generalizes several recent works [3, 11, 35], and it would be straightforward to express them as Graph2Tocopo problems. We are also looking forward to working with tool developers to develop new Graph2Tocopo problems. We have already benefited from the generality of the Graph2Tocopo abstraction when running the experiments with different output DSLs in Sec. 7.2, where it was easy to use the same abstraction for a variety of input and output design choices.

More broadly, we hope that *fixing build errors is a stepping stone to related code editing problems:* there is a natural progression from fixing build errors to other software maintenance tasks that require generating larger code changes.

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Figure 9: Graph2Diff model.

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APPENDIX

TABLE OF CONTENTS

The appendix contains the following:

- A detailed description of the Graph2Diff neural network architecture and training objective.
- Formal semantics of Tocopo sequences.
- Additional experimental details and results.

DETAILED DESCRIPTION OF GRAPH2DIFF Α ARCHITECTURE

In this appendix we describe the Graph2Diff architecture in more detail. A diagram of the architecture appears in Figure 9.

A.1 Background Ops

We begin by reviewing two operations that we use repeatedly, sparse attention [36, 37] and graph propagation [19].

In a sparse attention op, we have M target entities, each attending to a subset of N source entities. Each target entity has a hidden state associated with it, and these hidden states are stacked into an $M \times H$ matrix denoted U, where H is the dimension of the hidden states. Similarly, there is a $N \times H$ matrix of hidden states associated with source entities, denoted V. Each sparse attention op has three dense layers associated with it: the query layer f_O transforms U into an $M \times H$ matrix of per-target queries; the key layer f_K transforms V into an $N \times H$ matrix of per-source keys; and the *value* layer f_V transforms V into an $N \times H$ matrix of per-source values. Further, the op requires an $M \times N$ sparse binary tensor **S**, where $S_{ii} = 1$ if target *j* is allowed to attend to source *i* and 0 otherwise. The attention weight from target *j* to source *i* is $\alpha_{ji} = \exp \langle f_O(U_i), f_K(V_j) \rangle$, and the result of sparse attention is a $M \times H$ matrix where row *j* is equal to $\frac{1}{Z} \sum_{i:S_{ji}=1} \alpha_{ji} f_V(V_i)$ where $Z = \sum_{i:S_{ji}=1} \alpha_{ji}$. A special case of sparse attention is causal self-attention [36], where U and V are both set to be the hidden states associated with output timesteps (i.e., M=N=#output steps) and $S_{ji} = 1$ if $i \le j$ and 0 otherwise.

In a GGNN graph propagation op [19], we have a hidden state for each of N nodes in a graph, stacked into a $N \times H$ matrix U. There are E edge types, and each is associated with a sparse tensor $S^{(e)}$ and an associated dense layer f_e . $S_{ji}^{(e)} = 1$ if there is an edge of type *e* from *i* to *j* and 0 otherwise. The first step is to send messages across all of the edges. For each node *j*, the incoming messages are defined as $m_j = \sum_e \sum_{i:S_{ji}^{(e)}=1} f_e(U_i)$. The second step is to update U by applying a GRU operation [12] to each node to get U'_i = GRU(U_i, m_i). The result of this update for each node is stacked into a $N \times H$ resulting matrix U'.

A.2 Accommodating Weak Supervision

As discussed in Sec. 4.4, we would like to train our models to maximize the log probability assigned to the set of Tocopo sequences that are reference-equivalent to a given target s, which means summing over all valid Tocopo sequences $s' \in I_G(s)$. Our decoder design is motivated by the observation that some architectural choices allow this summation to be computed efficiently.

Note that we can decompose $I_G(s)$ into a Cartesian product of per-timestep sets. Overloading notation, let $I_G(s)$ be the set of Tocopo expressions that evaluate to a target value s. Then $I_G(s) =$ $I_G(s_1) \times \ldots \times I_G(s_M)$ where \times denotes Cartesian product. In other words, the set of equivalent Tocopo expressions at time m does not depend on which equivalent Tocopo expression is chosen at other time steps. As shorthand, let $I_m = I_G(s_m)$.

We can leverage the above Cartesian product structure to simplify the training of our models with training objective of (1), but we need to be careful about how the decoder is structured. Consider defining a model for predicting Tocopo expression s_m , and suppose the model has already predicted prefix $s_1 \in I_1, \ldots, s_{m-1} \in I_{m-1}$. If we define predictions in terms of $p(s_m | s_1, \ldots, s_{m-1}, \mathcal{G})$, where the predicted probability depends on the sequence of Tocopo expressions (i.e., how the prefix was generated), then the summation in (1) requires summing over all valid prefix sequences, which could

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be exponentially expensive:

$$\log \sum_{\boldsymbol{s} \in \mathcal{I}_1 \times \ldots \times \mathcal{I}_m} p(\boldsymbol{s}_m \mid \boldsymbol{s}_1, \ldots, \boldsymbol{s}_{m-1}, \mathcal{G}).$$
(2)

However, suppose we define predictions in terms of $p(s_m | I_1, \ldots, I_{m-1}, \mathcal{G})$, where the predicted probability depends on the sequence of equivalence sets. Then we can write

$$\log p(\mathcal{I}_m \mid \mathcal{I}_1, \dots, \mathcal{I}_{m-1}, \mathcal{G}) = \log \sum_{s_m \in \mathcal{I}_m} p(s_m \mid \mathcal{I}_1, \dots, \mathcal{I}_{m-1}, \mathcal{G}).$$
(3)

In going from Eq. 2 to Eq. 3, we lose the ability to assign different probabilities to $p(s_m | s_1, \ldots, s_{m-1}, \mathcal{G})$ and $p(s_m | s'_1, \ldots, s'_{m-1}, \mathcal{G})$ for two different prefixes s and s' that are reference-equivalent, but it allows us to perform the marginalization needed for training with weak supervision in linear rather than exponential time.

Our full training objective is thus

$$\log p(I_G(\mathbf{s}) \mid \mathcal{G}) = \sum_m \log \sum_{s_m \in I_m} p(s_m \mid I_1, \dots, I_{m-1}, \mathcal{G}).$$
(4)

From the perspective of the decoder, this means that when feeding back in predictions from previous time steps, we need to feed back the sets I_1, \ldots, I_{m-1} and define a neural network model that takes the sets as inputs to the decoder. This is accomplished via the Output Embedding model described next.

We note that a simpler alternative that preserves efficiency is to not feed back denotation prefixes at all, and instead define objective

$$\log p(\mathcal{I}_G(\boldsymbol{s}) \mid \mathcal{G}) = \sum_{m} \log \sum_{s_m \in \mathcal{I}_m} p(s_m \mid m, \mathcal{G}).$$
(5)

In this case, the predictions at the different time steps are conditionally independent of each other given the input graph. This is a good choice when there is no uncertainty in the outputs given the inputs, and it is a choice that has been made in previous related work [19, 35]. However, it cannot represent certain important distributions over output sequences (e.g., .5 probability to make change A at position X and .5 probability to make change B at position Y). We show in Sec. C.2.1 that this alternative leads to significantly worse performance on our problem.

A.3 Tocopo Decoder

The decoder predicts the next element of the Tocopo sequence given the input graph and the previous predicted Tocopo elements.

Output Embedding. When predicting the next token in the target output sequence, we condition on the previously generated denotations. This section describes how to embed them into hidden states that can be processed by later layers of the decoder. There are three stages of the output embedding.

First, we embed the token associated with each output. For token outputs, this is a standard lookup table into a learnable vector representation per output vocabulary element. Out of vocabulary tokens share a vector. There is no token associated with an input pointer, but we assume they have a special "POINTER" token at this stage (i.e., all pointers share a "POINTER" embedding).

Second, we incorporate copy information. For each output token, we track which input nodes could have been copied from to generate the token. This information can be represented as a sparse indicator 2019-08-28 10:51. Page 13 of 1–15.

matrix with rows corresponding to output elements and columns corresponding to input nodes, with a 1 entry indicating that the output can be generated by copying from the node. We then perform a sparse attention operation using embeddings from the first output embedding stage as queries and the outputs of the graph encoder as keys and values. The result of this "copy attention" is a weighted average of the final node embeddings of nodes that could be copied from to generate each token, passed through a learnable dense layer. For empty rows of the sparse matrix, the result is the zero vector.

Finally, we incorporate pointer information. This follows similar to the copy embeddings but is simpler because there can be at most one pointer target per output sequence. In terms of the sparse matrix mentioned above, each row has at most a single 1. Thus, we can apply the analogous operation by selecting the node embedding for the column with the 1 and passing it through a different learnable dense layer. For empty rows of the sparse matrix, the result is the zero vector.

The output of each stage is an embedding vector per timestep (which may be the zero vector). The total output embeddings are the sum of the embeddings from the three stages.

Output Propagation. This stage propagates information from the input graph to the output sequence and between elements of the output sequence. We repeatedly alternate steps of output-to-input attention and output-to-output causal self-attention. The result of each step of the decoder is an updated hidden state for each output step, which is fed as input for the next decoder step. It is initialized to the output of the embedding step above. The result of the propagation stage is the final hidden state for each output step.

Output-to-input attention uses the current output hidden states as keys for a dense attention operation. The keys and values are the final input graph node hidden states. As in [36], keys, queries, and values are passed through separate learnable dense layers before performing the attention. The result of attention is a vector for each output step. These are treated as messages and combined with the previous output hidden states using a GRU cell as in GGNNs [19]. The dense layers and GRU cell parameters are shared across propagation steps. Note that this step allows the output to depend on the entire input graph, even if the input graph has diameter greater than the number of input propagation steps.

Output-to-output attention follows similarly to above but instead of input node hidden states as keys and values, it uses the current output hidden states and masks results so that it is impossible for information about future outputs to influence predictions of previous outputs (i.e., it is causal self-attention [36]). The output hidden states are updated using a GRU cell as above. The dense layers and GRU cell parameters are shared across propagation steps, but there are separate parameter sets for output-to-input attention and output-to-output attention.

Output Prediction. Given the result of output propagation, which is a hidden state per output timestep, the final step is to predict a distribution over next outputs. At training time, we simultaneously make predictions for all outputs at once. The output-output propagation ensures that information only flows from previous to future timesteps, so the final hidden state for output step t only includes information about the input graph and outputs up through time t.

Preprint, Aug 2019, not for distribution.

$\llbracket s_1 \dots s_M \rrbracket_G$	=	$concat([[s_1 s_{M-1}]]_G, [[s_M]]_G)$
$\llbracket TOKEN(t) \rrbracket_G$	=	(Token, t)
$\llbracket COPY(i) \rrbracket_G$	=	(Token, v_i)
$\llbracket INPUT_POINTER(i) \rrbracket_G$	=	(INPUTPOINTER, i)
$[OUTPUT_POINTER(j)]_G$	=	(OutputPointer, <i>j</i>)

Table 1: Dereferencing semantics of Tocopo sequences. Here $s_1 \dots s_M$ are Tocopo expressions, $t \in \mathcal{L}$ is a token, and $i \in 1 \dots N$ indexes a node in the input graph, and $j \ge 0$ is an integer.

We can thus simply define a mapping from output hidden state t to a distribution over the output t + 1.

Our approach is to define three output heads. A token head, a copy head, and a pointer head. Letting H be the hidden size, V be the size of the output vocabulary, and N be the number of nodes in the input graph, the token head passes output hidden state tthrough a dense layer of size $H \times V$. The result is a vector of length V of "vocab logits." The copy head passes output hidden state tthrough a dense layer of size $H \times H$ and then computes an inner product of the result with the final representation of each node in the input graph. This gives a size N vector of "copy logits." The pointer head performs the same operation but with a different dense layer, yielding a size N vector of "pointer logits." The outputs of the three heads are concatenated together to yield a size V + 2N vector of predictions. We apply a log softmax over the combined vector to get log probabilities for a distribution over next outputs and take the log sum of probabilities associated with correct outputs to compute the training objective.

B FORMAL SEMANTICS OF TOCOPO SEQUENCES

We give a formal semantics of what it means for two Tocopo sequences to refer to the same nodes in the graph.

See Table 1. Here \emptyset represents an empty sequence, and concat(\cdot) adds an element to the end of a sequence. To interpret this, notice that many node values in *G*, for example, leaf nodes in the syntax tree, are tokens from \mathcal{L} . Intuitively, for **s** and **s'** to be equivalent, this means that copy operations are matched either to copies on nodes that have the same value, or an equal token from \mathcal{L} . Intuitively, this semantics dereferences all of the pointers and copy operations, but otherwise leaves the Tocopo sequence essentially unchanged. This is the maximum amount of semantic interpretation that we can do without specifying an eDSL.

Now we can define a notion of equivalence. Our notion of equivalence will be based on all of Two Tocopo sequences **s** and **s'** are equivalent with respect to a graph *G*, which we write $\mathbf{s} \Leftrightarrow_G \mathbf{s'}$, if the sequences have equal derferencing semantics $[\![\mathbf{s}]\!]_G = [\![\mathbf{s'}]\!]_G$. Because it is just checking the location reference, this notion equivalence that is generic across eDSLs. If $\mathbf{s} \Leftrightarrow_G \mathbf{s'}$, are equivalent Tocopo sequences, they should be equivalent semantically in any reasonable eDSL.

C ADDITIONAL EXPERIMENTAL DETAILS AND RESULTS

C.1 Experimental details

For these experiments, we randomly split resolutions into 80% for training, 2500 examples for validation, and 10% for test. Unless otherwise specified, all experiments use grid searches over learning rates $\{5e - 3, 1e - 3, 5e - 4, 1e - 4\}$, gradient clipping by max norm of {.1, 1.0, 10.0}, hidden dimension of {64, 128} and number of propagation steps {1, 2, 4, 8, 12}. Batching follows that of [3], packing individual graphs into one large supergraph that contains the individual graphs as separate disconnected components. We add graphs to the supergraph as long as the current supergraph has fewer than 20,000 nodes. For some of the hyperparameter configurations we exceeded GPU memory, in which case we discarded that configuration. We allowed training for up to 1M updates, which took on the order of 1 week per run on a single GPU for the larger models. We report results from the training step and hyperparameter configuration that achieved the best accuracy on the validation data. For Graph2Tocopo models, we use the following vocabulary sizes: 10000 for input graph node values, 1000 for input node types, and 1000 for output vocabulary. For DeepDelta, we use input and output vocab sizes of 30k, as that is the value used by [23] and we found it achieve better performance than the smaller vocab sizes used in Graph2Tocopo.

C.2 Effect of autoregressive feedback

As discussed in Sec. A.2, there is a simpler decoder choice employed by [19] in their latent hiddens model and [35] that does not feed back previous outputs when generating a sequence from a graph. We evaluate an ablated form of our model that generates output sequences in the same way, removing the autoregressive component of our method. In Figure 10, we see that feeding in previously predicted outputs to the model is an important component. Leaving it out costs 5-6% absolute performance (20-26% relative) degradation.

C.2.1 Effect of graph structure. We ran an experiment removing edge information from the model, preserving only a basic notion of ordering. We rendered the diagnostic, build, and Java subgraphs in a linear order via a depth-first pre-order traversal of nodes and removed all edges in the input graphs. We added back edges connecting each node to all nodes within a distance of 10 in the linear ordering of nodes. This is meant to mimic a Transformer-style model with local attention [25], working on a linearization of tree structures as input [39].

No hyperparameter setting was able to achieve more than 3% sequence-level validation accuracy, but the best performing edgeablated model came from pruning at diameter 1 and doing 12 propagation steps. We speculate that the edges contain critical information for localizing where the edit needs to take place, and this gets lost in the ablation. The diameter 1 problem suffers the least because it has extracted the subgraph around the errors, which makes the localization problem easier (at the cost of not being able to solve as many problems by just looking at that local context).

	2 prop steps	4 prop steps	8 prop steps
Prune distance 8 (from above)	23.8%	26.3%	28.0%
Prune distance 8, no feeding back previous outputs	17.5%	20.8%	22.3%
Difference (absolute)	-6.3%	-5.5%	-5.7%

Figure 10: Effect of removing autoregressive feedback in the decoder.