

CSC236 winter 2020, week 9: Formal languages and regular expressions

Recommended reading: Chapter 7 Vassos course notes

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Outline

Upcoming dates

Iterative correctness wrap-up

Formal languages

Regular expressions

Upcoming stuff

- ▶ A2 due Thurs 3pm
 - ▶ Extra office hours today 2-4pm. BA 3201
 - ▶ Extended office hours Wednesday
- ▶ Term test 2, next Monday (March 16)
 - ▶ 12:10-13:00 @ EX320
 - ▶ 13:10-14:00 @ EX200

Term test 2

Covers weeks 4-8 (same material as A2). Potential topics for questions:¹

- ▶ Devise a recurrence for the runtime of an algorithm
- ▶ Use unwinding to find a closed form for a recurrence
- ▶ Use Master Theorem to reason about big- Θ of divide-and-conquer recurrences
- ▶ Come up with formal specifications for an algorithm
- ▶ Use induction to prove correctness of a recursive algorithm
- ▶ Identify and prove loop invariants
- ▶ Use loop invariants to prove partial correctness
- ▶ Prove that an iterative algorithm terminates

¹Not exhaustive.

Term test 2

Prefab cheat sheet will be provided. Will have Master Theorem, and possibly more.
e.g.

- ▶ Geometric series identities ($\sum_{i=0}^n 2^i = 2^{n+1} - 1$)
- ▶ Big- Θ definition
- ▶ Brief reminder of 'recipes' for proofs of recursive correctness, partial correctness, termination, etc.

Will be posted to course website at least a couple days before test. (But not necessarily indicative of what questions will be on the test.)

'Clamping' invariants

(Example from week 7 quiz v2)

```
1 def mult(x, y):
2     """Pre: x and y are ints. y is non-negative.
3     Post: return x * y
4     """
5     p = 0
6     while y > 0:
7         p += x
8         y -= 1
9     return p
```

Working backwards. I want to say that if the loop exits, y will be 0.

What loop invariant will allow this?

While
violation: $y \leq 0$

inv: $y_i \geq 0$

Clamping invariants: another example

$$\begin{array}{l} m_j = a_j \times b_j \quad \text{Total} \quad \underline{\underline{m_j = a_j}} \\ m_j = \min(a_j, b_j) \quad m_i = 100 + a_i \end{array}$$

```
1 def geq(a, b):
2     """Pre: a and b are positive integers
3     Post: return True iff a >= b
4     """
5     while a > 0 and b > 0:
6         a -= 1
7         b -= 1
8     return b == 0
```

$$\text{inv: 1) } a_0 - b_0 = a_i - b_i$$

$$2) a_i \geq 0 \wedge b_i \geq 0$$

What should be true when the loop exits?

if we exit

$$a_i = 0 \quad \underline{\text{or}} \quad b_i = 0$$

Anti-pattern: invariants involving loop counter

```
1 def geq(a, b):
2     """Pre: a and b are positive integers
3     Post: return True iff a >= b
4     """
5     while a > 0 and b > 0:
6         a -= 1
7         b -= 1
8     return b == 0
```

At the end of each iteration j ...

- ▶ $a_j = a_0 - j$
- ▶ $b_j = b_0 - j$

Not *wrong*, but can be simplified.

Related anti-pattern: counting exact number of iterations

Can work, but generally more work than necessary

```
1 def f(n):
2     """Pre: n is a positive integer.
3     """
4     a = b = 0
5     while n > 0:
6         if n % 2 == 1:
7             n -= 1
8             a += 1
9         else:
10            n = n // 2
11            b += 1
12    return (a, b)
```

$$m_j = n_j$$

How many times will this loop iterate for a given n ?

- ▶ $\log n$?
- ▶ $\lceil \log n \rceil$?
- ▶ $\lfloor \log n \rfloor + \text{number of 1's in binary representation of } n$?

A: who cares

Invariants that follow directly from code

```
1 def geq(a, b):
2     """Pre: a and b are positive integers
3     Post: return True iff a >= b
4     """
5     while a > 0 and b > 0:
6         a -= 1
7         b -= 1
8     return b == 0
```

At the end of each iteration j ...

► $a_j = a_{j-1} - 1$ for $j > 0$

This is (mostly) true, but how can we prove it?

(If your loop invariant just says what the code does, you can probably omit it.)

Example correctness proofs

- ▶ Writeup of merge correctness posted with lecture slides
- ▶ Sample solutions for tutorial exercises and quizzes
- ▶ Vassos notes
- ▶ A2Q3 appendix

Formal languages

The rest of the course will be spent studying sets of strings (**languages**).

Relevance to computer science?

- ▶ Strings can represent any data type.
 - ▶ Ultimately, your computer uses strings of 1's and 0's to represent numbers, lists, trees, cat pictures, etc.
- ▶ Sets of strings are a convenient way to formalize the concept of a 'problem' in theoretical computer science
 - ▶ P and NP are sets of *languages*
 - ▶ SAT: the set of strings which represent satisfiable propositional formulas

Formal languages

Heading towards big question of theoretical CS: which problems can be solved algorithmically?

- ▶ Specifically, we'll be focusing on the question: which problems can be solved with extremely limited RAM?
- ▶ Along the way, we'll need to develop abstract mathematical models for problems, and algorithmic processes

(Connection to computation will become much clearer next week.)

Definitions

- ▶ **Alphabet:** a set of symbols (usually finite), denoted by Σ
 - ▶ e.g. $\Sigma = \{0, 1\}$, $\Sigma = \{a, b, c, \dots, z\}$
 - ▶ we'll generally avoid alphabet symbols that could cause confusion, such as ε , $*$, parentheses, spaces, etc.
- ▶ Σ^* is the set of all finite strings over alphabet Σ
 - ▶ e.g. $\{a, b\}^* = \{\varepsilon, a, b, aa, ab, ba, bb, aaa, \dots\}$
 - ▶ recall ε is the **empty string** (like "" in Python)
- ▶ $L \subseteq \Sigma^*$ is a **language**
 - ▶ languages may be finite, e.g. $L = \{baa, baabaa\}$
 - ▶ ...or infinite, e.g. $L = \{s \in \{0, 1\}^* \mid s \text{ has same number of 0's as 1's}\}$
 - ▶ but the strings they contain are always finite
 - ▶ NB: $\{\}$ $\neq$ $\{\varepsilon\}$

$$\varepsilon a = a$$

String operations

Let s, t be strings over some alphabet Σ .

- ▶ st is the **concatenation** of s and t
 - ▶ occasionally also written $s \circ t$
- ▶ s^n is repeated concatenation. Defined recursively:
 - ▶ $s^0 = \varepsilon$
 - ▶ $s^{j+1} = ss^j$
- ▶ $|s|$ is the number of symbols in s . Note that $|\varepsilon| = 0$.
 - ▶ ($\text{len}(s)$ is fine too)

$$(ab)^2 = abab$$

Operations on languages

$L \cup L'$: union

$L \cap L'$: intersection

$L - L'$: difference

$\bar{L}$: Complement of L , i.e. $\Sigma^* - L$. If L is language of strings over $\{0, 1\}$ that start with 0, then $\bar{L}$ is the language of strings that begin with 1 plus the empty string.

LL' : concatenation, i.e. $\{st \mid s \in L, t \in L'\}$.

(What happens when one of these is $\{\}$ or $\{\epsilon\}$?)

L^k : concatenation of L with itself k times. $L^0 = \{\epsilon\}$.

$$\{a, b\} \{0, 1\} =$$

$$\{\epsilon, a, b, a0, a1, b0, b1\}$$

$$LL$$

Kleene star

A few equivalent definitions

$$\{aa, bb\}^* = \{\epsilon, aa, bb, \\ aaaa, aabb, bbbb, \dots\}$$

L^* contains the strings formed by concatenating zero or more (not necessarily distinct) strings from L

$$L^* = L^0 \cup L^1 \cup L^2 \cup \dots$$

Define L^* recursively as the smallest set such that:

1. $\epsilon \in L^*$
2. if $s \in L$, then $s \in L^*$
3. if $s \in L$ and $t \in L^*$, then $st \in L^*$

Example: Going from formal description to intuition

Let $L = \{aa, b\}$

Define $\text{EVENA} = \{s \in \{a, b\}^* \mid s \text{ has an even number of } a\text{'s}\}$

$\text{EVENA} \stackrel{?}{=} L^*$

$abab \in \text{EVENA}$
& L^*

$L^* =$ "strings where a's come in pairs"

From intuition to formal description

$$0 \in \text{BIN}$$

Let $\text{BIN} \subseteq \{0, 1\}^*$ be the language of binary numbers (with no redundant leading zeros).

Give a formal definition of BIN using only:

- ▶ One or more finite languages
- ▶ Operations such as union, complement, concatenation, Kleene star, etc.

$$\text{BIN} = \{1\}\{0, 1\}^* \cup \{0\}$$

From intuition to formal description

Let $\text{UNIFORM} = \{s \in \{a, b\}^* \mid s \text{ consists of non-zero repetitions of a single symbol}\}$.

Give a formal definition of UNIFORM using only:

- ▶ One or more finite languages
- ▶ Operations such as union, complement, concatenation, Kleene star, etc.

$$\{a\} \cup \{a\}^* \cup \{b\} \cup \{b\}^*$$

$$(\{a\}^* \cup \{b\}^*) - \{\epsilon\}$$

Regular expressions

Same idea as before, more concise notation

BIN: $0 + (1(0 + 1)^*)$

UNIFORM: $(aa^*) + (bb^*)$

aa^*

$\{0\} \cup (\{1\}^*0, 1)^*$

Not you...

How to validate an email address using a regular expression?

Asked 11 years, 4 months ago Active 1 month ago Viewed 1.2m times

Over the years I have slowly developed a [regular expression](#) that validates MOST email addresses correctly, assuming they don't use an IP address as the server part.

3256

contacted by someone that is having trouble with a site that uses it, and I end up having to make some adjustment (most recently I realized that I wasn't allowing 4-character TLDs).

★
1286

1286 *What is the best regular expression you have or have seen for validating emails?*

I've seen several solutions that use functions that use several shorter expressions, but I'd rather have one long complex expression in a simple function instead of several short expression in a more complex function.

[regex](#) [validation](#) [email](#) [email-validation](#) [string-parsing](#)

2397 The [fully RFC 822 compliant regex](#) is inefficient and obscure because of its length. Fortunately, RFC 822 was superseded twice and the current specification for email addresses is [RFC 5322](#). RFC 5322 leads to a regex that can be understood if studied for a few minutes and is efficient enough for actual use.

2397

One RFC 5322 compliant regex can be found at the top of the page at <http://emailregex.com/> but uses the IP address pattern that is floating around the internet with a bug that allows `00` for any of the unsigned byte decimal values in a dot-delimited address, which is illegal. The rest of it appears to be consistent with the RFC 5322 grammar and passes several tests using `grep -Po`, including cases domain names, IP addresses, bad ones, and account names with and without quotes.

⌚ Correcting the `00` bug in the IP pattern, we obtain a working and fairly fast regex. (Scrape the rendered version, not the markdown, for actual code.)

[illegible]

$(a-2)$ 15
 (2) +
 *

Theory vs. practice

The regular expressions we'll be studying have a close connection to 'regular expressions' in software (e.g. `grep`, or Python's `re` library), but there are significant differences.

- ▶ Software implementations come packed with *lots* of extra features and syntax (many different dialects)
 - ▶ Docs for Python's `re` library $\cong$ 9,000 words
 - ▶ Useful for programmers, bad for theoreticians
 - ▶ Some extra features increase expressive power, allowing matching languages which aren't truly 'regular' (more on what this means later)

Our RE syntax will be *very* simple

RE syntax

$\{0, 1\}$

Recursive definition of $\mathcal{RE}$, the set of regular expressions over some alphabet Σ :

1. $\emptyset, \epsilon \in \mathcal{RE}$ $\epsilon \notin \emptyset$
2. every symbol in Σ is in $\mathcal{RE}$
3. if T and S are REs, then so are:
 - 3.1 $(T + S)$ (union) — lowest precedence operator
 - 3.2 (TS) (concatenation) — middle precedence operator
 - 3.3 T^* (star) — highest precedence

The precedence rules allow us to make our REs more readable. e.g. we can write

$\hookrightarrow ((a+b)b^*) \neq$

$\hookrightarrow (a + bb^*)$

instead of

$(a + (b(b^*)))$

$(c + (a \times b))$
 $c + a \times b$

RE semantics

$\mathcal{L}(R)$ denotes the language represented by regex R .

Base cases:

- ▶ $\mathcal{L}(\emptyset) = \emptyset$ $\{\}$
 - ▶ (rarely used, but needed for completeness)
- ▶ $\mathcal{L}(\varepsilon) = \{\varepsilon\}$
- ▶ $\mathcal{L}(a) = \{a\}$
 - ▶ where a is an arbitrary length-one string from our alphabet Σ

Constructor cases. For regular expressions S, T :

- ▶ $\mathcal{L}(S + T) = \mathcal{L}(S) \cup \mathcal{L}(T)$ $(S | T)$
 - ▶ 'take *either* S or T '
- ▶ $\mathcal{L}(ST) = \mathcal{L}(S)\mathcal{L}(T)$
- ▶ $\mathcal{L}(T^*) = \mathcal{L}(T)^*$
 - ▶ '0 or more repetitions of T '

$$\mathcal{L}(01) = \{01\}$$

$$\mathcal{L}(0^+1) = \{0_1 1\}$$

$$(00)^* = \{\varepsilon, 00, 0000, \dots\}$$

RE identities

Most of these follow from definition. Some require proof. See 7.2.4 in Vassos notes.

- ▶ commutativity of union: $R + S \equiv S + R$
- ▶ associativity of union: $(R + S) + T \equiv R + (S + T)$
- ▶ associativity of concatenation: $(RS)T \equiv R(ST)$
- ▶ left distributivity: $R(S + T) \equiv RS + RT$
- ▶ right distributivity: $(S + T)R \equiv SR + TR$
- ▶ identity for union: $R + \emptyset \equiv R$
- ▶ identity for concatenation: $R\varepsilon \equiv R \equiv \varepsilon R$
- ▶ annihilator for concatenation: $\emptyset R \equiv \emptyset \equiv R\emptyset$
- ▶ idempotence of Kleene star: $(R^*)^* \equiv R^*$


$$L^* = \left\{ st \mid \begin{array}{l} s \in L, \\ t \in \emptyset \end{array} \right\}$$

$$L^* = (L^*)^*$$

Examples revisited

BIN: $0 + (1(0 + 1)^*)$

UNIFORM: $(aa^*) + (bb^*)$

$\{0\} \cup \{ \}$

More examples $L(000 + 001 + 010 + 011 + 100 + 101 + 110 + 111) = L_3$

✓ $\{0,1\}^3 = L_3$

(but not RE)

$$L((0+1)(0+1)(0+1)) = L_3$$

Devise REs (over $\Sigma = \{0,1\}$) that represent

L_3 ▶ all strings of length 3

L_{34} ▶ all strings of length 3 or 4

▶ strings that start and end with 0

▶ 'sorted' strings (0's appear before 1's)

▶ strings of the form $0^n 1^n$ (sorted and balanced strings)

$$(0+1)(0+1) \quad ((0+1)^+ (0+1)^+ (0+1)^+)$$

~~$L(01 + 01 + 01) = \{01\}$~~

$$\Sigma = \{w_1, h_1, o_1, a_1, \dots\}$$

what? *

$$L_{34} = (0+1)(0+1)(0+1)(\epsilon + (0+1))$$

$$(0+1)(0+1)(0+1) + (0+1)(0+1)(0+1)(0+1)$$

More examples

$$(0+1)^* = \Sigma^*$$

Devise REs (over $\Sigma = \{0, 1\}$) that represent

- ▶ all strings of length 3
- ▶ all strings of length 3 or 4
- ▶ strings that start and end with 0
- ▶ 'sorted' strings (0's appear before 1's)
- ▶ strings of the form $0^n 1^n$ (sorted and balanced strings)

$$0(0+1)^*0+0$$

$$0^*1^*$$

More examples

Devise REs (over $\Sigma = \{0, 1\}$) that represent

- ▶ all strings of length 3
- ▶ all strings of length 3 or 4
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More examples

Devise REs (over $\Sigma = \{0, 1\}$) that represent

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- ▶ all strings of length 3 or 4
- ▶ strings that start and end with 0
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Big question

For every language L , does there exist an RE R such that $\mathcal{L}(R) = L$?

How would we prove that a given language can't be represented by any RE?

We'll need another tool.

Proving RE equivalence

$$\Sigma = \{a, b\}$$

$$A = B$$

$$S = (a + b)^* a (a + b)^* b (a + b)^*$$

$$T = (a + b)^* ab (a + b)^*$$

$$A \subseteq B$$

$$B \subseteq A$$

Show² that S and T are **equivalent** – i.e. $\mathcal{L}(S) = \mathcal{L}(T)$.

. Proof sketch:

In general, to prove two sets are equal, I need to show mutual inclusion.

Is $\mathcal{L}(T)$ a subset of $\mathcal{L}(S)$? Given a string generated by T , I can also generate it from S by setting the middle $(0+1)^*$ to the empty string.

Is $\mathcal{L}(S)$ a subset of $\mathcal{L}(T)$?

By inspection, I can see that $\mathcal{L}(T)$ is the set of all strings containing 'ab'.

Therefore, it suffices to show that every string generated by S contains 'ab'.

Let s be an arbitrary string generated by S . Then s is of the form $s_1 a s_2 b s_3$, where s_1 , s_2 , and s_3 are each arbitrary strings of a's and b's.

I can show that s always contains substring 'ab' based on the following cases for s_2 :

if s_2 is empty

if s_2 starts with b

if s_2 ends with a

if s_2 starts with a and ends with b

²We could **prove** this from first principles, but it would be tedious. We'll generally ask for only an informal proof for problems like this.