

**CSC165**  
**Θ AND Ω**

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To save use some typing, let's define  $F$  to be the set of functions from  $N \rightarrow R^{\geq 0}$ .

Consider  $f \in F$  defined by  $f(n) = 3n^2 + 2$ . For  $n \geq 2$ ,  $3n^2 \leq f(n) \leq 4n^2$  (we saw the second inequality in the big-O notes). In other words, for large enough  $n$ ,  $f(n)$  is between two constant multiples of  $n^2$ . So for many computing purposes,  $f(n)$  is essentially the same as  $n^2$ . Notice also that for  $n \geq 2$ ,  $\frac{1}{4}f(n) \leq n^2 \leq \frac{1}{3}f(n)$ , so for large enough  $n$ ,  $n^2$  is between two constant multiples of  $f(n)$ .

We make the following definition:

For  $f \in F$ ,  $\Theta(f) = \{g \in F : \exists c_1 \in R^+, \exists c_2 \in R^+, \exists b \in N, \forall n \in N, n \geq b \rightarrow c_1 f(n) \leq g(n) \leq c_2 f(n)\}$ .

Informally,  $\Theta(f)$  is the set of functions that grow at the same rate as  $f$ . We saw above that  $3n^2 + 2 \in \Theta(n^2)$ , and  $n^2 \in \Theta(3n^2 + 2)$ . This symmetry is true in general:

**Theorem.**  $\forall f \in F, \forall g \in F, f \in \Theta(g) \leftrightarrow g \in \Theta(f)$ .

*Proof.* Exercise. □

We can characterize  $\Theta$  in terms of  $O$ :

**Theorem.**  $\forall f \in F, \forall g \in F, f \in \Theta(g) \leftrightarrow (g \in O(f) \wedge f \in O(g))$ .

*Proof.* Exercise. □

So, for example,  $\log n \in O(n)$  but  $n \notin O(\log n)$ , so  $\log n \notin \Theta(n)$ .

The symmetry in these theorems supports our view of  $\Theta$  as a kind of equivalence. Furthermore, if two functions are equivalent in the sense of  $\Theta$ , they behave the same way with respect to  $O$ :

**Theorem.**  $\forall f \in F, \forall g \in F, f \in \Theta(g) \rightarrow \forall h \in F, (h \in O(f) \leftrightarrow h \in O(g)) \wedge (f \in O(h) \leftrightarrow g \in O(h))$ .

*Proof.* Exercise. □

So, for example, any  $O$  results for  $n^2$  are true for  $3n^2 + 2$ , and vice-versa.

For completeness, we mention:

**Theorem.**  $\forall f \in F, f \in \Theta(f)$ .

*Proof.* Exercise. □

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If we consider  $f \in O(g)$  to mean  $f$  is as good as  $g$ , and  $f \in \Theta(g)$  to mean  $f$  is *exactly* as good/bad as  $g$ , we might want a definition to capture ‘ $f$  is as bad as  $g$ ’:

$$\text{For } f \in F, \Omega(f) = \{g \in F : \exists c \in R^+, \exists b \in N, \forall n \in N, n \geq b \rightarrow cf(n) \leq g(n)\}.$$

We leave it as an exercise to state results for  $\Omega$  similar to the results for  $O$ .

It remains to compare  $\Omega$  with  $O$  and  $\Theta$ . Looking at the definitions,  $O$  and  $\Omega$  look like two halves of  $\Theta$ :

**Theorem.**  $\forall f \in F, \forall g \in F, f \in \Theta(g) \leftrightarrow (f \in \Omega(g) \wedge f \in O(g))$ .

*Proof.* Exercise. □

If  $f$  grows no faster than  $g$ , then we expect that  $g$  grows as fast as  $f$ , and vice versa:

**Theorem.**  $\forall f \in F, \forall g \in F, f \in O(g) \leftrightarrow g \in \Omega(f)$ .

We’ll prove this one.

First we practice: suppose  $f \in O(g)$  because  $f(n) \leq 3g(n)$  for all  $n \geq 40$ . Then  $\frac{1}{3}f(n) \leq g(n)$  for all  $n \geq 40$ , so  $g \in \Omega(f)$ . In general, the reciprocal of the  $c$  that shows  $f \in O(g)$  shows  $g \in \Omega(f)$ , and the  $b$  requires no change.

*Proof.* Let  $f \in F, g \in F$ .

Suppose  $f \in O(g)$ .

Then  $\exists c \in R^+, \exists b \in N, \forall n \in N, n \geq b \rightarrow f(n) \leq cg(n)$ .

Let  $c_O \in R^+, b_O \in N$  be such that  $\forall n \in N, n \geq b_O \rightarrow f(n) \leq c_O g(n)$ .

Let  $c = 1/c_O$ . Then  $c \in R^+$  since  $c_O \in R^+$ .

Let  $b = b_O$ . Then  $b \in N$  since  $b_O \in N$ .

Let  $n \in N$ .

Suppose  $n \geq b$ .

Then  $n \geq b_O$ , so  $f(n) \leq c_O g(n) = (1/c)g(n)$ .

Multiplying by  $c$  we get  $cf(n) \leq g(n)$ .

Thus  $n \geq b \rightarrow cf(n) \leq g(n)$ .

Since  $n \in N$  is arbitrary:  $\forall n \in N, n \geq b \rightarrow cf(n) \leq g(n)$ .

Since  $c \in R^+$  and  $b \in N$ :  $\exists c \in R^+, \exists b \in N, \forall n \in N, n \geq b \rightarrow cf(n) \leq g(n)$ .

Thus  $g \in \Omega(f)$ .

Therefore  $f \in O(g) \rightarrow g \in \Omega(f)$ .

Now suppose  $g \in \Omega(f)$ .

[Exercise]

Therefore  $g \in \Omega(f) \rightarrow f \in O(g)$ .

Therefore  $f \in O(g) \leftrightarrow g \in \Omega(f)$ .

Since  $f \in F$  and  $g \in F$  are arbitrary:  $\forall f \in F, \forall g \in F, f \in O(g) \leftrightarrow g \in \Omega(f)$ . □