

## Charging argument for EFT

- We will use a (somewhat inelegant) charging argument to prove that the EFT greedy algorithm for the JISP problem is a 2-approximation algorithm; that is,  $|OPT| \leq 2|EFT|$ . This is basically the same as the argument showing that *EFT* is optimal for *ISP*. In fact, we are going to prove something stronger, namely we will define a function  $h : OPT \rightarrow EFT$  such that  $h$  is 2-1; that is, for every  $I_k \in EFT$ , there are at most two intervals, say  $I_j$  and  $I_\ell$  such that  $h(I_j) = h(I_\ell) = I_k$ .
- Without loss of generality we will restrict attention to intervals  $I$  in  $OPT - EFT$  for if  $I$  is in  $EFT$  as well as  $OPT$ , then  $h(I) = I$  and there cannot be another  $I'$  in  $OPT$  that intersects  $I$ .
- The function is defined by mapping any  $I_j$  in  $OPT$  to the left-most interval (say  $I_k$ ) in  $EFT$  that is not compatible; that is,  $I_k$  intersects  $I_j$  or has the same job class number (i.e.  $c_j = c_k$ ). Since EFT is greedy, there must be such an  $I_k$  or else  $I_j$  would be taken by *EFT* and then  $h(I_j) = I_j$ .
- Let  $I_k$  be any interval in  $EFT$  (and by our assumption assume  $I_k \notin OPT$ ). It remains to show that  $h$  is 2-1. That is, there are at most two intervals in  $OPT$  that can be mapped to  $I_k$ . It is clear that there can be at most one interval (say  $I_j$ ) such that  $c_j = c_k$ . Whenever that is the case, we will map  $I_j$  to  $I_k$ . So it only remains to show that there can be at most one  $I_j \in OPT$  that is charged to  $I_k$  because of interval intersection. So suppose  $I_j$  intersects  $I_k$  and  $h(I_j) = I_k$ . We will need to consider some cases:
  1. Case 1  $f_j < f_k$ . Since we are assuming that  $I_j \notin EFT$ , there has to be a reason that EFT did not take  $I_j$  before it took  $I_k$ . That is, there must have been another  $I_\ell \in EFT$  with  $\ell < k$  that is not compatible with  $I_j$ . But then  $h$  would map  $I_j$  to that  $I_\ell$ .
  2. Case 2:  $f_k \leq f_j$ 
    - (a) Case 2a: If  $s_j \leq s_k$ , then interval  $I_j$  includes  $I_k$  and therefore no other interval  $I$  in  $OPT$  can intersect  $I_k$ .
    - (b) Case 2b:  $s_k < s_j < f_k \leq f_j$ . If there is another  $I_r \in OPT$  that intersects  $I_k$ , it must be that  $f_r < s_j$  since otherwise  $f_j < s_r$  and hence  $I_r$  cannot intersect  $I_k$ . Hence  $f_r < f_k$ . But then as in case 1, the reason that  $I_r$  was not taken by *EFT* is because it is incompatible with some interval  $I_\ell$  with  $\ell < k$  and hence  $I_r$  would have been mapped to that  $I_\ell$ .

CLAIM: We will see (on the next page) how to “clean up” this proof by abstracting away the geometry. But sometimes (as in the  $m$  machine interval scheduling problem) we need the geometry.

- We say that a graph  $G = (V, E)$  is a chordal graph if it has a perfect elimination ordering of its vertices; namely an ordering  $v_1, v_2, \dots, v_n$  such that the “inductive neighbourhood”  $Nbhd(v_i) \cap \{v_{i+1}, \dots, v_n\}$  is a clique (equivalently has at most one independent vertex).
- We observe that an interval graph  $G = (V, E)$  induced by intervals  $I_1, \dots, I_n$  is chordal by ordering the vertices representing intervals so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .
- We generalize the EFT algorithm to a greedy algorithm for ISP and JISP (I guess we could call it PEO-greedy) for chordal graphs. Namely we use the PEO as the ordering of vertices and then accept greedily.
- We prove that this PEO-greedy is optimal for the ISP problem by a charging argument; namely we show that there is a 1-1 function  $h : OPT \rightarrow$  PEO-greedy. Again without loss of generality we need only consider vertices in OPT but not in PEO-greedy. Define  $h(v_j) = v_k$  where  $k = \operatorname{argmin}_k \{(v_j, v_k) \in E \text{ and } v_k \in \text{PEO-greedy}\}$ . As before  $h$  is a well defined function and it only remains to show that  $h$  is 1-1.
- Let  $v_k \in$  PEO-greedy. Suppose that there are two (or more) vertices  $v_j$  and  $v_\ell$  in OPT (not in greedy) such that  $h(v_j) = h(v_\ell) = v_k$ . We have two cases:
  1. Case 1: At least one of these intervals (say  $j$ ) is such that  $j < k$ . Then there must be a reason PEO-greedy didn't take  $j$  since we are assuming  $v_j \notin$  PEO-greedy. Namely, there is a vertex  $v_r \in$  PEO-greedy with  $r < j < k$  such that  $(v_r, v_j) \in E$ . But then  $v_j$  should have been mapped to  $v_r$ .
  2. Case 2:  $k < j < \ell$ . But then  $Nbhd(v_k) \cap \{v_{k+1}, \dots, v_n\}$  has at least two independent vertices contradicting the assumption that  $v_1, \dots, v_n$  is a PEO.
- That completes the proof. To extend this proof to show that PEO-greedy is a 2-approximation for JISP, we note that the intersection graph for this problem leads to a class of graphs where there is an ordering of vertices such that  $Nbhd(v_i) \cap \{v_{i+1}, \dots, v_n\}$  has at most two independent vertices. And then the same proof shows that the same mapping  $h$  is 2-1.
- An equally easy proof shows that using the reverse of a PEO ordering and then greedily colouring becomes an optimal greedy algorithm for colouring a chordal graph.