

# CSC 373 Lecture 18

- Some simple reductions
- NP sets and NP completeness
- Reducing search/optimization to corresponding decisions problems
- Building a tree of NP complete problems

# Some relatively easy transformations

- Vertex cover transforms to independent set and conversely, independent set transforms to vertex cover. Independent set and clique transform to each other.
- Note: these are NP complete problems and all such problems can theoretically be reduced to each other. But here the reduction in both directions is immediate.
- SAT to 3-SAT (Clearly here the converse holds.)
- 3-SAT to IS (independent set). Why noteworthy?

# NP Sets (decision problems)

- What do these sets (say SAT and CLIQUE) have in common? They both can be easily “**verified**” by a succinct “**certificate**”.
- For example, suppose I am “all powerful” (or perhaps just as good, suppose I am just a very lucky at guessing).
- Then if I want to prove that  $F$  is in SAT, I show you a satisfying truth assignment (call it  $\tau$ ) and then you (or an efficient algorithm) can easily verify that  $F$  is satisfied by  $\tau$ .  $\tau$  is the succinct certificate.
- Similarly if I want to convince you that  $(G, k)$  is in CLIQUE, then I show you a subset of  $k$  nodes  $V'$  and you verify that  $V'$  is a clique in  $G$ .

# The definition of an NP set

- Let  $L$  be a set (i.e. a subset of strings over some finite alphabet). Then  $L$  is in **NP** if there exists a polynomial time predicate (i.e. 0-1 valued function)  $R(x,y)$  and polynomial  $q$  such that  $x$  in  $L$  iff there exists a  $y$ :  $|y| \leq q(|x|)$  and  $R(x,y)$  is true (i.e.  $R(x,y) = 1$ ). That is, every  $x$  in  $L$  has a succinct certificate  $y$  (where the poly  $q$  defines “succinct” ) that allows for efficiently verifying that  $x$  in  $L$  (where poly time  $R$  defines efficient verification) .

# All the problems studied to date have corresponding NP decision problems

- (Job) Interval scheduling decision problem: For a set  $S$  of weighted intervals (resp. jobs for the JISP problem), and bound  $W$ , does there exist a subset of intervals (jobs) with profit at least  $S$ .
- The knapsack decision problem: For a set of items, size bound  $W$  and value bound  $V$ , does there exist a subset of items with total size at most  $W$  and value at least  $V$ .
- For sets in polynomial time (i.e. in  $P$ ) no certificate is needed. Clearly  $P$  is a subset of  $NP$ .

# NP Complete Sets

- Let  $\leq$  be a poly time reducibility (or poly time transformation). We will say that a set (decision problem)  $L$  is **NP hard** if for every  $L'$  in  $NP$ ,  $L' \leq L$ . Hence if  $L$  is  $NP$  hard but is also in  $P$ , then  $P = NP$
- $L$  is **NP complete** if  $L$  is in  $NP$  and  $NP$  hard. Hence  $P = NP$  iff there is any  $NP$  complete problem that is in  $P$ .
- Why do we religiously believe that  $P$  is not equal to  $NP$ ? Because there are thousands of  $NP$  complete problems that have been thought about independently before and after the concept was defined and no one has been able to find a polynomial time algorithm for them. Moreover, the best algorithms for these natural  $NP$  problems are all exponential time (i.e.  $c^n$  for some  $c > 1$ )

# The tree of NP completeness

- How do we show that a set  $L$  is  $NP$  complete? Usually (but not always) it is relatively easy to show that  $L$  is in  $NP$ . Usually it is the  $NP$  hardness that can sometime be quite non trivial to show. In fact, one might wonder how we show that any set  $L$  is  $NP$  hard since it requires showing something about every  $L'$  in  $NP$ . But suppose we do have one set  $L$  which is  $NP$  complete. Then if if we find another  $L^*$  in  $NP$  such that  $L \leq L^*$  then  $L^*$  is also  $NP$  complete by the transitivity of  $\leq$ . So starting with some  $NP$  complete  $L$  we can start to evolve a tree of  $NP$  complete problems.