

**Due: Friday, Dec 2 , beginning of lecture**

**Note change of due date by one week. Questions 2 and 5 have now become bonus questions.**

NOTE: Each problem set only counts 5% of your mark, but it is important to do your own work (but see below). Similar questions will appear on the first term test which will cover material relating to both assignment 1 and assignment 2. You may consult with others concerning the general approach for solving problems on assignments, but you must write up all solutions entirely on your own. the University's Code of Behavior. You will receive 1/5 points for any question/subquestion for which you say "I do not know how to answer this question". You will receive .5/5 points if you just leave the question blank.

1. Show how to formulate the following problems as  $\{0, 1\}$  integer programming (IP) problems
  - (a) The MaxSat problem where the input is a  $F = C_1 \wedge C_2 \dots C_r$  where each clause is a disjunction of literals.

Hint: If there are  $r$  clauses and  $n$  propositional variables occurring in  $F$ , then it suffices to have  $r + n$  variables occurring in the IP.

Solution: Introduce a  $\{0, 1\}$  variable  $u_i$  for each propositional variable  $x_i$  and a  $\{0, 1\}$  variable  $z_j$  for each clause  $C_j$ . The objective function is to maximize  $\sum_j w_j z_j$  subject to the inequalities:  

$$\sum_{x_i \in P(C_j)} u_i + \sum_{x_i \in N(C_j)} (1 - u_i) \geq z_j \quad \text{for each clause } C_j$$
 where  $P(C_j)$  (respectively,  $N(C_j)$ ) are the variables that occur positively (respectively, negatively) in  $C_j$ . For example, in the clause  $C = x_1 \vee x_2 \vee \overline{x_3}$ ,  $x_1$  and  $x_2$  are in  $P(C)$  and  $x_3$  is in  $N(C)$ . Note that, if  $z_j = 0$  so that we are not taking that clause, the inequality for  $C_j$  is trivially satisfied. If  $z_j = 1$  then at least one literal must be satisfied. The inequality insures that this is the case where we are using  $u_i = 0$  (respectively,  $u_i = 1$ ) to mean that  $x_i$  is being set false (respectively, true).

- (b)
- 2.
- 3.
4. Consider the following *dominating set problem*: We are given a collection of sets  $\mathcal{T} = \{T_1, \dots, T_m\}$  with  $T_i \subseteq U$  for some universe  $U$ . There is also a cost function  $c : U \rightarrow \mathbb{R}^{\geq 0}$  and we let  $c_u$  denote the cost of element  $u \in U$ . A feasible solution is a subset  $S \subseteq U$  such that  $S \cap T_i \neq \emptyset$  for all  $i$ . The goal is to find a feasible subset  $S$  so as to minimize the cost  $c(S) = \sum_{u \in S} c_u$ .

- (a) Formulate the dominating set problem as a  $\{0, 1\}$  IP

Solution: We introduce a  $\{0, 1\}$  variable  $x_u$  for each  $u \in U$  with the intended meaning that  $x_u = 1$  iff we take  $u$  in the solution  $S$ . So the objective function is to minimize  $\sum c_u \cdot x_u$  subject to the inequalities:

$$\sum_{u \in T_i} x_u \geq 1 \quad \text{for each } T_i.$$

Clearly if all inequalities are satisfied then each  $T_i$  intersects at least one  $u \in S = \{u | x_u = 1\}$ .

- (b) Show how to use LP relaxation + rounding to obtain an  $d$ -approximation algorithm in the case that  $|T_i| \leq d$  for every set  $T_i$  in the collection  $\mathcal{T}$ .

Solution: If  $|T_i| \leq d$  for every set  $T_i$ , then in each inequality there are at most  $d$  variables  $x_u$ . Let  $(x_1^*, \dots, x_m^*)$  be a fractionally optimal solution to the LP relaxation obtained by replacing  $x_u \in \{0, 1\}$  by  $x_u \geq 0$ . Then round each  $x_u^*$  to  $\bar{x}_u = 1$  iff  $x_u^* \geq \frac{1}{d}$ . Since each inequality has at most  $d$  variables, then for any inequality to be satisfied in the LP, for at least one variable  $x_u^*$  we must have  $x_u^* \geq \frac{1}{d}$ .

5.